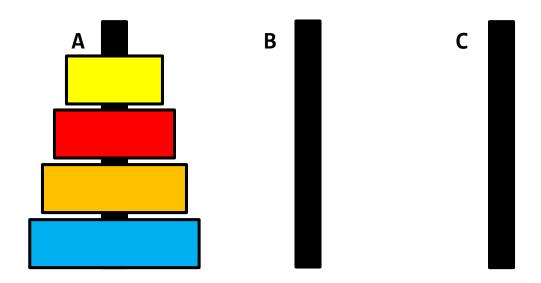
Recursion

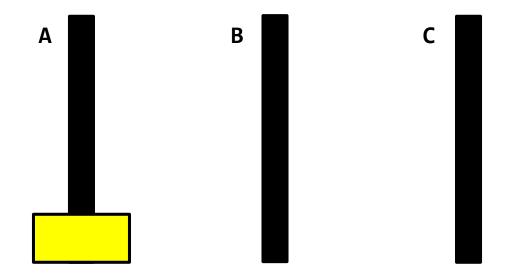
notes Chapter 8



- move the stack of n disks from A to C
 - can move one disk at a time from the top of one stack onto another stack
 - cannot move a larger disk onto a smaller disk

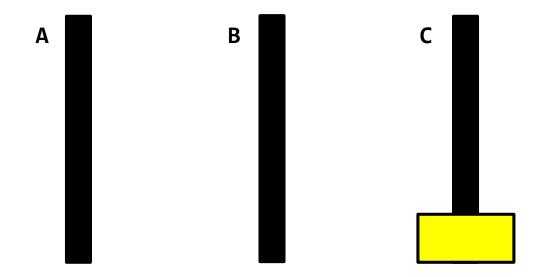
- legend says that the world will end when a 64 disk version of the puzzle is solved
- several appearances in pop culture
 - Doctor Who
 - Rise of the Planet of the Apes
 - Survior: South Pacific

