

# EECS2030 Fall 2019

## Additional Notes

### Solving Problems Recursively

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Given a problem of size  $n$  (e.g., an integer of value  $n$ , an array of  $n$  elements, *etc.*), adopt the following steps to solve the problem *recursively*:

**Step 1: Understand the Problem** We denote the original problem to be solved as  $P_n$

(i.e., a problem  $P$ , where the subscript  $n$  denotes its size). For example:

*Example 1.* Compute the factorial of  $n$ .

*Example 2.* Compute the  $n^{\text{th}}$  number in the Fibonacci sequence.

*Example 3.* Compute if a string  $s$  of length  $n$  is a palindrome.

*Example 4.* Compute the reverse of a string  $s$  of length  $n$ .

*Example 5.* Compute the number of occurrences of a character  $c$  in a string  $s$  of length  $n$ .

*Example 6.* Compute if elements in index range  $[from, to]$  of an array  $a$  are all positive.

*Example 7.* Compute if elements in index range  $[from, to]$  of an array  $a$  are sorted in a non-descending order.

*Example 8.* Compute if elements in index range  $[from, to]$  of a sorted array  $a$  contain a value  $k$ .

**Step 2: Define the Base Cases** We first define the solutions to the same problem whose sizes are small so that they can be solved immediately:  $P_0, P_1, P_2$ , *etc.* For example:

*Example 1.* Factorial 0 is just 1.

*Example 2.* The first and second Fibonacci numbers are both 1.

*Example 3.* An empty string and a string of length one are both palindromes.

*Example 4.* The reverse of an empty string or of a string of length one is simply the string itself.

*Example 5.* The number of occurrences of any character in an empty string is 0.

1. If index range  $[from, to]$  is such that  $from > to$ , e.g.,  $[3, 2]$ , then there is an empty collection of elements to be considered.

*Example 6.* Since you cannot find a counter-example (i.e., a number which is not positive) from an empty collection, the result of determining all numbers being positive is simply *true*.

*Example 7.* Since you cannot find a counter-example (i.e., a pair of adjacent numbers which are not sorted in a non-descending order) from an empty collection, the result of determining all numbers in an empty collection being sorted in a non-descending order is simply *true*.

*Example 8.* Since an empty collection contains nothing, the result of determining if any value  $k$  exists in an empty collection is simply *false*.

2. If index range  $[from, to]$  is such that  $from == to$ , e.g.,  $[3, 3]$ , then there is a collection of exactly one element to be considered. We call such a collection a *singleton* collection. Say  $e$  is such an element that a singleton collection contains.

*Example 6.* The result of determining all numbers being positive is simply  $e > 0$ .

*Example 7.* Since you cannot find a counter-example (i.e., a pair of adjacent numbers which are not sorted in a non-descending order) from a collection of just one number, the result of determining all numbers in a singleton collection being sorted in a non-descending order is simply *true*.

*Example 8.* The result of determining if any value  $k$  exists in a singleton collection is simply  $k == e$ .

**Step 3: Assume that Solutions to Smaller Problems Exist** We then assume that there exist solutions to **sub-problems** whose sizes are strictly smaller than the original problem: e.g.,  $P_{n-1}$ ,  $P_{n-2}$ , *etc.* For example:

*Example 1.* Assume the factorial of  $n - 1$  already exists (where  $n > 0$ ). We denote this solution as  $P_{n-1}$  as its input size (i.e., value of number) is exactly one less than the original problem.

*Example 2.* Assume the  $(n - 1)^{th}$  and  $(n - 2)^{th}$  numbers in the Fibonacci sequence already exist (where  $n > 2$ ). We denote these solutions as  $P_{n-1}$  and  $P_{n-2}$  as their input sizes (i.e., position in the Fibonacci sequence) are exactly, respectively, one and two less than the original problem.

*Example 3.* Assume we already know if a smaller substring of  $s$  (where  $s.length() > 1$ ), with the first and last characters of  $s$  taken out, is a palindrome. We denote this solution as  $P_{n-2}$  as its input size (i.e., length of string) is two less than the original problem.

