

# EECS 3101 A: Design and Analysis of Algorithms

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Course page: <http://www.eecs.yorku.ca/course/3101A>  
Also on Moodle

# Optimal Matrix Multiplication

- Recall: Two matrices,  $A$ :  $n \times m$  matrix, and  $B$ :  $m \times k$  matrix, can be multiplied to get  $C$  with dimensions  $n \times k$ , using  $nmk$  scalar multiplications
- Matrix multiplication is associative:  $(AB)C = A(BC)$
- Order of multiplication affects efficiency:  
e.g.:  $A_1 = 20 \times 30$ ,  $A_2 = 30 \times 60$ ,  $A_3 = 60 \times 40$ ,  
 $((A_1 A_2) A_3) : 20 \times 30 \times 60 + 20 \times 60 \times 40 = 84000$   
 $(A_1 (A_2 A_3)) : 20 \times 30 \times 40 + 30 \times 60 \times 40 = 96000$
- Problem: compute  $A_1 A_2 \dots A_n$  using the fewest number of multiplications

## Alternative View: Optimal Parenthesization

- Consider  $A \times B \times C \times D$ , where  $A$  is  $30 \times 1$ ,  $B$  is  $1 \times 40$ ,  $C$  is  $40 \times 10$ ,  $D$  is  $10 \times 25$
- Costs:
  - $(AB)C)D = 1200 + 12000 + 7500 = 20700$
  - $(AB)(CD) = 1200 + 10000 + 30000 = 41200$
  - $A((BC)D) = 400 + 250 + 750 = 1400$
- We need to optimally parenthesize  $A_1 \times A_2 \times \dots \times A_n$ , where  $A_i$  is a  $d_{i-1} \times d_i$  matrix

## Optimal Parenthesization: Details

Let  $M(i, j)$  be the minimum number of multiplications necessary to compute  $\prod_{k=i}^j A_k$

Observations:

- The outermost parenthesis partition the chain of matrices  $(i, j)$  at some  $k$ , ( $i \leq k < j$ ):  $(A_i \dots A_k)(A_{k+1} \dots A_j)$
- The optimal parenthesization of matrices  $(i, j)$  has optimal parenthesizations on either side of  $k$ , i.e., for matrices  $(i, k)$  and  $(k + 1, j)$
- Since we do not know  $k$ , we try all possible values

## Optimal Parenthesization: Details - 2

Recurrence:

$$M(i, i) = 0, \text{ and for } j > i,$$

$$M(i, j) = \min_{i \leq k < j} \{M(i, k) + M(k + 1, j) + d_{i-1}d_kd_j\}$$

- A direct recursive implementation takes exponential time – there is a lot of duplicated work (why?)
- But there are only  $\binom{n}{2} + n = \Theta(n^2)$  different sub-problems  $(i, j)$ , where  $1 \leq i \leq j \leq n$
- Thus, it requires only  $\Theta(n^2)$  space to store the optimal cost  $M(i, j)$  for each of the sub-problems: about half of a 2-d array  $M[1..n, 1..n]$ .

# Optimal Parenthesization: Details - 3

## Steps of the solution

- Which array element has the final solution?  $M[1, n]$
- Which array elements can be initialized directly?  $M[i, i]$   
for  $1 \leq i \leq n$
- What order should the table be filled?  
Tricky: the RHS of the recurrence must be available when  
LHS is evaluated  
So, the table must be filled diagonally

## Optimal Parenthesization: Details - 4

Algorithm: Starting with the main diagonal, and proceeding diagonally, fill the upper triangular half of the table

- Complexity: Each entry is computed in  $O(n)$  time, so  $O(n^3)$  algorithm. Argue that it is  $\Theta(n^3)$
- A simple recursive algorithm  
*Print – Optimal – Parenthesization*( $c, i, j$ ) can be used to reconstruct an optimal parenthesization.  
For this need to record the minimum  $k$  found for each table entry
- Can also use memoized recursion

Exercise: Hand run the algorithm on  $d = [10, 20, 3, 5, 30]$

## Comments about Dynamic Programming

- Compute the value of an optimal solution in a bottom-up fashion, so that you always have the necessary sub-results pre-computed (or use memoization)
- Construct an optimal solution from computed information (which records a sequence of choices made that lead to an optimal solution)
- Let us study when this works



# When does Dynamic Programming Work?

To apply dynamic programming, we have to:

- Show **optimal substructure** property – an optimal solution to the problem contains within it optimal solutions to sub-problems
- This is a subtle point. It involves taking an optimal solution and checking that subproblems are solved optimally
- The easiest way is to use a “cut-and-paste” argument
- Best seen through examples

# Longest Common Subsequence (LCS)

## Background:

- Computing the similarity between strings is useful in many applications and areas: e.g. spell checkers, test retrieval, bioinformatics
- Different applications require different notions of similarity
- The longest common subsequence is one measure of similarity
- Dynamic programming is useful for computing other measures as well

## LCS : definitions

- $Z$  is a subsequence of  $X$ , if it is possible to generate  $Z$  by skipping zero or more characters from  $X$
- For example:  $X = \text{"ACGGTTA"} , Y = \text{"CGTAT"} ,$   
 $LCS(X, Y) = \text{"CGTA"} \text{ or } \text{"CGTT"}$
- To solve a LCS problem we have to find "skips" that generate  $LCS(X, Y)$  from  $X$ , and "skips" that generate  $LCS(X, Y)$  from  $Y$

## LCS: Optimal Substructure

Subtle point: depends on the definition of subproblems.