n = 1

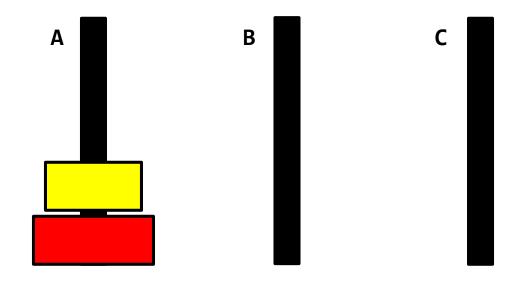


move disk from A to C

n = 1

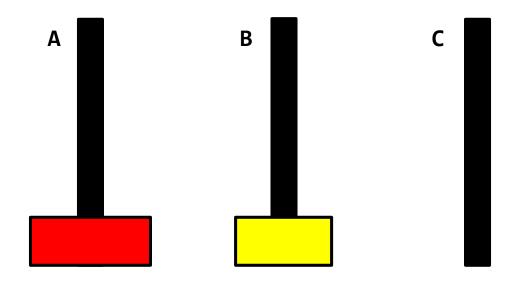


n = 2



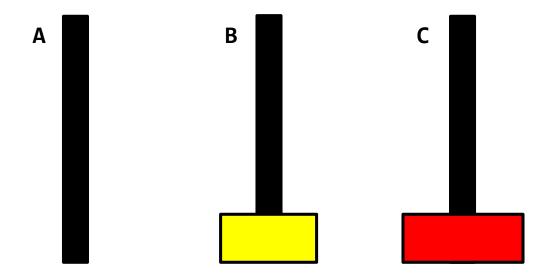
move disk from A to B

n = 2



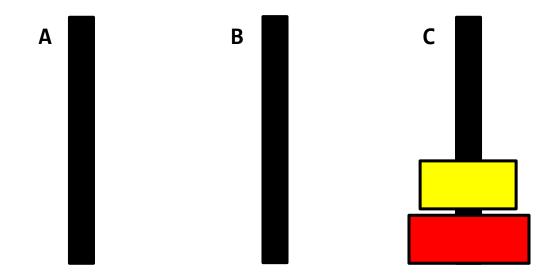
move disk from A to C

n = 2

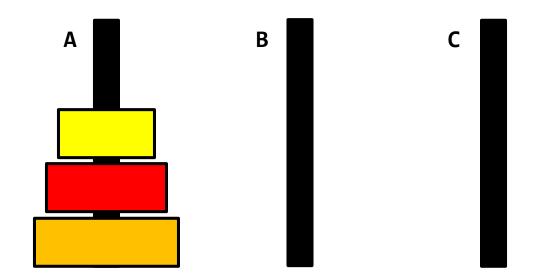


move disk from B to C

n = 2

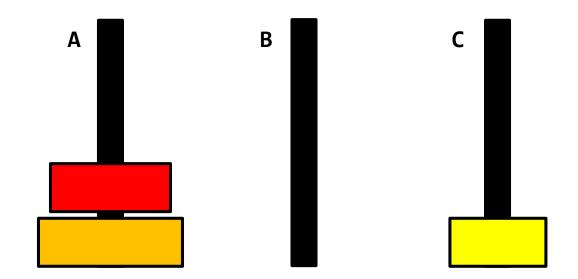


$$n = 3$$



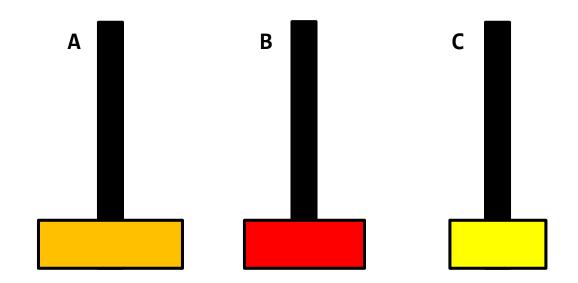
move disk from A to C

$$n = 3$$



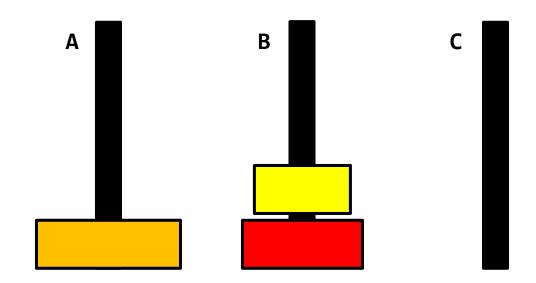
move disk from A to B

$$n = 3$$



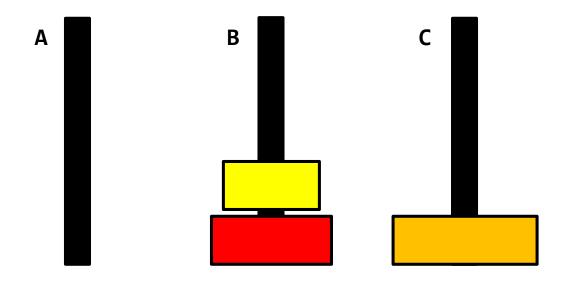
move disk from C to B

$$n = 3$$



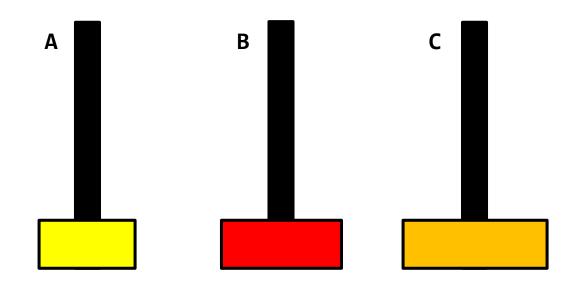
move disk from A to C

$$n = 3$$



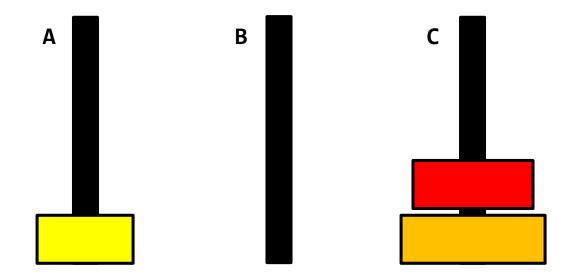
move disk from B to A

$$n = 3$$



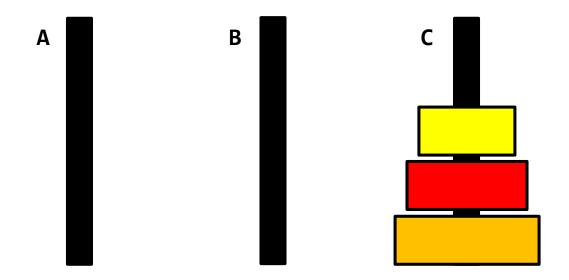
move disk from B to C

$$n = 3$$



move disk from A to C

n = 3

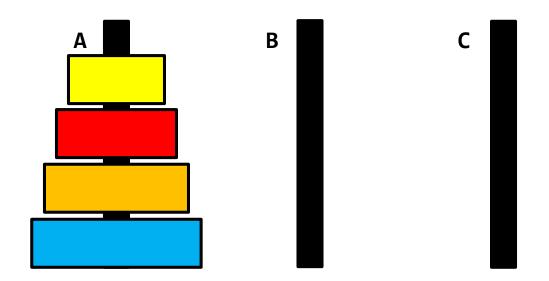


- write a loop-based method to solve the Tower of Hanoi problem
 - discuss amongst yourselves now...

- imagine that you had the following method (see next slide)
 - how would you use the method to solve the Tower of Hanoi problem?
 - discuss amongst yourselves now...

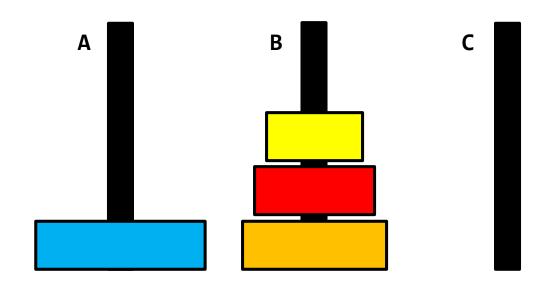
```
/**
 * Prints the sequence of moves required to move n disks from the
 * starting pole (from) to the goal pole (to) using a third pole
 * (using).
 * Oparam n
            the number of disks to move
 * @param from
            the starting pole
  @param to
            the goal pole
 * @param using
            a third pole
 * @pre. n is greater than 0
 */
public static void move(int n, String from, String to, String using)
```

$$n = 4$$



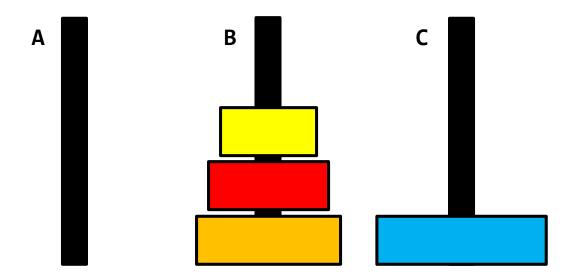
you eventually end up at (see next slide)...

$$n = 4$$



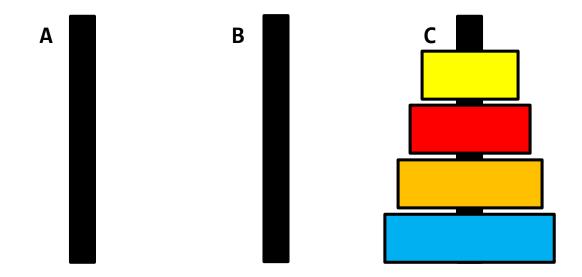
move disk from A to C

n = 4



▶ move (n - 1) disks from B to C using A

n = 4



- notice that to solve the n = 4 size problem, you have to solve a variation of the n = 3 size problem twice and a variation of the n = 1 size problem once
- we can use the move method to solve these 3 subproblems

- the basic solution can be described as follows:
 - 1. move (n-1) disks from A to B
 - 2. move 1 disk from A to C
 - 3. move (n-1) disks from B to C

furthermore:

• if exactly n == 1 disk is moved, print out the starting pole and the goal pole for the move

```
public static void move(int n, String from, String to, String using) {
   if (n == 1) {
      System.out.println("move disk from " + from + " to " + to);
   }
   else {
      move(n - 1, from, using, to);
      move(1, from, to, using);
      move(n - 1, using, to, from);
   }
}
```

Printing n of Something

 suppose you want to implement a method that prints out n copies of a string

```
public static void printIt(String s, int n) {
   for(int i = 0; i < n; i++) {
      System.out.print(s);
   }
}</pre>
```

A Different Solution

• alternatively we can use the following algorithm:

```
if n == 0 done, otherwise
    I. print the string once
        print the string (n - 1) more times
public static void printItToo(String s, int n) {
 if (n == 0) {
    return;
 else {
    System.out.print(s);
    printItToo(s, n - 1);  // method invokes itself
```

Recursion

- a method that calls itself is called a recursive method
- a recursive method solves a problem by repeatedly reducing the problem so that a base case can be reached

Infinite Recursion

▶ if the base case(s) is missing, or never reached, a recursive method will run forever (or until the computer runs out of resources)

Climbing a Flight of n Stairs

not Java

```
/**
  * method to climb n stairs
  */
climb(n) :
if n == 0
  done
else
  step up 1 stair
  climb(n - 1);
end
```

Rabbits



Month o: 1 pair

o additional pairs



Month 1: first pair makes another pair

1 additional pair





Month 2: each pair makes another pair; oldest pair dies

1 additional pair











2 additional pairs

Month 3: each pair makes another pair; oldest pair dies-----

Fibonacci Numbers

- the sequence of additional pairs
 - 0, 1, 1, 2, 3, 5, 8, 13, ... are called Fibonacci numbers

- base cases
 - F(0) = 0
 - F(1) = 1
- recursive definition
 - F(n) = F(n 1) + F(n 2)

Recursive Methods & Return Values

- a recursive method can return a value
- example: compute the nth Fibonacci number

```
public static int fibonacci(int n) {
 if (n == 0) {
   return 0;
 else if (n == 1) {
   return 1;
 else {
   int f = fibonacci(n - 1) + fibonacci(n - 2);
  return f;
```

Recursive Methods & Return Values

- write a recursive method that multiplies two positive integer values (i.e., both values are strictly greater than zero)
- observation: $m \times n$ means add m n's together
 - in other words, you can view multiplication as recursive addition

```
not Java:
/**
 * Computes m * n
 */
multiply(m, n):
if m == 1
    return n
else
    return n + multiply(m - 1, n)
```

```
public static int multiply(int m, int n) {
  if (m == 1) {
    return n;
  }
  return n + multiply(m - 1, n);
}
```

- example: write a recursive method **countZeros** that counts the number of zeros in an integer number **n**
 - ▶ **10305060700002L** has 8 zeros
- trick: examine the following sequence of numbers
 - 1. 10305060700002
 - 2. 103050607000<mark>0</mark>
 - 3. 10305060700<mark>0</mark>
 - 4. 10305060700
 - **5.** 1030506070
 - 6. 103050607 ...

```
not Java:
/**
 * Counts the number of zeros in an integer n
 */
countZeros(n) :
if the last digit in n is a zero
    return 1 + countZeros(n / 10)
else
    return countZeros(n / 10)
```

