

# EECS 3401 — AI and Logic Prog. — Lecture 11

Adapted from slides of Yves Lesperance

Vitaliy Batusov  
vbatusov@cse.yorku.ca

York University

October 28, 2020

- Today: **Search Algorithms**
- Required reading: Russell & Norvig Chapters 3.1–3.4

## Inputs:

- an **initial state**—a specific world state or a set of world states representing the agent's knowledge, etc.
- a **successor function**  $S(x)$  = a set of states that can be reached from state  $x$  via a single action
- a **goal test**—a function that can be applied to a state and returns *True* if the state satisfies the goal condition
- a **step cost function**  $C(x, a, y)$  which determines the cost of moving from state  $x$  to state  $y$  using action  $a$ .  
 $C(x, a, y) = \infty$  if  $a$  does not lead to  $y$  from  $x$

## Output:

- a sequence of states leading from the initial state to a state satisfying the goal test
- The sequence might be
  - annotated by the name of the actions used;
  - optimal in cost (for some algorithms)

## Obtaining the action sequence:

- The set of successors of a state  $x$  might arise from different actions, e.g.,
  - $x \Rightarrow a \Rightarrow y$
  - $x \Rightarrow b \Rightarrow z$
- Successor function  $S(x)$  yields a set of states that can be reached from  $x$  via any single action
- Rather than just return a set of states, we might want to annotate these states by the action used to obtain them:
  - $S(x) = \{\langle y, a \rangle, \langle z, b \rangle\}$   
 $y$  via action  $a$ ,  $z$  via action  $b$
  - $S(x) = \{\langle y, a \rangle, \langle y, b \rangle\}$   
 $y$  via action  $a$ , also  $y$  via action  $b$

# Tree Search

Tree Search: a way to explore the state space in a tree-like fashion

- Root = initial state
- *Frontier* = set of unexplored nodes/states (so far)
- Branch = action that is possible in current node/state + the resulting node/state

Use the successor state function to **expand** the current state

```
TreeSearch(Frontier, Successors, Goal?)
```

```
  if Frontier is empty
```

```
    return failure
```

```
  Current := select state from Frontier
```

```
  if Goal?(Current)
```

```
    return Current
```

```
  NewFrontier := (Frontier - {Current})
```

```
                + Successors(Current)
```

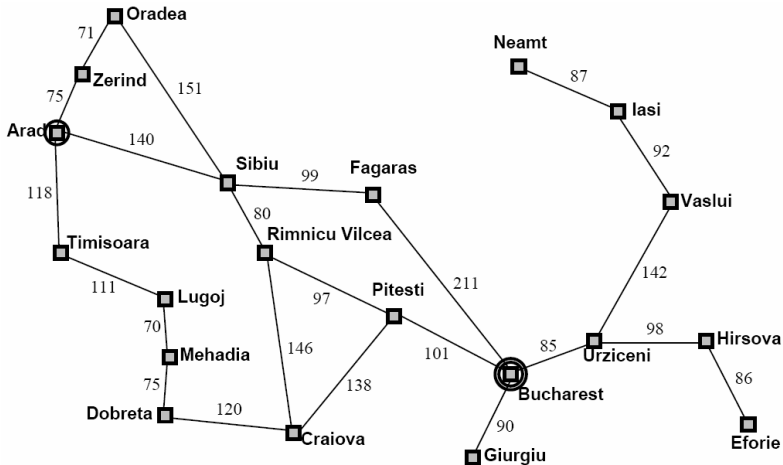
```
  return TreeSearch(NewFrontier, Successors, Goal?)
```

# Tree search in Prolog

```
treeS([[State|Path] | _], Soln) :-  
    Goal(State), reverse([State|Path], Soln).
```

```
treeS([[State|Path] | Frontier], Soln) :-  
    GenSuccessors(State, Path, NewPaths),  
    merge(NewPaths, Frontier, NewFrontier),  
    treeS(NewFrontier, Soln).
```

