## Program Correctness

## OOSC2 Chapter 11

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## Learning Objectives

1. Motivating Examples: Program Correctness
2. Hoare Triple
3. Weakest Precondition (wp)
4. Rules of wp Calculus
5. Contract of Loops (invariant vs. variant )
6. Correctness Proofs of Loops

## Assertions: Weak vs. Strong

- Describe each assertion as a set of satisfying value. $x>3$ has satisfying values $\{x \mid x>3\}=\{4,5,6,7, \ldots\}$ $x>4$ has satisfying values $\{x \mid x>4\}=\{5,6,7, \ldots\}$
- An assertion $p$ is stronger than an assertion $q$ if $p$ 's set of satisfying values is a subset of $q$ 's set of satisfying values.
- Logically speaking, $p$ being stronger than $q$ (or, $q$ being weaker than $p$ ) means $p \Rightarrow q$.
- e.g., $x>4 \Rightarrow x>3$
- What's the weakest assertion?
- What's the strongest assertion?
- In Design by Contract :
- A weaker invariant has more acceptable object states
e.g., balance > 0 vs. balance > 100 as an invariant for ACCOUNT
- A weaker precondition has more acceptable input values
- A weaker postcondition has more acceptable output values


## Assertions: Preconditions

## Given preconditions $P_{1}$ and $P_{2}$, we say that

$P_{2}$ requires less than $P_{1}$ if
$P_{2}$ is less strict on (thus allowing more) inputs than $P_{1}$ does.

$$
\left\{x \mid P_{1}(x)\right\} \subseteq\left\{x \mid P_{2}(x)\right\}
$$

More concisely:

$$
P_{1} \Rightarrow P_{2}
$$

e.g., For command withdraw (amount: INTEGER), $P_{2}$ : amount $\geq 0$ requires less than $P_{1}$ : amount $>0$
What is the precondition that requires the least?

## Assertions: Postconditions

Given postconditions or invariants $Q_{1}$ and $Q_{2}$, we say that $Q_{2}$ ensures more than $Q_{1}$ if
$Q_{2}$ is stricter on (thus allowing less) outputs than $Q_{1}$ does.

$$
\left\{x \mid Q_{2}(x)\right\} \subseteq\left\{x \mid Q_{1}(x)\right\}
$$

More concisely:

$$
Q_{2} \Rightarrow Q_{1}
$$

e.g., For query $q(i:$ INTEGER) : BOOLEAN, $Q_{2}: \operatorname{Result}=(i>0) \wedge(i \bmod 2=0)$ ensures more than
$Q_{1}: \operatorname{Result}=(i>0) \vee(i \bmod 2=0)$
What is the postcondition that ensures the most? [ false ]

## Motivating Examples (1)

Is this feature correct?

```
class FOO
    i: INTEGER
    increment_by_9
        require
            i > 3
    do
        i := i + 9
    ensure
        i > 13
    end
end
```

Q: Is $i>3$ is too weak or too strong?
A: Too weak
$\because$ assertion $i>3$ allows value 4 which would fail postcondition.

## Motivating Examples (2)

Is this feature correct?

```
class FOO
    i: INTEGER
    increment_by_9
        require
            i>5
        do
            i}:=i+
        ensure
            i > 13
        end
end
```

Q: Is $i>5$ too weak or too strong?
A: Maybe too strong
$\because$ assertion $i>5$ disallows 5 which would not fail postcondition. Whether 5 should be allowed depends on the requirements.

## Software Correctness

- Correctness is a relative notion:
consistency of implementation with respect to specification.
$\Rightarrow$ This assumes there is a specification!
- We introduce a formal and systematic way for formalizing a program $\mathbf{S}$ and its specification (pre-condition $Q$ and post-condition $\boldsymbol{R}$ ) as a Boolean predicate : $\{\boldsymbol{Q}\} \mathbf{S}\{\boldsymbol{R}\}$
- e.g., $\{i>3\}$ i $:=i+9\{i>13\}$
- e.g., $\{i>5\}$ i $:=i+9\{i>13\}$
- If $\{\boldsymbol{Q}\} \mathbf{S}\{\boldsymbol{R}\}$ can be proved True, then the $\mathbf{S}$ is correct.
e.g., $\{i>5\}$ i $:=i+9\{i>13\}$ can be proved True.
- If $\{\boldsymbol{Q}\} \mathbf{S}\{\boldsymbol{R}\} \underline{\text { cannot }}$ be proved True, then the $\mathbf{S}$ is incorrect.
e.g., $\{i>3\}$ i $:=i+9\{i>13\}$ cannot be proved TruE.


