

#### Weak vs. Strong Assertions

[TRUE]

- Describe each assertion as *a set of satisfying value*.
  - x > 3 has satisfying values  $\{x \mid x > 3\} = \{4, 5, 6, 7, \dots\}$
  - x > 4 has satisfying values  $\{x \mid x > 4\} = \{5, 6, 7, ...\}$
- An assertion p is stronger than an assertion q if p's set of satisfying values is a subset of q's set of satisfying values.
  - Logically speaking, *p* being stronger than *q* (or, *q* being weaker than *p*) means  $p \Rightarrow q$ .
  - e.g.,  $x > 4 \Rightarrow x > 3$
- What's the weakest assertion?
- What's the strongest assertion?
- In Design by Contract :
  - A <u>weaker</u> invariant has more acceptable object states
     e.g., balance > 0 vs. balance > 100 as an invariant for ACCOUNT
  - e.g., valance > 0 vs. valance > 100 as an invaliant for ACCOUN
  - A <u>weaker</u> precondition has more acceptable input values
  - A <u>weaker</u> *postcondition* has more acceptable output values

# Motivating Examples (2)

#### Is this feature correct?

class FOO	
i: INTEGER	
increment_by_9	
require	
i > 5	İ
do	
i := i + 9	
ensure	
<i>i</i> > 13	
end	
end	

- **Q**: Is i > 5 too weak or too strong?
- A: Maybe too strong
- : assertion i > 5 disallows 5 which would not fail postcondition. Whether 5 should be allowed depends on the requirements.
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#### **Software Correctness**



• Correctness is a *relative* notion:

*consistency* of *implementation* with respect to *specification*.

- $\Rightarrow$  This assumes there is a specification!
- We introduce a formal and systematic way for formalizing a program **S** and its *specification* (pre-condition *Q* and

post-condition  $\mathbf{R}$ ) as a *Boolean predicate* :  $\{\mathbf{Q}\} \in \{\mathbf{R}\}$ 

- e.g.,  $\{i > 3\}$  i := i + 9  $\{i > 13\}$
- e.g.,  $\{i > 5\}$  i := i + 9  $\{i > 13\}$
- If  $\{Q\} \in \{R\}$  <u>can</u> be proved **TRUE**, then the **S** is <u>correct</u>.
- e. $\underline{g}$ ,  $\{i > 5\}$  i := i + 9  $\{i > 13\}$  can be proved TRUE.
- If  $\{Q\} \in \{R\}$  cannot be proved **TRUE**, then the **S** is incorrect. e.g.,  $\{i > 3\}$  i := i + 9  $\{i > 13\}$  cannot be proved TRUE.
  - e.g.,  $\{l > 3\}$  i := i + 9  $\{l > 13\}$  <u>cannot</u> be proved IRUE

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## **Hoare Logic and Software Correctness**



Consider the <u>contract view</u> of a feature f (whose body of implementation is **S**) as a Hoare Triple :

{ <b>Q</b> } S { <b>R</b> }	
<b>Q</b> is the precondition of f.	
s is the implementation of f.	
<b>R</b> is the <i>postcondition</i> of <i>f</i> .	
<ul> <li>{true} s {R}</li> </ul>	
All input values are valid	[ Most-user friendly ]
• { <i>false</i> } S { <i>R</i> }	
All input values are invalid	[ Most useless for clients ]
◦ { <b>Q</b> } S { <b>true</b> }	
All output values are valid [ Most risky f	or clients; Easiest for suppliers ]
<ul> <li>{Q} S {false}</li> </ul>	
All output values are invalid	[ Most challenging coding task ]
<ul> <li>{true} S {true}</li> </ul>	
All inputs/outputs are valid (No contract	ts) [Least informative ]
/ 01 45	

**Hoare Logic** 



- Consider a program S with precondition Q and postcondition R.
  - {**Q**} s {**R**} is a *correctness predicate* for program **S**
  - {**Q**} S {**R**} is TRUE if program **S** starts executing in a state satisfying the precondition **Q**, and then:

(a) The program S terminates.

(b) Given that program S terminates, then it terminates in a state satisfying the postcondition *R*.