Here we define  $LCS(i, j)$  as the subproblem – this is the LCS of  $X[1..i], Y[1..j]$

- Let  $Z[1..k]$  be the LCS of  $X[1..m]$  and  $Y[1..n]$
- If  $X[m] = Y[n]$ , then  $Z[k] = X[m] = Y[n]$ . Is  $Z[1..(k-1)]$  an LCS of  $X[1..(m-1)], Y[1..(n-1)]$ , i.e.,  $LCS(m-1, n-1)$ ?
- If  $X[m] \neq Y[n]$  and  $Z[k] \neq X[m]$ , then  $Z = LCS(m-1, n)$ ?
- If  $X[m] \neq Y[n]$  and  $Z[k] \neq Y[n]$ , then  $Z = LCS(m, n-1)$ ?
- “Cut-and-paste” argument in each of the last 3 steps

## LCS: Recurrence

Let  $c[i, j] = |LCS(i, j)|$

$c[i, j] = 0$  if  $i = 0$  or  $j = 0$

$c[i, j] = c[i - 1, j - 1] + 1$  if  $i, j > 0$  and  $X[i] = Y[j]$

$c[i, j] = \max(c[i - 1, j], c[i, j - 1])$  if  $i, j > 0$  and  $X[i] \neq Y[j]$

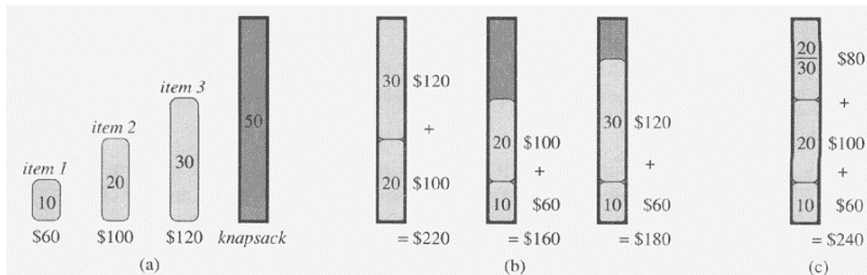
- Order of filling cells?
- Complexity?  
Constant work per cell
- Actual LCS can be generated by remembering which choice gave the maximum, as before

Exercise: Compute LCS of  $X = \text{"ACGGTTA"}$ ,  $Y = \text{"CGTAT"}$

# The Knapsack Problem

- Given different items  $(w_i, v_i)$ ,  $i = 1, \dots, n$ , take as much of each as required so that:
  - The total weight capacity  $W$  of the knapsack is not exceeded
  - The payoff  $V$  from the items is maximized
- Two versions:
  - Continuous: can take real-valued amounts of each item
  - Discrete or 0/1: each item must be taken or not taken (no fractional quantities)
- A simple greedy algorithm works for the continuous version (Ch 16)  
Algorithm: Take as much as possible of the most valuable item and continue until the capacity is filled

# 0/1 Knapsack: the Greedy Algorithm Fails



**Figure 16.2** The greedy strategy does not work for the 0-1 knapsack problem. (a) The thief must select a subset of the three items shown whose weight must not exceed 50 pounds. (b) The optimal subset includes items 2 and 3. Any solution with item 1 is suboptimal, even though item 1 has the greatest value per pound. (c) For the fractional knapsack problem, taking the items in order of greatest value per pound yields an optimal solution.

# 0/1 Knapsack: Optimal Substructure and Recurrence

- Optimal substructure: Suppose we know that item  $n$  is selected. Then the solution of the subproblem for capacity  $W - w_n$  must be optimal
- Subproblem:  $c[i, w] = \max$  value of knapsack of capacity  $w$  using items 1 through  $i$
- Recurrence:  
 $c[i, w] = 0$  if  $i = 0$  or  $w = 0$   
 $c[i, w] = c[i - 1, w]$  if  $w_i > w, i > 0$   
 $c[i, w] = \max[v_i + c[i - 1, w - w_i], c[i - 1, w]]$  if  $i > 0, w \geq w_i$



## 0/1 Knapsack: Details

- Which array element has the final solution?  $c[n, W]$
- Which array elements can be initialized directly?  $c[i, w]$  for  $i = 0$  or  $w = 0$
- What order should the table be filled?
- Complexity?  
Is this a polynomial time algorithm?
- How do you get the actual solution?

# More Dynamic Programming Problems

- Longest increasing subsequence
- Coin changing
- Snowboarding problem
- More problems in homework, tutorials

# Longest Increasing Subsequence

To apply dynamic programming, we have to:

- Given an array of distinct integers, to find the longest increasing subsequence.
- Subproblems?
- Recurrence?
- Alternative Solution: Use LCS!

# Coin changing

- Given an amount and a set of denominations, to make change with the fewest number of coins.
- Subproblems?
- Recurrence?

# A Grid Problem

Counting number of paths in a grid with blocked intersections

- Not an optimization problem
  
  
  
  
  
  
  
  
  
  
- Similar strategy to previous problems

## A More Difficult Problem

- The snow boarding problem : Find the longest path on a grid. One can slide down from one point to a connected other one if and only if the height decreases. One point is connected to another if it's at left, at right, above or below it.

- Example:

1	2	3	4	5
16	17	18	19	6
15	24	25	20	7
14	23	22	21	8
13	12	11	10	9

- What order to fill the table?