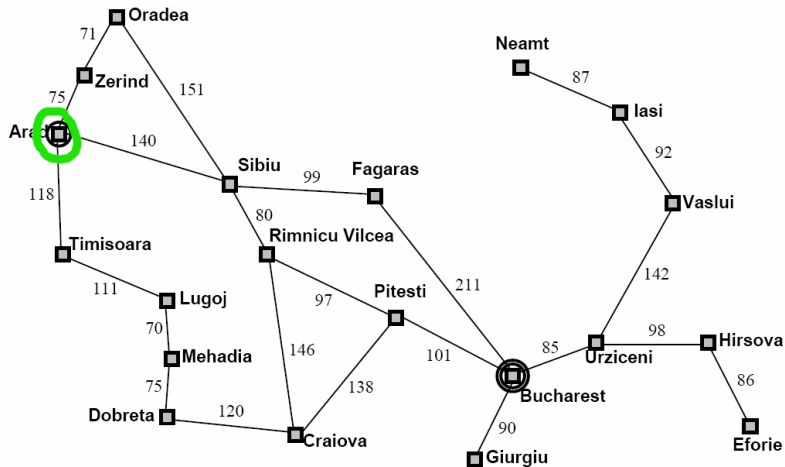
# Example: Travelling in Romania



Currently in Arad, need to get to Bucharest by tomorrow to catch a flight

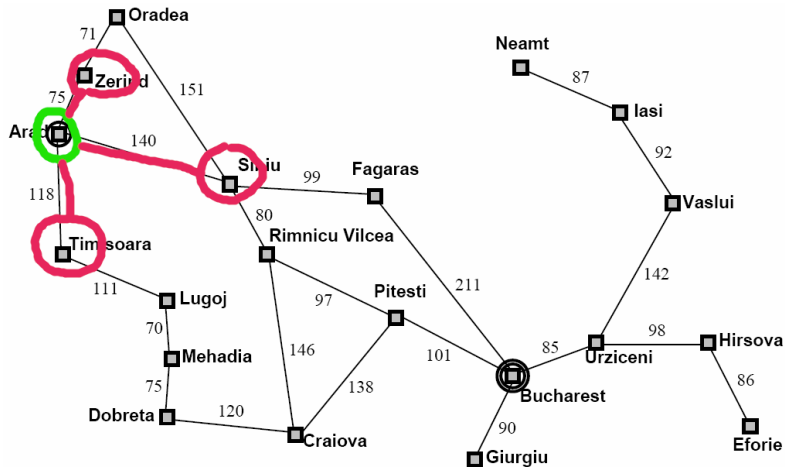


# Example: Travelling in Romania



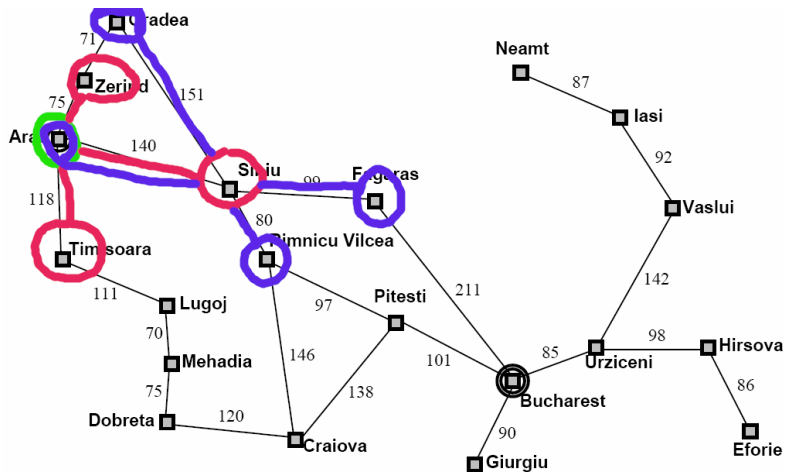
Frontier: {Arad}

# Example: Travelling in Romania



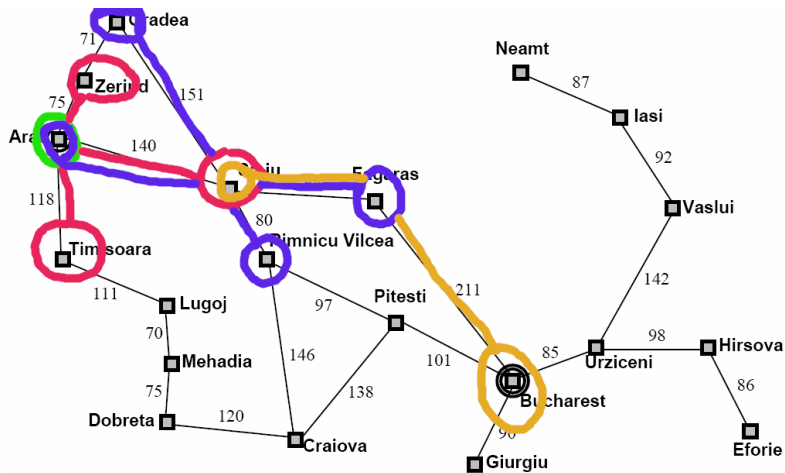
Frontier: {Zerind, Timisoara, Sibiu}

# Example: Travelling in Romania



Frontier: {Zerind, Timisoara, Arad, Oradea, **Fagaras**, Rimnicu Vilcea }

# Example: Travelling in Romania

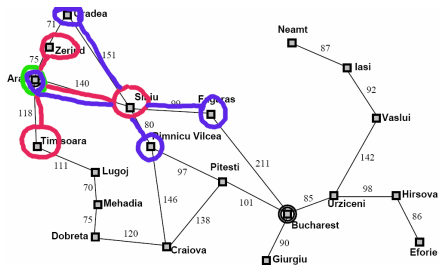


Frontier: { Zerind, Timisoara, Arad, Oradea, Sibiu, Bucharest, ... }

## Example: Travelling in Romania

- Solution: Arad–Sibiu–Fagaras–Bucharest
- Cost:  $140 + 99 + 211 = 450$
- That's not the only solution
- Alternative: Arad–Sibiu–Rimnicu Vilcea–Pitesti–Bucharest
- Cost:  $140 + 80 + 97 + 101 = 418$
- Alternative is cheaper!
  
- *Way of picking the next node to expand is important*

# Example: Travelling in Romania



- It gets worse with cycles
- Frontier: {Zerind, Timisoara, Arad, Oradea, Fagaras, Rimnicu Vilcea}
- What if we chose to expand Arad instead?
- Infinite search tree, no solution