- Separation of concerns
  - (a) requires a proof of *termination*.
  - (b) requires a proof of *partial correctness*.

Proofs of (a) + (b) imply *total correctness*.

Proof of Hoare Triple using wp



#### $\{\mathbf{Q}\} \le \{\mathbf{R}\} \equiv \mathbf{Q} \Rightarrow wp(\mathbf{S}, \mathbf{R})$

- wp(S, R) is the weakest precondition for S to establish R
- S can be:
  - Assignments (x := y)
  - Alternations (if ... then ... else ... end)
  - Sequential compositions ( $S_1$ ;  $S_2$ )
  - $\circ$  Loops (from  $\dots$  until  $\dots$  loop  $\dots$  end)
- We will learn how to calculate the *wp* for the above programming constructs.

## Hoare Logic A Simple Example



Given  $\{??\}n := n + 9\{n > 13\}$ :

- n > 4 is the *weakest precondition (wp)* for the given implementation (n := n + 9) to start and establish the postcondition (n > 13).
- Any precondition that is *equal to or stronger than* the *wp* (*n* > 4) will result in a correct program.

e.g.,  $\{n > 5\}n := n + 9\{n > 13\}$  can be proved **TRUE**.

 Any precondition that is *weaker than* the *wp* (*n* > 4) will result in an incorrect program.

e.g.,  $\{n > 3\}n := n + 9\{n > 13\}$  <u>cannot</u> be proved **TRUE**.

Counterexample: n = 4 satisfies precondition n > 3 but the output n = 13 fails postcondition n > 13.

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**Denoting New and Old Values** 



In the *postcondition*, for a program variable *x*:

- We write  $x_0$  to denote its *pre-state (old)* value.
- We write x to denote its *post-state (new)* value.
   Implicitly, in the *precondition*, all program variables have their *pre-state* values.

e.g.,  $\{b_0 > a\}$  b := b - a  $\{b = b_0 - a\}$ 

- Notice that:
  - We may choose to write "b" rather than " $b_0$ " in preconditions  $\therefore$  All variables are pre-state values in preconditions
  - We don't write "*b*<sub>0</sub>" in program
  - : there might be *multiple intermediate values* of a variable due to sequential composition



LASSONDE

 $wp(x := e, \mathbf{R}) = \mathbf{R}[x := e]$ 

R[x := e] means to substitute all *free occurrences* of variable x in postcondition *R* by expression *e*.

wp Rule: Assignments (2)



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$$\{\mathbf{Q}\} \le \{\mathbf{R}\} \equiv \mathbf{Q} \Rightarrow wp(\mathbf{S}, \mathbf{R})$$

How do we prove  $\{Q\} \times := e \{R\}$ ?

$$\{\mathbf{Q}\} \times := e \{\mathbf{R}\} \iff \mathbf{Q} \Rightarrow \underbrace{\mathbf{R}[x := e]}_{wp(x := e, \mathbf{R})}$$

#### wp Rule: Assignments (3) Exercise

LASSONDE

What is the weakest precondition for a program x := x + 1 to establish the postcondition  $x > x_0$ ?

 $\{??\} \times := \times + 1 \{x > x_0\}$ 

For the above Hoare triple to be **TRUE**, it must be that  $?? \Rightarrow wp(x := x + 1, x > x_0).$ 

 $wp(x := x + 1, x > x_0)$ 

- = {Rule of wp: Assignments}
  x > x\_0[x := x\_0 + 1]
- $= \{ Replacing \ x \ by \ x_0 + 1 \} \\ x_0 + 1 > x_0$
- = {1 > 0 always true} *True*

Any precondition is OK.

False is valid but not useful.

wp Rule: Assignments (4) Exercise

What is the weakest precondition for a program x := x + 1 to establish the postcondition  $x > x_0$ ?

