



Batch Query Memory Prediction Using Deep Query Template Representations

GRADUATE PROGRAM IN ELECTRICAL AND
COMPUTER ENGINEERING

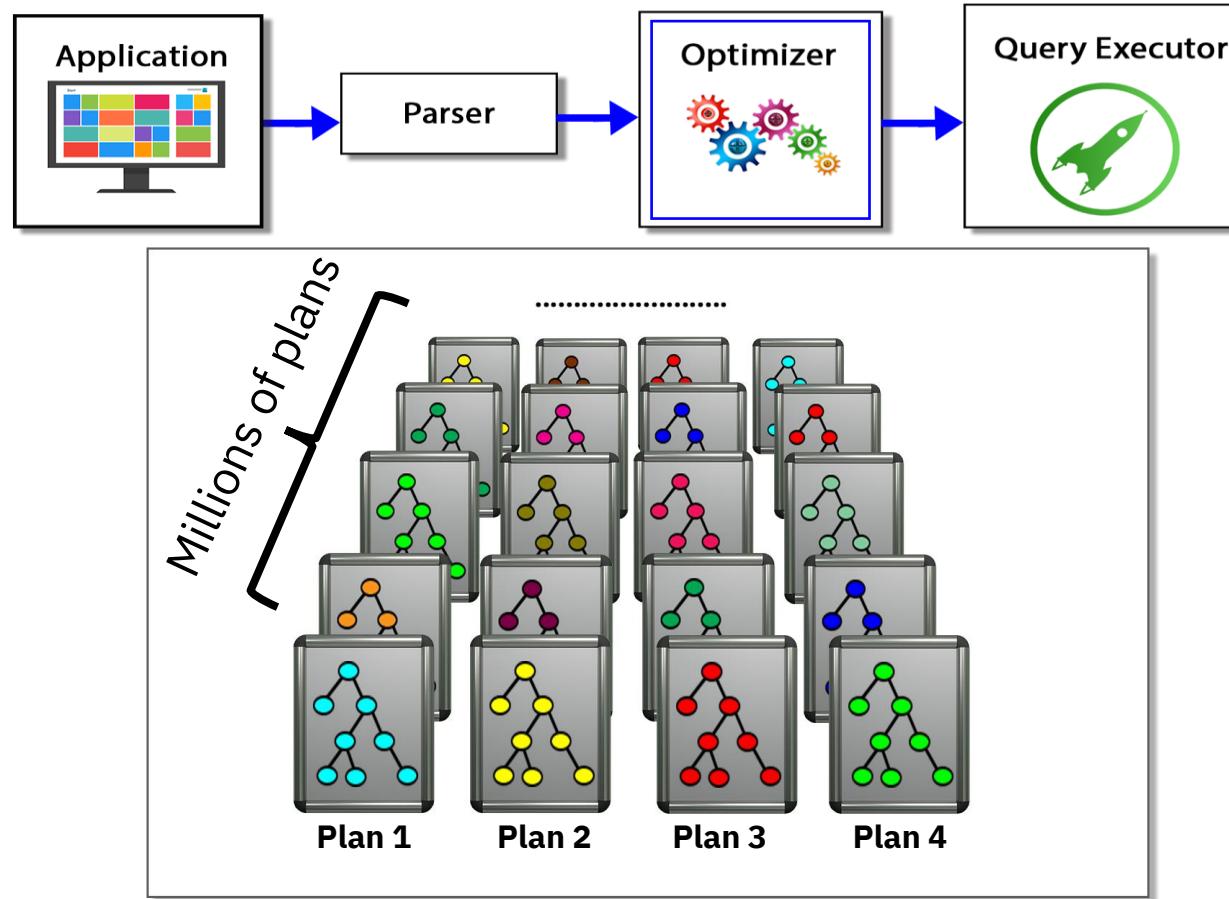
SUPERVISORS: MANOS PAPAGELIS, MARIN LITOIU

M.SC. THESIS OF NICOLAS ANDRES JARAMILLO DURAN

 **LASSONDE** SCHOOL OF ENGINEERING | **YORK** 

Motivation

DBMS – Query Optimization



Motivation

Problem Definition

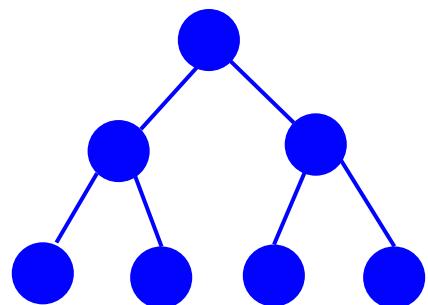
Methodology

Experimental Evaluation

Contribution & Future Work

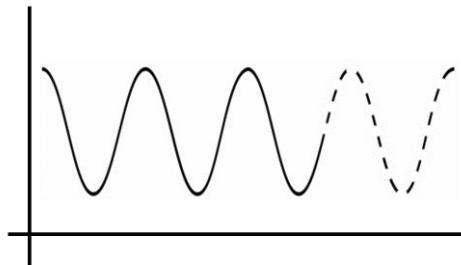
Towards an Autonomous DBMS

Physical Design



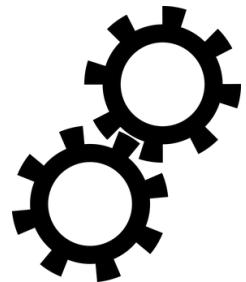
Which index should I create?

Query Performance Prediction



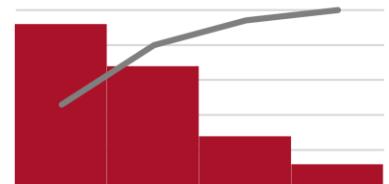
How long it will take to process the query at hand?

Configuration Tuning



What are the optimum configurations for my database?

Resource Forecasting



What resources are needed to process this query?

Motivation

Problem Definition

Methodology

Experimental Evaluation

Contribution & Future Work

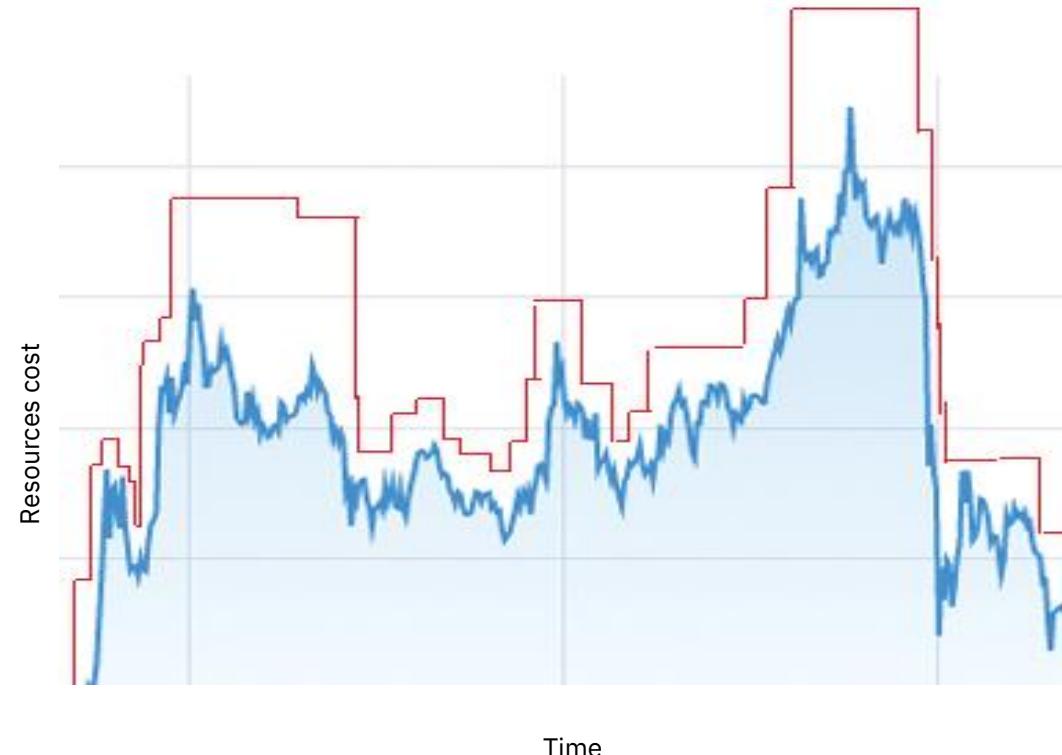
Resource Forecasting

➤ Resources

- Memory
- Disk I/O
- Throughput

➤ Challenging problem

- Excessive overhead
- Inefficient scheduling
- Inappropriate allocation of resources



Motivation

Problem Definition

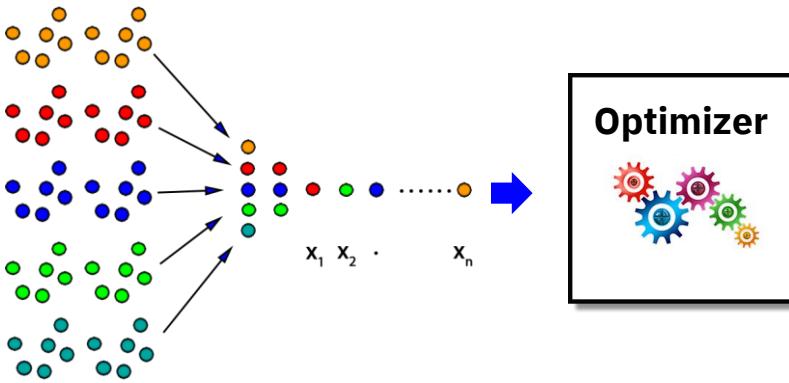
Methodology

Experimental Evaluation

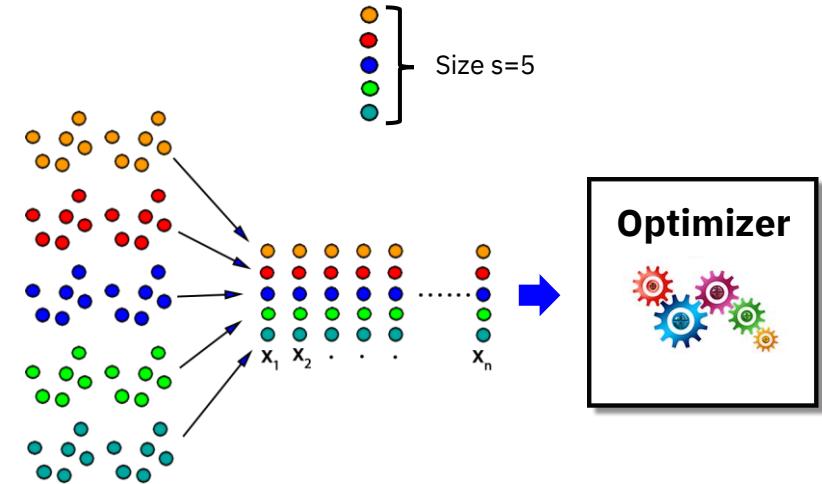
Contribution & Future Work

Single Query vs Workload (Batch Queries)

➤ Single Query



➤ Workload (Batch Queries)



Motivation

Problem Definition

Methodology

Experimental Evaluation

Contribution & Future Work

can we design **machine learning** models for predicting **memory**
resource forecasting for a **batch of queries?**

Motivation

Problem Definition

Methodology

Experimental Evaluation

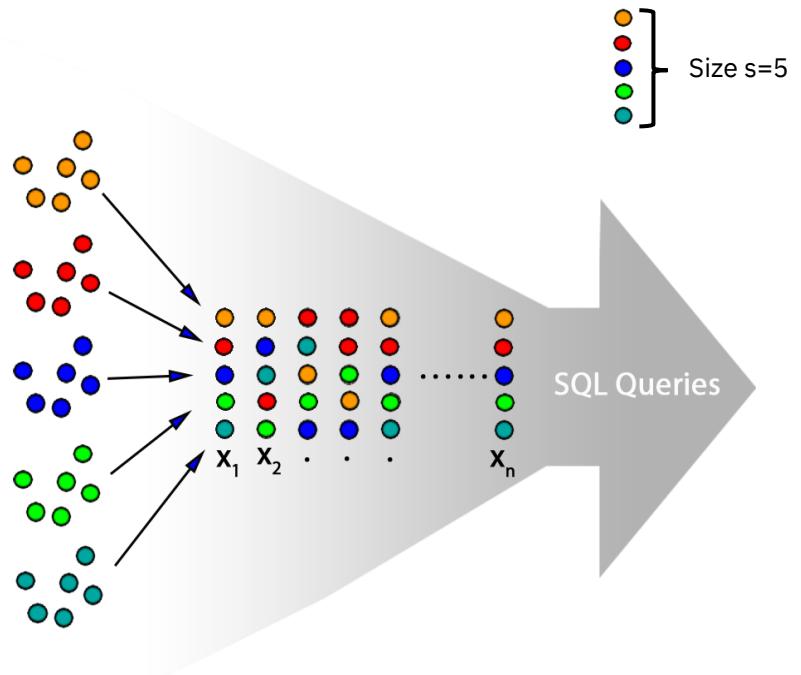
Contribution & Future Work

Problem Definition

Problem Definition

Input

A query workload of size k



Output

Query Workload
memory
consumption
estimate

$$\begin{bmatrix} y_1 \\ y_2 \\ \vdots \\ y_n \end{bmatrix}$$

Motivation

Problem Definition

Methodology

Experimental Evaluation

Contribution & Future Work

Problem Definition

- We formulate the estimation of memory usage for an unseen workload as a **distribution regression problem**
- Problem:

