## Design-by-Contract (Dbc) Test-Driven Development (TDD)

Readings: OOSC2 Chapter 11

EECS3311 A: Software Design Fall 2018

CHEN-WEI WANG

# Catching Defects:

## **Design or Implementation Phase?**

- To minimize *development costs*, minimize *software defects*.
  - : The cost of fixing defects *increases exponentially* as software progresses through the development lifecycle:

LASSONDE

LASSONDE

Requirements  $\rightarrow$  *Design*  $\rightarrow$  *Implementation*  $\rightarrow$  Release

 $\therefore$  Catch defects *as early as possible*.

Design and architecture	Implementation	Integration testing	Customer beta test	Postproduct release
1X*	5X	10X	15X	30X

- Discovering *defects* after release costs up to <u>30 times more</u> than catching them in the **design** phase.
- Choice of *design language* for your project is therefore of paramount importance.

Source: Minimizing code defects to improve software quality and lower development costs.

**Motivation of this Course** 



- Focus is design
  - Architecture: work with many interacting classes
  - Specification: being mathematically precise about expectations
- For this course, having a prototypical, *working* implementation for your design suffices.
- A later *refinement* into more efficient data structures and algorithms is beyond the scope of this course.

[assumed from EECS2011, EECS3101]

- $\therefore$  Having a suitable language for **design** matters the most.
- Q: Is Java also a "good" design language?
- A: Let's first understand what a "good" design is.

# Terminology: Contract, Client, Supplier



- A *client* uses a service provided by some supplier.
  - The client are required to follow certain instructions to obtain the service (e.g., supplier **assumes** that client powers on, closes door, and heats something that is not explosive).
  - If instructions are followed, the client would **expect** that the service does <u>what</u> is guaranteed (e.g., a lunch box is heated).
  - $\circ~$  The client does not care  $\underline{how}$  the supplier implements it.
- What then are the *benefits* and *obligations* os the two parties?

	benefits	obligations
CLIENT	obtain a service	follow instructions
SUPPLIER	assume instructions followed	provide a service

- There is a *contract* between two parties, violated if:
  - The instructions are not followed. [Client's fault]
- Instructions followed, but service not satisfactory. [Supplier's fault ]

#### Client, Supplier, Contract in OOP (1)





Method call *m.<u>heat(obj)</u> indicates a client-supplier relation.* 

• Client: resident class of the method call [MicrowaveUser]

5 of 38

• Supplier: type of context object (or call target) m [Microwave]

#### What is a Good Design?



We such a contractual relation a *specification*.

- When you conduct *software design*, you should be guided by the "appropriate" contracts between users and developers.
  - Instructions to clients should not be unreasonable.
     e.g., asking them to assemble internal parts of a microwave
  - Working conditions for suppliers should not be unconditional.
     e.g., expecting them to produce a microwave which can safely heat an explosive with its door open!
  - You as a designer should strike proper balance between
    - **obligations** and **benefits** of clients and suppliers. e.g., What is the obligation of a binary-search user (also benefit of a binary-search implementer)? [The input array is sorted.]
  - Upon contract violation, there should be the fault of only one side.

This design process is called *Design by Contract (DbC)*.

## A Simple Problem: Bank Accounts



LASSONDE



Provide an object-oriented solution to the following problem:

**REQ1**: Each account is associated with the *name* of its owner

(e.g., "Jim") and an integer *balance* that is always positive.

- REQ2 : We may *withdraw* an integer amount from an account.
- **REQ3** : Each bank stores a list of *accounts*.
- **REQ4** : Given a bank, we may *add* a new account in it.

**REQ5**: Given a bank, we may *query* about the associated account of a owner (e.g., the account of "Jim").

**REQ6**: Given a bank, we may *withdraw* from a specific account, identified by its name, for an integer amount.

Let's first try to work on **REQ1** and **REQ2** in Java. This may not be as easy as you might think!

