Program Correctness

OOSC2 Chapter 11



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Weak vs. Strong Assertions

- Describe each assertion as a set of satisfying value.
 - x > 3 has satisfying values $\{x \mid x > 3\} = \{4, 5, 6, 7, ...\}$
 - x > 4 has satisfying values $\{ x \mid x > 4 \} = \{ 5, 6, 7, \dots \}$
- An assertion *p* is **stronger** than an assertion *q* if *p*'s set of satisfying values is a subset of *q*'s set of satisfying values.
 - Logically speaking, p being stronger than q (or, q being weaker than p) means $p \Rightarrow q$.
 - \circ e.g., $x > 4 \Rightarrow x > 3$
- What's the weakest assertion?

[TRUE]

What's the strongest assertion?

[FALSE]

- In *Design by Contract*:
 - A <u>weaker</u> <u>invariant</u> has more acceptable object states e.g., <u>balance</u> > 0 vs. <u>balance</u> > 100 as an invariant for ACCOUNT
 - A weaker precondition has more acceptable input values
 - A <u>weaker</u> postcondition has more acceptable output values

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Motivating Examples (1)



Is this feature correct?

Q: Is i > 3 is too weak or too strong?

A: Too weak

 \therefore assertion i > 3 allows value 4 which would fail postcondition.

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Motivating Examples (2)



Is this feature correct?

Q: Is i > 5 too weak or too strong?

A: Maybe too strong

: assertion i > 5 disallows 5 which would not fail postcondition. Whether 5 should be allowed depends on the requirements.

Software Correctness



- Correctness is a <u>relative</u> notion:
 <u>consistency</u> of <u>implementation</u> with respect to <u>specification</u>.
 - ⇒ This assumes there is a specification!
- We introduce a formal and systematic way for formalizing a program S and its specification (pre-condition Q and

```
post-condition R) as a Boolean predicate: Q S R
```

- e.g., $\{i > 3\}$ i := i + 9 $\{i > 13\}$
- \circ e.g., $\{i > 5\}$ i := i + $9\{i > 13\}$
- If $\{Q\}$ S $\{R\}$ can be proved TRUE, then the S is correct. e.g., $\{i > 5\}$ i := i + 9 $\{i > 13\}$ can be proved TRUE.
- If $\{Q\}$ s $\{R\}$ cannot be proved TRUE, then the S is incorrect. e.g., $\{i > 3\}$ i := i + 9 $\{i > 13\}$ cannot be proved TRUE.

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Hoare Logic



- Consider a program **S** with precondition **Q** and postcondition **R**.
 - {Q} s {R} is a correctness predicate for program S
 - {**Q**} S {**R**} is True if program **S** starts executing in a state satisfying the precondition **Q**, and then:
 - (a) The program S terminates.
 - **(b)** Given that program **S** terminates, then it terminates in a state satisfying the postcondition R.
- · Separation of concerns
 - (a) requires a proof of termination.
- (b) requires a proof of *partial correctness*.

Proofs of (a) + (b) imply **total** correctness.

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Hoare Logic and Software Correctness



Consider the *contract view* of a feature f (whose body of implementation is **S**) as a Hoare Triple:

$$\{Q\} \otimes \{R\}$$
 Q is the $precondition$ of f . S is the implementation of f . S is the implementation of f . S is the $postcondition$ of f .
$$\{true\} \otimes \{R\}$$
 All input values are valid $[Most-user\ friendly]$
$$\{false\} \otimes \{R\}$$
 All input values are invalid $[Most\ useless\ for\ clients]$
$$\{Q\} \otimes \{true\}$$
 All output values are valid $[Most\ risky\ for\ clients;\ Easiest\ for\ suppliers]$
$$\{Q\} \otimes \{false\}$$
 All output values are invalid $[Most\ risky\ for\ clients;\ Easiest\ for\ suppliers]$
$$\{Q\} \otimes \{false\}$$
 All output values are invalid $[Most\ challenging\ coding\ task]$
$$\{true\} \otimes \{true\}$$

Proof of Hoare Triple using wp

All inputs/outputs are valid (No contracts)



[Least informative]

$$\{Q\} S \{R\} \equiv Q \Rightarrow wp(S,R)$$

- wp(S, R) is the weakest precondition for S to establish R.
- S can be:

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- ∘ Assignments (x := y)
- ∘ Alternations (if ... then ... else ... end)
- Sequential compositions $(S_1 ; S_2)$
- ∘ Loops (from ... until ... loop ... end)
- We will learn how to calculate the wp for the above programming constructs.

