

# EECS 3101 M: Design and Analysis of Algorithms

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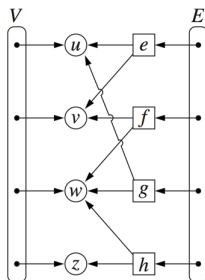
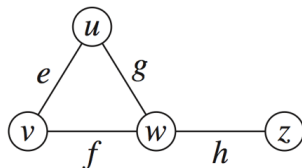
Course page: <http://www.eecs.yorku.ca/course/3101M>  
Also on Moodle

# Graph Representations

- Edge list
- Adjacency list
- Adjacency matrix

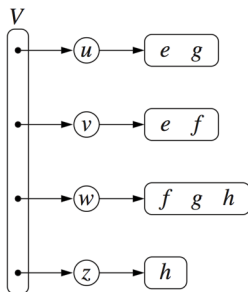
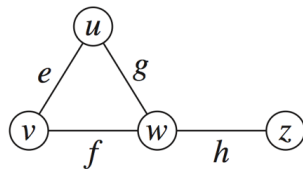
# Edge Lists

- Vertex object: reference to position in vertex sequence
- Edge object: origin vertex object, destination vertex object, reference to position in edge sequence
- Vertex sequence: sequence of vertex objects
- Edge sequence: sequence of edge objects



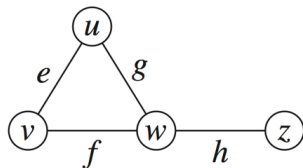
# Adjacency Lists

- Incidence sequence for each vertex: sequence of references to edge objects of incident edges
- Augmented edge objects: references to associated positions in incidence sequences of end vertices



# Adjacency Matrix

- Edge list structure
- Augmented vertex objects:  
Integer key (index) associated with vertex
- 2D-array adjacency array:  
Reference to edge object for adjacent vertices, null for non adjacent vertices
- The “old fashioned” version just has 0 for no edge and 1 for edge



		0	1	2	3
$u \longrightarrow$	0		$e$	$g$	
$v \longrightarrow$	1	$e$		$f$	
$w \longrightarrow$	2	$g$	$f$		$h$
$z \longrightarrow$	3			$h$	

# Performance

<ul style="list-style-type: none"> <li><math>n</math> vertices, <math>m</math> edges</li> <li>no parallel edges</li> <li>no self-loops</li> </ul>	Edge List	Adjacency List	Adjacency Matrix
Space	$n + m$	$n + m$	$n^2$
<code>incidentEdges(<math>v</math>)</code>	$m$	$\deg(v)$	$n$
<code>areAdjacent(<math>v, w</math>)</code>	$m$	$\min(\deg(v), \deg(w))$	1
<code>insertVertex(<math>o</math>)</code>	1	1	$n^2$
<code>insertEdge(<math>v, w, o</math>)</code>	1	1	1
<code>removeVertex(<math>v</math>)</code>	$m$	$\deg(v)$	$n^2$
<code>removeEdge(<math>e</math>)</code>	1	1	1

# Minimum Spanning Trees (MST)

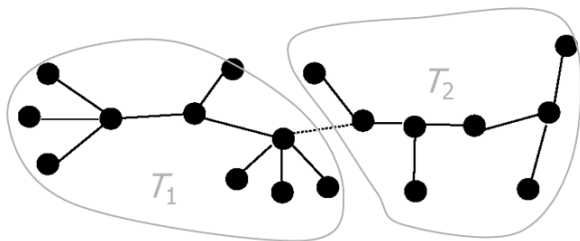
- Undirected, connected graph  $G = (V, E)$
- Weight function  $w : E \rightarrow \mathbb{R}$  (assigning cost or length or other values to edges)
- Spanning tree: tree that connects all vertices
- Minimum spanning tree: tree  $T$  that connects all the vertices and minimizes  $w(T) = \sum_{(u,v) \in T} w(u, v)$

# Minimum Spanning Trees: Questions

- Is DP applicable?
- Is a greedy strategy applicable?