Given

- $w_i = (\mathcal{Q}_i, y_i)$
- A training corpus $\{(\mathcal{Q}_1, y_1), \dots, (\mathcal{Q}_n, y_n)\}$

We wish to learn a function, $\hat{f}(\cdot)$, an approximation of $f(\cdot)$

$\hat{f}(\cdot)$, can compute \hat{y} , an accurate estimate of the actual memory usage y

$$\hat{f}(w) = \hat{y}$$

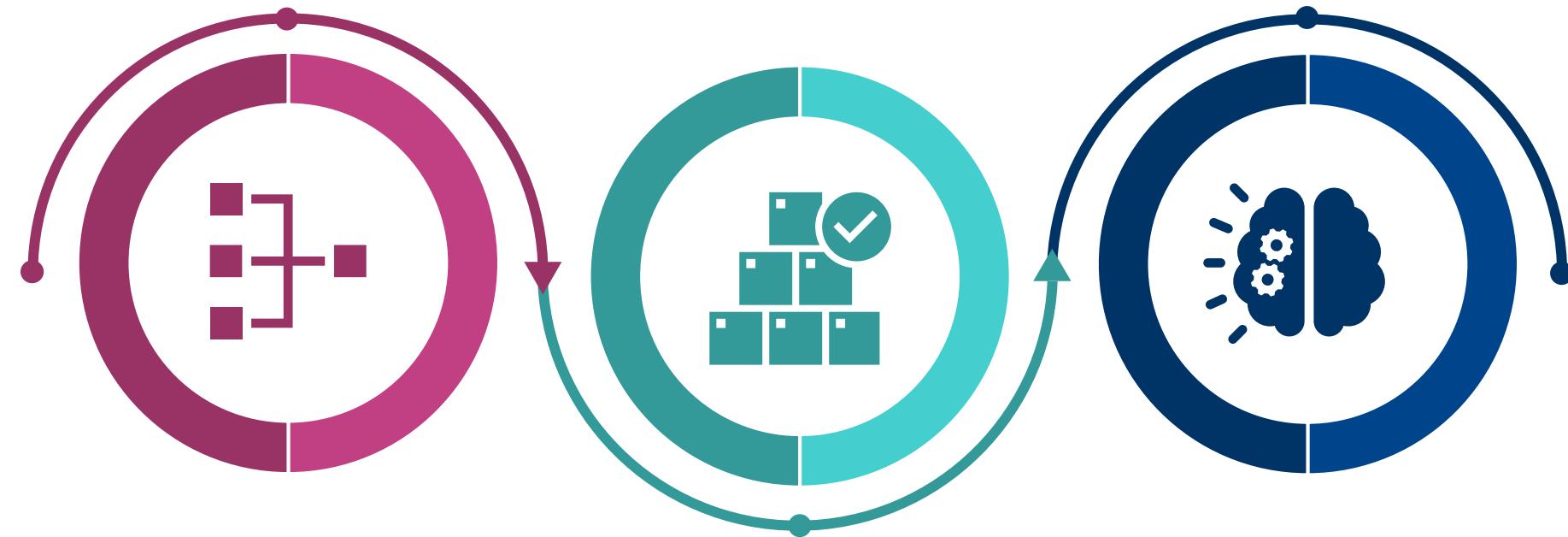
- Assumptions:

- An underlying function, $f(\cdot)$

$$f(w) = y$$

Methodology

Three-Step Approach for Batch Query Memory Prediction



Phase 1

Learning Query
Templates

Phase 2

Constructing
Histograms from
Workloads

Phase 3

Training a Distribution
Regression Machine
Learning Model

Motivation

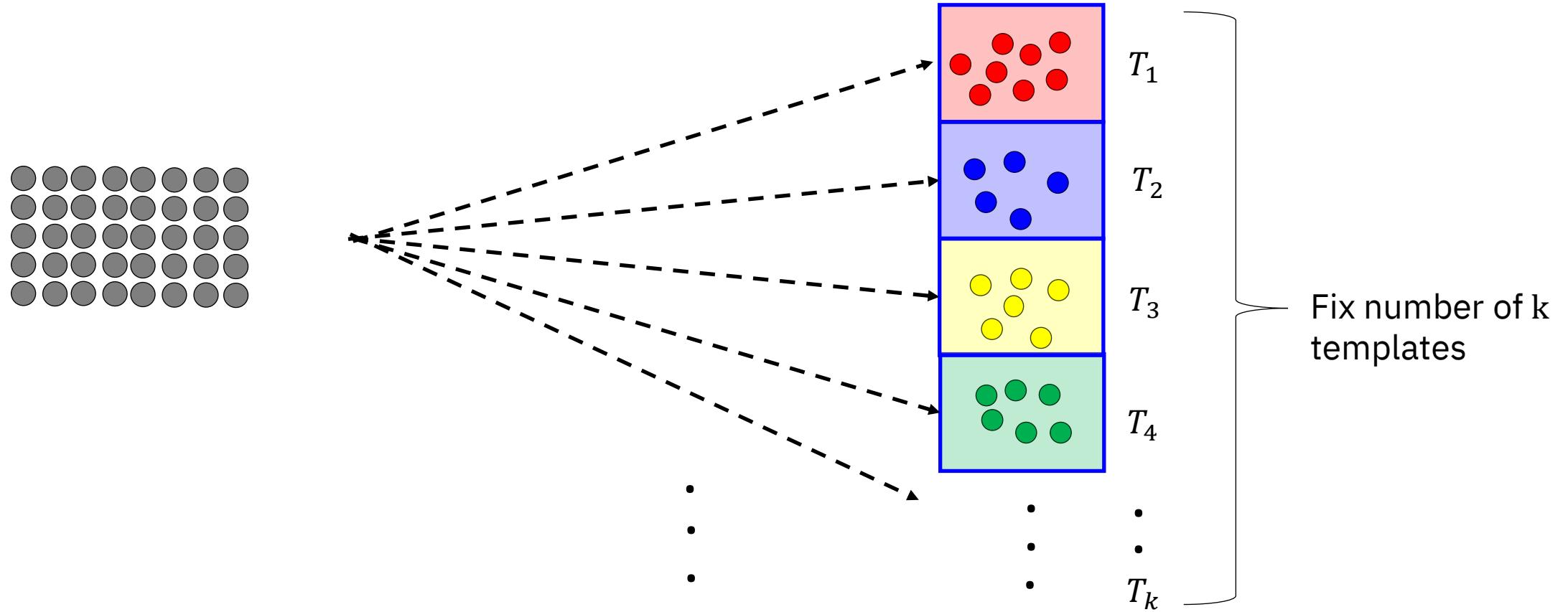
Problem Definition

Methodology

Experimental Evaluation

Contribution & Future Work

Phase 1: Learning Query Templates



Motivation

Problem Definition

Methodology

Experimental Evaluation

Contribution & Future Work

Phase 1: Learning Query Templates

Rule Base		Map the generated queries back to their corresponding templates Group queries based on their estimated cardinality similarity		
Clustering Base	K-Means	Query text feature encoding	Bag of words (BoW)	
			Word embedding encoding	
			Text mining approach	
		Query plan feature encoding	Cardinality cost aggregation for each operator (CCAOE)	
			Query plan encoding while maintaining tree structure (QPEWMTS)	
	K-meadois	Query text feature encoding	Bag of words (BoW)	
			Word embedding encoding	
			Text mining approach	
		Query plan feature encoding	Cardinality cost aggregation for each operator (CCAOE)	
			Query plan encoding while maintaining tree structure (QPEWMTS)	
	DBSCAN	Query text feature encoding	Bag of words (BoW)	
			Word embedding encoding	
			Text mining approach	
		Query plan feature encoding	Cardinality cost aggregation for each operator (CCAOE)	
			Query plan encoding while maintaining tree structure (QPEWMTS)	