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Hoare Logic A Simple Example

Given $\{??\}n := n + 9\{n > 13\}$:

- n > 4 is the weakest precondition (wp) for the given implementation (n := n + 9) to start and establish the postcondition (n > 13).
- Any precondition that is *equal to or stronger than* the *wp* (n > 4) will result in a correct program.

e.g.,
$$\{n > 5\}n := n + 9\{n > 13\}$$
 can be proved **TRUE**.

• Any precondition that is **weaker than** the wp (n > 4) will result in an incorrect program.

e.g.,
$$\{n > 3\}n := n + 9\{n > 13\}$$
 cannot be proved **TRUE**.

Counterexample: n = 4 satisfies precondition n > 3 but the output n = 13 fails postcondition n > 13.

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Denoting New and Old Values



In the postcondition, for a program variable x:

- We write x_0 to denote its **pre-state** (old) value.
- We write x to denote its post-state (new) value.
 Implicitly, in the precondition, all program variables have their pre-state values.

e.g.,
$$\{b_0 > a\}$$
 b := b - a $\{b = b_0 - a\}$

- · Notice that:
 - We may choose to write "b" rather than "b₀" in preconditions
 ∴ All variables are pre-state values in preconditions
 - \circ We don't write " b_0 " in program
 - \because there might be *multiple intermediate values* of a variable due to sequential composition

wp Rule: Assignments (1)



$$wp(x := e, R) = R[x := e]$$

R[x := e] means to substitute all *free occurrences* of variable x in postcondition R by expression e.

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wp Rule: Assignments (2)



Recall:

$$\{Q\} S \{R\} \equiv Q \Rightarrow wp(S,R)$$

How do we prove $\{Q\} \times := e\{R\}$?

$$\{Q\} \times := e \{R\} \iff Q \Rightarrow R[x := e]$$

$$wp(x := e, R)$$

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wp Rule: Assignments (3) Exercise

What is the weakest precondition for a program x := x + 1 to establish the postcondition $x > x_0$?

$$\{??\} \times := \times + 1 \{x > x_0\}$$

For the above Hoare triple to be *TRUE*, it must be that

??
$$\Rightarrow wp(x := x + 1, x > x_0).$$

$$wp(x := x + 1, x > x_0)$$

= {Rule of wp: Assignments}

$$x > x_0[x := x_0 + 1]$$

= {Replacing x by $x_0 + 1$ }
 $x_0 + 1 > x_0$
= {1 > 0 always true}

True

Any precondition is OK.

False is valid but not useful.

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wp Rule: Assignments (4) Exercise

What is the weakest precondition for a program x := x + 1 to establish the postcondition $x > x_0$?

$$\{??\} \times := \times + 1 \{x = 23\}$$

For the above Hoare triple to be *TRUE*, it must be that

??
$$\Rightarrow wp(x := x + 1, x = 23).$$

$$wp(x := x + 1, x = 23)$$
= {Rule of wp: Assignments}
 $x = 23[x := x_0 + 1]$
= {Replacing x by $x_0 + 1$ }
 $x_0 + 1 = 23$
= {arithmetic}
 $x_0 = 22$

Any precondition weaker than x = 22 is not OK.

wp Rule: Alternations (1)



$$wp(\texttt{if} \mid B \mid \texttt{then} \mid S_1 \mid \texttt{else} \mid S_2 \mid \texttt{end}, \mid R) = \begin{pmatrix} B \Rightarrow wp(S_1, \mid R) \\ \land \\ \neg \mid B \Rightarrow wp(S_2, \mid R) \end{pmatrix}$$

The wp of an alternation is such that *all branches* are able to establish the postcondition R.