The order of selecting frontier states to expand is of critical importance to

- whether the solution will be found at all
- the cost of the solution (if one is found)
- the time and space consumed by search.

# Critical Properties of Search

- **Completeness** — Will the search always find a solution if one exists?
- **Optimality** — Will the search always find the cheapest solution?
- **Time complexity** — What is the maximum number of nodes that can be generated or expanded?
- **Space complexity** — What is the maximum number of nodes that have to be stored in memory?



# Uninformed Search Strategies

- Adopt a fixed selection rule
- Rule is always the same regardless of the specific search problem
- Do not take into account any domain-specific information
- Popular uninformed search techniques:
  - Breadth-first
  - Uniform-cost
  - Depth-first
  - Depth-limited
  - Iterative-deepening

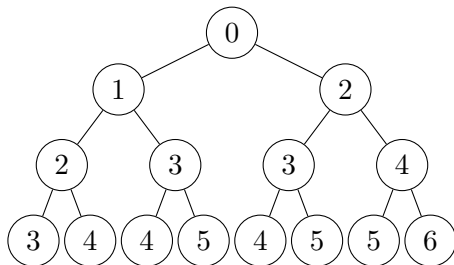
# Selecting versus Sorting

- The problem of selection can be reframed as the problem of sorting
- Commit to the following selection rule:
  - 1 Arrange frontier elements according to some order
  - 2 Always select the first element
- Then, the sorting criteria define the search strategy
- Will adopt this approach