# MST: Optimal Substructure



- Removing the edge  $(u, v)$  partitions  $T$  into  $T_1$  and  $T_2$ :  
 $w(T) = w(T_1) + w(T_2) + w(u, v)$
- We claim that  $T_1$  is the MST of  $G_1 = (V_1, E_1)$ , the subgraph of  $G$  induced by vertices in  $T_1$ .
- Similarly,  $T_2$  is the MST of  $G_2$

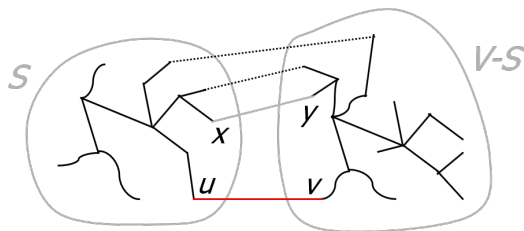
# MST: Greedy Choice Property

Greedy choice property: locally optimal (greedy) choice yields a globally optimal solution

Theorem:

- Let  $G = (V, E)$ , and let  $S \subseteq V$  and
- Let  $(u, v)$  be min-weight edge in  $G$  connecting  $S$  to  $V - S$
- Then  $(u, v) \in T$  for some MST  $T$  of  $G$

# MST: Proof of Greedy Choice Property



- Let  $(u, v)$  be min-weight edge in  $G$  connecting  $S$  to  $V-S$ ; suppose  $(u, v) \notin T$
- look at path from  $u$  to  $v$  in  $T$
- swap  $(x, y)$ , the first edge on path from  $u$  to  $v$  in  $T$  that crosses from  $S$  to  $V-S$ , with  $(u, v)$
- this decreases the cost of  $T$ : contradiction ( $T$  supposed to be MST)

# Generic MST Algorithm

**Generic-MST**( $G, w$ )

```
1  $A \leftarrow \emptyset$  // Contains edges that belong to a MST
2 while  $A$  does not form a spanning tree do
3     Find an edge  $(u, v)$  that is safe for  $A$ 
4      $A \leftarrow A \cup \{(u, v)\}$ 
5 return  $A$ 
```

- Loop invariant: before each iteration,  $A$  is a subset of some MST
- Safe edge – edge that preserves the loop invariant

## Generic MST Algorithm - 2

**MoreSpecific-MST**( $G, w$ )

```
1   $A \leftarrow \emptyset$  // Contains edges that belong to a MST
2  while  $A$  does not form a spanning tree do
3.1  Make a cut  $(S, V-S)$  of  $G$  that respects  $A$ 
3.2  Take the min-weight edge  $(u,v)$  connecting  $S$  to  $V-S$ 
4     $A \leftarrow A \cup \{(u,v)\}$ 
5  return  $A$ 
```

- A cut respects  $A$  if no edge of  $A$  crosses the cut
- Same LI: before each iteration,  $A$  is a subset of an MST
- Correctness proof in Theorem 23.1 in the text
- Many ways to choose cuts

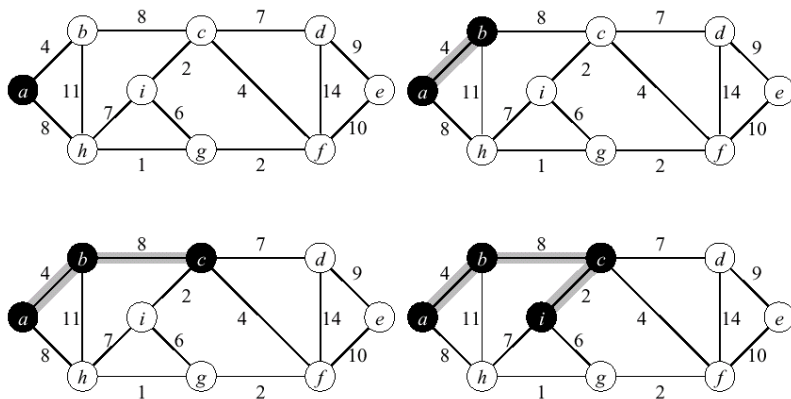
# Prim's Algorithm

- Vertex based algorithm
- Grows one tree  $T$ , one vertex at a time
- Imagine a “blob” covering the portion of  $T$  already computed
- Label the vertices  $v$  outside the blob with  $key[v] =$  the minimum weight of an edge connecting  $v$  to a vertex in the blob,  $key[v] = \infty$ , if no such edge exists
- At each iteration, add the minimum weight vertex to  $T$