## Playing the Various Versions in Java



- Download the project archive (a zip file) here: http://www.eecs.yorku.ca/~jackie/teaching/ lectures/2018/F/EECS3311/codes/DbCIntro.zip
- Follow this tutorial to learn how to import an project archive into your workspace in Eclipse: https://youtu.be/h-rgdQZg2qY
- Follow this tutorial to learn how to **enable** assertions in Eclipse: https://youtu.be/OEgRV4a5Dzg

#### Version 1: Why Not a Good Design? (1)



#### public class BankAppV1 {

```
public static void main(String[] args) {
   System.out.println("Create an account for Alan with balance -10:");
   AccountVI alan = new AccountV1("Alan", -10);
   System.out.println(alan);
```

#### Console Output:

Create an account for Alan with balance -10: Alan's current balance is: -10

- Executing AccountV1's constructor results in an account object whose <u>state</u> (i.e., values of attributes) is *invalid* (i.e., Alan's balance is negative). ⇒ Violation of **REQ1**
- Unfortunately, both client and supplier are to be blamed: BankAppV1 passed an invalid balance, but the API of AccountV1 does not require that! ⇒ A lack of defined contract

11 of 38

12 of 38

**Version 1: An Account Class** LASSONDE public class AccountV1 { 1 2 private String owner; 3 private int balance; 4 public String getOwner() { return owner; } 5 public int getBalance() { return balance; } 6 public AccountV1(String owner, int balance) { 7 this.owner = owner; this.balance = balance; 8 9 public void withdraw(int amount) { 10 this.balance = this.balance - amount; 11 12 public String toString() { 13 return owner + "'s current balance is: " + balance; 14 15

- Is this a good design? Recall **REQ1**: Each account is associated with ... an integer balance that is *always positive*.
- This requirement is *not* reflected in the above Java code.



- Mark's account state is always valid (i.e., 100 and 1000100).
- Withdraw amount is never negative!  $\Rightarrow$  Violation of **REQ2**
- Again a lack of contract between BankAppV1 and AccountV1.

10 of 38

## Version 1: Why Not a Good Design? (3)



LASSONDE

[v != 0]

public class BankAppV1 {
 public static void main(String[] args) {
 System.out.println("Create an account for Tom with balance 100:");
 AccountV1 tom = new AccountV1("Tom", 100);
 System.out.println(tom);
 System.out.println("Withdraw 150 from Tom's account:");
 tom. withdraw(150);
 System.out.println(tom);

Create an account for Tom with balance 100: Tom's current balance is: 100 Withdraw 150 from Tom's account: Tom's current balance is: -50

- Withdrawal was done via an "appropriate" reduction, but the resulting balance of Tom is *invalid*. ⇒ Violation of **REQ1**
- Again a lack of contract between BankAppV1 and AccountV1.

#### Version 2: Added Exceptions to Approximate Method Preconditions

1 2	<pre>public class AccountV2 {     public AccountV2(String owner, int balance) throws     Palarez Namitier Fragerier </pre>
3 4	{
5	<pre>if( balance &lt; 0 ) { /* negated precondition */</pre>
6	<pre>throw new BalanceNegativeException(); }</pre>
7	<pre>else { this.owner = owner; this.balance = balance; }</pre>
8	}
9	public void withdraw(int amount) throws
0	WithdrawAmountNegativeException, WithdrawAmountTooLargeException
1	<pre>if( amount &lt; 0 ) { /* negated precondition */</pre>
2	<pre>throw new WithdrawAmountNegativeException(); }</pre>
3	else if ( balance < amount ) { /* negated precondition */
4	<pre>throw new WithdrawAmountTooLargeException(); }</pre>
5	<pre>else { this.balance = this.balance - amount; }</pre>
6	}

LASSONDE

15 of 38

#### Version 1: How Should We Improve it?

- *Preconditions* of a method specify the precise circumstances under which that method can be executed.
  - Precond. of divide (int x, int y)?
  - Precond. of binSearch(int x, int[] xs)? [xs is sorted]
- The best we can do in Java is to encode the logical negations of preconditions as exceptions:
  - o divide(int x, int y)
    throws DivisionByZeroException when y == 0.
  - binSearch(int x, int[] xs) throws ArrayNotSortedException when xs is not sorted.
  - It should be preferred to design your method by specifying the preconditions (i.e., valid inputs) it requires, rather than the exceptions (i.e., erroneous inputs) that it might trigger.
- Create Version 2 by adding *exceptional conditions* (an *approximation* of *preconditions*) to the constructor and withdraw method of the Account class.