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wp Rule: Alternations (2)



Recall: $\{Q\} \subseteq \{R\} \equiv Q \Rightarrow wp(S, R)$ How do we prove that $\{Q\}$ if B then S_1 else S_2 end $\{R\}$?



wp Rule: Alternations (3) Exercise

Is this program correct?

$$\begin{cases} \{(x > 0 \land y > 0) \land (x > y)\} \\ \text{bigger} := x ; \text{smaller} := y \\ \{bigger \ge smaller\} \end{cases}$$

$$\land \begin{cases} \{(x > 0 \land y > 0) \land \neg (x > y)\} \\ \text{bigger} := y ; \text{smaller} := x \\ \{bigger \ge smaller\} \end{cases}$$

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wp Rule: Sequential Composition (1)



$$wp(S_1 ; S_2, \mathbb{R}) = wp(S_1, wp(S_2, \mathbb{R}))$$

The wp of a sequential composition is such that the first phase establishes the wp for the second phase to establish the postcondition R.

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wp Rule: Sequential Composition (2)



Recall:

$$\{Q\} S \{R\} \equiv Q \Rightarrow wp(S,R)$$

How do we prove $\{Q\}$ S_1 ; S_2 $\{R\}$?

$$\{Q\}$$
 S_1 ; S_2 $\{R\}$ \iff $Q \Rightarrow \underbrace{wp(S_1, wp(S_2, R))}_{wp(S_1; S_2, R)}$

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wp Rule: Sequential Composition (3) Exercise sonde

```
Is { True } tmp := x; x := y; y := tmp { x > y } correct?

If and only if True \Rightarrow wp(tmp := x ; x := y ; y := tmp, x > y)

 wp(tmp := x ; x := y ; y := tmp, x > y)
 = \{ wp \ rule \ for \ seq. \ comp. \}
 wp(tmp := x, wp(x := y ; y := tmp, x > y))
 = \{ wp \ rule \ for \ seq. \ comp. \}
 wp(tmp := x, wp(x := y, wp(y := tmp, x > y)))
 = \{ wp \ rule \ for \ assignment \}
 wp(tmp := x, wp(x := y, x) > tmp))
 = \{ wp \ rule \ for \ assignment \}
 wp(tmp := x, y > tmp)
 = \{ wp \ rule \ for \ assignment \}
 wp(tmp := x, y > tmp)
 = \{ wp \ rule \ for \ assignment \}
 wp(tmp := x, y > tmp)
```

 \therefore *True* \Rightarrow y > x does not hold in general.

: The above program is not correct.

Loops



- A loop is a way to compute a certain result by successive approximations.
 - e.g. computing the maximum value of an array of integers
- Loops are needed and powerful
- But loops very hard to get right:
 - o Infinite loops
 - o "off-by-one" error
 - Improper handling of borderline cases
- [termination]
- [partial correctness] [partial correctness]
- Not establishing the desired condition [partial correctness]

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Loops: Binary Search



i := 1; j := n; found := falsei := I; j := nuntil i = j loop until i = j and not found loop m := (i + j) // 2if $t @ m \le x$ then if t @ m < x then i := m else i := m + 1elseif t @ m = x then j := mfound := true else end j := m - 1Result := (x = t @ i)end Result := found BS4 from i := 0; i := n + 1i := 0: i := nuntil i = j loop until i = j loopm := (i + i + I) // 2m := (i + j) // 2if $t @ m \le x$ then if $t @ m \le x$ then i := m + 1i := m + 1if $i \ge 1$ and $i \le n$ then if $i \ge 1$ and $i \le n$ then Result := (x = t @ i)Result := (x = t @ i)Result := false Result := false

4 implementations for binary search: published, but *wrong*!

See page 381 in *Object Oriented* Software Construction

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Correctness of Loops



How do we prove that the following loops are correct?

```
 \begin{cases} \mathcal{Q} \\ \text{from} \\ S_{init} \\ \text{until} \\ B \\ \text{loop} \\ S_{body} \\ \text{end} \\ \\ \{ \mathbf{R} \} \end{cases}
```

```
\{Q\}
S_{init}
\mathbf{while} (\neg B) \{
S_{body}
\}
\{R\}
```

- In case of C/Java, $\neg B$ denotes the *stay condition*.
- In case of Eiffel, B denotes the exit condition.
 There is native, syntactic support for checking/proving the total correctness of loops.

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Contracts for Loops: Syntax



```
from
    Sinit
    invariant
    invariant_tag: I -- Boolean expression for partial correctness
until
    B
loop
    Sbody
variant
    variant_tag: V -- Integer expression for termination
end
```

Contracts for Loops



- Use of *loop invariants (LI)* and *loop variants (LV)*.
 - *Invariants*: Boolean expressions for *partial correctness*.
 - Typically a special case of the postcondition.
 e.g., Given postcondition "Result is maximum of the array":

LI can be "Result is maximum of the part of array scanned so far".