## Hoare Logic

- Consider a program $\mathbf{S}$ with precondition $Q$ and postcondition $\boldsymbol{R}$.
- $\{\boldsymbol{Q}\} \mathrm{S}\{\boldsymbol{R}\}$ is a correctness predicate for program $\mathbf{S}$
- $\{\boldsymbol{Q}\} S\{R\}$ is TruE if program $\mathbf{S}$ starts executing in a state satisfying the precondition $Q$, and then:
(a) The program S terminates.
(b) Given that program $\mathbf{S}$ terminates, then it terminates in a state satisfying the postcondition $R$.
- Separation of concerns
(a) requires a proof of termination.
(b) requires a proof of partial correctness.

Proofs of (a) + (b) imply total correctness .

## Hoare Logic and Software Correctness

Consider the contract view of a feature $f$ (whose body of implementation is $\mathbf{S}$ ) as a Hoare Triple:
$\{Q\} S\{R\}$
$Q$ is the precondition of $f$.
$S$ is the implementation of $f$.
$R$ is the postcondition of $f$.

- $\{$ true $\}$ S $\{R\}$

All input values are valid [ Most-user friendly ]

- \{false\} $\mathrm{S}\{R\}$

All input values are invalid [ Most useless for clients ]

- $\{Q\}$ S $\{$ true $\}$

All output values are valid [ Most risky for clients; Easiest for suppliers ]

- $\{Q\}$ S $\{$ false $\}$

All output values are invalid [ Most challenging coding task ]

- \{true\} S $\{$ true $\}$


## Proof of Hoare Triple using wp

$$
\{\boldsymbol{Q}\} \mathrm{S}\{\boldsymbol{R}\} \equiv \boldsymbol{Q} \Rightarrow w p(S, \boldsymbol{R})
$$

- $w p(S, R)$ is the weakest precondition for $S$ to establish $\boldsymbol{R}$.
- If $\boldsymbol{Q} \Rightarrow w p(S, R)$, then any execution started in a state satisfying $Q$ will terminate in a state satisfying $R$.
- If $Q \nRightarrow w p(S, R)$, then some execution started in a state satisfying $Q$ will terminate in a state violating $R$.
- $S$ can be:
- Assignments (x := y)
- Alternations (if ... then ... else ... end)
- Sequential compositions ( $S_{1} ; S_{2}$ )
- Loops (from ... until ... loop ... end)
- We will learn how to calculate the wp for the above programming constructs.


## Denoting New and Old Values

In the postcondition, for a program variable $x$ :

- We write $x_{0}$ to denote its pre-state (old) value.
- We write $\bar{x}$ to denote its post-state (new) value.

Implicitly, in the precondition, all program variables have their pre-state values.
e.g., $\left\{b_{0}>a\right\}$ b $:=\mathrm{b}-\mathrm{a}\left\{b=b_{0}-a\right\}$

- Notice that:
- We may choose to write " $b$ " rather than " $b_{0}$ " in preconditions $\because$ All variables are pre-state values in preconditions
- We don't write " $b_{0}$ " in program
$\because$ there might be multiple intermediate values of a variable due to sequential composition


## wp Rule: Assignments (1)

$$
w p(\mathrm{x}:=e, R)=R[x:=e]
$$

$R[x:=e]$ means to substitute all free occurrences of variable $x$ in postcondition $R$ by expression $e$.