# Prim's Algorithm: Steps

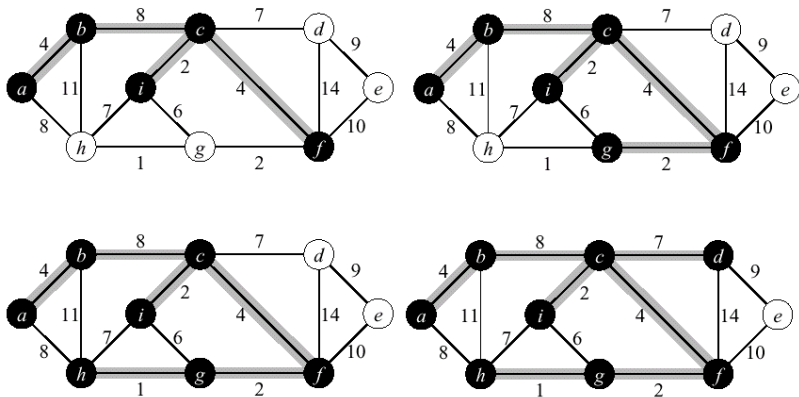
- Pseudocode on pg 634
- Put all vertices in a priority queue  $Q$  with labels  $\infty$
- Remove the start vertex and set its label to 0
- While  $Q$  is not empty, remove the vertex  $u$  with the minimum label and add it to the tree;  
For each neighbour  $v$  of  $u$  in  $Q$ , if  $w(u, v) < label[v]$ , set  $label[v] = w(u, v)$

# Prim's Algorithm: Illustration

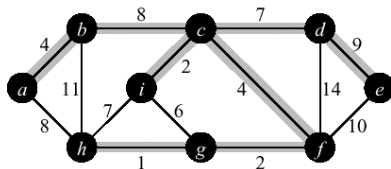




# Prim's Algorithm: Illustration



# Prim's Algorithm: Illustration



## Prim's Algorithm: Analysis

- Proof of correctness on page 636
- Time =  $O(|V|T(\text{ExtractMin})) + O(|E|T(\text{ModifyKey}))$
- Times depend on PQ implementation
- Heap based PQ:  
    *BuildPQ* :  $O(n)$ , *ExtractMin* and *ModifyKey*:  $O(\lg n)$   
    So running time:  
     $O(|V| \log |V| + |E| \log |V|) = O(|E| \log |V|)$
- With Fibonacci heaps:  $O(|V| \log |V| + |E|)$

# Kruskal's Algorithm

- Edge based algorithm
- Add the edges one at a time, in increasing weight order
- The algorithm maintains  $A$  – a **forest** of trees. An edge is accepted if connects vertices of distinct trees

# Kruskal's Algorithm: Requirements

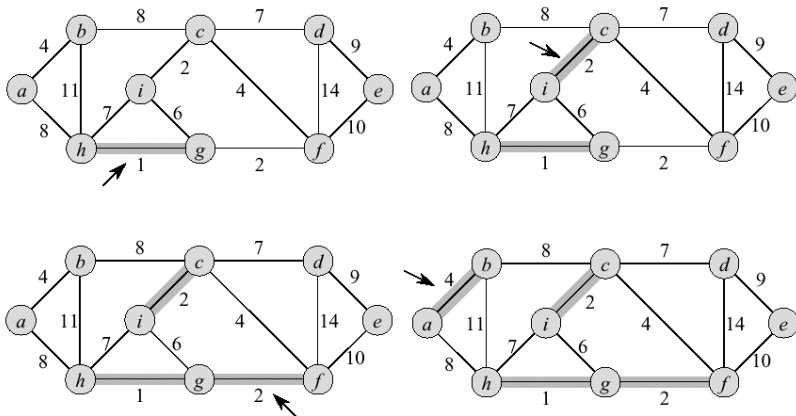
We need an ADT that maintains a partition, i.e., a collection of disjoint sets