 $\{??\} \times := \times + 1 \{x = 23\}$ 

For the above Hoare triple to be **TRUE**, it must be that  $?? \Rightarrow wp(x := x + 1, x = 23)$ .

$$wp(x := x + 1, x = 23)$$

$$= \{Rule of Wp: Assignments\}$$

$$x = 23[x := x_0 + 1]$$

$$= \{Replacing x by x_0 + 1\}$$

$$x_0 + 1 = 23$$

$$= \{arithmetic\}$$

$$x_0 = 22$$

Any precondition weaker than x = 22 is not OK.

wp Rule: Alternations (2) Recall:  $\{Q\} \le \{R\} \equiv Q \Rightarrow wp(S, R)$ How do we prove that  $\{Q\}$  if B then  $S_1$  else  $S_2$  end  $\{R\}$ ?  $\{Q\}$ if B then  $\{Q\land B\} \ S_1 \ \{R\}$ else  $\{Q\land -B\} \ S_2 \ \{R\}$ end  $\{R\}$ 

$$\{Q\} \text{ if } B \text{ then } S_1 \text{ else } S_2 \text{ end } \{R\}$$

$$\iff \begin{pmatrix} \{Q \land B\} \} S_1 \{R\} \\ \land \\ \{Q \land \neg B\} \} S_2 \{R\} \end{pmatrix} \iff \begin{pmatrix} (Q \land B) \Rightarrow wp(S_1, R) \\ \land \\ (Q \land \neg B) \Rightarrow wp(S_2, R) \end{pmatrix}$$

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$$wp(if \ B \ then \ S_1 \ else \ S_2 \ end, \ R) = \begin{pmatrix} B \Rightarrow wp(S_1, \ R) \\ \land \\ \neg B \Rightarrow wp(S_2, \ R) \end{pmatrix}$$

wp Rule: Alternations (1)

The *wp* of an alternation is such that *all branches* are able to establish the postcondition R.

## wp Rule: Alternations (3) Exercise



#### Is this program correct?





#### wp Rule: Sequential Composition (2)



#### Recall:

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$$\{Q\} \in \{R\} \equiv Q \Rightarrow wp(S, R)$$

How do we prove  $\{Q\} S_1$ ;  $S_2 \{R\}$ ?

$$\{\mathbf{Q}\} S_1 ; S_2 \{\mathbf{R}\} \iff \mathbf{Q} \Rightarrow \underbrace{wp(S_1, wp(S_2, \mathbf{R}))}_{wp(S_1; S_2, \mathbf{R})}$$

wp Rule: Sequential Composition (1)



 $wp(S_1 ; S_2, \mathbf{R}) = wp(S_1, wp(S_2, \mathbf{R}))$ 

The *wp* of a sequential composition is such that the first phase establishes the *wp* for the second phase to establish the postcondition R.

- = {wp rule for assignment}
  y > x
- $\therefore$  *True*  $\Rightarrow$  *y* > *x* does not hold in general.
- $\therefore$  The above program is not correct.

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- A loop is a way to compute a certain result by successive approximations.
  - e.g. computing the maximum value of an array of integers
- Loops are needed and powerful
- But loops very hard to get right:
  - Infinite loops
  - "off-by-one" error
  - Improper handling of borderline cases
  - Not establishing the desired condition
- [termination] partial correctness

LASSONDE

- [ partial correctness ]
- [ partial correctness ]

#### **Correctness of Loops**



#### How do we prove that the following loops are correct?



- In case of C/Java,  $|\neg B|$  denotes the *stay condition*.
- In case of Eiffel, *B* denotes the *exit condition*. There is native, syntactic support for checking/proving the total correctness of loops.





#### **Contracts for Loops**





#### Contracts for Loops: Runtime Checks (2)





Contracts for Loops: Runtime Checks (1) LASSONDE S<sub>init</sub> not / Invariant Violation В  $V \ge 0$ not **B** S<sub>body</sub>

V < 0

Variant Violation



## **Contracts for Loops: Example 1.1**



#### **Contracts for Loops: Example 2.1**



<pre>find_max (a: ARRAY [INTEGER]): INTEGER local i: INTEGER</pre>
do
from
<pre>i := a.lower ; Result := a[i]</pre>
invariant
$loop\_invariant: \forall j \mid a.lower \leq j < i \bullet Result \geq a[j]$
across a.lower    (i - 1) as j all Result >= a [j.item] end
until
i > a.upper
loop
if a [i] > Result then Result := a [i] end
i := i + 1
variant
loop_variant: <b>a.upper - i</b>
end
ensure
correct_result: ∀j  <b>a.lower≤j≤a.upper • Result≥a</b> [j]
across a.lower    a.upper as j all Result >= a [j.item]
end
end
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#### **Contracts for Loops: Example 1.2**