## wp Rule: Assignments (2)

Recall:

$$
\{\boldsymbol{Q}\} S\{\boldsymbol{R}\} \equiv \boldsymbol{Q} \Rightarrow w p(S, \boldsymbol{R})
$$

How do we prove $\{\boldsymbol{Q}\} \times:=e\{\boldsymbol{R}\}$ ?

$$
\{Q\} \times:=e\{\boldsymbol{R}\} \Longleftrightarrow Q \Rightarrow \underbrace{R[x:=e]}_{w p(\mathrm{x}:=\mathrm{e}, \boldsymbol{R})}
$$

## wp Rule: Assignments (3) Exercise

What is the weakest precondition for a program $\mathrm{x}:=\mathrm{x}+1$ to establish the postcondition $x>x_{0}$ ?

$$
\{? ?\} \times:=x+1\left\{x>x_{0}\right\}
$$

For the above Hoare triple to be TRUE, it must be that $? ? \Rightarrow w p\left(\mathrm{x}:=\mathrm{x}+1, x>x_{0}\right)$.

$$
\begin{aligned}
& \text { wp }\left(\mathrm{x}:=\mathrm{x}+1, x>x_{0}\right) \\
= & \{\text { Rule of wp:Assignments }\} \\
& x>x_{0}\left[x:=x_{0}+1\right] \\
= & \left\{\text { Replacing } x \text { by } x_{0}+1\right\} \\
& x_{0}+1>x_{0} \\
= & \{1>0 \text { always true }\} \\
& \text { True }
\end{aligned}
$$

Any precondition is OK.
False is valid but not useful.

## wp Rule: Assignments (4) Exercise

What is the weakest precondition for a program $\mathrm{x}:=\mathrm{x}+1$ to establish the postcondition $x=23$ ?

$$
\{? ?\} \mathrm{x}:=\mathrm{x}+1\{x=23\}
$$

For the above Hoare triple to be TRUE, it must be that $? ? \Rightarrow w p(\mathrm{x}:=\mathrm{x}+1, x=23)$.

$$
\begin{aligned}
& w p(\mathrm{x}:=\mathrm{x}+1, x=23) \\
= & \{\text { Rule of wp:Assignments }\} \\
& x=23\left[x:=x_{0}+1\right] \\
= & \left\{\text { Replacing } x \text { by } x_{0}+1\right\} \\
& x_{0}+1=23 \\
= & \{\text { arithmetic }\} \\
& x_{0}=22
\end{aligned}
$$

Any precondition weaker than $x=22$ is not OK.

## wp Rule: Assignments (4) Revisit

Given $\{? ?\} n:=n+9\{n>13\}$ :

- $n>4$ is the weakest precondition (wp) for the given implementation $(\mathrm{n}:=\mathrm{n}+9)$ to start and establish the postcondition ( $n>13$ ).
- Any precondition that is equal to or stronger than the wp ( $n>4$ ) will result in a correct program.
e.g., $\{n>5\} n:=n+9\{n>13\}$ can be proved TRUE.
- Any precondition that is weaker than the wp $(n>4)$ will result in an incorrect program.
e.g., $\{n>3\} n:=n+9\{n>13\}$ cannot be proved TRUE.

Counterexample: $n=4$ satisfies precondition $n>3$ but the output $n=13$ fails postcondition $n>13$.

## wp Rule: Alternations (1)

$w p\left(\right.$ if $B$ then $S_{1}$ else $S_{2}$ end, $\left.R\right)=\left(\begin{array}{l}B \Rightarrow w p\left(S_{1}, \boldsymbol{R}\right) \\ \wedge \\ \neg B \Rightarrow w p\left(S_{2}, R\right)\end{array}\right)$

The wp of an alternation is such that all branches are able to establish the postcondition $\boldsymbol{R}$.