Motivation

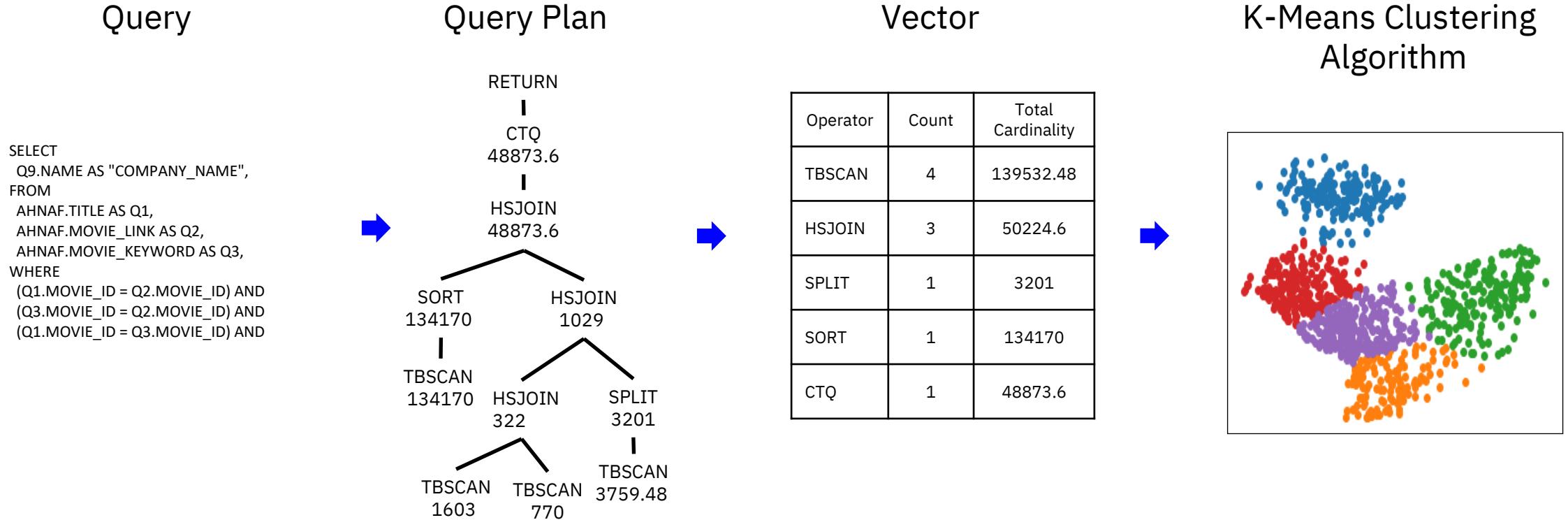
Problem Definition

Methodology

Experimental Evaluation

Contribution & Future Work

Phase 1: Learning Query Templates through CCAEO and K-Means



Motivation

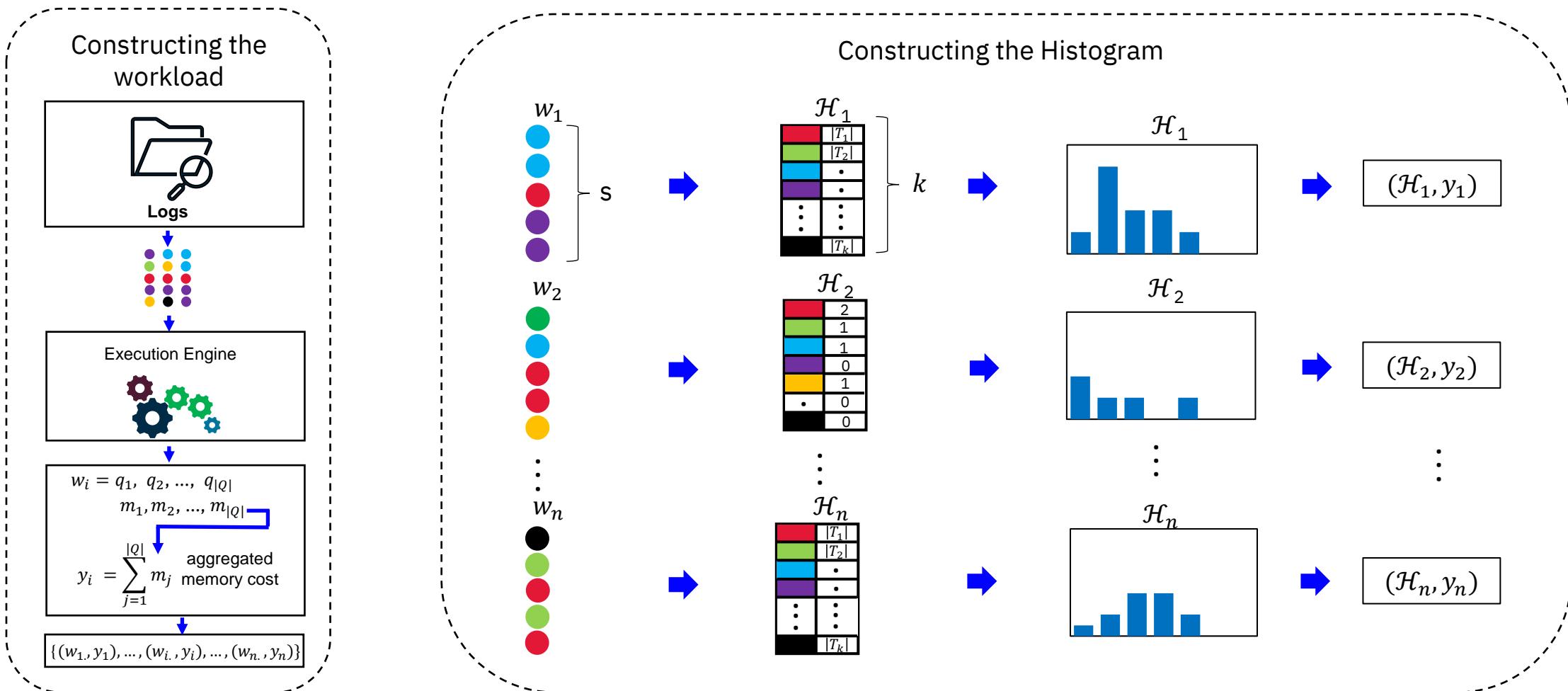
Problem Definition

Methodology

Experimental Evaluation

Contribution & Future Work

Phase 2: Constructing Histograms from Workloads



Motivation

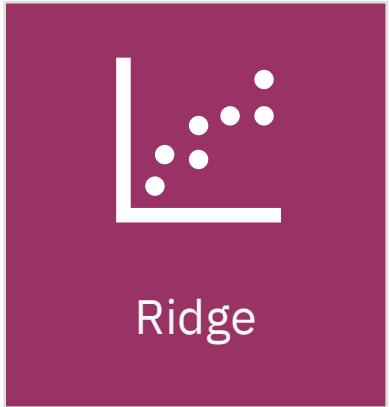
Problem Definition

Methodology

Experimental Evaluation

Contribution & Future Work

Phase 3: Training the Model



Ridge



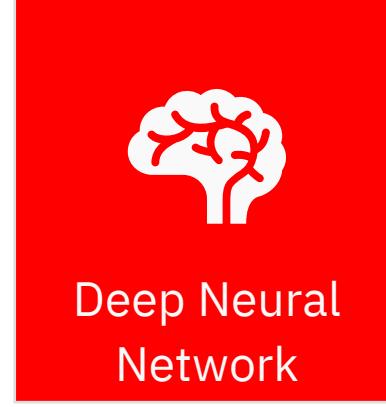
Decision Tree



Random Forest



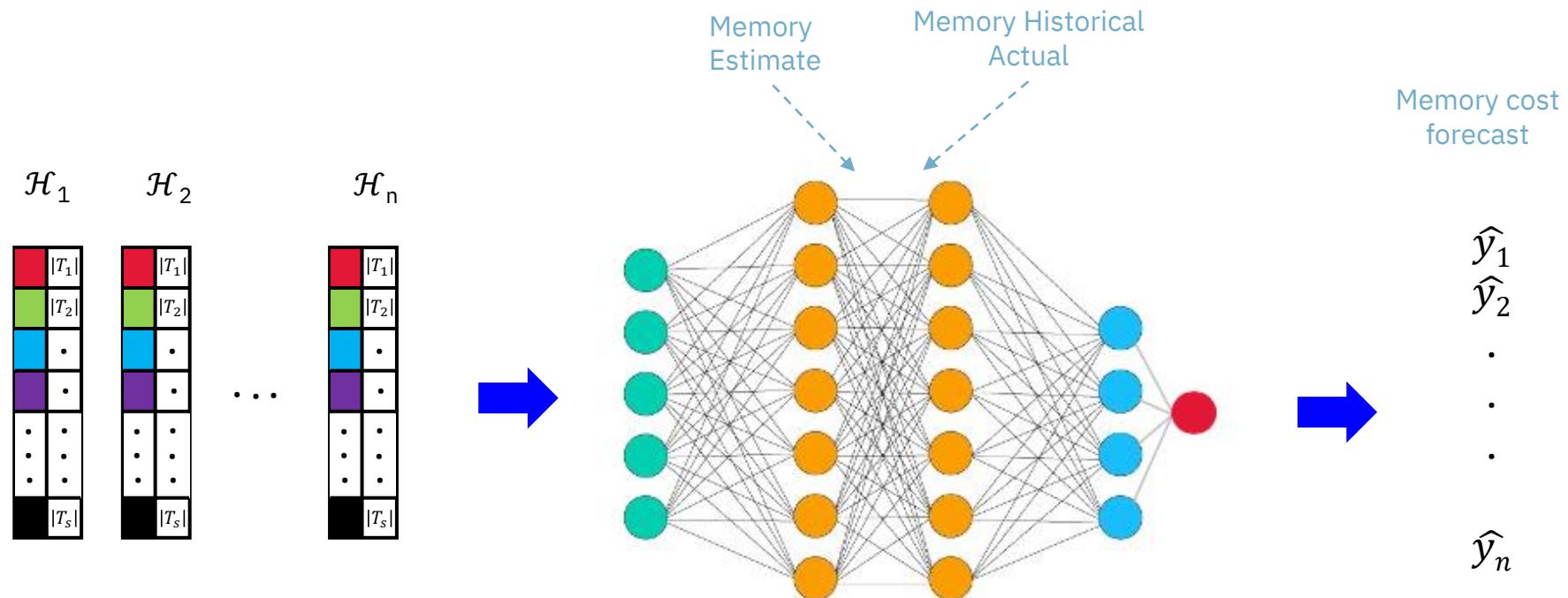
XGBoost



Deep Neural
Network



Phase 3: Training a Distribution Regression Deep Learning Model



Motivation

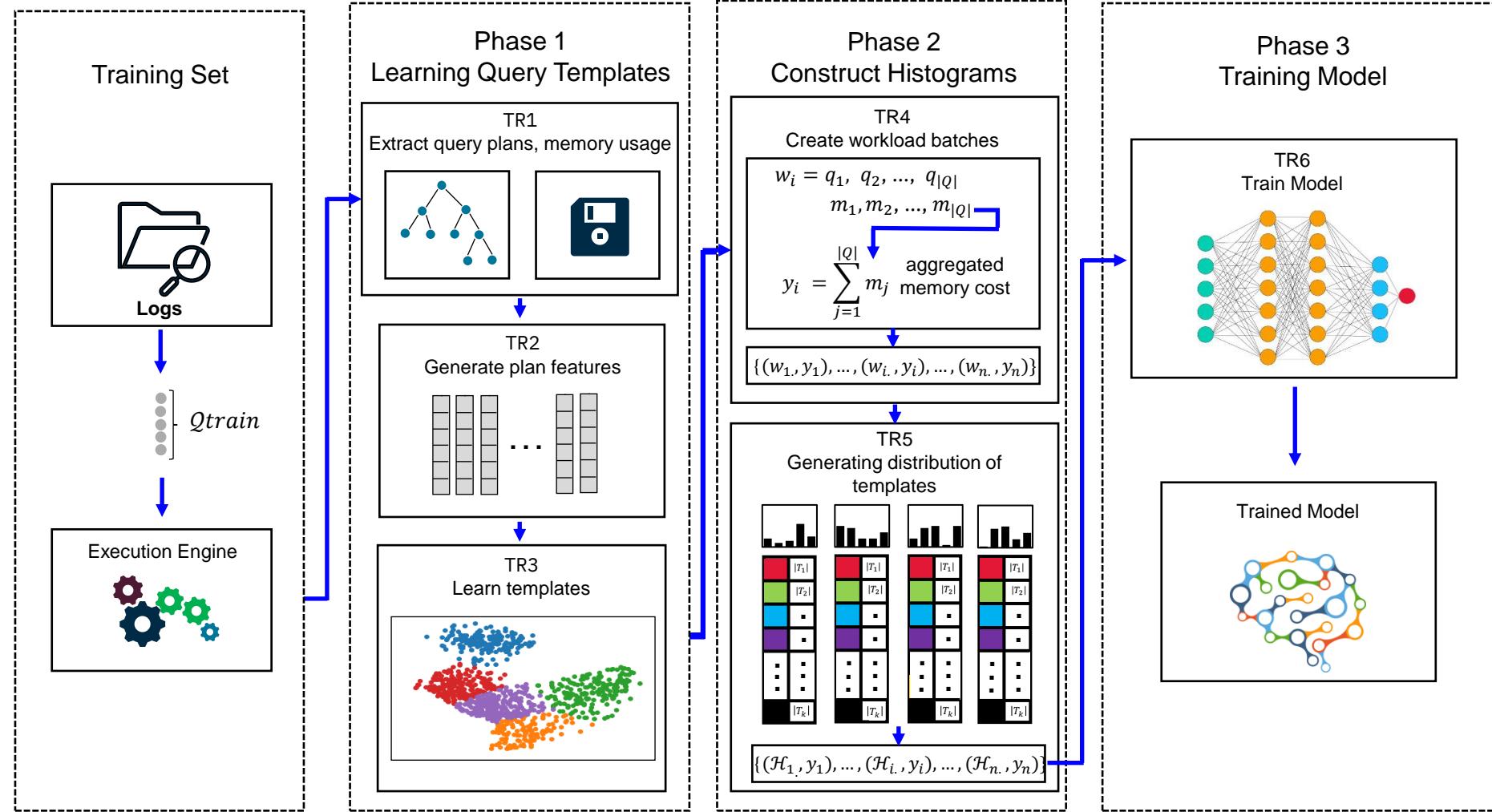
Problem Definition

Methodology

Experimental Evaluation

Contribution & Future Work

Training Pipeline Overview



Motivation

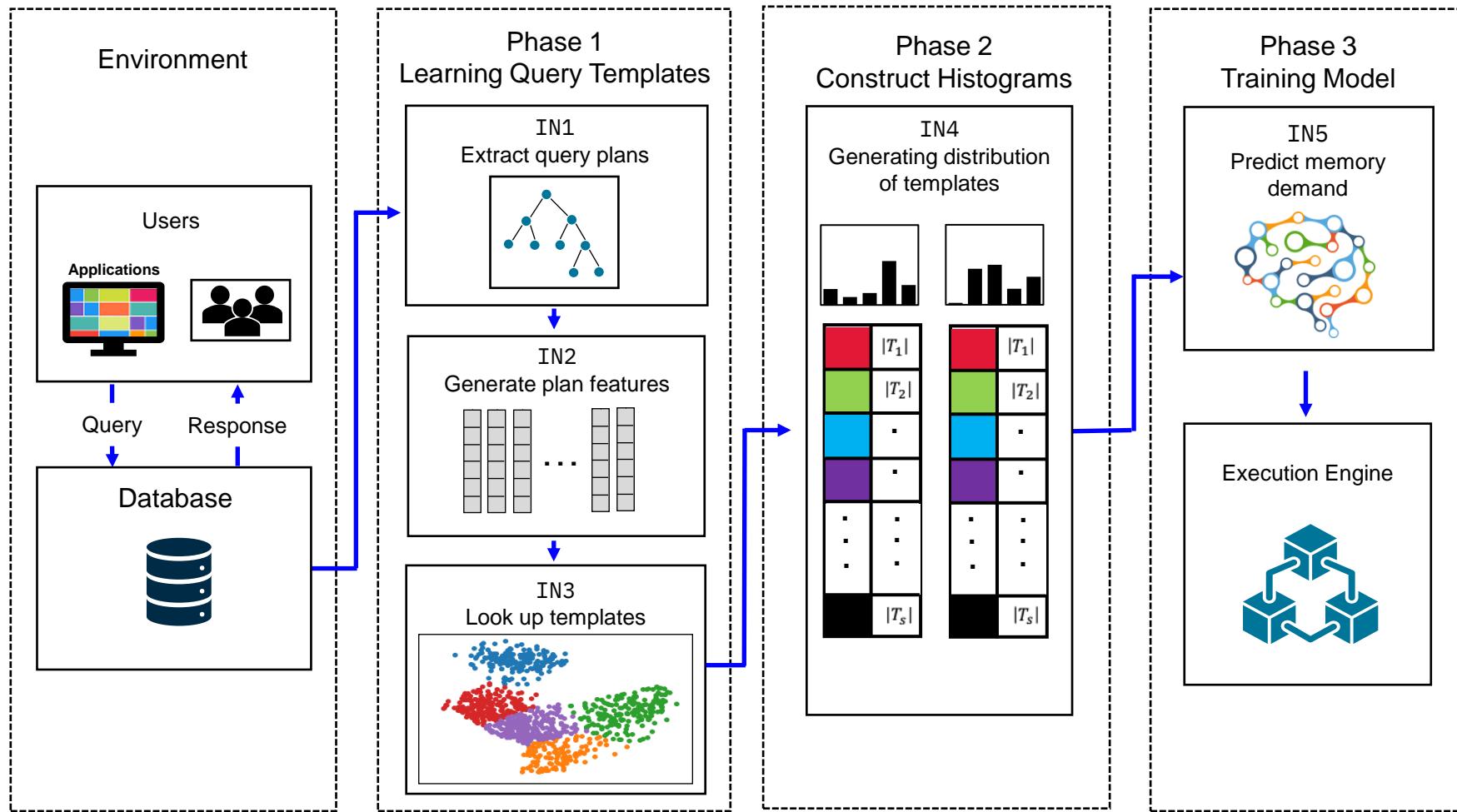
Problem Definition

Methodology

Experimental Evaluation

Contribution & Future Work

Inferencing Pipeline Overview



Motivation

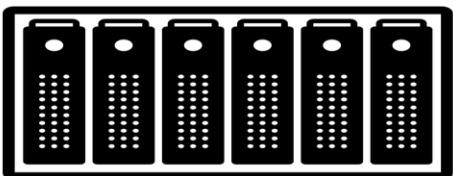
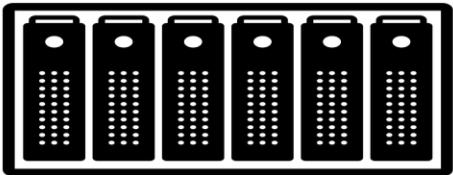
