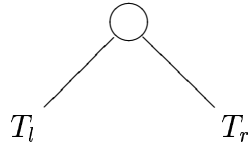


PROPOSITION 9.2 Let T be an AVL tree with n nodes and height h .

1. $n > 2^{\frac{h}{2}-1}$
2. $h < 2 \log(n) + 2$

PROOF

1. We prove this proposition by structural induction on T . The base case, where T consists of a single node, is trivial ($h = 0$ and $n = 1$). Next we consider the induction step. The AVL tree T is of the form



Since the subtrees T_l and T_r are smaller than T , by the induction hypothesis the claim holds for these subtrees. Assume that the subtree T_l (T_r) has n_l (n_r) nodes and height h_l (h_r). Because T has the height-balance property, we have that

- T_l and T_r both have height $h - 1$, or
- T_l has height $h - 1$ and T_r has height $h - 2$, or
- T_l has height $h - 2$ and T_r has height $h - 1$.

We only consider the last case. The others can be proved similarly.

$$\begin{aligned}
 n &= n_l + n_r + 1 \\
 &> 2^{\frac{h_l}{2}-1} + 2^{\frac{h_r}{2}-1} + 1 \quad [\text{induction}] \\
 &= 2^{\frac{h-2}{2}-1} + 2^{\frac{h-1}{2}-1} + 1 \quad [h_l = h - 2 \text{ and } h_r = h - 1] \\
 &> 2 \cdot 2^{\frac{h-2}{2}-1} \\
 &= 2^{\frac{h-2}{2}} \\
 &= 2^{\frac{h}{2}-1}.
 \end{aligned}$$

2. According to 1.,

$$\begin{aligned}
 n &> 2^{\frac{h}{2}-1} \\
 \Rightarrow \log(n) &> \frac{h}{2} - 1 \\
 \Rightarrow h &< 2 \log(n) + 2.
 \end{aligned}$$

□