## wp Rule: Alternations (2)

Recall: $\quad\{\boldsymbol{Q}\} S\{\boldsymbol{R}\} \equiv \boldsymbol{Q} \Rightarrow w p(S, \boldsymbol{R})$
How do we prove that $\{Q\}$ if $B$ then $S_{1}$ else $S_{2}$ end $\{R\}$ ?

```
{Q}
if B then
    {Q^B} S S {R}
else
    {Q^\negB} S2 {R}
end
{R}
```

$\{Q\}$ if $B$ then $S_{1}$ else $S_{2}$ end $\{R\}$

$$
\Longleftrightarrow\left(\begin{array}{l}
\{\boldsymbol{Q} \wedge B\} S_{1}\{\boldsymbol{R}\} \\
\wedge \\
\{\boldsymbol{Q} \wedge \neg B\} S_{2}\{\boldsymbol{R}\}
\end{array}\right) \Longleftrightarrow\left(\begin{array}{l}
(\boldsymbol{Q} \wedge B) \Rightarrow w p\left(S_{1}, \boldsymbol{R}\right) \\
\wedge \\
(\boldsymbol{Q} \wedge \neg B) \Rightarrow w p\left(S_{2}, \boldsymbol{R}\right)
\end{array}\right)
$$

## wp Rule: Alternations (3) Exercise

Is this program correct?

```
{x>0^y>0}
if x > y then
    bigger := x ; smaller := y
else
    bigger := y ; smaller := x
end
{bigger \geq smaller}
```

$$
\begin{aligned}
& \left(\begin{array}{l}
\{(x>0 \wedge y>0) \wedge(x>y)\} \\
\text { bigger }:=x ; \text { smaller }:=y \\
\{\text { bigger } \geq \text { smaller }\}
\end{array}\right. \\
& \wedge
\end{aligned}\left(\begin{array}{c}
\{(x>0 \wedge y>0) \wedge \neg(x>y)\} \\
\text { bigger }:=y ; \text { smaller }:=\mathrm{x} \\
\{\text { bigger } \geq \text { smaller }\}
\end{array}\right) .
$$

## wp Rule: Sequential Composition (1)

$$
w p\left(S_{1} ; S_{2}, R\right)=w p\left(S_{1}, w p\left(S_{2}, R\right)\right)
$$

The wp of a sequential composition is such that the first phase establishes the wp for the second phase to establish the postcondition $R$.

## wp Rule: Sequential Composition (2)

Recall:

$$
\{\boldsymbol{Q}\} \mathrm{s}\{\boldsymbol{R}\} \equiv \boldsymbol{Q} \Rightarrow w p(S, \boldsymbol{R})
$$

How do we prove $\{\boldsymbol{Q}\} S_{1} ; S_{2}\{\boldsymbol{R}\}$ ?

$$
\{\boldsymbol{Q}\} S_{1} ; S_{2}\{\boldsymbol{R}\} \Longleftrightarrow \boldsymbol{Q} \Rightarrow \underbrace{w p\left(S_{1}, w p\left(S_{2}, R\right)\right)}_{w p\left(S_{1} ; S_{2}, R\right)}
$$

## wp Rule: Sequential Composition (3) Exercisis sonos

Is $\{$ True \} tmp $:=\mathrm{x} ; \mathrm{x}:=\mathrm{y}$; $\mathrm{y}:=\mathrm{tmp}\{x>y\}$ correct?
If and only if True $\Rightarrow w p(\operatorname{tmp}:=\mathrm{x} ; \mathrm{x}:=\mathrm{y}$; $\mathrm{y}:=\operatorname{tmp}, x>y)$

$$
\begin{aligned}
& w p(\text { tmp }:=\mathrm{x} ; \mathrm{x}:=\mathrm{y} ; \mathrm{y}:=\mathrm{tmp}, \mathrm{x}>\mathrm{y}) \\
= & \{w p \text { rule for seq. comp.\}} \\
& w p(\mathrm{tmp}:=\mathrm{x}, w p(\mathrm{x}:=\mathrm{y} ; \mathrm{y}:=\mathrm{tmp}, \mathrm{x}>y)) \\
= & \{w p \text { rule for } \operatorname{seq} \cdot \operatorname{comp} \cdot\} \\
& w p(\mathrm{tmp}:=\mathrm{x}, w p(\mathrm{x}:=\mathrm{y}, w p(\mathrm{y}:=\mathrm{tmp}, \mathrm{x}>\mathrm{y}))) \\
= & \{w p \text { rule for assignment }\} \\
& w p(\mathrm{tmp}:=\mathrm{x}, w p(\mathrm{x}:=\mathrm{y}, \mathrm{x}>\text { tmp })) \\
= & \{w p \text { rule for assignment }\} \\
& w p(\mathrm{tmp}:=\mathrm{x}, y>\operatorname{tmp}) \\
= & \{w p \text { rule for assignment }\} \\
& y>x
\end{aligned}
$$

$\because$ True $\Rightarrow y>x$ does not hold in general.
$\therefore$ The above program is not correct.