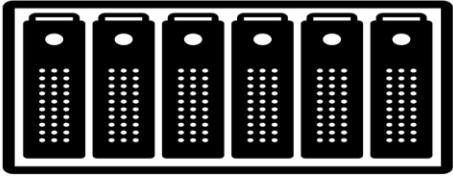
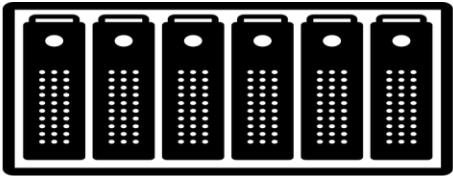
Problem Definition

Methodology

Experimental Evaluation

Contribution & Future Work

Experimental Evaluation



Enviroment

DB2 instance

Linux system

8 CPU cores

32 GB of memory

500 GB of disk space



Datasets

TPCDS

- 93,000 queries
- OLAP – Transactional Workload

TPCC

- 3958 queries
- OLTP – Analytical Workload

JOB

- 2300 queries
- Join benchmark
- OLAP Transactional Workload

Motivation

Problem Definition

Methodology

Experimental Evaluation

Contribution & Future Work

Experimental Evaluation Goals

Q1) Templates

- Learning query **templates performance**

Q2) LearnedWMP

- LearnedWMP **accuracy performance**
- LearnedWMP **training** and **inference runtime cost**
- LearnedWMP **model size**

Q3) Parameter

- Effect of the batch size parameter **s**

Motivation

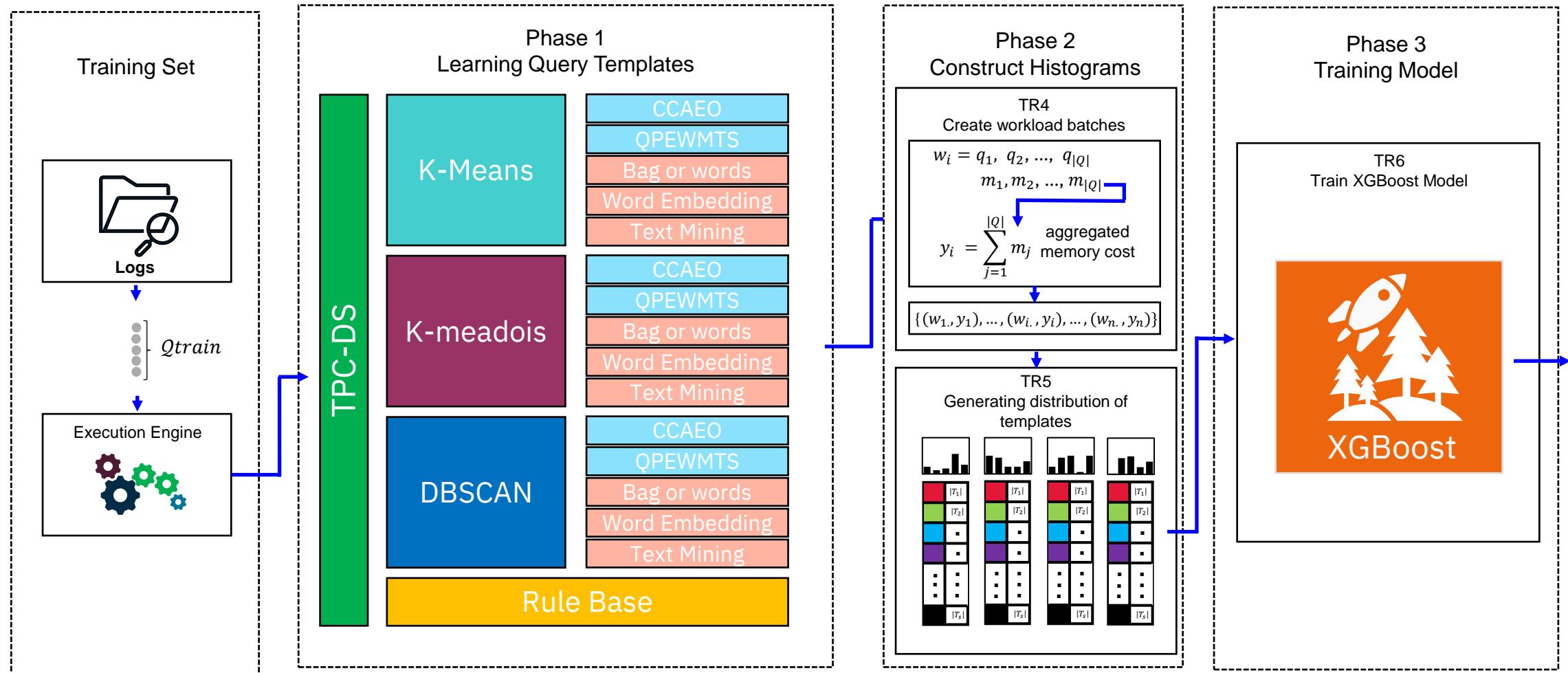
Problem Definition

Methodology

Experimental Evaluation

Contribution & Future Work

Q1 - Learning Query Templates Experimental Setup



Motivation

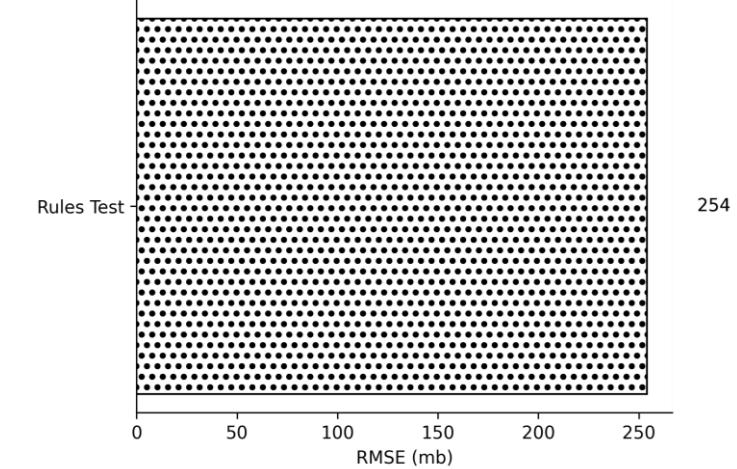
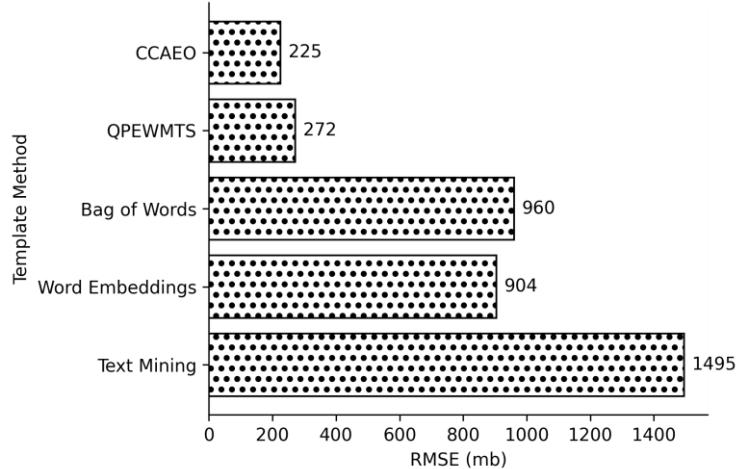
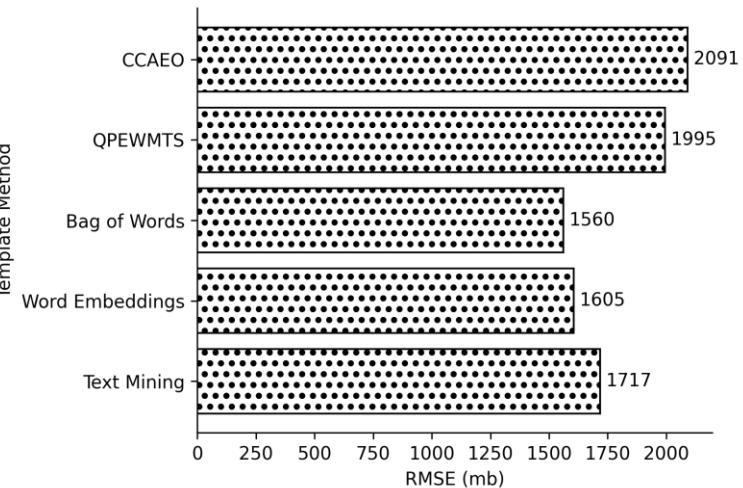
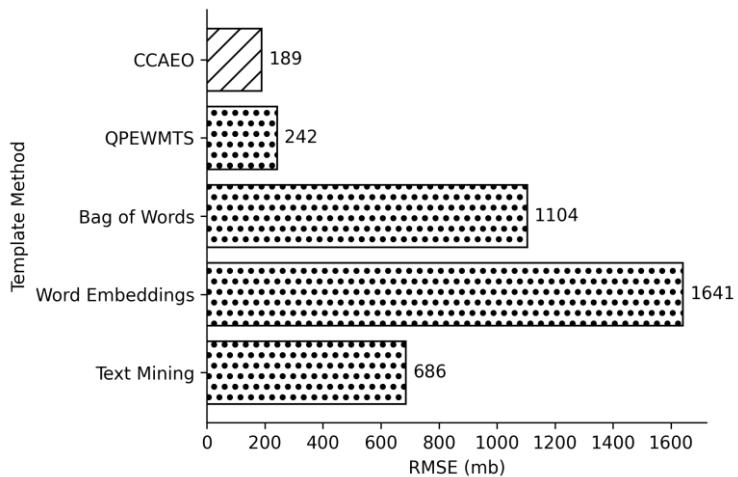
Problem Definition

