# LE/EECS 4101 GS/EECS 5101 Advanced Data Structures 

Midterm Sample

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Write your name and student id here: $\square$
"Your life is your life. Don't let it be clubbed into dank submission. Be on the watch. There are ways out. There is light somewhere ..." Charles Bukowski

## - Do not open this booklet until instructed.

- You are NOT allowed to use any printed/written material.
- Please turn off your cell phones and put them in your bags.
- Manage your time. We start the exam at 5:30 and end at 7:00. You have $\mathbf{9 0}$ minutes. Don't waste too much time on a single question. Note that the first questions are likely to be the hardest ones.
- The exam is printed double-sided. There are $\mathbf{1 2}$ pages, including this cover page and two blank pages (use them if you need more space). It is OK to take the staples off. You must submit ALL pages.
- The marks will be scaled so that the highest mark gets the full mark.


## 1. True/False and Very-Short-Answer Questions (14 marks)

Provide your short answers in the provided boxes. There is no need to justify your answers.
(a) True or False: Amortized cost of search/insert/delete operations in an Splay tree with $n$ keys is $O(\log n)$.
(b) Suppose an algorithm A has a competitive ratio of at most 2. Assume the cost of OPT for an input sequence $I$ is 5 . True or False: the cost of A for $I$ is at least 10 . $\square$
(c) True or False: A paid exchange by OPT does not necessarily change the potential in the analysis of MTF.
(d) Write down the output to Select(8) in the following augmented AVL tree (assume indices in the sorted array start at 0). $\square$

(e) Suppose $T$ is a red-black tree, and the root's left pointers points to tree $T_{A}$ and the root's right pointer points to tree $T_{B}$. True or False: if we switch the left and right pointers, so that root.left points to $T_{B}$ and root.right points to $T_{A}$, the resulting tree is still a red-black tree.
(f) Let $T$ be a 2-3 tree with $n$ keys, where $n \geq 2^{100}$. True or False: the left child of the root can be a leaf with one key. $\square$
(g) True or False: It is proved that a splay tree achieves a constant competitive ratio, assuming OPT can dynamically adjusts its structure. $\square$

## 2. Short Answer Questions (16 marks)

(a) We apply $m$ search operations on an AVL tree of size $n$, where $m$ and $n$ are both large integers and $m=\Theta(n)$. Suppose $2 m / 3$ operations take constant time each, $m / 3-\log m$ operations take $\log n$ time each, and the remaining $\log m$ operations each takes $\log \log n$ time each. What is the asymptotic amortized running time of each operation? Show your work.
(b) Apply the splay operation on the following splay tree when there is a request to node ' 45 '. It suffices
 to show the final tree.
(c) Apply the Burrows-Wheeler transform on the following string; show your work and the output

## nazaneen\$

Assume $\$$ precedes all characters when you sort rotations.

(d) Assume an initial list $\$ \rightarrow A \rightarrow B \rightarrow R \rightarrow N$. A compressing scheme that uses Move-To-Front is used to encode "BARAN\$". Show what the resulting code is (the first position is encoded as 0 , the second as 1 , and so on).


## 3. Amortized Analysis (8 marks)

A "d-bit" is a decimal digit that can have any of the values $\{0,1, \ldots, 9\}$. Consider a decimal counter that starts from an initial configuration where all digits are ' 0 '. This is followed by $m$ operations each incrementing the number encoded in decimal. In this question, we want to know how many digits are changed per operation.
(a) Use aggregate-cost method to find an upper bound for the amortized number of changed digits.

Hint: given a positive value $a$, we have $1+a+a^{2}+\ldots+a^{k}=\frac{a^{k+1}-1}{a-1}$.
$\square$
(b) Use the potential-function method to find an upper bound for the amortized cost of each operation. Show and justify your work. Hint: Let the potential be the number of non-zero digits in the encoded number.

## 4. Competitive Analysis (5 marks)

Consider the following algorithm for the path-cow problem. The cow starts at the origin and moves $x=1$ unit to the right. If the target is not found, the cow returns to the origin and goes $x=1$ unit to the left. If the target is not found, the cow returns to the origin and repeats this procedure with $x=3,9, \ldots, 3^{i}, \ldots$ until the target is found. What is the competitive ratio of this algorithm? Show your work.
Hint: given a positive value $a$, we have $1+a+a^{2}+\ldots+a^{k}=\frac{a^{k+1}-1}{a-1}$.

## 5. AVL Trees (6 marks)

(a) Perform operation insert(12) on AVL tree: Draw the tree after each rotation performed. There is no need to show balance factors.

(b) Perform operation delete(20) on the augmented AVL tree $T$ :

Draw the tree after each rotation performed
There is no need to show balance factors.

$\square$

## 6. Tree Height (5 marks)

A balanced 4-way tree is a tree in which each internal node of height $h \geq 5$ has exactly four children, out of which at least two children have height $\geq h-2$. Prove that the height of a balanced 4 -way tree is $O(\log n)$. Show your work.

## 7. Red-Black Trees (8 marks)

Consider he following red-black tree $T$.

(a) Draw the tree after the operation $\operatorname{insert}(62), \operatorname{insert}(4)$ on it (in the same order). It suffices to draw the final tree.
(b) Draw the b-tree associated with $T$ (before the insert operation).

## 8. B-Trees (8 marks)

Consider the following B-tree with $d=2$.

(a) Draw the tree when after we apply the operation $\operatorname{insert}(7)$ on it. It suffices to draw the final tree.
$\square$
(b) Draw the original tree after we apply the operation delete(69) on it. It suffices to draw the final tree.

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Use this blank page for your draft work.