## Loops

- A loop is a way to compute a certain result by successive approximations.
e.g. computing the maximum value of an array of integers
- Loops are needed and powerful
- But loops very hard to get right:
- Infinite loops
- "off-by-one" error
- Improper handling of borderline cases
- Not establishing the desired condition
[ termination ]
[ partial correctness ]
[ partial correctness ]
[ partial correctness ]


## Loops: Binary Search

| BS1 | BS 2 |
| :---: | :---: |
| from | from |
| $i:=1 ; j:=n$ | $i:=1 ; j:=n ;$ found $:=$ false |
| until $i=j$ loop | until $i=j$ and not found loop |
| $m:=(i+j) / / 2$ | $m:=(i+j) / / 2$ |
| if $t$ @ $m<=x$ then | if $t$ @ $m<x$ then |
| it=m | $i:=m+1$ |
| else | elseif $t$ @ $m=x$ then |
| $j:=m$ | found := true |
| end | else |
| end | $j:=m-1$ |
| Result := $x=t$ @ $)$ | end |
|  | end |
|  | Result $:=$ found |
| BS3 | BS4 |
| from | from |
| $i:=0 ; j:=n$ | $i:=0 ; j:=n+1$ |
| until $i=j$ loop | until $i=j$ loop |
| $m:=(i+j+1) / / 2$ | $m:=(i+j) / / 2$ |
| if $t$ @ $m<=x$ then | If $t$ @ $m<=x$ then |
| $i:=m+1$ | $i:=m+1$ |
| else | else |
| $j:=m$ | $j:=m$ |
| end | end |
| end | end |
| If $i>=1$ and $i<=n$ then | If $i>=1$ and $i<=n$ then |
| Result $:=(x=t$ @ $i)$ | Result $:=(x=t$ @ $i)$ |
| else | else |
| Result $:=$ false | Result := false |
| end | end |

4 implementations for binary search: published, but wrong!

See page 381 in Object Oriented Software Construction

## Correctness of Loops

How do we prove that the following loops are correct?

| QQ $\}$ |
| :--- |
| from |
| $S_{\text {init }}$ |
| until |
| $B$ |
| loop |
| $S_{\text {body }}$ |
| end |
| $\{R\}$ |

```
\{ Q \}
\(S_{\text {init }}\)
while ( \(\neg B\) )
    \(S_{\text {body }}\)
\}
\{ \(\boldsymbol{R}\) \}
```

- In case of C/Java, $\boxed{\neg B}$ denotes the stay condition.
- In case of Eiffel, $B$ denotes the exit condition.

There is native, syntactic support for checking/proving the total correctness of loops.

## Contracts for Loops: Syntax

```
from
    Sinit
invariant
    invariant_tag: l -- Boolean expression for partial correctness
until
    B
loop
    Sbody
variant
    variant_tag: V -- Integer expression for termination
end
```


## Contracts for Loops

- Use of loop invariants (LI) and loop variants (LV).
- Invariants: Boolean expressions for partial correctness.
- Typically a special case of the postcondition.
e.g., Given postcondition " Result is maximum of the array ":

LI can be " Result is maximum of the part of array scanned so far ".

- Established before the very first iteration.
- Maintained TRUE after each iteration.
- Variants: Integer expressions for termination
- Denotes the number of iterations remaining
- Decreased at the end of each subsequent iteration
- Maintained non-negative at the end of each iteration.
- As soon as value of $L V$ reaches zero, meaning that no more iterations remaining, the loop must exit.
- Remember:
total correctness $=$ partial correctness + termination


## Contracts for Loops: Runtime Checks (1)



## Contracts for Loops: Runtime Checks (2)

```
test
    local
        i: INTEGER
    do
        from
            i := 1
        invariant
            1 <= i and i <= 6
        until
            i > 5
    loop
        io.put_string ("iteration " + i.out + "%N")
        i := i + 1
        variant
            6-i
        end
end
```

L8: Change to $1<=i$ and $i<=5$ for a Loop Invariant Violation.
L15: Change to 5 - i for a Loop Variant Violation.