LASSONDE

Consider the feature call find\_max(  $\langle (20, 10, 40, 30) \rangle$ ), given:

- Loop Invariant:  $\forall j \mid a.lower \leq j \leq i$  Result  $\geq a[j]$
- Loop Variant: a.upper i + 1

AFTER ITERATION	i	Result	LI	EXIT ( <i>i</i> > <i>a.upper</i> )?	LV
Initialization	1	20	$\checkmark$	×	_
1st	2	20	$\checkmark$	×	3
2nd	3	20	×	_	_

*Loop invariant violation* at the end of the 2nd iteration:

$$\forall j \mid a.lower \leq j \leq 3 \bullet 20 \geq a[j]$$

evaluates to *false*  $\therefore$  20  $\nleq a[3] = 40$ 

## **Contracts for Loops: Example 2.2**



Consider the feature call find\_max(  $\langle \langle 20, 10, 40, 30 \rangle \rangle$  ), given:

- Loop Invariant:  $\forall j \mid a$ .lower  $\leq j < i$  Result  $\geq a[j]$
- Loop Variant: a.upper i

AFTER ITERATION	i	Result	LI	EXIT ( <i>i</i> > <i>a.upper</i> )?	LV
Initialization	1	20	$\checkmark$	×	_
1st	2	20	$\checkmark$	×	2
2nd	3	20	$\checkmark$	×	1
3rd	4	40	$\checkmark$	×	0
4th	5	40	$\checkmark$	$\checkmark$	-1

*Loop variant violation* at the end of the 2nd iteration  $\therefore$  *a.upper* – *i* = 4 – 5 evaluates to *non-zero*.

## **Contracts for Loops: Example 3.1**

<pre>find_max (a: ARRAY [INTEGER]): INTEGER</pre>
local <i>i</i> : INTEGER
do
from
i := a.lower; Result $:= a[i]$
invariant
$loop\_invariant: \forall j \mid a.lower \leq j < i \bullet Result \geq a[j]$
across a.lower    (i - 1) as j all Result >= a [j.item] end
until
i > a.upper
loop
<pre>if a [i] &gt; Result then Result := a [i] end</pre>
i := i + 1
variant
loop_variant: a.upper - i + 1
end
ensure
correct_result: ∀j a.lower≤j≤a.upper • Result≥a[j]
across a.lower    a.upper as j all Result >= a [j.item]
end
end
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#### **Contracts for Loops: Exercise**



LASSONDE

LASSONDE

#### **Contracts for Loops: Example 3.2**

LASSONDE

Consider the feature call find\_max(  $\langle (20, 10, 40, 30) \rangle$ ), given:

- Loop Invariant:  $\forall j \mid a$ . lower  $\leq j < i$  Result  $\geq a[j]$
- Loop Variant: a.upper i + 1
- **Postcondition**:  $\forall j \mid a.lower \leq j \leq a.upper Result \geq a[j]$

AFTER ITERATION	i	Result	LI	EXIT ( <i>i</i> > <i>a.upper</i> )?	LV
Initialization	1	20	$\checkmark$	×	_
1st	2	20	$\checkmark$	×	3
2nd	3	20	$\checkmark$	×	2
3rd	4	40	$\checkmark$	×	1
4th	5	40	$\checkmark$	$\checkmark$	0

**Proving Correctness of Loops (1)** 



- A loop is *partially correct* if:
  - Given precondition **Q**, the initialization step S<sub>init</sub> establishes **LI** I.
  - At the end of S<sub>body</sub>, if not yet to exit, LI I is maintained.
  - If ready to exit and *LI I* maintained, postcondition *R* is established.
- A loop *terminates* if:
  - Given *LI I*, and not yet to exit, *S*<sub>body</sub> maintains *LV V* as non-negative.
- Given *LI I*, and not yet to exit, *S*<sub>body</sub> decrements *LV V*.

