## EECS2030 Fall 2024 Additional Notes Solving Problems Recursively

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Given a problem of size n (e.g., an integer of value n, an array of n elements, *etc.*), adopt the following steps to solve the problem *recursively*:

**Step 1: Understand the Problem** We denote the original problem to be solved as  $P_n$ 

(i.e,. a problem P, where the subscript n denotes its size). For example:

*Example 1.* Compute the factorial of n.

*Example 2.* Compute the  $n^{th}$  number in the Fibonacci sequence.

*Example 3.* Compute if a string s of length n is a palindrome.

**Example 4.** Compute the reverse of a string s of length n.

*Example 5.* Compute the number of occurrences of a character c in a string s of length n.

*Example 6.* Compute if elements in index range [from, to] of an array a are all positive.

*Example 7.* Compute if elements in index range [*from*, *to*] of an array *a* are sorted in a non-descending order.

Step 2: Define the <u>Base</u> Cases they can be solved immediately:  $P_0$ ,  $P_1$ ,  $P_2$ , etc. For example:

*Example 1*. Factorial 0 is just 1.

*Example 2.* The first and second Fibonacci numbers are both 1.

Example 3. An empty string and a string of length one are both palindromes.

Example 4. The reverse of an empty string or of a string of length one is simply the string itself.

*Example 5.* The number of occurrences of any character in an empty string is 0.

1. If index range [from, to] is such that from > to, e.g., [3, 2], then there is an empty collection of elements to be considered.

*Example 6.* Since you cannot find a counter-example (i.e., a number which is not positive) from an empty collection, the result of determining all numbers being positive is simply *true*.

*Example 7.* Since you cannot find a counter-example (i.e., a pair of adjacent numbers which are not sorted in a non-descending order) from an empty collection, the result of determining all numbers in an empty collection being sorted in a non-descending order is simply *true*.

2. If index range [from, to] is such that from == to, e.g., [3, 3], then there is a collection of exactly one element to be considered. We call such a collection a *singleton* collection. Say e is such an element that a singleton collection contains.

*Example 6.* The result of determining all numbers being positive is simply e > 0.

*Example 7.* Since you cannot find a counter-example (i.e., a pair of adjacent numbers which are not sorted in a non-descending order) from a collection of just one number, the result of determining all numbers in a singleton collection being sorted in a non-descending order is simply *true*.

**Step 3:** Assume that Solutions to Smaller Problems Exist We then assume that there exist solutions to sub-problems whose sizes are strictly smaller than the original problem: e.g.,  $P_{n-1}$ ,  $P_{n-2}$ , etc. For example:

*Example 1.* Assume the factorial of n-1 already exists (where n > 0). We denote this solution as  $P_{n-1}$  as its input size (i.e., value of number) is exactly one less than the original problem.

*Example 2.* Assume the  $(n-1)^{th}$  and  $(n-2)^{th}$  numbers in the Fibonacci sequence already exist (where n > 2). We denote these solutions as  $P_{n-1}$  and  $P_{n-2}$  as their input sizes (i.e., position in the Fibonacci sequence) are exactly, respectively, one and two less than the original problem.

*Example 3.* Assume we already know if a smaller substring of s (where s.length() > 1), with the first and last characters of s taken out, is a palindrome. We denote this solution as  $P_{n-2}$  as its input size (i.e., length of string) is two less than the original problem.

*Example 4.* Assume we already know the reverse of a smaller substring of s (where s.length() > 1), with the first character of s taken out. We denote this solution as  $P_{n-1}$  as its input size (i.e., length of string) is one less than the original problem.

*Example 5.* Assume we already know the the number of occurrences of a character c in a smaller substring of s (where s.length() > 0), with the first character of s taken out. We denote this solution as  $P_{n-1}$  as its input size (i.e., length of string) is one less than the original problem.

We assume we already know the solution for elements in a smaller index range [from + 1, to] of an array a:

*Example 6.* We denote  $P_{n-1}$  as the solution for if the n-1 elements are all positive.

*Example* 7. We denote  $P_{n-1}$  as the solution for if the n-1 elements are sorted in a non-descending order.

**Step 4: Define the <u>Recursive</u> Cases** We finally define the solution to the original problem  $P_n$  in terms of the solutions to other <u>strictly smaller</u> sub-problems:  $P_n = f(P_{n-1}, P_{n-2}, ...)$ . That is,  $P_n$  is defined as a function f that combines solutions to strictly smaller problems  $P_{n-1}, P_{n-2}, etc.$  via some simple calculations. Informally speaking, we "massage" solutions to smaller problems into the solution to a bigger problem. For example:

**Example 1.** We define  $P_n = n \times P_{n-1}$ .

*Example 2.* We define  $P_n = P_{n-1} + P_{n-2}$ .