## Contracts for Loops: Visualization

Exit condition


Digram Source: page 5 in Loop Invariants: Analysis, Classification, and Examples

## Contracts for Loops: Example 1.1

```
find_max (a: ARRAY [INTEGER]): INTEGER
    local i: INTEGER
    do
        from
            i := a.lower ; Result := a[i]
        invariant
            loop_invariant: -- \forallj| a.lower }\leqj\leqi\bullet Result \geqa[j
                across a.lower |..| i as j all Result >= a [j.item] end
        until
            i > a.upper
        loop
            if a [i] > Result then Result := a [i] end
            i := i + 1
        variant
            loop_variant: a.upper - i + 1
            end
    ensure
            correct_result: -- \forallj| a.lower }\leqj\leqa.upper - Result \geqa[j
            across a.lower |..| a.upper as j all Result >= a [j.item]
    end
end
```


## Contracts for Loops: Example 1.2

Consider the feature call find_max $(\langle\langle 20,10,40,30\rangle\rangle)$, given:

- Loop Invariant: $\forall j \mid$ a.lower $\leq j \leq i$ • Result $\geq a[j]$
- Loop Variant: a.upper -i+1
After Iteration

| Initialization | 1 | 20 | $\checkmark$ | $\times$ | - |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1st | 2 | 20 | $\checkmark$ | $\times$ | 3 |
| 2nd | 3 | 20 | $\times$ | - | - |

Loop invariant violation at the end of the 2nd iteration:

$$
\forall j \mid \text { a.lower } \leq j \leq 3 \cdot 20 \geq a[j]
$$

evaluates to false $\because 20 \neq a[3]=40$

## Contracts for Loops: Example 2.1

```
find_max (a: ARRAY [INTEGER]) : INTEGER
    local i: INTEGER
    do
        from
            i := a.lower ; Result := a[i]
        invariant
            Ioop_invariant: -- \forallj| a.lower }\leqj<i\bullet Result \geqa[j
                across a.lower |..| (i - 1) as j all Result >= a [j.item] end
        until
            i > a.upper
        loop
            if a [i] > Result then Result := a [i] end
            i := i + 1
        variant
            loop_variant: a.upper - i
        end
    ensure
        correct_result: -- \forallj| a.lower }\leqj\leq\mathrm{ a.upper • Result }\geqa[j
            across a.lower |..| a.upper as j all Result >= a [j.item]
    end
end
```


## Contracts for Loops: Example 2.2

Consider the feature call find_max $(\langle\langle 20,10,40,30\rangle\rangle)$, given:

- Loop Invariant: $\forall j \mid$ a.lower $\leq j<i$ • Result $\geq a[j]$
- Loop Variant: a.upper - i

| AFTER ITERATION | i | Result | LI | ExIT (i> a.upper)? | LV |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Initialization | 1 | 20 | $\checkmark$ | $\times$ | - |
| 1st | 2 | 20 | $\checkmark$ | $\times$ | 2 |
| 2nd | 3 | 20 | $\checkmark$ | $\times$ | 1 |
| 3rd | 4 | 40 | $\checkmark$ | $\times$ | 0 |
| 4th | 5 | 40 | $\checkmark$ | $\checkmark$ | -1 |

Loop variant violation at the end of the 4th iteration
$\because$ a.upper - $i=4-5$ evaluates to non-zero.