- don't forget to establish the base case(s)
 - when should the recursion stop? when you reach a single digit (not zero digits; you never reach zero digits!)
 - base case #1: n == 0
 - □ return 1
 - base case #2: n != 0 && n < 10</pre>
 - □ return 0

```
public static int countZeros(long n) {
  if(n == 0L) { // base case 1
   return 1;
  }
  else if(n < 10L) { // base case 2
   return 0;
  boolean lastDigitIsZero = (n % 10L == 0);
  final long m = n / 10L;
  if(lastDigitIsZero) {
   return 1 + countZeros(m);
 else {
   return countZeros(m);
```

countZeros Call Stack

countZeros (800410L)

last in first out

countZeros(8L)

countZeros(80L)

countZeros(800L)

countZeros(8004L)

countZeros(80041L)

countZeros(800410L)

0

1 + 0

1 + 1 + 0

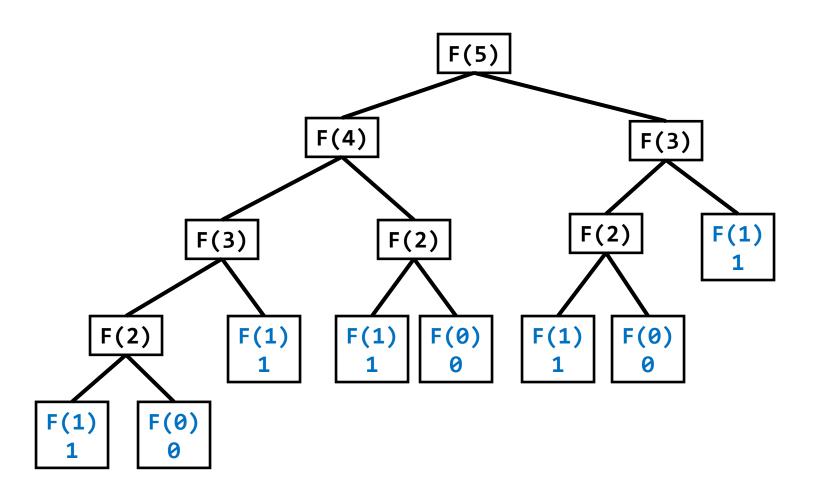
0 + 1 + 1 + 0

0 + 0 + 1 + 1 + 0

1 + 0 + 0 + 1 + 1 + 0

= 3

Fibonacci Call Tree



Compute Powers of 10

- write a recursive method that computes 10ⁿ for any integer value n
- recall:
 - ▶ 10⁰ = 1
 - $10^{n} = 10 * 10^{n-1}$
 - \rightarrow 10⁻ⁿ = 1 / 10ⁿ

```
public static double powerOf10(int n) {
  if (n == 0) {
   // base case
    return 1.0;
 else if (n > 0) {
   // recursive call for positive n
    return 10.0 * powerOf10(n - 1);
 else {
    // recursive call for negative n
    return 1.0 / powerOf10(-n);
```

Fibonacci Numbers

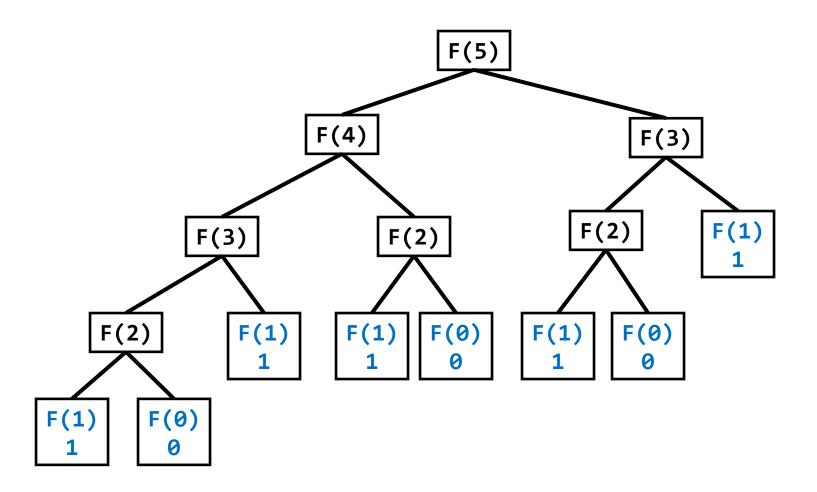
- the sequence of additional pairs
 - 0, 1, 1, 2, 3, 5, 8, 13, ... are called Fibonacci numbers

- base cases
 - F(0) = 0
 - F(1) = 1
- recursive definition
 - F(n) = F(n 1) + F(n 2)

- a recursive method can return a value
- example: compute the nth Fibonacci number

```
public static int fibonacci(int n) {
 if (n == 0) {
   return 0;
 else if (n == 1) {
   return 1;
 else {
   int f = fibonacci(n - 1) + fibonacci(n - 2);
  return f;
```

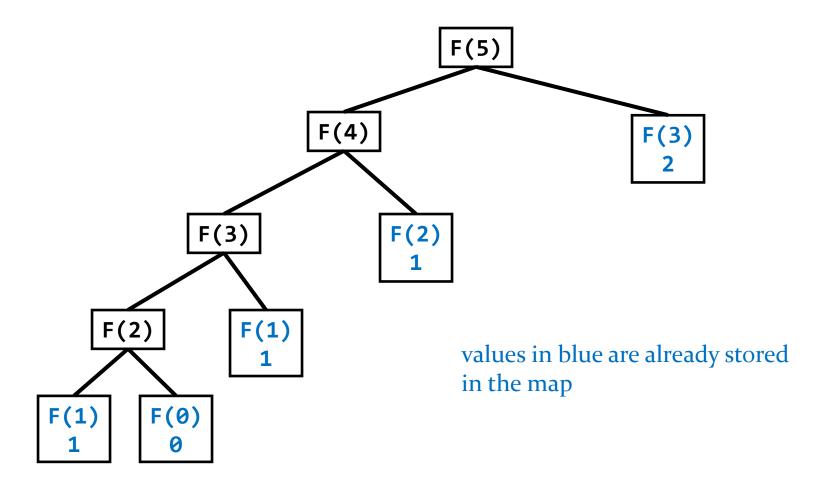
Fibonacci Call Tree



A Better Recursive Fibonacci

```
public class Fibonacci {
 private static Map<Integer, Long> values = new HashMap<Integer, Long>();
 static {
  Fibonacci.values.put(0, (long) 0);
  Fibonacci.values.put(1, (long) 1);
 public static long getValue(int n) {
  Long value = Fibonacci.values.get(n);
  if (value != null) {
   return value;
  value = Fibonacci.getValue(n - 1) + Fibonacci.getValue(n - 2);
  Fibonacci.values.put(n, value);
  return value;
```

Better Fibonacci Call Tree

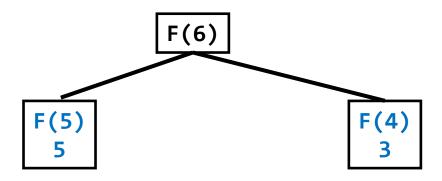


A Better Recursive Fibonacci

because the map is static subsequent calls to
 Fibonacci.getValue(int) can use the values already
 computed and stored in the map

Better Fibonacci Call Tree

assuming the client has already invokedFibonacci.getValue(5)



values in blue are already stored in the map

Compute Powers of 10

- write a recursive method that computes 10ⁿ for any integer value n
- recall:
 - $10^{n} = 1 / 10^{-n}$

if n < 0

- ▶ 10⁰ = 1
- $10^{n} = 10 * 10^{n-1}$

```
public static double powerOf10(int n) {
  if (n < 0) {
    return 1.0 / powerOf10(-n);
  }
  else if (n == 0) {
    return 1.0;
  }
  return n * powerOf10(n - 1);
}</pre>
```