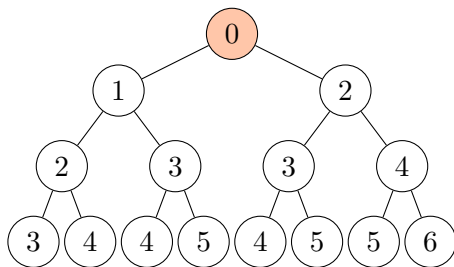
- Place the successors of the current state at the **end** of the frontier
- Then, frontier behaves as a First-In-First-Out queue
- Example:
  - Let the states be non-negative integers  $\{0, 1, 2, 3, \dots\}$
  - Successor state:  $S(n) = \{n + 1, n + 2\}$
  - Initial state: 0
  - Goal state: 5

# Example

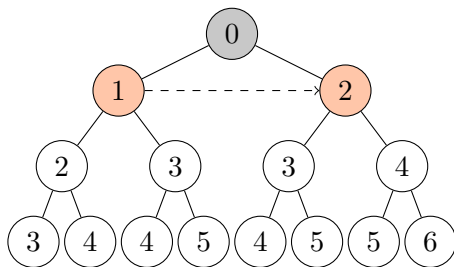
- Let the states be non-negative integers  $\{0, 1, 2, 3, \dots\}$
- Successor state:  $S(n) = \{n + 1, n + 2\}$
- Initial state: 0
- Goal state: 5



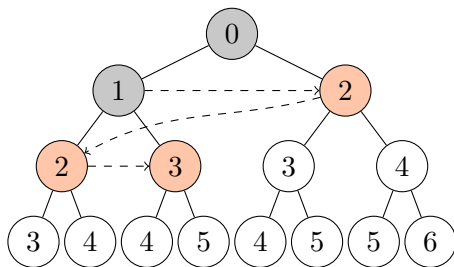
# Example



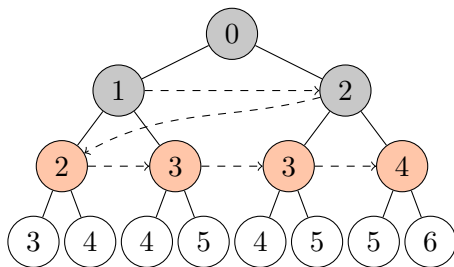
# Example



# Example



# Example





# Breadth-First Properties

**Time Complexity** — number of nodes generated (Let  $b$  the maximum number of children per node)

- Level 0 (root): 1
- Level 1:  $b$
- Level 2:  $b \cdot b = b^2$
- Level 3:  $b \cdot b^2 = b^3$
- ...
- Level  $d$ :  $b^d$
- Level  $d + 1$ :  $b^{d+1} - b = b(b^d - 1)$   
when last node in level  $d$  is the goal and does not need to be expanded
- **Total:**  $1 + b + b^2 + \dots + b^{d-1} + b^d + b(b^d - 1)$
- $O(b^{d+1})$  — exponential, so only works for small instances

**Space Complexity** — number of nodes stored

- $O(b^{d+1})$ : If the goal node is the last node at level  $d$ , all of the successors of the other nodes will be on the frontier when we get to the goal, i.e.,  $b(b^d - 1)$

## Optimality

- Will find the shortest solution
- Least-cost solution? Generally, **no**. Will if all step costs are equal.

# Breadth-First Properties

Space complexity is a real problem

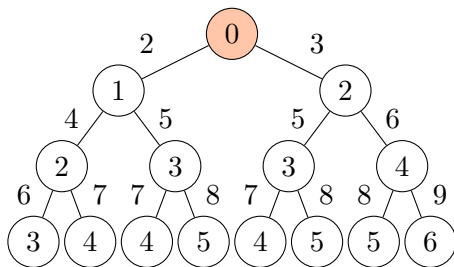
- Let  $b = 10$ , and say 1000 nodes can be expanded per second and each node requires 100 bytes of storage.