*Example 3.* We define  $P_n = (c1 == c2 \& P_{n-2})$  (where c1 and c2 are, respectively, the first and the last characters of s). For example, *abcbc* is a palindrome because a == c and *bcb* is a palindrome. However, *abccc* is not a palindrome because *bcc* is not a palindrome, even though a == c.

*Example 4.* We define  $P_n = P_{n-1} + c1$  (where c1 is the first character of s, and the operator + means string concatenation). For example, the reverse of *abcd* is the reverse of *abc* (which is *dcb*) concatenated with a.

*Example 5.* We define  $P_n = 1 + P_{n-1}$  if the first character of *s* matches *c*, and in case they do not match, we define  $P_n = 0 + P_{n-1}$ . For example, the number of occurrences of character *a* in string *ababa* is 1 ( $\because$  *a* matches the first character in the string) plus the number of occurrences of *a* in *baba* (which is 2). But, the number of occurrences of character *b* in string *ababa* is 0 ( $\because$  *b* does not the first character *a* in the string) plus the number of occurrences of *b* in *baba* (which is 2).

*Example 6.* We define  $P_n = a[from] > 0$  &  $P_{n-1}$ . For example, numbers in  $\{1, 2, 3, 4, 5\}$  are all positive because 1 > 0 and numbers in  $\{2, 3, 4, 5\}$  are all positive. But, numbers in  $\{-1, 2, 3, 4, 5\}$  are not all positive because -1 > 0 is *false*, even though and numbers in  $\{2, 3, 4, 5\}$  are all positive. Also, numbers in  $\{1, 2, -3, 4, 5\}$  are not all positive because numbers in  $\{2, -3, 4, 5\}$  are not all positive, even though 1 > 0 is *true*.

*Example 7.* We define  $P_n = a[from] \le a[from + 1]$  &  $P_{n-1}$ . For example, say from is 0, then numbers in  $\{1, 2, 2, 3, 4\}$  are sorted because  $1 \le 2$  and numbers in  $\{2, 2, 3, 4\}$  are sorted. But, numbers in  $\{1, -1, 2, 3, 4\}$  are not sorted because  $1 \le -1$  is false, even though numbers in  $\{-1, 2, 3, 4\}$  are sorted. Also, numbers in  $\{1, 2, 2, -1, 4\}$  are not sorted because numbers in  $\{2, 2, -1, 4\}$  are not sorted, even though  $2 \le 2$  is true.

Problem $(P_n)$	$\begin{array}{l} \textbf{Base Case(s)} \\ (P_0,P_1,P_2) \end{array}$	Recursive Solution(s) to Sub-Problem(s) $(P_{n-1}, P_{n-2})$	Solution
factorial(n)	$P_0 = factorial(0) = 1$	$P_{n-1} = factorial(n-1)$	$n \times P_{n-1}$
	$\begin{array}{l} P_1=fb(1)=1\\ P_2=fb(2)=1 \end{array}$	$P_{n-1} = fbb(n-1)$ $P_{n-2} = fbb(n-2)$	$\boxed{P_{n-1}+P_{n-2}}$
	$P_0 = isP("") = true$ $P_1 = isP("a") = true$	$P_{n-2} = isP(s.substring(1, s.length() - 1))$	$ \begin{array}{c} s.charAt(0) == charAt(s.length()-1) \\ \& \\ P_{n-2} \end{array} $
	$P_0 = rev("") = ""$ $P_1 = rev("a") = "a"$	$P_{n-1} = rev(s.substring(1, s.length()))$	$P_{n-1} + s.substring(0)$
occ(s, c)	$P_0 = occ("", c) = 0$	$P_{n-1} = occ(s.substring(1, s.length()), c)$	$\begin{vmatrix} 1 + P_{n-1} & \text{if } s.charAt(0) &== c\\ 0 + P_{n-1} & \text{if } s.charAt(0) & != c \end{vmatrix}$
allPosH(a, from, to)	$\begin{array}{rcl} P_0 &=& allPosH(a,\ from,\ to)\\ &=& true & \mbox{if}\ from > to\\ P_1 &=& allPosH(a,\ from,\ to)\\ &=& a[from] > 0 & \mbox{if}\ from == to & \end{array}$	$P_{n-1} = allPosH(a, from + 1, to)$	$a[0] > 0$ && $P_{n-1}$
isSortedH(a, from, to) isSortedH(a, from, to)	$P_0 = isSortedH(a, from, to)$ = true $P_1 = isSortedH(a, from, to)$ = true if from == to	$P_{n-1} = isSortedH(a, from + 1, to)$	$a[from] \leq a[from + 1] \ \text{\&} \ P_{n-1}$