Version 2: Why Better than Version 1? (1)

1 public class BankAppV2 { 2 public static void main(String[] args) { 3 System.out.println("Create an account for Alan with balance -10:"); 4 try { 5 AccountV2 alan = new AccountV2("Alan", -10); 6 System.out.println(alan); 7 8 catch ( BalanceNegativeException bne) { 9 System.out.println("Illegal negative account balance."); 10

Create an account for Alan with balance  $-10\colon$  Illegal negative account balance.

L6: When attempting to call the constructor AccountV2 with a negative balance -10, a BalanceNegativeException (i.e., *precondition* violation) occurs, *preventing further operations upon this invalid object*.

# Version 2: Why Better than Version 1? (2.1)



# Version 2: Why Better than Version 1? (3.1)

1	public class BankAppV2 {		
2	<pre>public static void main(String[] args) {</pre>		
3	System.out.println("Create an account for Tom with balance 100:");		
4	try {		
5	AccountV2 tom = new AccountV2("Tom", 100);		
6	System.out.println(tom);		
7	System.out.println("Withdraw 150 from Tom's account:");		
8	tom. withdraw(150);		
9	System.out.println(tom);		
10	}		
11	<pre>catch (BalanceNegativeException bne) {</pre>		
12	System.out.println("Illegal negative account balance.");		
13	}		
14	<pre>catch (WithdrawAmountNegativeException wane) {</pre>		
15	System.out.printin("Illegal negative withdraw amount.");		
10			
17	catch ( <mark>WithdrawAmountTooLargeException</mark> wane) {		
18	System.out.println("Illegal too large withdraw amount.");		
19	}		

#### 17 of 38

#### 19 of 38

# Version 2: Why Better than Version 1? (2.2)

#### Console Output:

Create an account for Mark with balance 100: Mark's current balance is: 100 Withdraw -1000000 from Mark's account: Illegal negative withdraw amount.

• L9: When attempting to call method withdraw with a positive but too large amount 150, a

WithdrawAmountTooLargeException (i.e., precondition violation) occurs, preventing the withdrawal from proceeding.

- We should observe that adding preconditions to the supplier BankV2's code forces the client BankAppV2's code to get complicated by the try-catch statements.
- Adding clear contract (preconditions in this case) to the design should not be at the cost of complicating the client's code!!

# Version 2: Why Better than Version 1? (3.2)

#### Console Output:

Create an account for Tom with balance 100: Tom's current balance is: 100 Withdraw 150 from Tom's account: Illegal too large withdraw amount.

- L9: When attempting to call method withdraw with a negative amount -1000000, a WithdrawAmountNegativeException (i.e., *precondition* violation) occurs, *preventing the withdrawal* from proceeding.
- We should observe that due to the added preconditions to the supplier BankV2's code, the client BankAppV2's code is forced to repeat the long list of the try-catch statements.
- Indeed, adding clear contract (*preconditions* in this case) should not be at the cost of complicating the client's code!! 20 of 38

## Version 2: Why Still Not a Good Design? (1)



- Are all the *exception* conditions (¬ *preconditions*) appropriate?
- What if amount == balance when calling withdraw? 21 of 38

## Version 2: Why Still Not a Good Design? (2.2 source

Create an account for Jim with balance 100: Jim's current balance is: 100 Withdraw 100 from Jim's account: Jim's current balance is: 0

L9: When attempting to call method withdraw with an amount 100 (i.e., equal to Jim's current balance) that would result in a **zero** balance (clearly a violation of **REQ1**), there should have been a *precondition* violation.