*Example 4.* Assume we already know the reverse of a smaller substring of  $s$  (where  $s.length() > 1$ ), with the first character of  $s$  taken out. We denote this solution as  $P_{n-1}$  as its input size (i.e., length of string) is one less than the original problem.

*Example 5.* Assume we already know the the number of occurrences of a character  $c$  in a smaller substring of  $s$  (where  $s.length() > 0$ ), with the first character of  $s$  taken out. We denote this solution as  $P_{n-1}$  as its input size (i.e., length of string) is one less than the original problem.

We assume we already know the solution for elements in a smaller index range [*from* + 1, *to*] of an array  $a$ :

*Example 6.* We denote  $P_{n-1}$  as the solution for if the  $n - 1$  elements are all positive.

*Example 7.* We denote  $P_{n-1}$  as the solution for if the  $n - 1$  elements are sorted in a non-descending order.

*Example 8.* We denote  $P_{left}$  as the solution for if the left half (of roughly  $\frac{n}{2}$  elements) of a sorted array contains a value  $k$ . Similarly, we denote  $P_{right}$  as the solution for if the right half (of roughly  $\frac{n}{2}$  elements) of a sorted array contains a value  $k$ .

**Step 4: Define the Recursive Cases** We finally define the solution to the original problem  $P_n$  in terms of the solutions to other strictly smaller sub-problems:  $P_n = f(P_{n-1}, P_{n-2}, \dots)$ . That is,  $P_n$  is defined as a function  $f$  that combines solutions to strictly smaller problems  $P_{n-1}$ ,  $P_{n-2}$ , *etc.* via some simple calculations. Informally speaking, we “massage” solutions to smaller problems into the solution to a bigger problem. For example:

*Example 1.* We define  $P_n = n \times P_{n-1}$ .

*Example 2.* We define  $P_n = P_{n-1} + P_{n-2}$ .

*Example 3.* We define  $P_n = (c1 == c2 \ \&\& \ P_{n-2})$  (where  $c1$  and  $c2$  are, respectively, the first and the last characters of  $s$ ). For example, *abcba* is a palindrome because  $a == c$  and *bcb* is a palindrome. However, *abccc* is not a palindrome because *bcc* is not a palindrome, even though  $a == c$ .

*Example 4.* We define  $P_n = P_{n-1} + c1$  (where  $c1$  is the first character of  $s$ , and the operator  $+$  means string concatenation). For example, the reverse of *abcd* is the reverse of *abc* (which is *dcba*) concatenated with *a*.

*Example 5.* We define  $P_n = 1 + P_{n-1}$  if the first character of  $s$  matches  $c$ , and in case they do not match, we define  $P_n = 0 + P_{n-1}$ . For example, the number of occurrences of character  $a$  in string *ababa* is 1 ( $\because a$  matches the first character in the string) plus the number of occurrences of  $a$  in *baba* (which is 2). But, the number of occurrences of character  $b$  in string *ababa* is 0 ( $\because b$  does not match the first character  $a$  in the string) plus the number of occurrences of  $b$  in *baba* (which is 2).

*Example 6.* We define  $P_n = a[from] > 0 \ \&\& \ P_{n-1}$ . For example, numbers in  $\{1, 2, 3, 4, 5\}$  are all positive because  $1 > 0$  and numbers in  $\{2, 3, 4, 5\}$  are all positive. But, numbers in  $\{-1, 2, 3, 4, 5\}$  are not all positive because  $-1 > 0$  is *false*, even though and numbers in  $\{2, 3, 4, 5\}$  are all positive. Also, numbers in  $\{1, 2, -3, 4, 5\}$  are not all positive because numbers in  $\{2, -3, 4, 5\}$  are not all positive, even though  $1 > 0$  is *true*.

