

# EECS 2001N: Introduction to the Theory of Computation

**Suprakash Datta**  
Office: LAS 3043

Course page: <http://www.eecs.yorku.ca/course/2001N>  
Also on Moodle

# Other Languages that are not TM-recognizable

- $E_{TM} = \{\langle G \rangle \mid G \text{ is a TM with } L(G) = \emptyset\}$ 
  - This is co-TM recognizable  
Obvious strategy: if the language is non-empty, we can find the first string that is accepted ...
  - Is it TM-recognizable (and thus decidable)?  
Answer turns out to be NO
- $EQ_{TM} = \{\langle G, H \rangle \mid G, H \text{ are TM's with } L(G) = L(H)\}$ 
  - Is this co-TM recognizable?
  - Is it TM-recognizable?
  - Turns out both answers are NO

We need more tools to reason about these languages

# Easier Ways to Reason about Undecidable Problems

We will:

- Prove that the **Halting problem** is undecidable
- Do more examples of undecidable problems
- Try to get a general technique for proving undecidability

# The Halting Problem

- Recall: The acceptance problem for Turing Machines:

$$A_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM that accepts } w\}$$

was proved undecidable “from scratch”

- What about the Halting Problem:

$$HALT = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ halts on } w\}$$

- Given the similarity to the acceptance problem, can we leverage it and get a simpler proof?
- The answer is yes....

# The Halting Problem - 2

- Proof by contradiction. Suppose there is a TM  $H$  that decides  $HALT$
- Main idea: Use  $H$  as a helper method to get a TM  $S$  to decide  $A_{TM}$
- This implies that  $A_{TM}$  is decidable – Contradiction!
- Why is the acceptance problem not solvable by direct simulation?  
Because the simulation may never terminate!
- But  $H$  tells us if the simulation terminates, and  $H$  terminates!
- So if  $H$  says  $M$  does not terminate,  $M$  cannot accept  $w$ ; if  $H$  says  $M$  terminates, then just simulate  $M$  on  $w$

# The Halting Problem - Proof Details

$S$  on input  $\langle M, w \rangle$ :

- Run TM  $H$  on input  $\langle M, w \rangle$
- If  $H$  rejects, REJECT
- If  $H$  accepts, simulate  $M$  on  $w$  until it halts
- If  $M$  has accepted, ACCEPT, else REJECT

Be very careful: We used the solution to an unknown problem to solve a known undecidable problem. Cannot reverse that order

# The Emptiness Problem

$$E_{TM} = \{ \langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset \}$$

- We showed that  $E_{TM}$  is co-TM recognizable
- We will prove next that  $E_{TM}$  is undecidable
- Intuition: You cannot solve this problem UNLESS you solve the halting problem!!
- But this is hard to formalize, so we use  $A_{TM}$  instead

Note: We now have 2 provably undecidable problems and can leverage either

# $E_{TM}$ is Undecidable

- Proof by contradiction. Suppose there is a TM  $R$  that decides  $E_{TM}$
- Main idea: Use  $R$  as a helper method to get a TM  $S$  to decide  $A_{TM}$
- Very clever construction:  
Given a TM  $M$  and input  $w$ , define a new TM  $M'$ :  
If  $x \neq w$ , reject  
If  $x = w$ , accept iff  $M$  accepts  $w$
- Idea:  $M'$  is empty iff  $M$  accepts  $w$



## $E_{TM}$ is Undecidable - 2

The machine  $S$  that decides  $A_{TM}$  is as follows

On input  $\langle M, w \rangle$

- Construct  $M'$  as in the last slide
- Run TM  $R$  on input  $\langle M' \rangle$
- If  $R$  accepts, REJECT  
Else If  $R$  rejects, ACCEPT

# $EQ_{TM}$ is Undecidable

$$EQ_{TM} = \{ \langle M_1, M_2 \rangle \mid M_1, M_2 \text{ are TM's and } L(M_1) = L(M_2) \}$$

- Idea: if this is decidable, then we can solve  $E_{TM}$ ! (You need to check equality with TM  $M_1$  that rejects all inputs)
- Assume  $R$  decides  $EQ_{TM}$ . Use  $R$  to design TM  $S$  to decide  $E_{TM}$

$S$ : = On input  $\langle M \rangle$

- Construct  $M_1$  that rejects every input
- Run TM  $R$  on input  $\langle M, M_1 \rangle$
- If  $R$  accepts, ACCEPT;  
Else If  $R$  rejects, REJECT

# Recap

- The first undecidable proof was hard – used diagonalization/self-reference
- For the rest, we assumed decidable and used it as a subroutine to design TM's that decide known undecidable problems
- Q: Can we make this technique more structured?
- We still have not shown that  $EQ_{TM}$  is not TM-recognizable, and that  $EQ_{TM}$  is not co-TM-recognizable