Supplier AccountV2's exception condition balance < amount
has a missing case :</pre>

- Calling withdraw with amount == balance will also result in an invalid account state (i.e., the resulting account balance is zero).
- .: L13 of AccountV2 should be balance <= amount.

23 of 38

## Version 2: Why Still Not a Good Design? (2.1) SSONDE



#### Version 2: How Should We Improve it?



• Even without fixing this insufficient *precondition*, we could have avoided the above scenario by *checking at the end of each method that the resulting account is valid*.

 $\Rightarrow$  We consider the condition this.balance > 0 as *invariant* throughout the lifetime of all instances of Account.

- *Invariants* of a class specify the precise conditions which all instances/objects of that class must satisfy.
  - Inv. of CSMajoarStudent? [gpa >= 4.5]
  - $\circ$  Inv. of <code>BinarySearchTree? [in-order trav.</code>  $\rightarrow$  sorted key seq. ]
- The best we can do in Java is encode invariants as assertions:
  - CSMajorStudent: **assert** this.gpa >= 4.5
  - BinarySearchTree: **assert** this.inOrder() is sorted
  - Unlike exceptions, assertions are not in the class/method API.
- Create Version 3 by adding assertions to the end of constructor and withdraw method of the Account class.

## Version 3: Added Assertions to Approximate Class Invariants



LASSONDE

LASSONDE

#### Version 3: Why Still Not a Good Design? (1) LASSONDE Let's review what we have added to the method withdraw: • From Version 2 : *exceptions* encoding **negated** *preconditions* • From Version 3 : assertions encoding the class invariants 1 public class AccountV3 { 2 public void withdraw(int amount) throws 3 WithdrawAmountNegativeException, WithdrawAmountTooLargeException { 4 if (amount < 0) { /\* negated precondition \*/ 5 throw new WithdrawAmountNegativeException(); } 6 else if ( balance < amount ) { /\* negated precondition \*/</pre> 7 throw new WithdrawAmountTooLargeException(); } else { this.balance = this.balance - amount; } 8 assert this.getBalance() > 0 : "Invariant: positive balance"; However, there is **no** contract in withdraw which specifies: • Obligations of supplier (AccountV3) if preconditions are met. • Benefits of client (BankAppV3) after meeting preconditions. $\Rightarrow$ We illustrate how problematic this can be by creating Version 4, where deliberately mistakenly implement withdraw. 27 of 38





Jim's current balance is: 100 Withdraw 100 from Jim's account: Exception in thread "main"

java.lang.AssertionError: Invariant: positive balance

L8: Upon completion of jim.withdraw(100), Jim has a zero balance, an assertion failure (i.e., *invariant* violation) occurs, preventing further operations on this invalid account object.



#### Version 4: What If the



## Implementation of withdraw is Wrong? (2)



#### Version 5: Added Assertions to Approximate Method Postconditions



LASSONDE

LASSONDE

 $\Rightarrow$  Extra code (L4) to capture the pre-execution value of balance for the comparison at L11.

#### Version 4: How Should We Improve it?



• *Postconditions* of a method specify the precise conditions which it will satisfy upon its completion.

This relies on the assumption that right before the method starts, its preconditions are satisfied (i.e., inputs valid) and invariants are satisfied (i.e., object state valid).

• Postcondition of double divide(int x, int y)?

```
[Result \times y == x]
```

- Postcondition of boolean binSearch(int x, int[] xs)?
  [x ∈ xs ↔ Result]
- The best we can do in Java is, similar to the case of invariants, encode postconditions as *assertions*.

But again, unlike exceptions, these assertions will not be part of the class/method API.

• Create Version 5 by adding *assertions* to the end of withdraw method of the Account class.

Version 5: Why Better than Version 4?