Methodology

Experimental Evaluation

Contribution & Future Work

Q1 - Learning Query Templates Performance on TPC-DS Dataset



Motivation

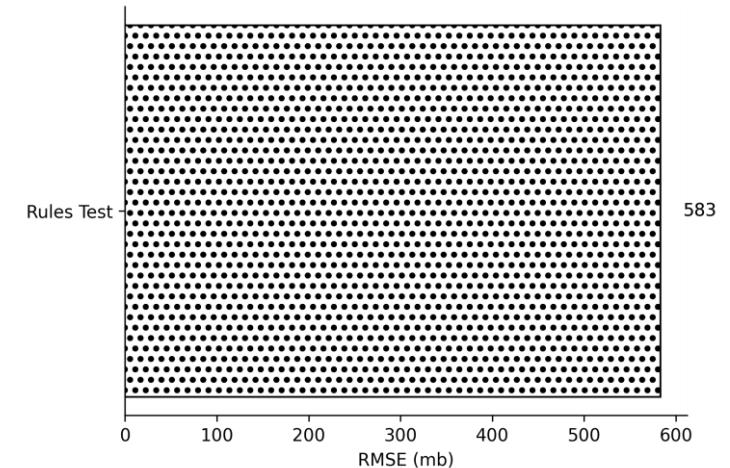
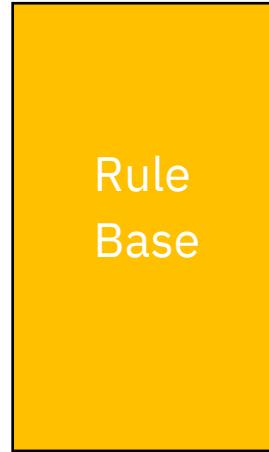
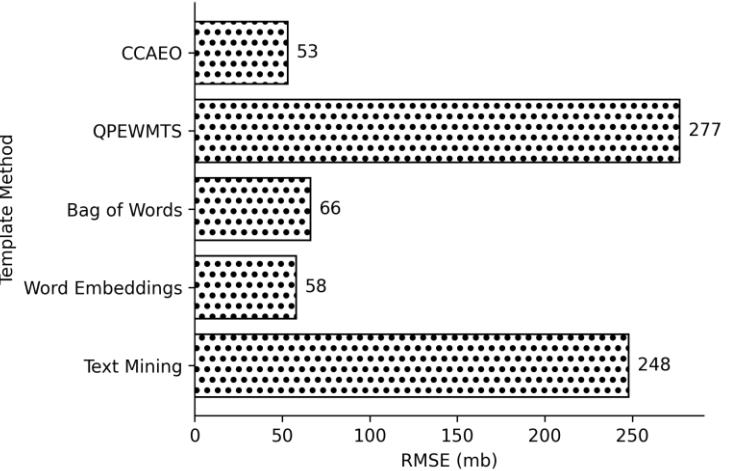
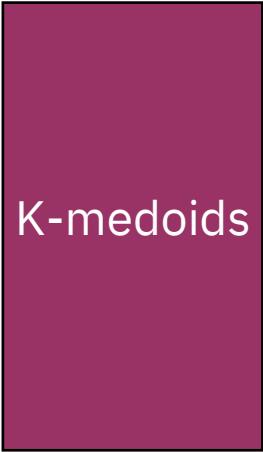
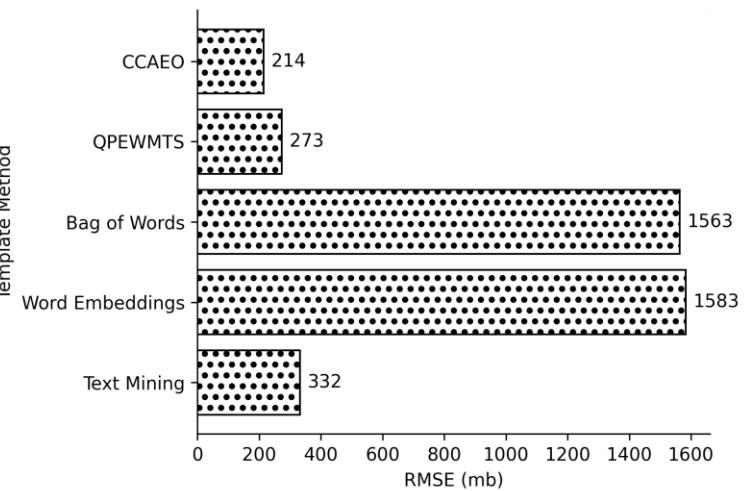
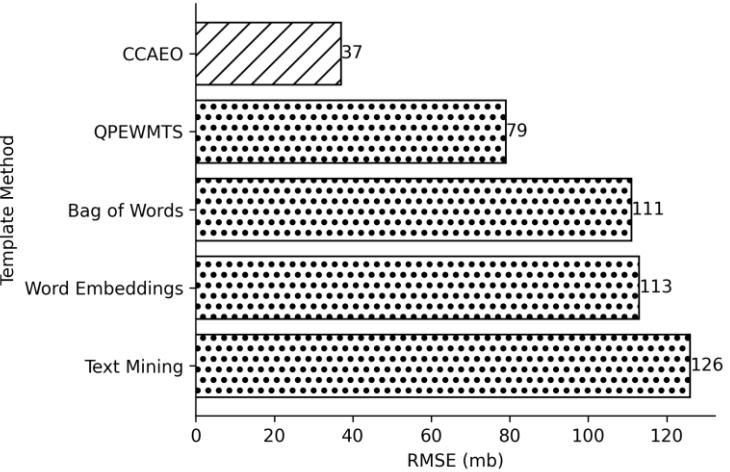
Problem Definition

Methodology

Experimental Evaluation

Contribution & Future Work

Q1 - Learning Query Templates Performance on JOB Dataset



Motivation

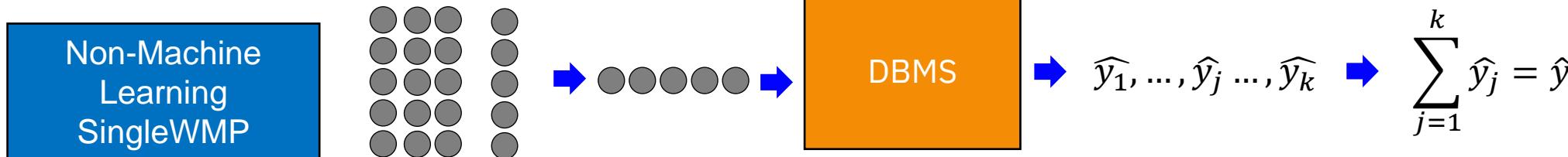
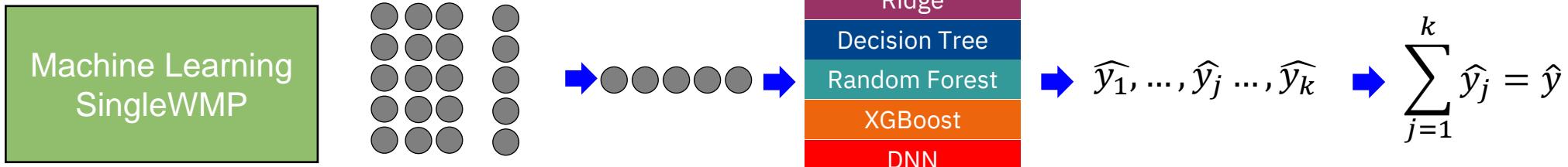
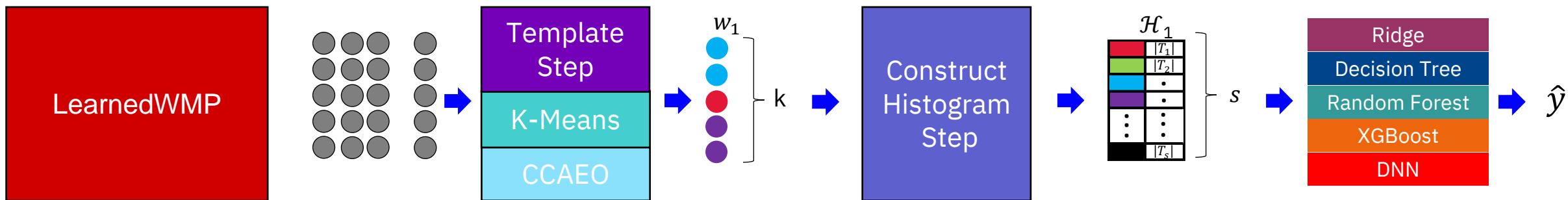
Problem Definition