#### **Proving Correctness of Loops (2)**





### Proving Correctness of Loops: Exercise (1.2)

Prove that each of the following *Hoare Triples* is TRUE.

1. Establishment of Loop Invariant:

```
{ True }

i := a.lower

Result := a[i]

{ \forall j \mid a.lower \leq j < i \bullet Result \geq a[j] }
```

2. Maintenance of Loop Invariant:

```
 \left\{ \begin{array}{l} (\forall j \mid a.lower \leq j < i \bullet Result \geq a[j]) \land \neg(i > a.upper) \end{array} \right\}  if a [i] > Result then Result := a [i] end i := i + 1  \left\{ \begin{array}{l} (\forall j \mid a.lower \leq j < i \bullet Result \geq a[j]) \end{array} \right\}
```

3. Establishment of Postcondition upon Termination:

```
(\forall j \mid a.lower \le j < i \bullet Result \ge a[j]) \land i > a.upper \\ \Rightarrow \forall j \mid a.lower \le j \le a.upper \bullet Result \ge a[j]
```

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## Proving Correctness of Loops: Exercise (1.1)

Prove that the following program is correct:

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```
find_max (a: ARRAY [INTEGER]): INTEGER
 local i: INTEGER
 do
   from
     i := a.lower ; Result := a[i]
   invariant
     loop_invariant: \forall j \mid a.lower \leq j < i \bullet Result \geq a[j]
   until
     i > a.upper
   100p
     if a [i] > Result then Result := a [i] end
    i := i + 1
   variant
     loop_variant: a.upper - i + 1
   end
 ensure
   correct_result: \forall j \mid a.lower \leq j \leq a.upper \bullet Result \geq a[j]
 end
end
```

## Proving Correctness of Loops: Exercise (1.3)

Prove that each of the following *Hoare Triples* is TRUE.

4. Loop Variant Stays Non-Negative Before Exit:

```
 \left\{ \begin{array}{l} (\forall j \mid a.lower \le j < i \bullet Result \ge a[j]) \land \neg(i > a.upper) \end{array} \right\}  if a \ [i] > Result then Result := a \ [i] end i \ := \ i \ + \ 1   \left\{ \begin{array}{l} a.upper - i + 1 \ge 0 \end{array} \right\}
```

5. Loop Variant Keeps Decrementing before Exit:

```
{ (\forall j \mid a.lower \le j < i \bullet Result \ge a[j]) \land \neg(i > a.upper) }
if a [i] > Result then Result := a [i] end
i := i + 1
{ a.upper - i + 1 < (a.upper - i + 1)_0 }
```

where  $(a.upper - i + 1)_0 \equiv a.upper_0 - i_0 + 1$ 

## Proof Tips (1)



LASSONDE

$$\{Q\} \mathrel{ imes} \{R\} \Rightarrow \{Q \land P\} \mathrel{ imes} \{R\}$$

In order to prove  $\{Q \land P\} \le \{R\}$ , it is sufficient to prove a version with a *weaker* precondition:  $\{Q\} \le \{R\}$ .

#### Proof:

• Assume: 
$$\{Q\} \le \{R\}$$
  
It's equivalent to assuming:  $Q \Rightarrow wp(S, R)$  (A1)  
• To prove:  $\{Q \land P\} \le \{R\}$   
• It's equivalent to proving:  $Q \land P \Rightarrow wp(S, R)$ 

- Assume:  $Q \land P$ , which implies Q
- According to (A1), we have  $wp(\overline{S, R})$ .

```
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```

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#### **Proof Tips (2)**

When calculating wp(S, R), if either program S or postcondition R involves array indexing, then R should be augmented accordingly.

e.g., Before calculating wp(S, a[i] > 0), augment it as

 $wp(S, a.lower \le i \le a.upper \land a[i] > 0)$ 

e.g., Before calculating wp(x := a[i], R), augment it as

 $wp(x := a[i], a.lower \le i \le a.upper \land R)$ 

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LASSONDE

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