Depth	Nodes	Time	Memory
1	1	1 ms	100 bytes
6	$10^6$	18 min	111 MB
8	$10^8$	31 hrs	11 GB

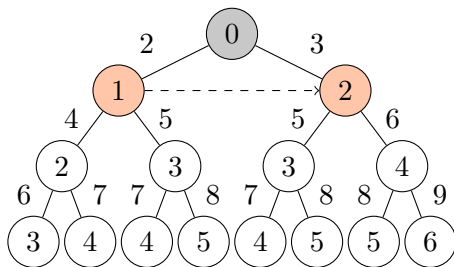
# Uniform-Cost Search

- Keep the frontier sorted in increasing cost of the path to a node (behaves like a priority queue)
- Always expand the least-cost node
- Identical to breadth-first if each transition has the same cost
- Example:
  - Let the states be non-negative integers  $\{0, 1, 2, 3, \dots\}$
  - Successor state:  $S(n) = \{n + 1, n + 2\}$
  - Action  $n + 1$  has cost 2, action  $n + 2$  has cost 3

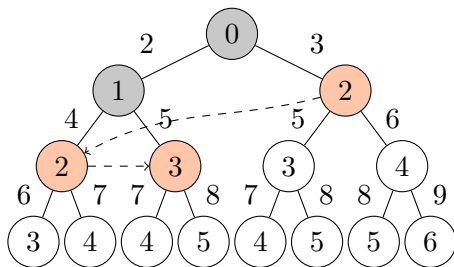
# Example



# Example

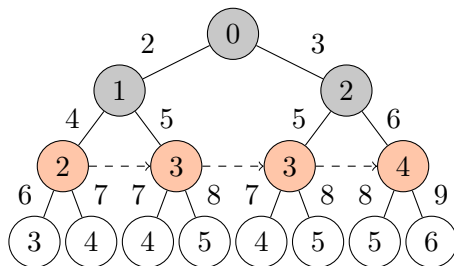


# Example

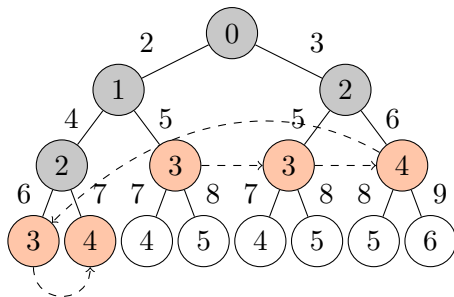




# Example



# Example



## Completeness

- Assume each transition has costs  $\geq \epsilon > 0$  (otherwise can have an infinite path with finite cost)
- The previous argument used for breadth-first search holds: the cost of the expanded state must increase monotonically
- Algorithm expands nodes in order of increasing path costs

## Time and Space Complexity

- $O\left(b^{\frac{C^*}{\epsilon}}\right)$ , where  $C^*$  is the cost of the optimal solution
- Difficulty is that there may be many long paths with cost  $\leq C^*$  — uniform cost search must explore them all

## Optimality

- If each transition has cost  $\geq \epsilon > 0$ , **finds optimal solution**
- Explores paths in the search space in increasing order of cost. Thus, must find minimum cost path to a goal before finding any higher cost paths.

# Uniform-Cost Search: proof of optimality

Let  $c(n)$  be the cost of the path to node  $n$ .

1. If node  $n_2$  is expanded after node  $n_1$ , then  $c(n_1) \leq c(n_2)$ .

Proof:

- If  $n_2$  was already on the frontier when  $n_1$  was expanded, then  $c(n_2) \geq c(n_1)$  (otherwise,  $n_1$  wouldn't have been selected for expansion)
- If  $n_2$  was added to the frontier as the result of expanding  $n_1$ , then  $c(n_2) \geq c(n_1)$  (since the path to  $n_2$  extends that to  $n_1$ )
- If  $n_2$  is a successor of a node  $n_3$  that was already on the frontier or added as the result of expanding  $n_1$ , then  $c(n_2) > c(n_3)$  and  $c(n_3) \geq c(n_1)$  by the previous arguments