*Example 7.* We define  $P_n = a[from] \leq a[from + 1] \ \&\& \ P_{n-1}$ . For example, say *from* is 0, then numbers in  $\{1, 2, 2, 3, 4\}$  are sorted because  $1 \leq 2$  and numbers in  $\{2, 2, 3, 4\}$  are sorted. But, numbers in  $\{1, -1, 2, 3, 4\}$  are not sorted because  $1 \leq -1$  is *false*, even though numbers in  $\{-1, 2, 3, 4\}$  are sorted. Also, numbers in  $\{1, 2, 2, -1, 4\}$  are not sorted because numbers in  $\{2, 2, -1, 4\}$  are not sorted, even though  $2 \leq 2$  is *true*.

*Example 8.* We exploit the fact that array  $a$  is sorted: for each element in  $a$ , all elements to its left are smaller, whereas all elements to its right are larger. We calculate a middle index  $m = \frac{from+to}{2}$  (where we have an integer division in Java, and this is mathematically equivalent to the calculation of its floor  $\lfloor \frac{from+to}{2} \rfloor$ ), and compare  $a[m]$  against the value  $k$  being searched. We define  $P_n = true$  if  $a[m] == k$  (i.e., it is found). If  $k$  is not found immediately but  $k < a[m]$ , then we know that if  $k$  exists, it must be to the left of  $a[m]$ :  $P_n = P_{left}$ . Symmetrically, if  $k$  is not found immediately but  $k > a[m]$ , then we know that if  $k$  exists, it must be to the right of  $a[m]$ :  $P_n = P_{right}$ .

Problem ( $P_n$ )	Base Case(s) ( $P_0, P_1, P_2$ )	Recursive Solution(s) to Sub-Problem(s) ( $P_{n-1}, P_{n-2}$ )	Solution
$factorial(n)$	$P_0 = factorial(0) = 1$	$P_{n-1} = factorial(n-1)$	$n \times P_{n-1}$
$fib(n)$	$P_1 = fib(1) = 1$ $P_2 = fib(2) = 1$	$P_{n-1} = fib(n-1)$ $P_{n-2} = fib(n-2)$	$P_{n-1} + P_{n-2}$
$isP(s)$	$P_0 = isP("") = true$ $P_1 = isP("a") = true$	$P_{n-2} = isP(s.substring(1, s.length() - 1))$	$s.charAt(0) == charAt(s.length() - 1)$ && $P_{n-2}$
$rev(s)$	$P_0 = rev("") = ""$ $P_1 = rev("a") = "a"$	$P_{n-1} = rev(s.substring(1, s.length()))$	$P_{n-1} + s.substring(0)$
$occ(s, c)$	$P_0 = occ("", c) = 0$	$P_{n-1} = occ(s.substring(1, s.length()), c)$	$1 + P_{n-1}$ if $s.charAt(0) == c$ $0 + P_{n-1}$ if $s.charAt(0) != c$
$allPosH(a, from, to)$	$P_0 = allPosH(a, from, to)$ $= true$ $P_1 = allPosH(a, from, to)$ $= a[from] > 0$ $\text{if } from == to$	$P_{n-1} = allPosH(a, from + 1, to)$	$a[0] > 0$ && $P_{n-1}$
$isSortedH(a, from, to)$	$P_0 = isSortedH(a, from, to)$ $= true$ $P_1 = isSortedH(a, from, to)$ $= true$ $\text{if } from > to$ $\text{if } from == to$	$P_{n-1} = isSortedH(a, from + 1, to)$	$a[from] \leq a[from + 1]$ && $P_{n-1}$
$binSearchH(a, from, to, k)$	$P_0 = binSearchH(a, from, to, k)$ $= false$ $P_1 = binSearchH(a, from, to, k)$ $= a[from] == k$ $\text{if } from == to$	$P_{left} = binSearchH(a, 0, \lfloor \frac{from+to}{2} \rfloor - 1, k)$ $P_{right} = binSearchH(a, \lfloor \frac{from+to}{2} \rfloor + 1, to, k)$	$P_{left}$ if $k < a[\lfloor \frac{from+to}{2} \rfloor]$ $P_{right}$ if $k > a[\lfloor \frac{from+to}{2} \rfloor]$ $true$ if $k == a[\lfloor \frac{from+to}{2} \rfloor]$