Methodology

Experimental Evaluation

Contribution & Future Work

Q2 - LearnedWMP Experimental Setup



Motivation

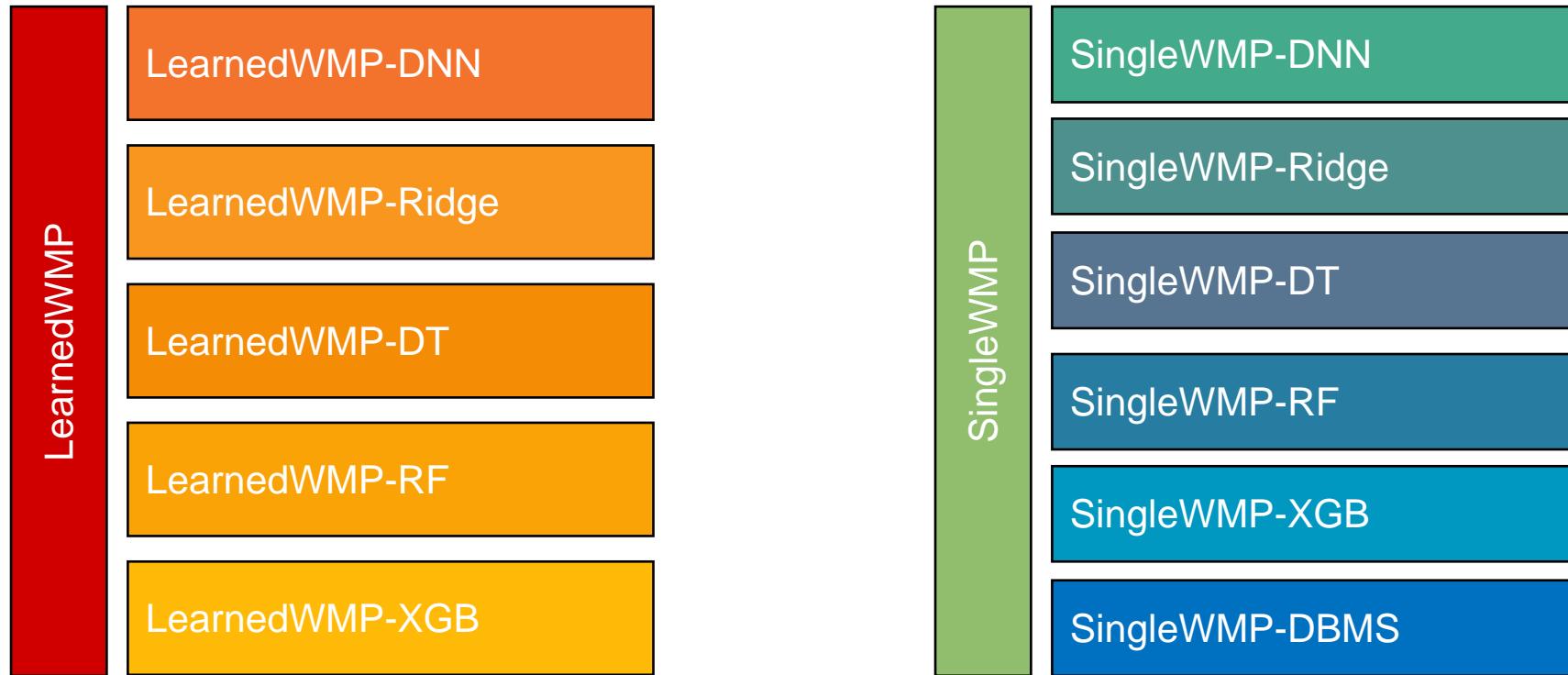
Problem Definition

Methodology

Experimental Evaluation

Contribution & Future Work

Q2 - LearnedWMP Experimental Setup



Motivation

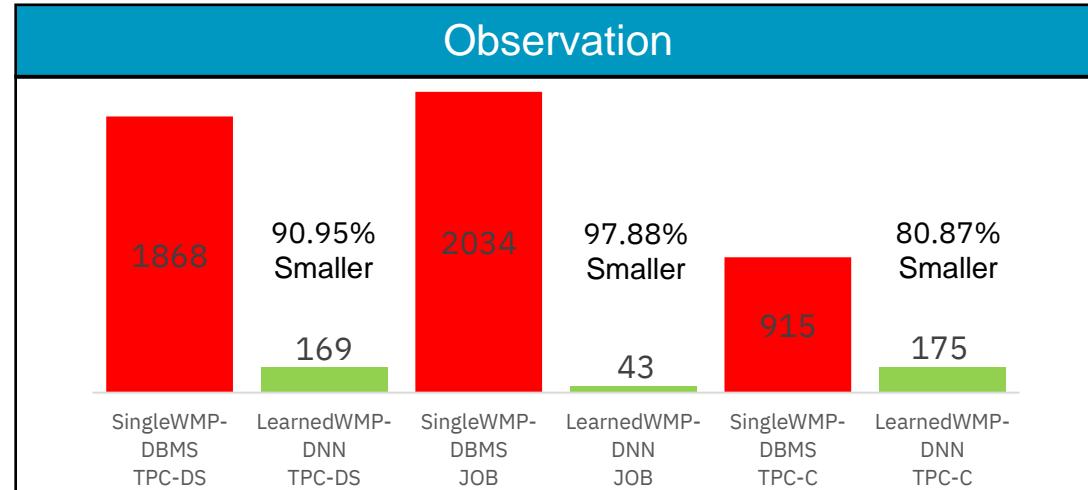
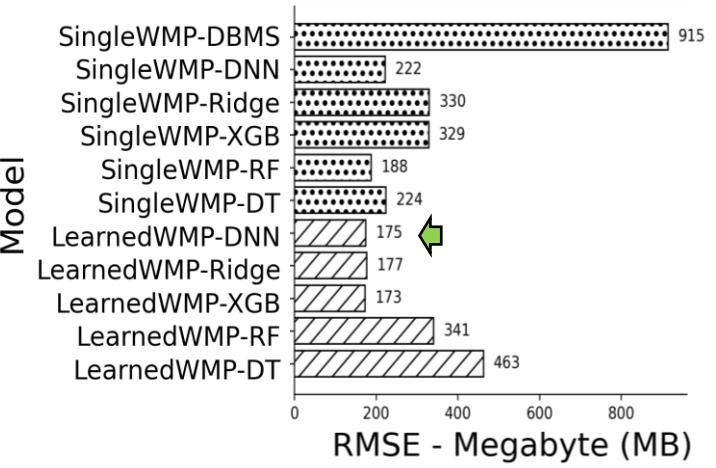
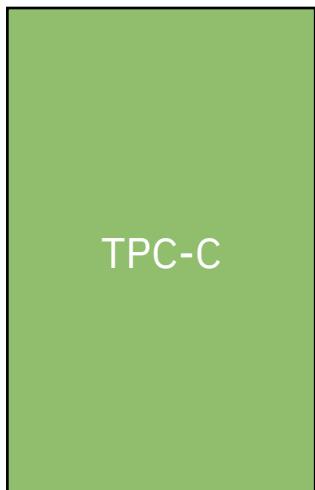
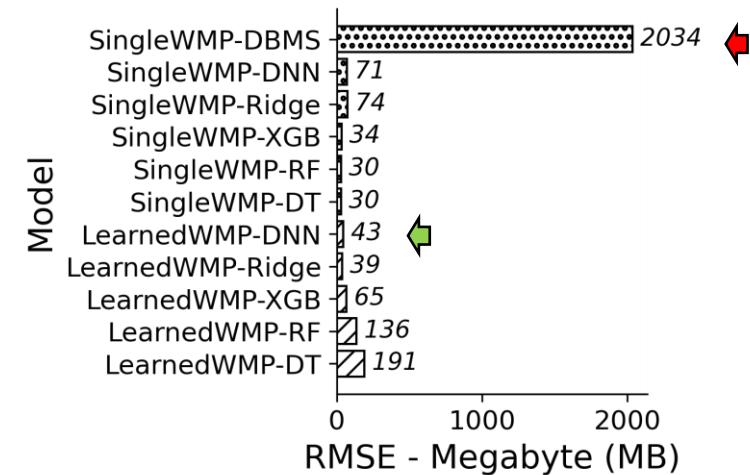
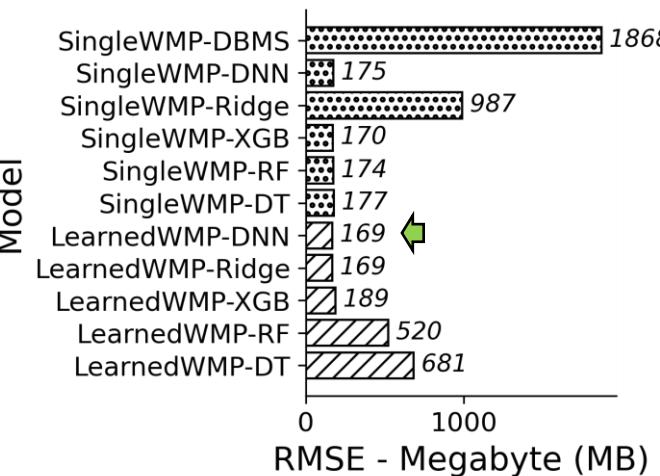
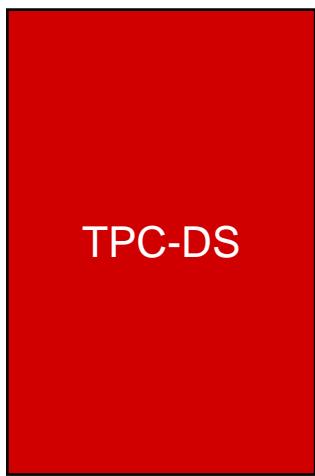
Problem Definition

Methodology

Experimental Evaluation

Contribution & Future Work

Q2 - LearnedWMP Accuracy Performance



Motivation

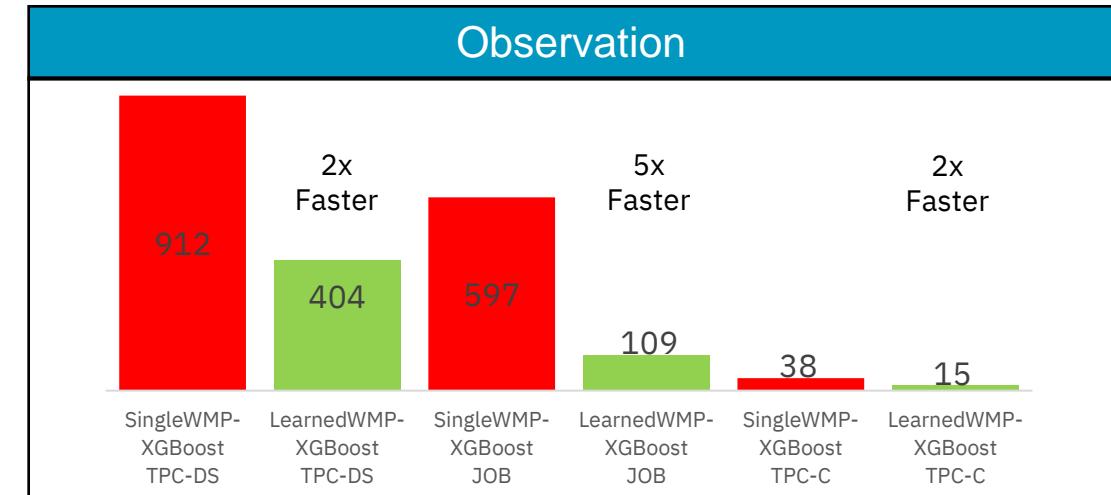
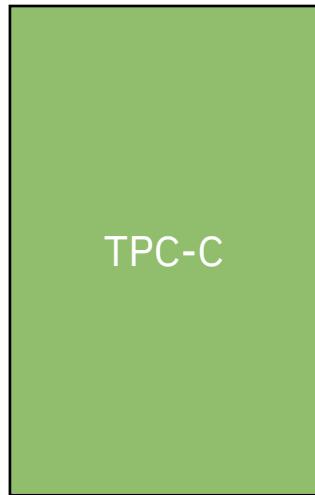
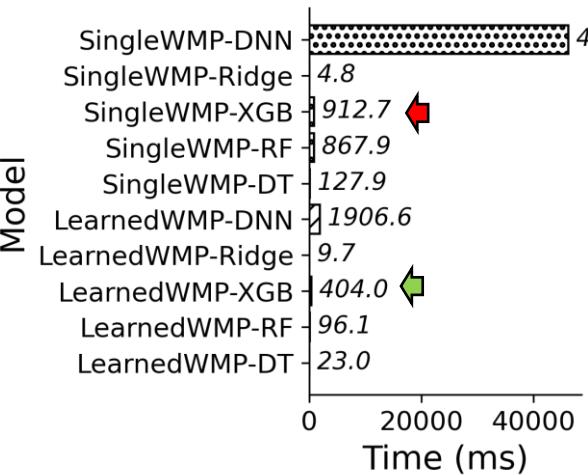
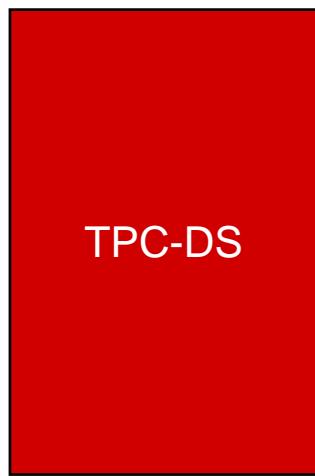
Problem Definition

Methodology

Experimental Evaluation

Contribution & Future Work

Q2 - LearnedWMP Training Runtime Performance



Motivation

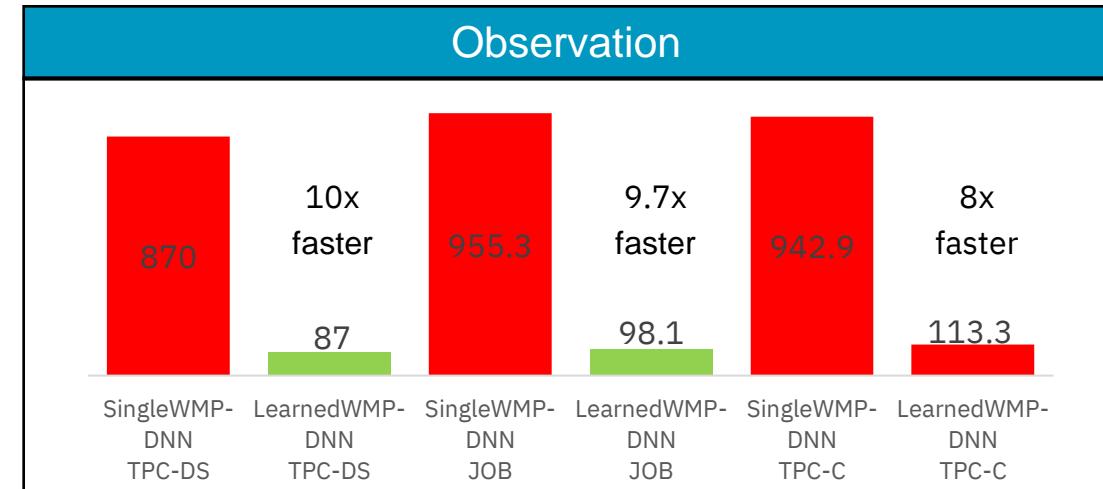
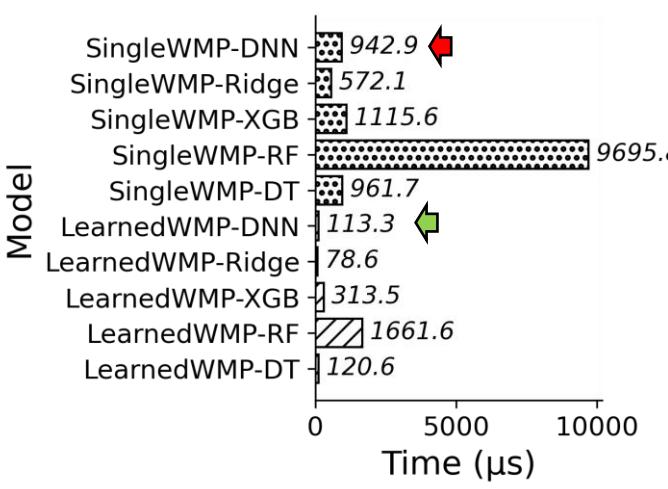
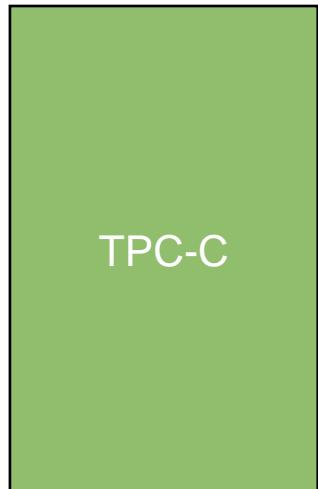
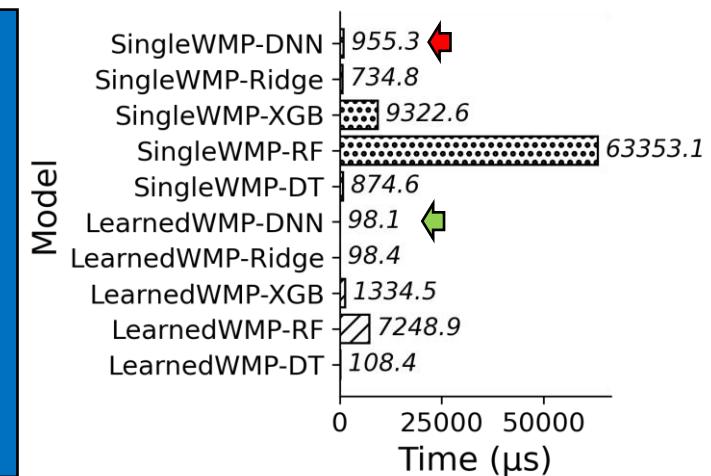
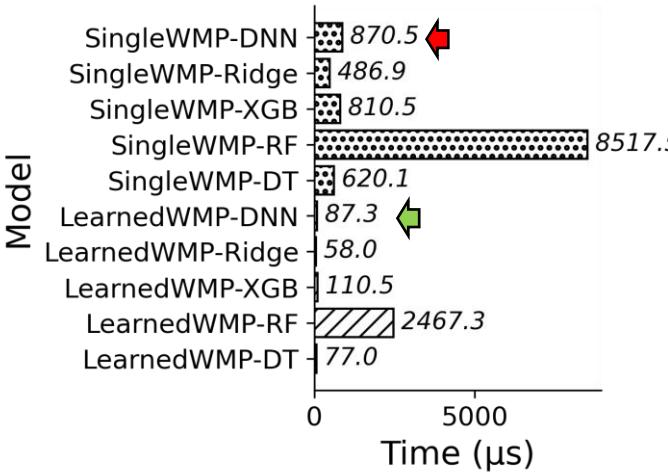
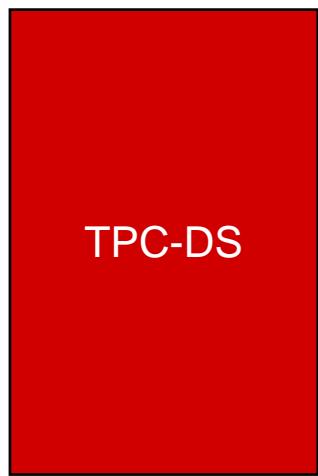
Problem Definition