32 of 38

## **Evolving from Version 1 to Version 5**

		period or biointenino
	Improvements Made	Design <i>Flaws</i>
V1	-	Complete lack of Contract
V2	Added exceptions as method preconditions	Preconditions not strong enough (i.e., with missing cases) may result in an invalid account state.
V3	Added assertions as class invariants	Incorrect implementations do not necessarily result in a state that violates the class invariants.
V4	Deliberately changed withdraw's implementa- tion to be incorrect.	The incorrect implementation does not result in a state that violates the class invariants.
V5	Added assertions as method postconditions	-

- In Versions 2, 3, 4, 5, preconditions approximated as exceptions.
  - © These are *not preconditions*, but their *logical negation*.

© Client BankApp's code complicated by repeating the list of try-catch statements.

• In Versions 3, 4, 5, class invariants and postconditions approximated as assertions. © Unlike exceptions, these assertions will not appear in the API of withdraw. Potential clients of this method *cannot know*: 1) what their benefits are; and 2) what their suppliers' obligations are.

© For postconditions, *extra code* needed to capture pre-execution values of attributes. 33 of 38

#### **DbC** in Java

LASSONDE

LASSONDE

DbC is possible in Java, but not appropriate for your learning:

LASSONDE

LASSONDE

• *Preconditions* of a method:

#### Supplier

- Encode their logical negations as exceptions.
- In the **beginning** of that method, a list of *if*-statements for throwing the appropriate exceptions.

#### Client

- A list of try-catch-statements for handling exceptions.
- *Postconditions* of a method:

#### Supplier

• Encoded as a list of assertions, placed at the end of that method.

#### Client

- All such assertions do not appear in the API of that method.
- *Invariants* of a class:

#### Supplier

- Encoded as a list of assertions, placed at the end of every method. Client
- All such assertions do not appear in the API of that class. 35 of 38

## Version 5: **Contract between Client and Supplier**

	benefits	obligations
BankAppV5.main	balance deduction	amount non-negative
(CLIENT)	positive balance	amount not too large
BankV5.withdraw	amount non-negative	balance deduction
(SUPPLIER)	amount not too large	positive balance

	benefits	obligations	
CLIENT	postcondition & invariant	precondition	
SUPPLIER	precondition	postcondition & invariant	

#### Index (1)

Motivation of this Course Catching Defects: **Design or Implementation Phase?** Terminology: Contract, Client, Supplier Client, Supplier, Contract in OOP (1) Client, Supplier, Contract in OOP (2) What is a Good Design? A Simple Problem: Bank Accounts Playing with the Various Versions in Java Version 1: An Account Class Version 1: Why Not a Good Design? (1) Version 1: Why Not a Good Design? (2) Version 1: Why Not a Good Design? (3) Version 1: How Should We Improve it? 36 of 38



# 

LASSONDE

# Index (2)

Version 2: Added Exceptions to Approximate Method Preconditions Version 2: Why Better than Version 1? (1) Version 2: Why Better than Version 1? (2.1) Version 2: Why Better than Version 1? (2.2) Version 2: Why Better than Version 1? (3.1) Version 2: Why Better than Version 1? (3.2) Version 2: Why Better than Version 1? (3.2) Version 2: Why Still Not a Good Design? (1) Version 2: Why Still Not a Good Design? (2.1) Version 2: Why Still Not a Good Design? (2.2) Version 2: How Should We Improve it? Version 3: Added Assertions to Approximate Class Invariants Version 3: Why Better than Version 2?

# Index (3)

Version 3: Why Still Not a Good Design? (1) Version 4: What If the Implementation of withdraw is Wrong? (1) Version 4: What If the Implementation of withdraw is Wrong? (2) Version 4: How Should We Improve it? Version 5: Added Assertions to Approximate Method Postconditions Version 5: Why Better than Version 4? Evolving from Version 1 to Version 5 Version 5: Contract between Client and Supplier DbC in Java <sup>38 of 38</sup>