Operations:

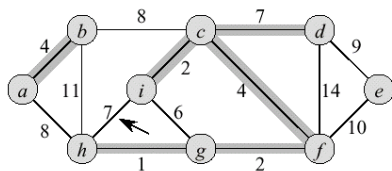
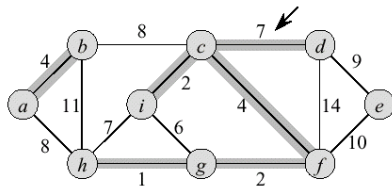
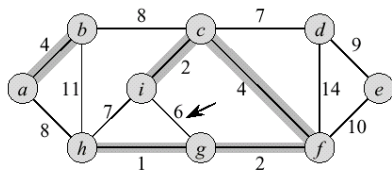
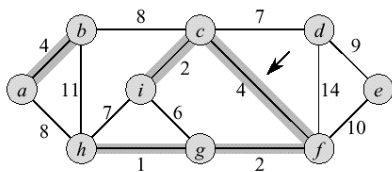
- *MakeSet*( $S, x$ ):  $S \leftarrow S \cup x$
- *Union*( $S_i, S_j$ ):  $S \leftarrow S - S_i, S_j \cup S_i \cup S_j$
- *FindSet*( $S, x$ ): returns unique  $S_i \in S$ , where  $x \in S_i$

Good ADT's for maintaining collections of disjoint sets are covered in EECS 4101

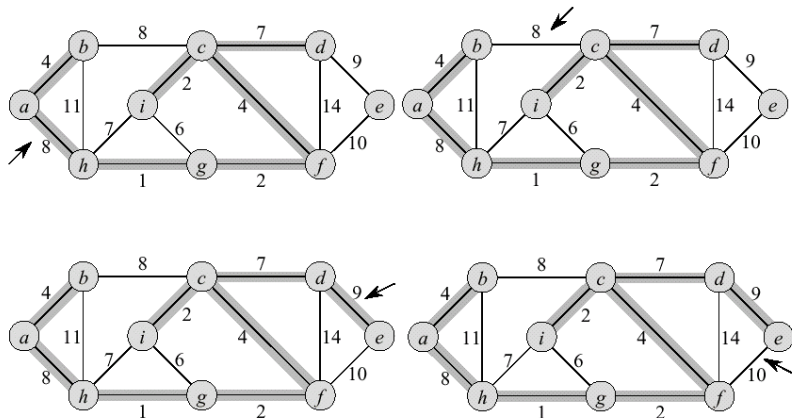
# Kruskal's Algorithm: Illustration



# Kruskal's Algorithm: Illustration

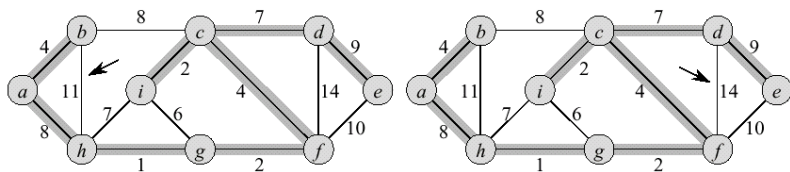


# Kruskal's Algorithm: Illustration





# Kruskal's Algorithm: Illustration



# Kruskal's Algorithm: Analysis

- Proof of correctness: easy since minimum weight edge has to be a safe edge
- Sorting the edges  $O(|E| \lg |E|) = O(|E| \lg |V|)$
- $O(|E|)$  calls to FindSet, Union
- With advanced data structures, the running time is  $O(|E| \lg |V|)$