Methodology

Experimental Evaluation

Contribution & Future Work

Q2 - LearnedWMP Inference Runtime Performance



Motivation

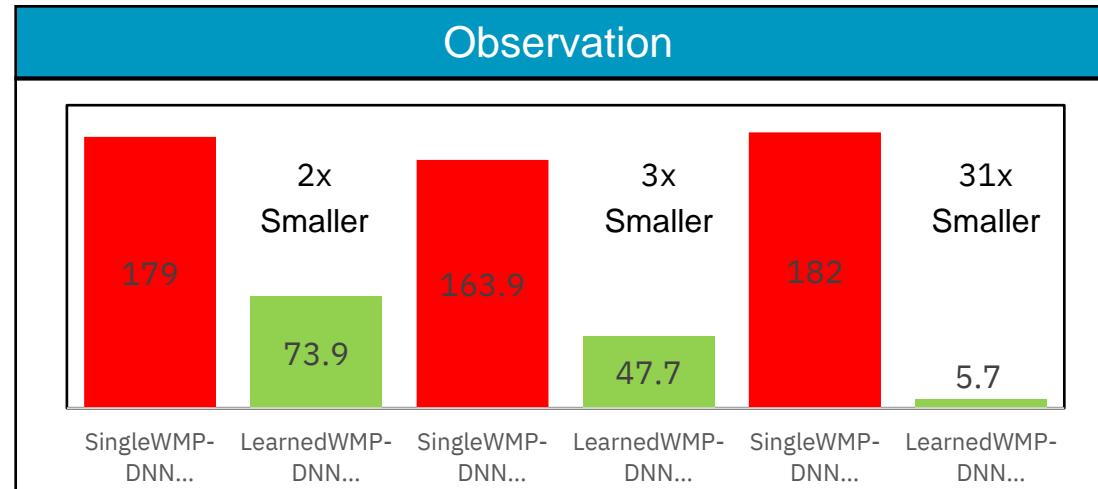
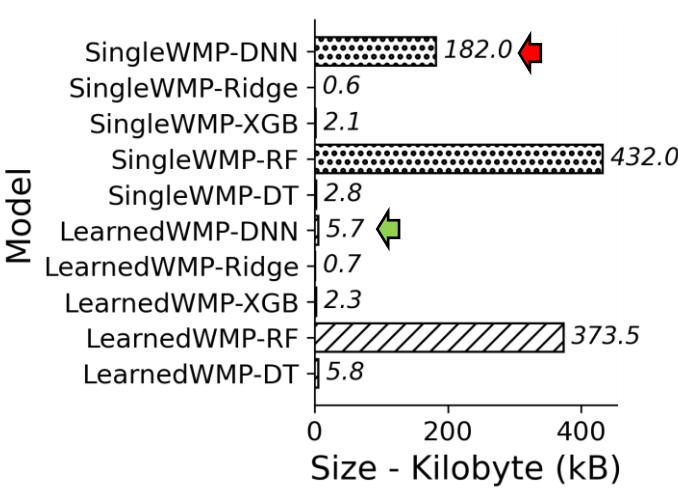
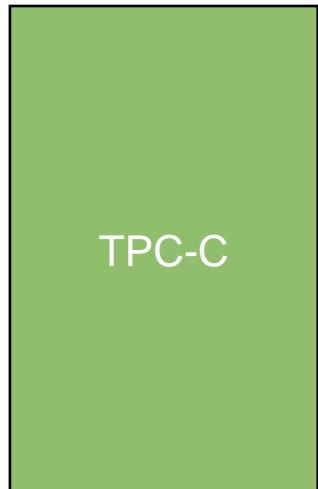
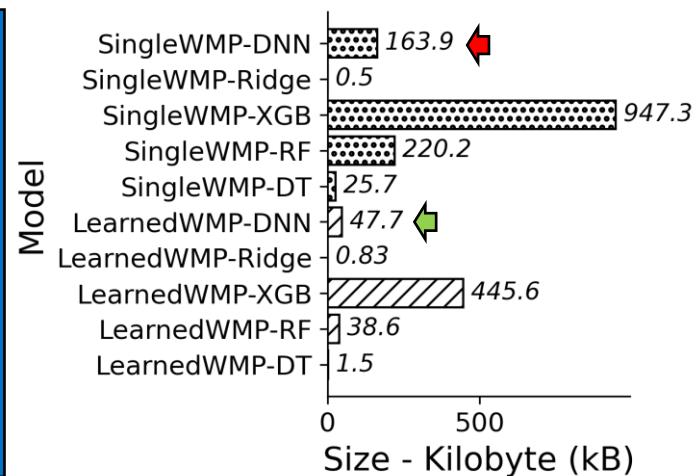
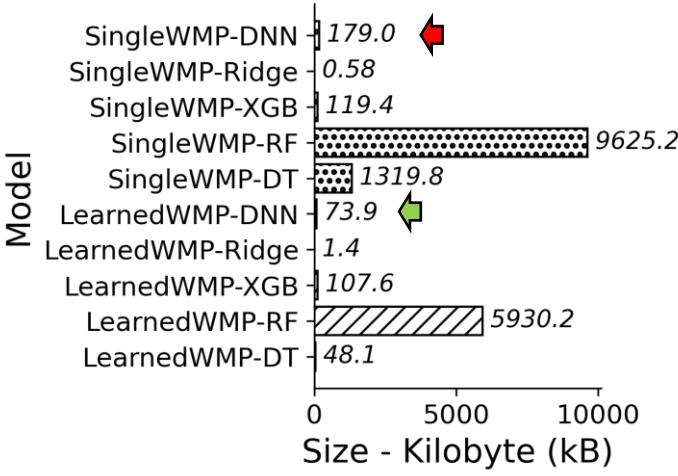
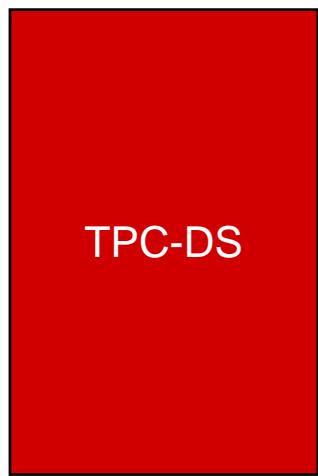
Problem Definition

Methodology

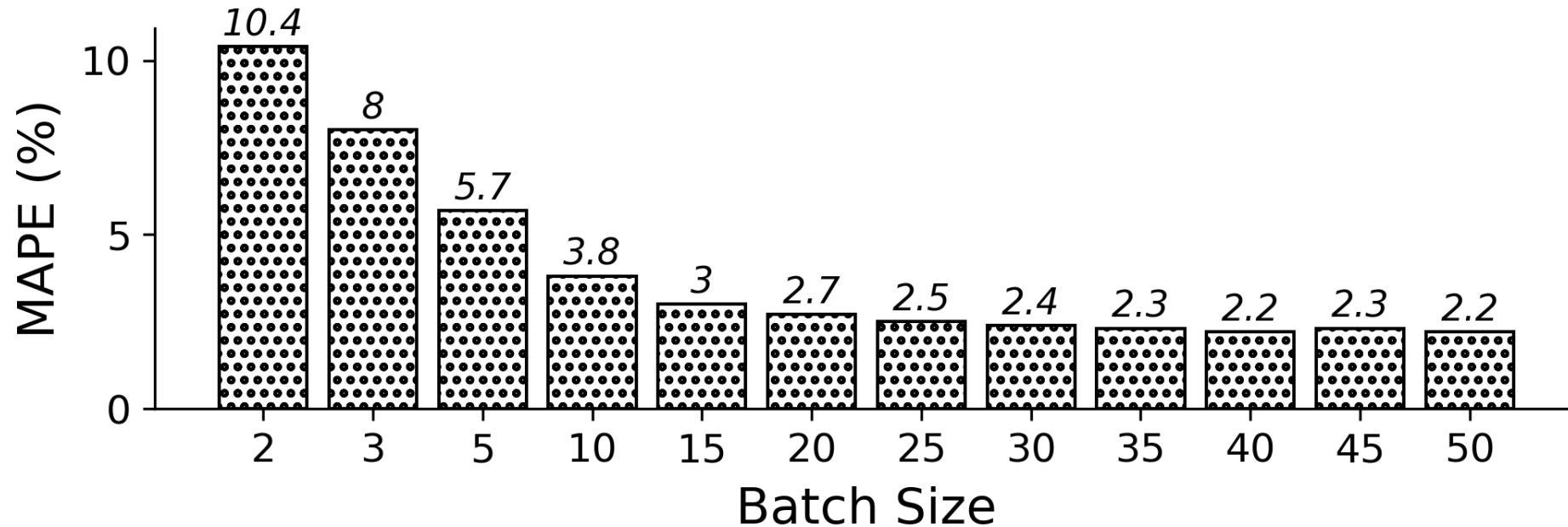
Experimental Evaluation

Contribution & Future Work

Q2 - LearnedWMP Model Size



Q3 - LearnedWMP Batch Size Parameters



Motivation

Problem Definition

Methodology

Experimental Evaluation

Contribution & Future Work

Contribution and Future Work

Contributions

- Introduce a novel problem of **workload memory prediction**
- Workload memory prediction as a **distribution regression problem**
- Propose **LearnedWMP**, a novel prediction **model** that can estimate the **memory** demand of a **batch of SQL queries**
 - Better Performance
 - Faster training and inference time
 - Smaller model size
- Our model reduced the memory estimation errors of **DBMS** by at least **47.16%**

Motivation

Problem Definition

Methodology

Experimental Evaluation

Contribution & Future Work

Future Work - Datasets

- Current Datasets
 - TPC-DS
 - TPC-C
 - JOB
- Future Datasets
 - Production DB and dataset