A Better Powers of 10

recall:

```
▶ 10^{n} = 1 / 10^{-n} if n < 0

▶ 10^{0} = 1

▶ 10^{n} = 10 * 10^{n-1} if n is odd

▶ 10^{n} = 10^{n/2} * 10^{n/2} if n is even
```

```
public static double powerOf10(int n) {
 if (n < 0) {
  return 1.0 / powerOf10(-n);
 else if (n == 0) {
  return 1.0;
 else if (n % 2 == 1) {
  return 10 * powerOf10(n - 1);
 double value = powerOf10(n / 2);
 return value * value;
```

What happens during recursion

What Happens During Recursion

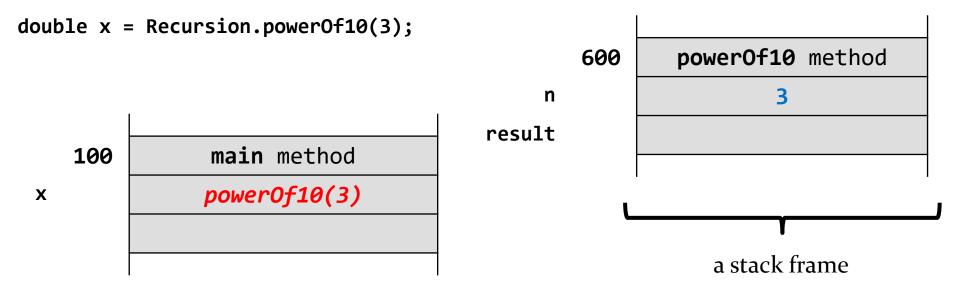
- a simplified model of what happens during a recursive method invocation is the following:
 - whenever a method is invoked that method runs in a new block of memory
 - when a method recursively invokes itself, a new block of memory is allocated for the newly invoked method to run in
- consider a slightly modified version of the powerOf10 method

```
public static double powerOf10(int n) {
 double result;
 if (n < 0) {
  result = 1.0 / powerOf10(-n);
 else if (n == 0) {
  result = 1.0;
 else {
  result = 10 * powerOf10(n - 1);
 return result;
```

double x = Recursion.powerOf10(3);

100 main method
x

powerOf10(3)



- methods occupy space in a region of memory called the *call stack*
- information regarding the state of the method is stored in a *stack frame*
- the stack frame includes information such as the method parameters, local variables of the method, where the return value of the method should be copied to, where control should flow to after the method completes, ...
- stack memory can be allocated and deallocated very quickly, but this speed is obtained by restricting the total amount of stack memory
- if you try to solve a large problem using recursion you can exceed the available amount of stack memory which causes your program to crash

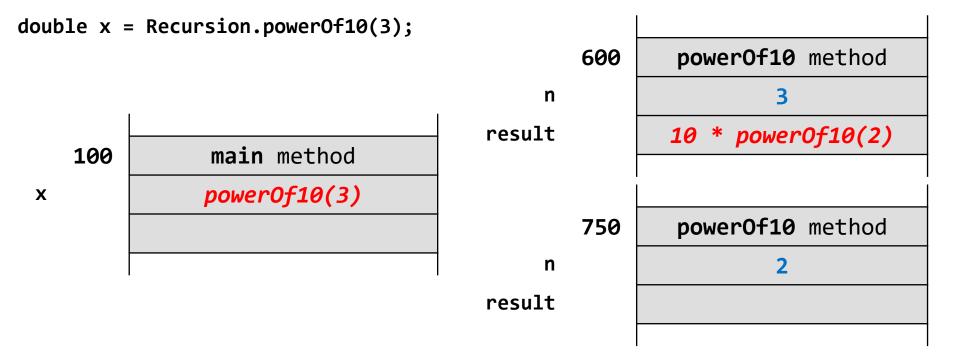
double x = Recursion.powerOf10(3);