# Uniform-Cost Search: proof of optimality

2. When  $n$  is expanded, every path with cost strictly less than  $c(n)$  has already been expanded.

Proof:

- Let  $\langle \text{Start}, n_0, n_1, \dots, n_k \rangle$  be a path with cost less than  $c(n)$ . Let  $n_i$  ( $0 \leq i < k$ ) be the last node on this path that has been expanded
- $n_{i+1}$  must be on the frontier, also  $c(n_{i+1}) < c(n)$  since the cost of the entire path to  $n_k$  is less than  $c(n)$
- But then uniform-cost would have expanded  $n_{i+1}$  and not  $n$
- Thus, every node on this path must already be expanded, i.e., this path has already been expanded.

2. The first time uniform-cost expands a state, it has found the minimal-cost path to it (it may later find other paths to the same state)

Proof:

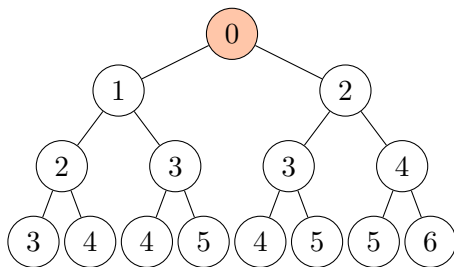
- No cheaper path exists, else that path would have been expanded before
- No cheaper path will be discovered later, as all those paths must be at least as expensive
- So, when a goal state is expanded, the path to it must be optimal



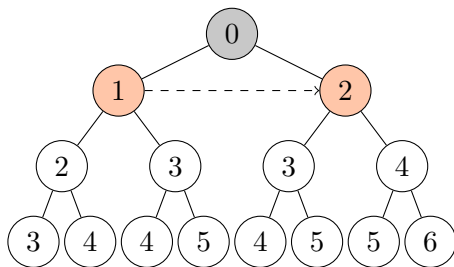
# Depth-First Search

- Place the successors of the current state at the **front** of the frontier
- Frontier behaves like a stack

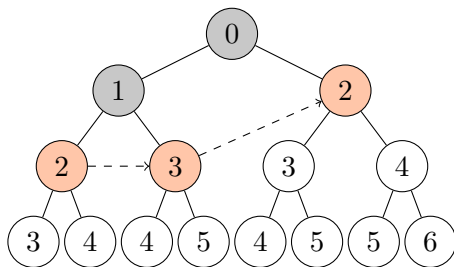
# Example



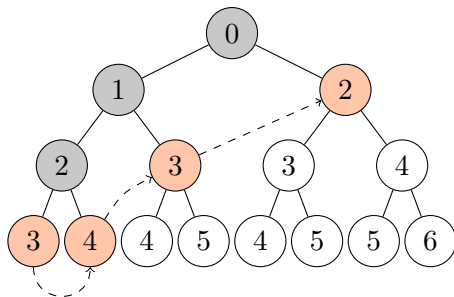
# Example



# Example



# Example



## Completeness? No

- Infinite paths cause incompleteness. Typically come from cycles in the search space.
- If we prune paths with duplicate states, we obtain completeness, provided that the search space is finite

## Optimality? No

- Can find goal along a longer branch

## Time Complexity

- $O(b^m)$ , where  $m$  is the length of the longest path in the state space
- Why? Worst case expands  $1 + b + b^2 + \dots + b^{m-1} + b^m = O(b^m)$  nodes (assuming no cycles)
  
- Very bad if  $m$  is much larger than  $d$ , but if there are many solution paths, depth-first can be much faster than breadth-first
- At each step, frontier nodes are backtrack points

## Space Complexity

- $O(b \cdot m)$  — linear space!
- Only explores one path at a time
- The frontier only contains the deepest states on the current path along with the backtrack points
- Can even reduce to  $O(m)$  if we generate siblings one at a time



- Next time: **Algorithms for Search continued**