## Contracts for Loops: Example 3.1

```
find_max (a: ARRAY [INTEGER]) : INTEGER
    local i: INTEGER
    do
        from
            i := a.lower ; Result := a[i]
        invariant
            Ioop_invariant: -- \forallj| a.lower }\leqj<i\bullet Result \geqa[j
                across a.lower |..| (i - 1) as j all Result >= a [j.item] end
        until
            i > a.upper
        loop
            if a [i] > Result then Result := a [i] end
            i := i + 1
        variant
            loop_variant: a.upper - i + 1
            end
    ensure
        correct_result: -- \forallj|a.lower }\leqj\leqa.upper . Result \geqa[j
            across a.lower |..| a.upper as j all Result >= a [j.item]
    end
end
```


## Contracts for Loops: Example 3.2

Consider the feature call find_max $(\langle\langle 20,10,40,30\rangle\rangle)$, given:

- Loop Invariant: $\forall j \mid$ a.lower $\leq j<i$ • Result $\geq a[j]$
- Loop Variant: a.upper - i+1
- Postcondition : $\forall j \mid$ a.lower $\leq j \leq$ a.upper $\bullet$ Result $\geq a[j]$

AFTER ITERATION

| Initialization | 1 | 20 | $\checkmark$ | $\times$ | - |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1st | 2 | 20 | $\checkmark$ | $\times$ | 3 |
| 2nd | 3 | 20 | $\checkmark$ | $\times$ | 2 |
| 3rd | 4 | 40 | $\checkmark$ | $\times$ | 1 |
| 4th | 5 | 40 | $\checkmark$ | $\checkmark$ | 0 |

## Contracts for Loops: Exercise

class DICTIONARY[V, K]
feature \{NONE\} -- Implementations
values: ARRAY[K]
keys: ARRAY[K]
feature -- Abstraction Function
model: FUN[K, V]
feature -- Queries
get_keys ( $v: V)$ : ITERABLE[ $K]$
local $i:$ INTEGER; $k s:$ LINKED_LIST[K] do
from $i$ := keys.lower ; create ks.make_empty
invariant ??
until $i>k e y s . u p p e r$
do if values[i] $\sim v$ then ks.extend(keys[i]) end end
Result := ks.new_cursor
ensure
result_valid: $\forall k \mid k \in$ Result • model.item $(k) \sim v$
no_missing_keys: $\forall k \mid k \in$ model.domain • model.item $(k) \sim v \Rightarrow k \in$ Result end

## Proving Correctness of Loops (1)

| $\{Q\} \quad$ | from |
| :--- | :--- |
|  | $S_{\text {init }}$ |
|  | invariant |
|  | $I$ |
|  | until |
|  | $B$ |
|  | loop |
|  | $S_{\text {body }}$ |
|  | variant |
|  | $V$ |
|  | end $\quad\{R\}$ |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |

- A loop is partially correct if:
- Given precondition $Q$, the initialization step $S_{\text {init }}$ establishes LI I.
- At the end of $S_{b o d y}$, if not yet to exit, $L / /$ is maintained.
- If ready to exit and $L / /$ maintained, postcondition $R$ is established.
- A loop terminates if:
- Given LI I, and not yet to exit, $S_{\text {body }}$ maintains $L V$ V as non-negative.
- Given $L I I$, and not yet to exit, $S_{b o d y}$ decrements LV V.


## Proving Correctness of Loops (2)

$\{Q\}$ from $S_{\text {init }}$ invariant I until $B$ loop $S_{\text {body }}$ variant $V$ end $\{R\}$

- A loop is partially correct if:
- Given precondition $Q$, the initialization step $S_{\text {init }}$ establishes LI I.

$$
\{Q\} S_{\text {init }}\{I\}
$$

- At the end of $S_{\text {body }}$, if not yet to exit, $L I I$ is maintained.

$$
\{I \wedge \neg B\} S_{\text {body }}\{I\}
$$

- If ready to exit and $L /$ / maintained, postcondition $R$ is established.

$$
1 \wedge B \Rightarrow R
$$

- A loop terminates if:
- Given $L I I$, and not yet to exit, $S_{\text {body }}$ maintains $L V V$ as non-negative.

$$
\{I \wedge \neg B\} S_{\text {body }}\{V \geq 0\}
$$

- Given LII, and not yet to exit, $S_{\text {body }}$ decrements $L V V$.