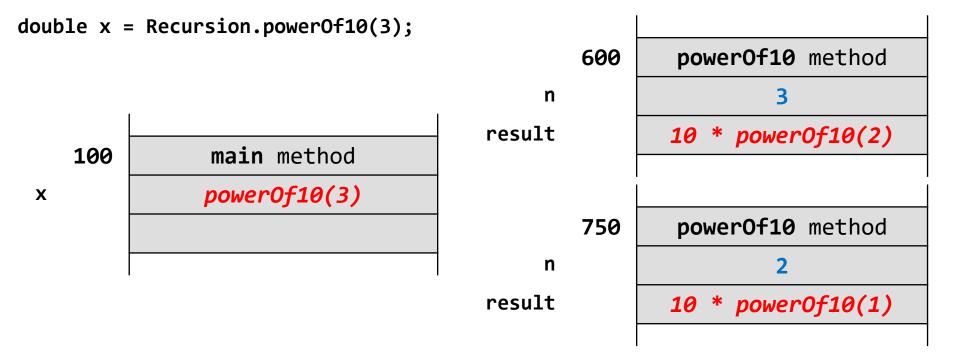
600 powerOf10 method

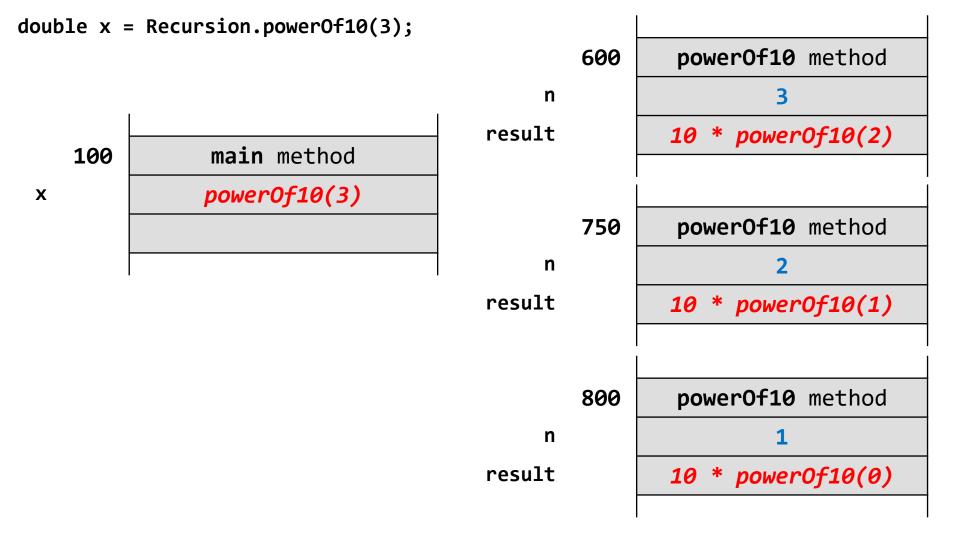
n

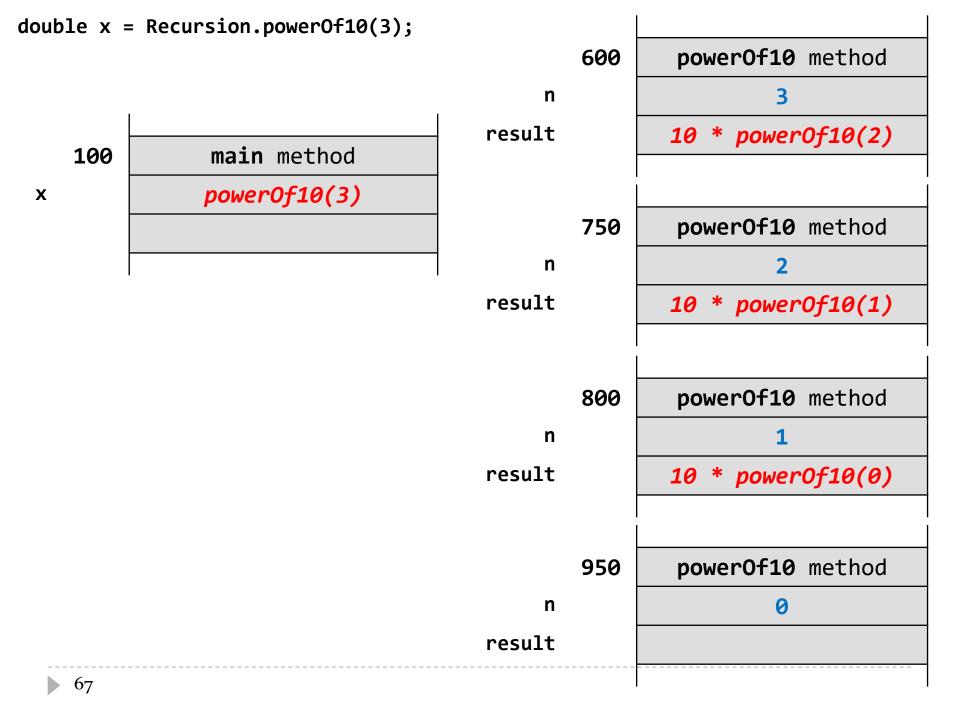
100 main method

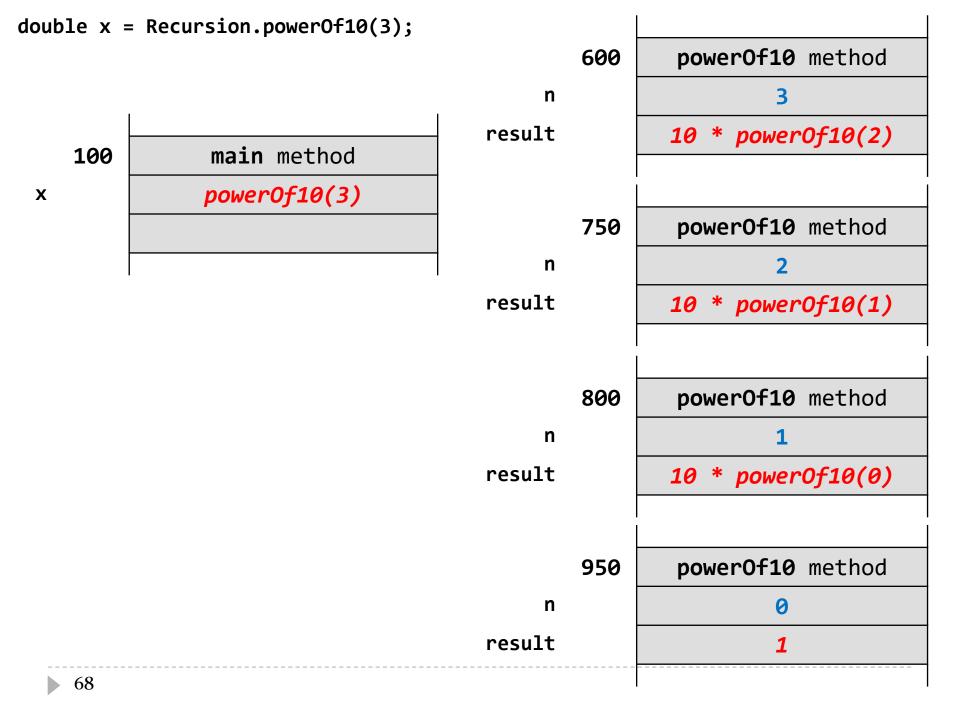
x powerOf10(3)