Motivation

Problem Definition

Methodology

Experimental Evaluation

Contribution & Future Work

Future Work – Resource Prediction

➤ Current Predicted Resource

- Memory

➤ Future Resources to predict

- Disk I/O
- Throughput
- CPU

Motivation

Problem Definition

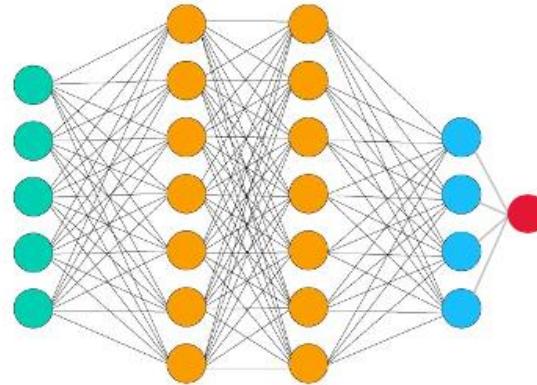
Methodology

Experimental Evaluation

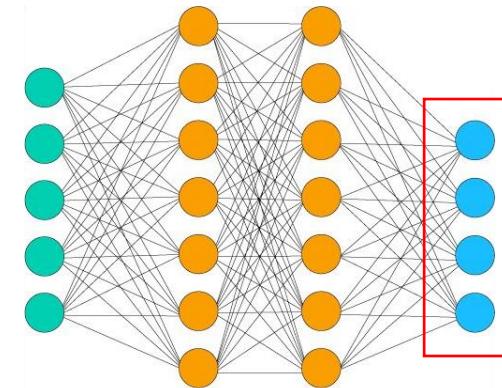
Contribution & Future Work

Future Work – Multiple Resource Prediction

Single Resource
Prediction



Multiple Resource
Prediction



Motivation

Problem Definition

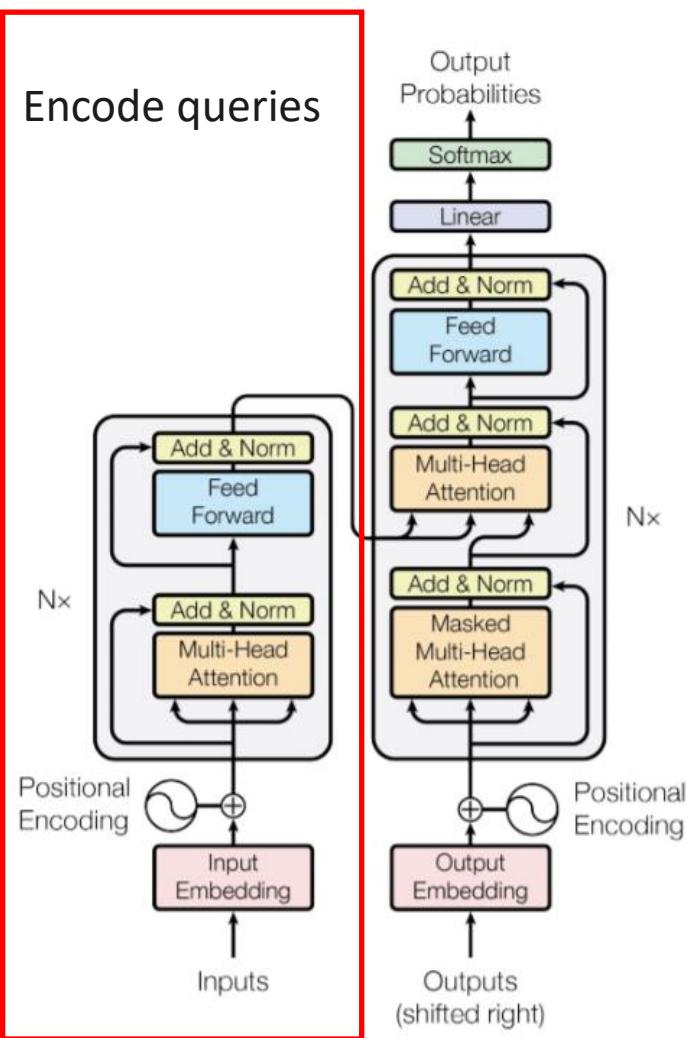
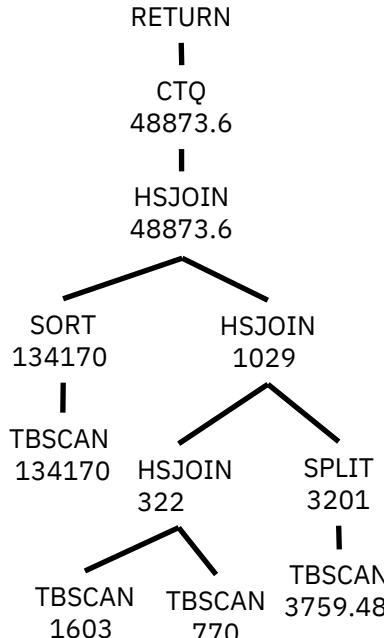
Methodology

Experimental Evaluation

Contribution & Future Work

Future Work – Learn Templates

Keep the structure
of the query plan



Motivation

Problem Definition

Methodology

Experimental Evaluation

Contribution & Future Work

Thank you
Nicolas Andres Jaramillo Duran

Data Driven Industries



Motivation

Problem Definition

Methodology

Experimental Evaluation

Contribution & Future Work

Problem Definition

► Definitions:

$Query q = (e, p, m)$	e is the query expression, p is the query plan, and m is the memory usage.
$w = (\mathcal{Q}, y)$	\mathcal{Q} is a set of queries where $q_i \in \mathcal{Q}$ is a tuple (e_i, p_i, m_i) , and y is the actual memory utilization of all queries in \mathcal{Q} such that $y = \sum_{i=1}^{\mathcal{Q}} m_i$
n	number of workloads in the training corpus of the form $\{(w_1, y_1), \dots, (w_n, y_n)\}$
$\mathcal{T} = \{t_1, \dots, t_k\}$	query template $t_i \in \mathcal{T}$ represents a class of queries with similar memory requirements
$\mathcal{H} = [c_1, \dots, c_k]$	let $w = (\mathcal{Q}, y)$ be a workload, c_i is the number of queries in \mathcal{Q} that can be mapped to query template $t_i \in \mathcal{T}$. the counts of queries in \mathcal{Q} that map to different query templates in \mathcal{T} are recorded in a $1 - d$ vector of length $k = \mathcal{T} $ such that $ \mathcal{Q} = \sum_{i=1}^k c_i$

► Assumptions:

- The distribution of queries among the query templates (i.e., the workload histogram bins) is uniform.
- The query templates are independently and identically distributed
- An underlying function, $f(\cdot)$, exists that can accurately compute any workload's memory usage, y , from the workload histogram, \mathcal{H} .

$$f(\mathcal{H}) = y$$

We don't know $f(\cdot)$ nor have access to the set of all possible workload examples to derive $f(\cdot)$.

Problem Definition

➤ Problem:

- We formulate estimating memory usage of an unseen workload as a distribution regression problem, where the estimate is computed from an input probability distribution - the distribution of queries \mathcal{Q} among templates \mathcal{T} .
- For w_i , \mathcal{H}_i is the workload histogram and y_i is the collective historical memory utilization of all queries in the workload.
- Let us assume we have a training corpus $\{(\mathcal{H}_1, y_1), \dots, (\mathcal{H}_n, y_n)\}$ of n workload histograms, one for each workload.
- Using distribution regression, we wish to learn a function, $\hat{f}(\cdot)$, an approximation of $f(\cdot)$.
- $\hat{f}(\cdot)$, can compute \hat{y} , an accurate estimate of the actual memory usage y

$$f(\hat{\mathcal{H}}) = \hat{y}$$

Motivation

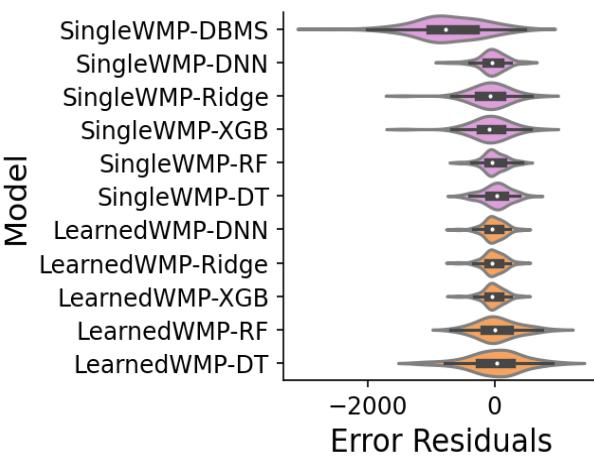
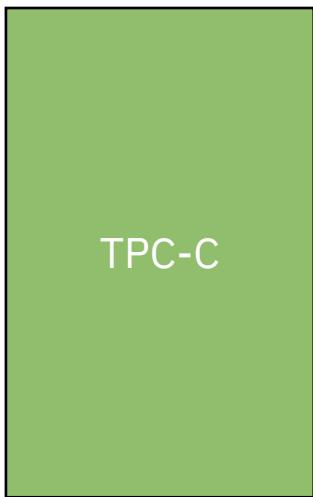
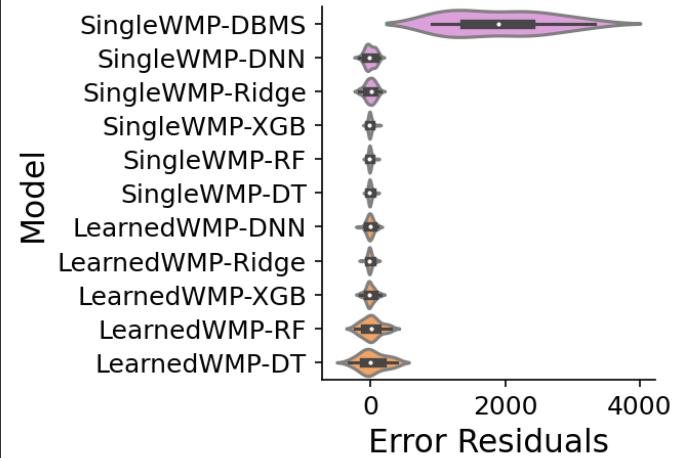
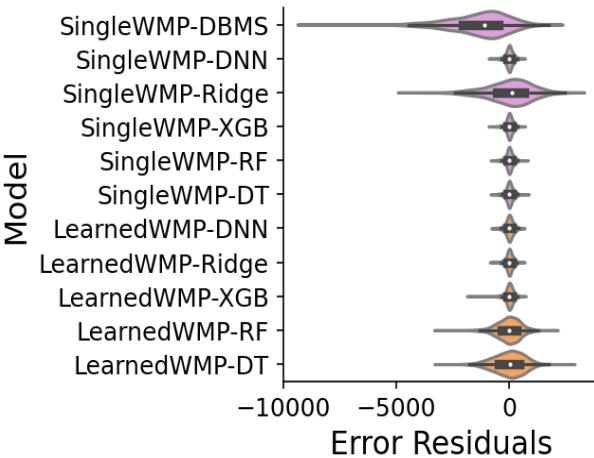
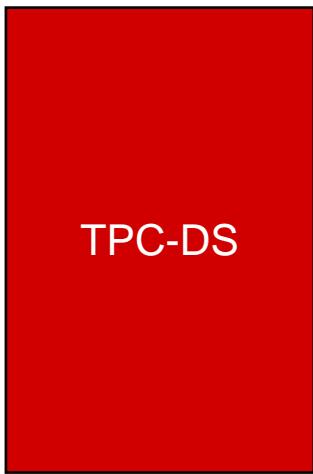
Problem Definition

Methodology

Experimental Evaluation

Contribution & Future Work

LearnedWMP Accuracy Performance



Motivation

Problem Definition

Methodology

Experimental Evaluation

Contribution & Future Work

Phase 1: Learning Query Templates

› Learning rule-based query templates

- Map the generated queries back to their corresponding templates.
- Group queries based on their estimated cardinality similarity.

› Learning clustering-based templates

› Query text feature encoding

- Bag of words (BoW)
- Word embedding encoding
- Text mining approach

› Query plan base feature encoding

- Cardinality cost aggregation for each operator (CCAEQ)
- Query plan encoding while maintaining tree structure (QPEWMTS)

Motivation

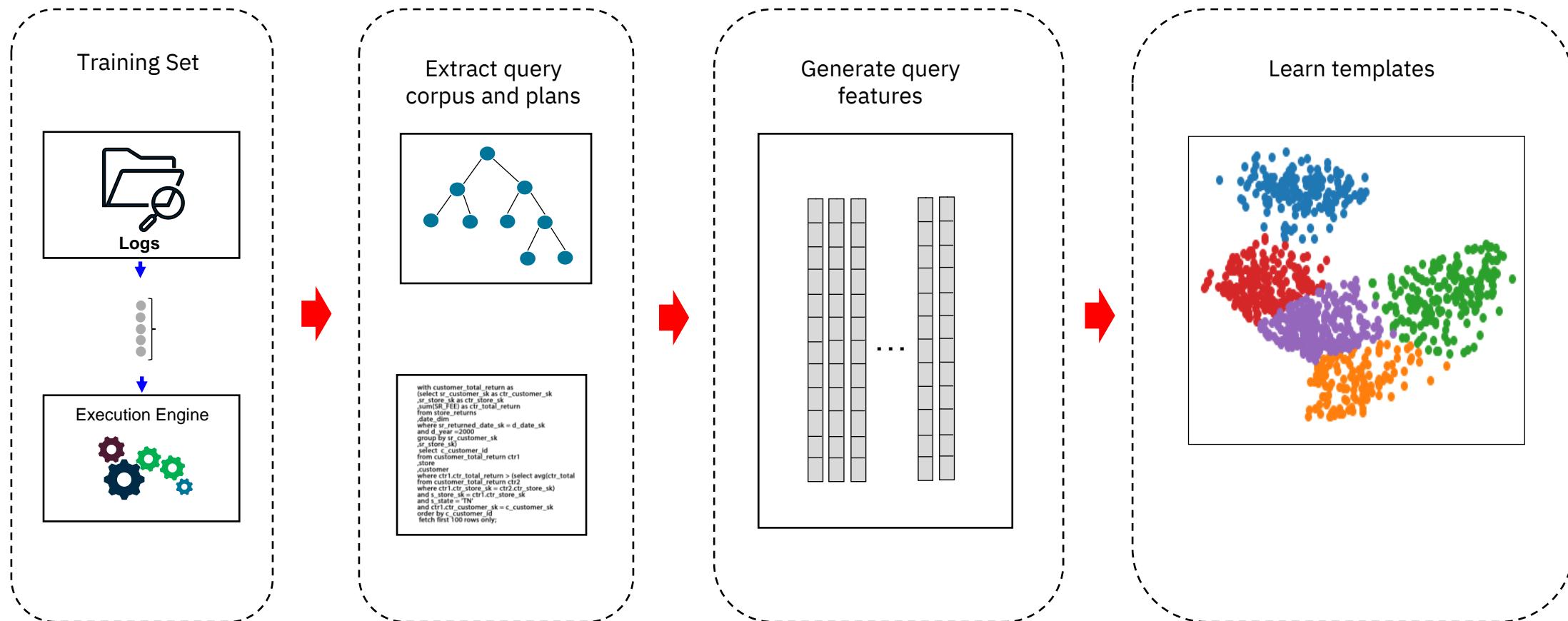
Problem Definition

Methodology

Experimental Evaluation

Contribution & Future Work

Phase 1: Learning Query Templates



Motivation

Problem Definition

Methodology

Experimental Evaluation

Contribution & Future Work