$$
\{I \wedge \neg B\} S_{\text {body }}\left\{V<V_{0}\right\}
$$

## Proving Correctness of Loops: Exercise (1.1)

## Prove that the following program is correct:

```
find_max (a: ARRAY [INTEGER]): INTEGER
    local i: INTEGER
    do
        from
            i := a.lower ; Result := a[i]
            invariant
            loop_invariant: }\forallj|\mathrm{ a.lower }\leqj<i\bullet Result \geqa[j
            until
            i > a.upper
            loop
            if a [i] > Result then Result := a [i] end
            i := i + 1
            variant
            loop_variant: a.upper - i + 1
            end
    ensure
            correct_result: }\forallj|\mathrm{ a.lower }\leqj\leqa.upper • Result \geqa[j
    end
end
```


## Proving Correctness of Loops: Exercise (1.2) ssowes

Prove that each of the following Hoare Triples is True.

1. Establishment of Loop Invariant:
```
{ True }
    i := a.lower
    Result := a[i]
{ \forallj|a.lower }\leqj<i\bulletResult \geqa[j] 
```

2. Maintenance of Loop Invariant:
```
{(\forallj| a.lower }\leqj<i\bulletResult \geqa[j])^\neg(i> a.upper) }
    if a [i] > Result then Result := a [i] end
    i := i + 1
{(\forallj| a.lower \leqj<i\bullet Result \geqa[j]) }
```

3. Establishment of Postcondition upon Termination:

$$
\begin{aligned}
& (\forall j \mid \text { a.lower } \leq j<i \bullet \text { Result } \geq a[j]) \wedge i>\text { a.upper } \\
& \quad \Rightarrow \forall j \mid \text { a.lower } \leq j \leq \text { a.upper } \bullet \text { Result } \geq a[j]
\end{aligned}
$$

## Proving Correctness of Loops: Exercise (1.3) hssovos

Prove that each of the following Hoare Triples is True.
4. Loop Variant Stays Non-Negative Before Exit:

```
{(\forallj| a.lower }\leqj<i\bullet\mathrm{ Result }\geqa[j])\wedge\neg(i> a.upper) 
    if a [i] > Result then Result := a [i] end
    i := i + 1
{ a.upper -i+1\geq0}
```

5. Loop Variant Keeps Decrementing before Exit:
```
{(\forallj| a.lower }\leqj<i\bullet\mathrm{ Result }\geqa[j])\wedge\neg(i> a.upper) 
    if a [i] > Result then Result := a [i] end
    i := i + 1
{ a.upper -i+1<(a.upper -i+1)0 }
```

where (a.upper $-i+1)_{0} \equiv$ a.upper ${ }_{0}-i_{0}+1$

## Proof Tips (1)

$$
\{Q\} s\{R\} \Rightarrow\{Q \wedge P\} s\{R\}
$$

In order to prove $\{Q \wedge P\} S\{R\}$, it is sufficient to prove a version with a weaker precondition: $\{Q\} S\{R\}$.

## Proof:

- Assume: $\{Q\}$ s $\{R\}$

It's equivalent to assuming: $Q \Rightarrow w p(s, R)$

- To prove: $\{Q \wedge P\} S\{R\}$
- It's equivalent to proving: $Q \wedge P \Rightarrow w p(s, R)$
- Assume: $Q \wedge P$, which implies $Q$
- According to (A1), we have $w p(S, R)$.


## Proof Tips (2)

When calculating $w p(S, R)$, if either program $s$ or postcondition $R$ involves array indexing, then $R$ should be augmented accordingly.
e.g., Before calculating $w p(s, a[i]>0)$, augment it as

$$
w p(s, \text { a.lower } \leq i \leq \text { a.upper } \wedge a[i]>0)
$$

e.g., Before calculating $w p(\mathrm{x}:=\mathrm{a}[\mathrm{i}], R)$, augment it as

$$
w p(\mathrm{x}:=\mathrm{a}[\mathrm{i}], \text { a.lower } \leq i \leq \text { a.upper } \wedge R)
$$

## Beyond this lecture

Exercise on proving the total correctness of a program:

```
https://www.eecs.yorku.ca/~ jackie/teaching/lectures/2020/F/
```


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