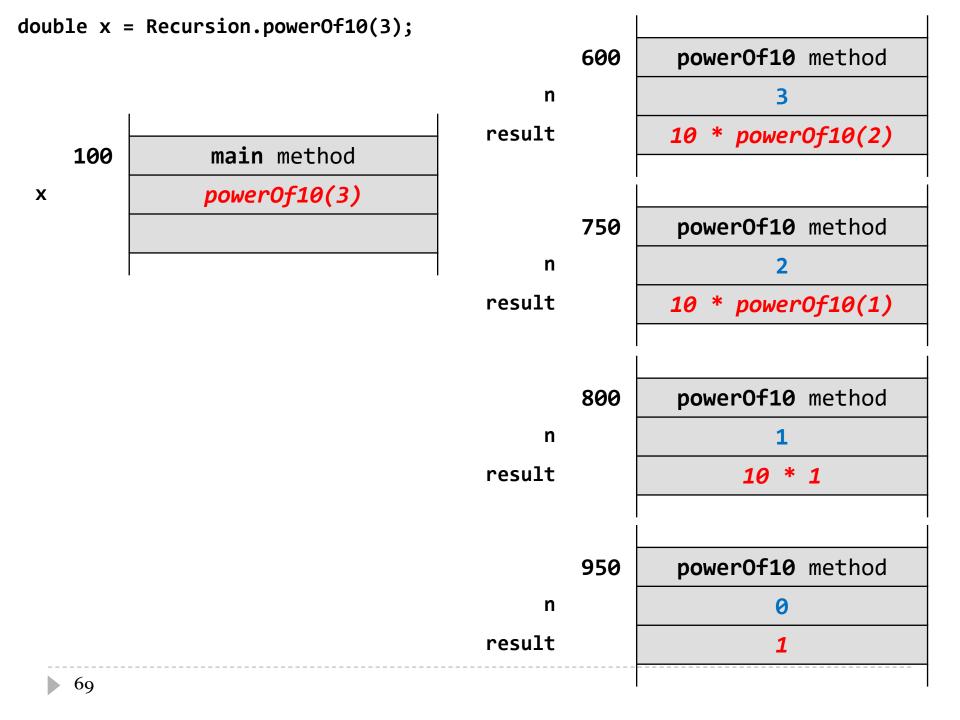


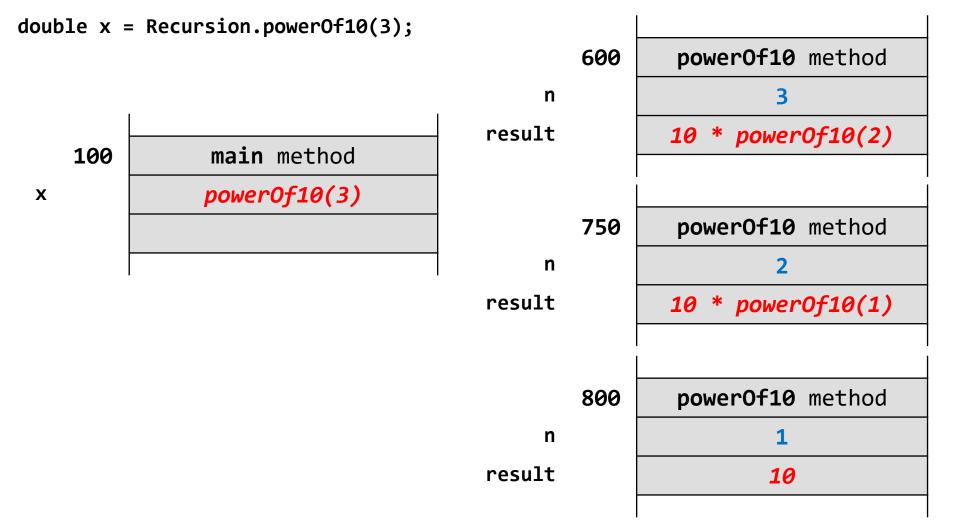


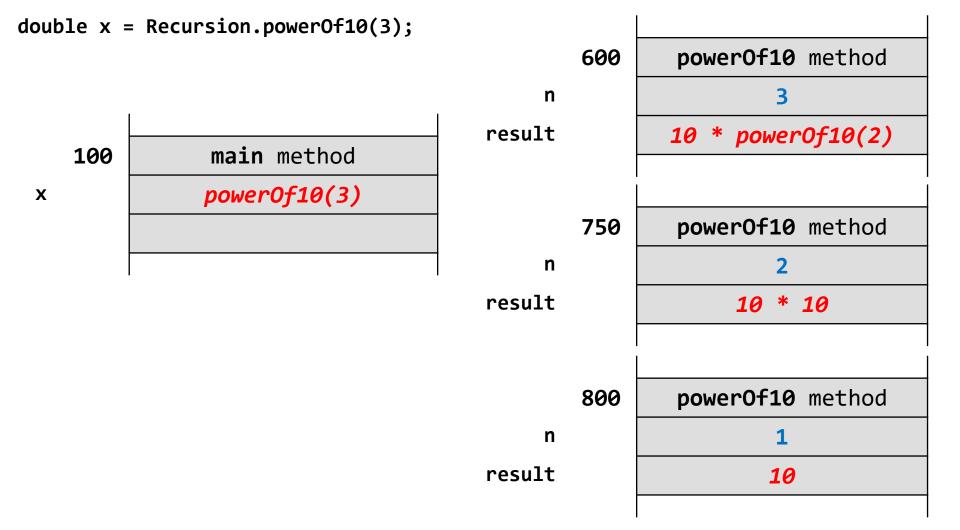


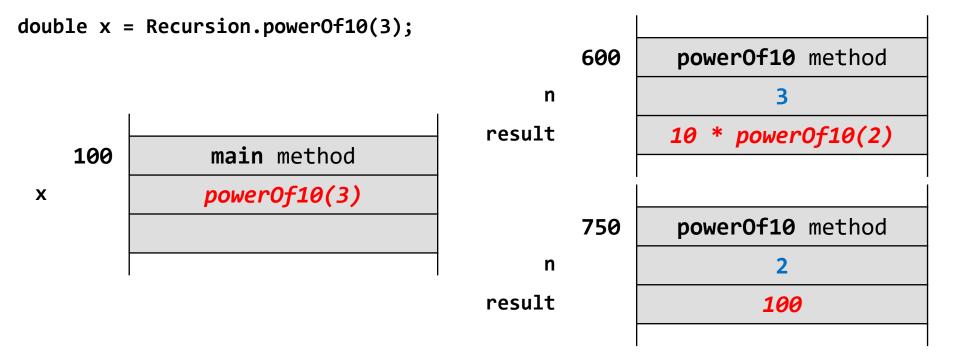


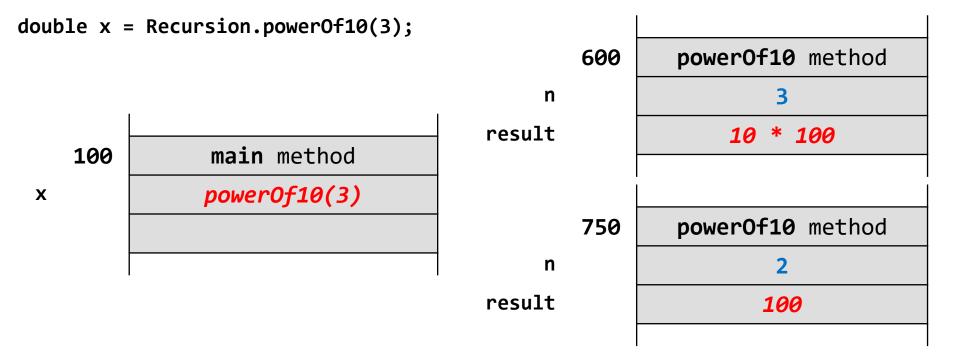












double x = Recursion.powerOf10(3);

600 powerOf10 method

n
result
1000

x 1000

double x = Recursion.powerOf10(3);

	100	main method
X		1000

Recursion and collections

Recursion and Collections

- consider the problem of searching for an element in a list
- searching a list for a particular element can be performed by recursively examining the first element of the list
 - if the first element is the element we are searching for then we can return true
 - otherwise, we recursively search the sub-list starting at the next element

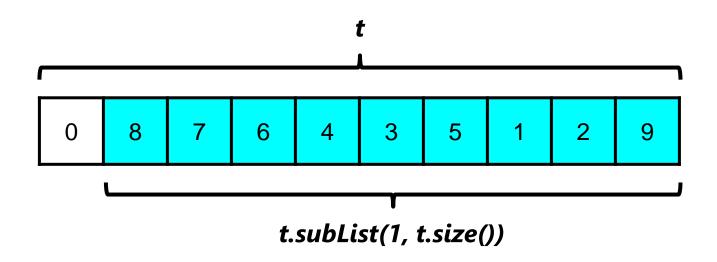
The List method subList

▶ **List** has a very useful method named **subList**:

List<E> subList(int fromIndex, int toIndex)

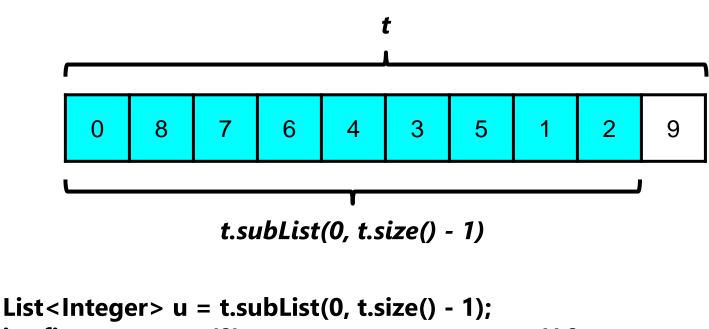
Returns a view of the portion of this list between the specified **fromIndex**, inclusive, and **toIndex**, exclusive. (If **fromIndex** and **toIndex** are equal, the returned list is empty.) The returned list is backed by this list, so non-structural changes in the returned list are reflected in this list, and vice-versa. The returned list supports all of the optional list operations supported by this list.

the sub-list excluding the first element of the original list

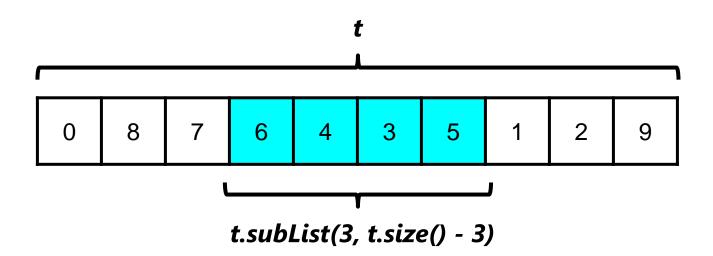


```
List<Integer> u = t.subList(1, t.size());
int first_u = u.get(0); // 8
int last_u = u.get(u.size() - 1); // 9
```

the sub-list excluding the last element of the original list

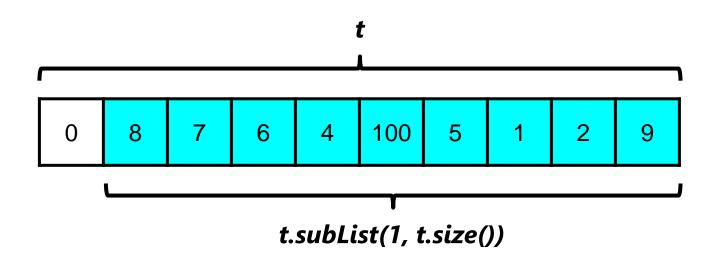


the sub-list excluding the first 3 and last 3 elements of the original list



```
List<Integer> u = t.subList(3, t.size() - 3);
int first_u = u.get(0); // 6
int last_u = u.get(u.size() - 1); // 5
```

 modifying an element using the sublist modifies the element of the original list



```
List<Integer> u = t.subList(1, t.size());
u.set(4, 100); // set element at index 4 of u
int val_in_t = t.get(5); // 100
```

Recursively Search a List

```
contains("X", ["Z", "Q", "B", "X", "J"])
→ "X".equals("Z") == false
→ contains("X", ["Q", "B", "X", "J"]) recursive call
→ "X".equals("Q") == false
→ contains("X", ["B", "X", "J"])
                                       recursive call
→ "X".equals("B") == false
→ contains("X", ["X", "J"])
                                        recursive call
→ "X".equals("X") == true
                                        done!
```

