

In class, we looked at a recursive algorithm for multiplying two natural numbers. The algorithm was discovered by A. A. Karatsuba in 1960. Here is the time bound for that algorithm.

Let  $T(n)$  be the worst-case time for multiplying two  $n$ -bit numbers. We derived the recurrence:  $T(n)$  is  $O(1)$  for  $n \leq 3$ , and

$$T(n) \leq T(\lfloor \frac{n}{2} \rfloor) + T(\lceil \frac{n}{2} \rceil) + T(\lceil \frac{n}{2} \rceil + 1) + an \text{ for } n > 3.$$

(In the above,  $a$  is a constant.)

I claimed in class that  $T(n)$  is  $O(n^{\log_2 3})$ . Here is a proof of that claim.

You might first try to prove that  $T(n) \leq cn^{\log_2 3}$  (for some constant  $c$ ). Unfortunately, if you try this, you will see that the induction hypothesis is not strong enough for the induction step to work.

So, to strengthen the induction hypothesis, we prove a stronger claim. (This is the same trick as described on page 85 of the textbook.)

$$\text{Let } c = \max\left\{\frac{T(n)+2an}{(n-3)^{\log_2 3}} : n \in \{4, 5, 6, 7\}\right\}.$$

**Claim:** for all  $n \geq 4$ ,  $T(n) \leq c(n-3)^{\log_2 3} - 2an$ .

**Base case** ( $n = 4, 5, 6, 7$ ): We chose  $c$  precisely so that the claim holds for these values of  $n$ .

**Inductive Step:** Let  $n \geq 8$ . Assume that  $T(k) \leq c(k-3)^{\log_2 3} - 2ak$  for  $4 \leq k < n$ . We prove that  $T(n) \leq c(n-3)^{\log_2 3} - 2an$ .

Note that  $4 \leq \lfloor \frac{n}{2} \rfloor \leq \lceil \frac{n}{2} \rceil < \lceil \frac{n}{2} \rceil + 1 \leq \frac{n+3}{2} < n$  since  $n \geq 8$ . Thus, the inductive hypothesis applies to  $T(\lfloor \frac{n}{2} \rfloor)$ ,  $T(\lceil \frac{n}{2} \rceil)$  and  $T(\lceil \frac{n}{2} \rceil + 1)$ . So, we have

$$\begin{aligned} T(n) &\leq T(\lfloor \frac{n}{2} \rfloor) + T(\lceil \frac{n}{2} \rceil) + T(\lceil \frac{n}{2} \rceil + 1) + an \\ &\leq c(\lfloor \frac{n}{2} \rfloor - 3)^{\log_2 3} - 2a \lfloor \frac{n}{2} \rfloor + c(\lceil \frac{n}{2} \rceil - 3)^{\log_2 3} - 2a \lceil \frac{n}{2} \rceil \\ &\quad + c(\lceil \frac{n}{2} \rceil + 1 - 3)^{\log_2 3} - 2a(\lceil \frac{n}{2} \rceil + 1) + an && \text{(by induction hypothesis)} \\ &\leq 3c(\frac{n+3}{2} - 3)^{\log_2 3} - 2a(\lfloor \frac{n}{2} \rfloor + \lceil \frac{n}{2} \rceil + \lceil \frac{n}{2} \rceil + 1) + an \\ &\leq 3c(\frac{n+3}{2} - 3)^{\log_2 3} - 2a(\frac{3n}{2}) + an \\ &= 3c(\frac{n-3}{2})^{\log_2 3} - 2an \\ &= c(n-3)^{\log_2 3} - 2an \end{aligned}$$

This completes the proof of the claim.

It follows from the claim that  $T(n) \leq cn^{\log_2 3}$  for  $n \geq 4$ , so  $T(n)$  is  $O(n^{\log_2 3})$ .

**Remark:** How did I come up with this proof? First, I tried proving  $T(n) \leq c(n-b)^{\log_2 3}$  for some constants  $b, c$ . When I did the induction step, I saw that choosing  $b = 3$  handled the floors and ceilings and the  $+1$  inside the arguments to  $T$ , but it didn't quite handle the  $+an$ . So then I made the claim even stronger:  $T(n) \leq c(n-3)^{\log_2 3} - dn$  and I found that taking  $d = 2a$  made the induction step work (for  $n > 3$ ). Then I noticed that the claim was false for  $n = 3$ , so I started the induction at  $n = 4$ . Then I saw that the induction step could only apply the induction hypothesis if  $n \geq 8$ , so I handled  $n = 4, 5, 6, 7$  separately in the base case by choosing the right  $c$  to make those cases work out.