- Established before the very first iteration.
- Maintained TRUE after each iteration.
- Variants: Integer expressions for termination
 - Denotes the *number of iterations remaining*
 - Decreased at the end of each subsequent iteration
 - Maintained *non-negative* at the end of each iteration.
 - As soon as value of LV reaches zero, meaning that no more iterations remaining, the loop must exit.
- Remember:

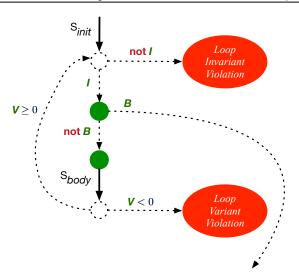
total correctness = partial correctness + termination

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Contracts for Loops: Runtime Checks (1)





Contracts for Loops: Runtime Checks (2)



```
1
    test
2
     local
3
       i: INTEGER
       from
         i := 1
       invariant
        1 <= i \text{ and } i <= 6
9
       until
10
        i > 5
11
       loop
12
         io.put_string ("iteration " + i.out + "%N")
13
         i := i + 1
14
       variant
15
         6 - i
16
       end
17
   end
```

L8: Change to 1 <= i and i <= 5 for a Loop Invariant Violation.

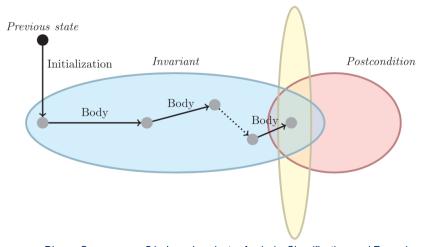
L10: Change to i > 0 to bypass the body of loop.

L15: Change to 5 - i for a *Loop Variant Violation*.

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Contracts for Loops: Visualization





Exit condition

Digram Source: page 5 in Loop Invariants: Analysis, Classification, and Examples



Contracts for Loops: Example 1.1

```
find_max (a: ARRAY [INTEGER]): INTEGER
 local i: INTEGER
 do
   from
    i := a.lower ; Result := a[i]
    loop_invariant: -- \forall j \mid a.lower \leq j \leq i \bullet Result \geq a[j]
      across a.lower |..| i as j all Result >= a [j.item] end
    i > a.upper
   1000
    if a [i] > Result then Result := a [i] end
    i := i + 1
   variant
    loop\_variant: a.upper - i + 1
 ensure
   correct\_result: -- \forall j \mid a.lower \leq j \leq a.upper \bullet Result \geq a[j]
    across a.lower |..| a.upper as j all Result >= a [j.item]
 end
end
```

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Contracts for Loops: Example 1.2



Consider the feature call find_max($\langle (20, 10, 40, 30) \rangle$), given:

- Loop Invariant: $\forall j \mid a.\overline{lower \leq j \leq i} \bullet Result \geq a[j]$
- Loop Variant: a.upper i + 1

AFTER ITERATION	i	Result	LI	EXIT (i > a.upper)?	LV
Initialization	1	20	_	×	_
1st	2	20	✓	×	3
2nd	3	20	×	_	_

Loop invariant violation at the end of the 2nd iteration:

$$\forall j \mid a.lower \leq j \leq \boxed{3} \bullet \boxed{20} \geq a[j]$$

evaluates to **false** : $20 \ngeq a[3] = 40$

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Contracts for Loops: Example 2.1



```
find_max (a: ARRAY [INTEGER]): INTEGER
 local i: INTEGER
   from
    i := a.lower ; Result := a[i]
    loop_invariant: -- \forall j \mid a.lower \leq j < i \bullet Result \geq a[j]
      across a.lower | ... | (i - 1) as j all Result >= a [j.item] end
    i > a.upper
   loop
    if a [i] > Result then Result := a [i] end
    i := i + 1
   variant
    loop_variant: a.upper - i
 ensure
   correct_result: -- \forall j \mid a.lower \leq j \leq a.upper \bullet Result \geq a[j]
    across a.lower |..| a.upper as j all Result >= a [j.item]
end
```

Contracts for Loops: Example 2.2



Consider the feature call find_max($\langle \langle 20, 10, 40, 30 \rangle \rangle$), given:

- Loop Invariant: ∀j | a.lower ≤ j < i Result ≥ a[j]
- Loop Variant a.upper i

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AFTER ITERATION	i	Result	LI	EXIT (i > a.upper)?	LV
Initialization	1	20	_	×	_
1st	2	20	✓	×	2
2nd	3	20	✓	×	1
3rd	4	40	✓	×	0
4th	5	40	✓	✓	-1

Loop variant violation at the end of the 2nd iteration \therefore a.upper – i = 4 - 5 evaluates to **non-zero**.