Recursively Search a List

- base case(s)?
 - recall that a base case occurs when the solution to the problem is known

public class Recursion {

public static <T> boolean contains(T element, List<T> t) {
 boolean result;

} }

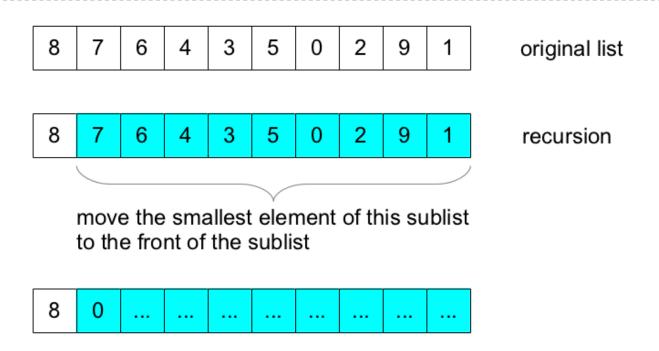
Recursively Search a List

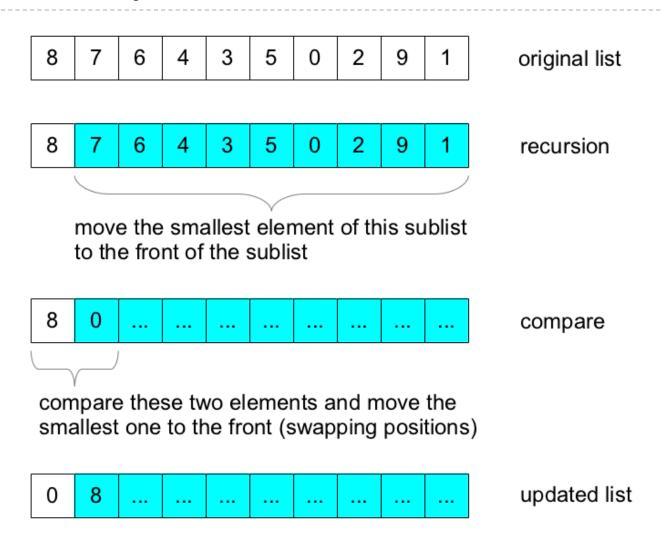
- recursive call?
 - ▶ to help deduce the recursive call assume that the method does exactly what its API says it does
 - e.g., contains(element, t) returns true if element is in the list t and false otherwise
 - use the assumption to write the recursive call or calls

```
public class Recursion {
 public static <T> boolean contains(T element, List<T> t) {
  boolean result;
  if (t.size() == 0) {
                                        // base case
   result = false;
  else if (t.get(0).equals(element)) { // base case
   result = true;
                    // recursive call
  else {
   result = Recursion.contains(element, t.subList(1, t.size()));
  return result;
```

Recursion and Collections

consider the problem of moving the smallest element in a list of integers to the front of the list





- base case?
 - recall that a base case occurs when the solution to the problem is known

public class Recursion {

```
public static void minToFront(List<Integer> t) {
  if (t.size() < 2) {
    return;
  }</pre>
```

```
}
}
```

- recursive call?
 - to help deduce the recursive call assume that the method does exactly what its API says it does
 - e.g., moveToFront(t) moves the smallest element in t to the front of **t**
 - use the assumption to write the recursive call or calls

```
public class Recursion {

public static void minToFront(List<Integer> t) {
  if (t.size() < 2) {
    return;
  }

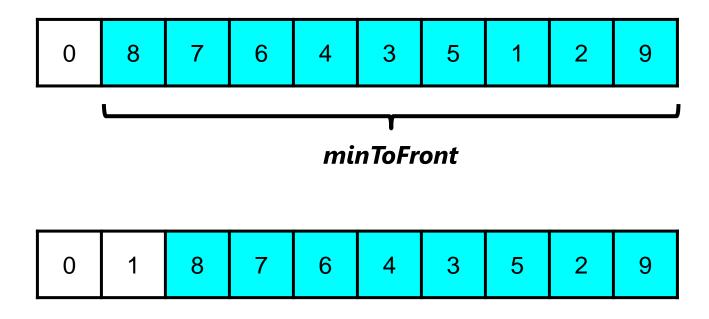
Recursion.minToFront(t.subList(1, t.size()));</pre>
```

```
}
}
```

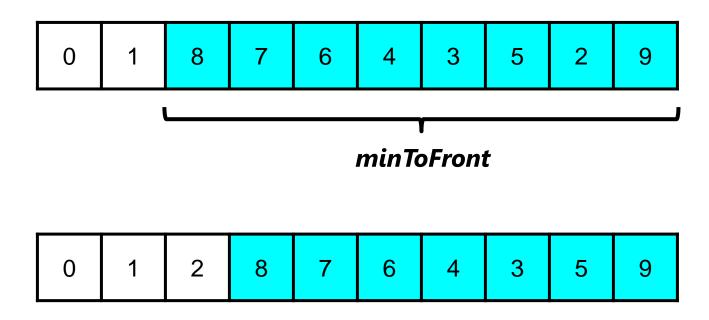
compare and update?

```
public class Recursion {
 public static void minToFront(List<Integer> t) {
  if (t.size() < 2) {
   return;
  Recursion.minToFront(t.subList(1, t.size()));
  int first = t.get(0);
  int second = t.get(1);
  if (second < first) {</pre>
   t.set(0, second);
   t.set(1, first);
```

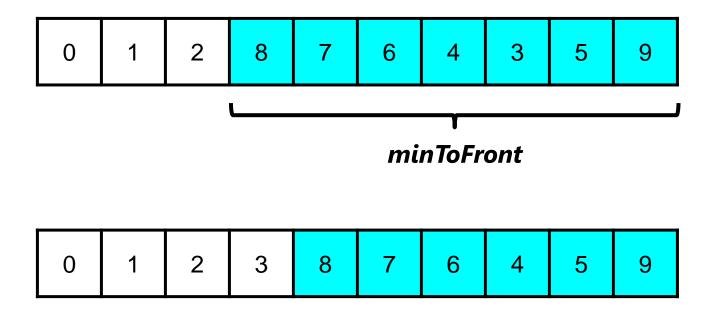
• observe what happens if you repeat the process with the sublist made up of the second through last elements:



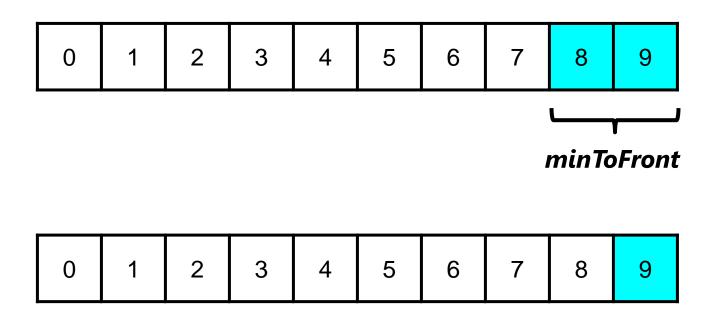
• observe what happens if you repeat the process with the sublist made up of the third through last elements:



• observe what happens if you repeat the process with the sublist made up of the fourth through last elements:



• if you keep calling **minToFront** until you reach a sublist of size two, you will sort the original list:



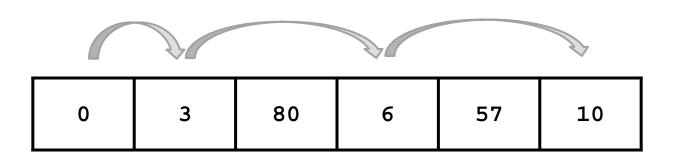
this is the selection sort algorithm

Selection Sort

```
public class Recursion {
 // minToFront not shown
 public static void selectionSort(List<Integer> t) {
  if (t.size() > 1) {
   Recursion.minToFront(t);
   Recursion.selectionSort(t.subList(1, t.size()));
```