Contracts for Loops: Example 3.1

```
find_max (a: ARRAY [INTEGER]): INTEGER
 local i: INTEGER
 do
   from
    i := a.lower ; Result := a[i]
    loop_invariant: -- \forall j \mid a.lower \leq j < i \bullet Result \geq a[j]
      across a.lower | ... | (i - 1) as j all Result >= a [j.item] end
     i > a.upper
   1000
     if a [i] > Result then Result := a [i] end
    i := i + 1
   variant
     loop\_variant: a.upper - i + 1
 ensure
   correct\_result: -- \forall j \mid a.lower \leq j \leq a.upper \bullet Result \geq a[j]
     across a.lower |..| a.upper as j all Result >= a [j.item]
 end
end
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```

Contracts for Loops: Example 3.2



Consider the feature call find_max($\langle \langle 20, 10, 40, 30 \rangle \rangle$), given:

- Loop Invariant: ∀j | a.lower ≤ j < i Result ≥ a[j]
- Loop Variant: a.upper i + 1
- Postcondition: $\forall j \mid a.lower \leq j \leq a.upper \bullet Result \geq a[j]$

AFTER ITERATION	i	Result	LI	EXIT (i > a.upper)?	LV
Initialization	1	20	✓	×	_
1st	2	20	✓	×	3
2nd	3	20	_	×	2
3rd	4	40	✓	×	1
4th	5	40	√	✓	0

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Contracts for Loops: Exercise

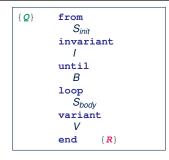


```
class DICTIONARY[V, K]
feature {NONE} -- Implementations
 values: ARRAY[K]
 keys: ARRAY[K]
feature -- Abstraction Function
 model: FUN[K, V]
feature -- Queries
 get_keys(v: V): ITERABLE[K]
   local i: INTEGER; ks: LINKED_LIST[K]
     from i := keys.lower ; create ks.make_empty
     invariant
    until i > keys.upper
     do if values[i] ~ v then ks.extend(keys[i]) end
    Result := ks.new_cursor
     result_valid: \forall k \mid k \in \text{Result} \bullet model.item(k) \sim V
    no_missing_keys: \forall k \mid k \in model.domain \bullet model.item(k) \sim v \Rightarrow k \in Result
```

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Proving Correctness of Loops (1)





- A loop is partially correct if:
 - Given precondition Q, the initialization step S_{init} establishes LI I.
 - At the end of S_{body} , if not yet to exit, **LI** I is maintained.
 - If ready to exit and *LI I* maintained, postcondition *R* is established.
- A loop *terminates* if:
 - Given LI I, and not yet to exit, S_{body} maintains LV V as non-negative.
 - Given **LI** I, and not yet to exit, S_{body} decrements **LV** V.



Proving Correctness of Loops (2)

- $\{Q\}$ from S_{init} invariant I until B loop S_{body} variant V end $\{R\}$
 - A loop is *partially correct* if:
 - Given precondition Q, the initialization step S_{init} establishes LII. $Q S_{init} \{I\}$
 - At the end of S_{body} , if not yet to exit, *LI I* is maintained.

$$\{I \land \neg B\} \ S_{body} \ \{I\}$$

• If ready to exit and LI I maintained, postcondition R is established.

$$I \wedge B \Rightarrow R$$

- A loop *terminates* if:
 - Given LII, and not yet to exit, S_{body} maintains LVIV as non-negative.

$$\{I \land \neg B\} \ S_{body} \ \{V \ge 0\}$$

• Given LII, and not yet to exit, S_{body} decrements LVV.

$$\{I \land \neg B\} \ S_{body} \ \{V < V_0\}$$

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Proving Correctness of Loops: Exercise (1.1) SSSONDE

Prove that the following program is correct:

```
find_max (a: ARRAY [INTEGER]): INTEGER
 local i: INTEGER
 do
   from
     i := a.lower ; Result := a[i]
   invariant
     loop_invariant: \forall j \mid a.lower \leq j < i \bullet Result \geq a[j]
   until
    i > a.upper
   1000
     if a [i] > Result then Result := a [i] end
    i := i + 1
   variant
     loop_variant: a.upper - i + 1
 ensure
   correct_result: \forall i \mid a.lower \leq i \leq a.upper \bullet Result \geq a[i]
 end
end
```

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Proving Correctness of Loops: Exercise (1.2) SSONDE



Prove that each of the following *Hoare Triples* is TRUE.

1. Establishment of Loop Invariant:

```
{ True }
i := a.lower
Result := a[i]
{ \forall j \mid a.lower \leq j < i \bullet Result \geq a[j] }
```

2. Maintenance of Loop Invariant:

```
 \left\{ \begin{array}{l} (\forall j \mid a.lower \leq j < i \bullet Result \geq a[j]) \land \neg (i > a.upper) \end{array} \right. \\ \textbf{if} \quad a \quad [i] \quad > \textbf{Result then Result} := a \quad [i] \quad \textbf{end} \\ i \quad := \quad i \quad + \quad 1 \\ \left\{ \begin{array}{l} (\forall j \mid a.lower \leq j < i \bullet Result \geq a[j]) \end{array} \right. \right\}
```

3. Establishment of Postcondition upon Termination:

```
(\forall j \mid a.lower \leq j < i \bullet Result \geq a[j]) \land i > a.upper

\Rightarrow \forall j \mid a.lower \leq j \leq a.upper \bullet Result \geq a[j]
```

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Proving Correctness of Loops: Exercise (1.3) ASSONDE



Prove that each of the following *Hoare Triples* is TRUE.

4. Loop Variant Stays Non-Negative Before Exit:

```
 \left\{ \begin{array}{l} (\forall j \mid a.lower \leq j < i \bullet Result \geq a[j]) \land \neg(i > a.upper) \end{array} \right. \\ \text{if } a \ [i] > \text{Result then Result } := a \ [i] \text{ end} \\ i := i + 1 \\ \left\{ \begin{array}{l} a.upper - i + 1 \geq 0 \end{array} \right. \right\}
```

5. Loop Variant Keeps Decrementing before Exit:

```
 \left\{ \begin{array}{l} (\forall j \mid a.lower \leq j < i \bullet Result \geq a[j]) \land \neg(i > a.upper) \end{array} \right\} \\ \text{if } a \ [i] > Result \ then \ Result := a \ [i] \ end \\ i := i + 1 \\ \left\{ \begin{array}{l} a.upper - i + 1 < (a.upper - i + 1)_0 \end{array} \right\}
```

where $(a.upper - i + 1)_0 \equiv a.upper_0 - i_0 + 1$

Proof Tips (1)



$${Q} S {R} \Rightarrow {Q \land P} S {R}$$

In order to prove $\{Q \land P\} \le \{R\}$, it is sufficient to prove a version with a *weaker* precondition: $\{Q\} \le \{R\}$.

Proof:

- Assume: {*Q*} S {*R*}
 - It's equivalent to assuming: $\mathbb{Q} \Rightarrow wp(S, R)$ (A1)
- To prove: {Q ∧ P} S {R}
 - It's equivalent to proving: $Q \land P \Rightarrow wp(S, R)$
 - Assume: $Q \wedge P$, which implies Q
 - According to **(A1)**, we have $wp(\overline{S}, R)$.

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Proof Tips (2)

When calculating wp(S, R), if either program S or postcondition R involves array indexing, then R should be augmented accordingly.

e.g., Before calculating wp(S, a[i] > 0), augment it as

$$wp(S, a.lower \le i \le a.upper \land a[i] > 0)$$

e.g., Before calculating wp(x := a[i], R), augment it as

$$wp(x := a[i], a.lower \le i \le a.upper \land R)$$

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Hoare Logic: A Simple Example

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wp Rule: Alternations (2)

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Loops

Loops: Binary Search

Correctness of Loops

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Contracts for Loops: Exercise

Proving Correctness of Loops (1)

Proving Correctness of Loops (2)

Proving Correctness of Loops: Exercise (1.1)

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Proving Correctness of Loops: Exercise (1.3)

Proof Tips (1)

Proof Tips (2)
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