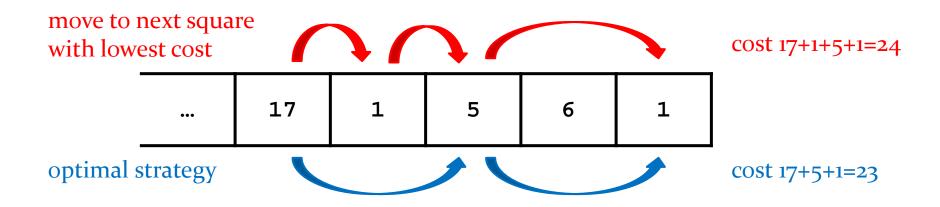


- \blacktriangleright board of n squares, n >= 2
- start at the first square on left
- on each move you can move 1 or 2 squares to the right
- each square you land on has a cost (the value in the square)
 - costs are always positive
- goal is to reach the rightmost square with the lowest cost



- solution for example:
 - move 1 square
 - move 2 squares
 - move 2 squares
 - \Box total cost = 0 + 3 + 6 + 10 = 19
- can the problem be solved by always moving to the next square with the lowest cost?

 no, it might be better to move to a square with higher cost because you would have ended up on that square anyway



- sketch a small example of the problem
 - it will help you find the base cases
 - it might help you find the recursive cases

base case(s):
board.size() == 2
no choice of move (must move 1 square)
cost = board.get(0) + board.get(1);
board.size() == 3
move 2 squares (avoiding the cost of 1 square)
cost = board.get(0) + board.get(2);

```
public static int cost(List<Integer> board) {
  if (board.size() == 2) {
    return board.get(0) + board.get(1);
  }
  if (board.size() == 3) {
    return board.get(0) + board.get(2);
  }
```

Jump It

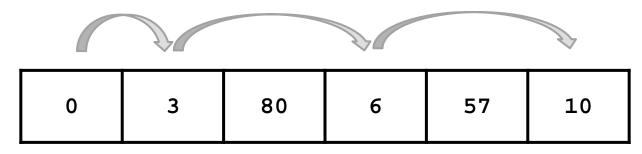
- recursive case(s):
 - compute the cost of moving 1 square
 - compute the cost of moving 2 squares
- return the smaller of the two costs

Jump It

```
public static int cost(List<Integer> board) {
 if (board.size() == 2) {
   return board.get(0) + board.get(1);
  }
 if (board.size() == 3) {
   return board.get(0) + board.get(2);
 }
  List<Integer> afterOneStep = board.subList(1, board.size());
  List<Integer> afterTwoStep = board.subList(2, board.size());
 int c = board.get(0);
 return c + Math.min(cost(afterOneStep), cost(afterTwoStep));
```

Jump It

- can you modify the cost method so that it also produces a list of moves?
 - e.g., for the following board



the method produces the list [1, 2, 2]

consider using the following modified signature

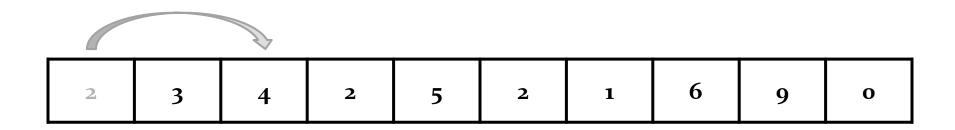
public static int cost(List<Integer> board, List<Integer> moves)

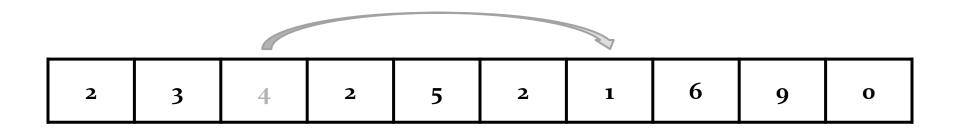
- the Jump It problem has a couple of nice properties:
 - the rules of the game make it impossible to move to the same square twice
 - the rules of the games make it impossible to try to move off of the board
- consider the following problem

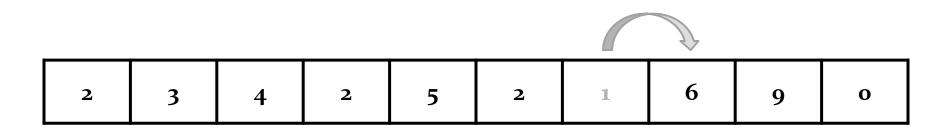
given a list of non-negative integer values:

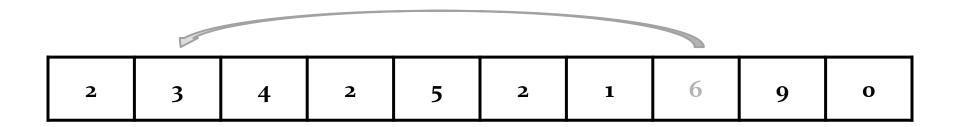
2 3 4 2 5 2 1 6 9 0

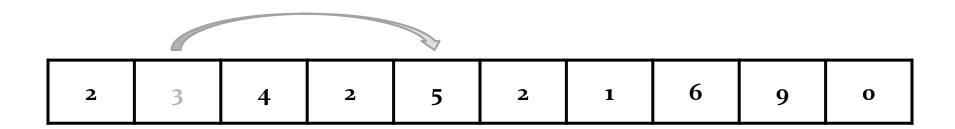
- starting from the first element try to reach the last element (whose value is always zero)
- you may move left or right by the number of elements equal to the value of the element that you are currently on
- you may not move outside the bounds of the list

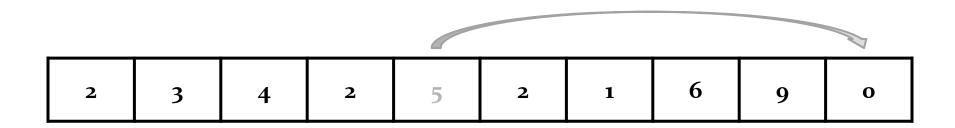


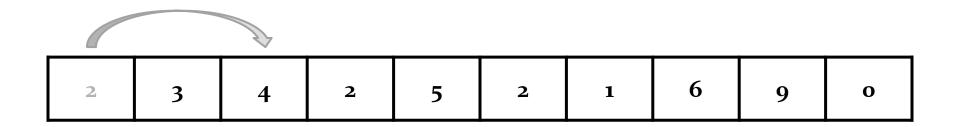


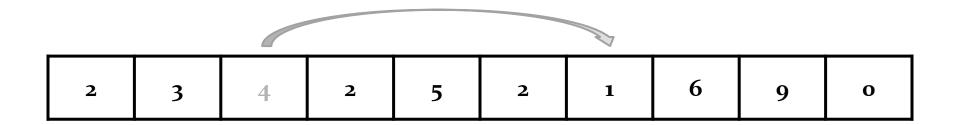






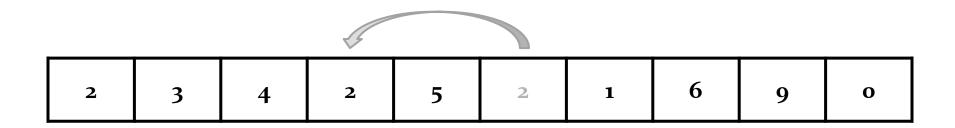


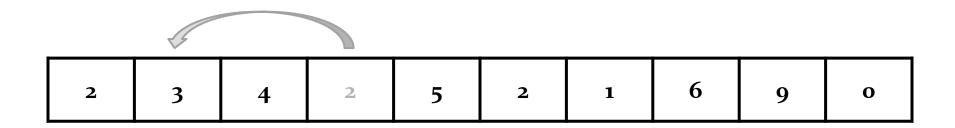


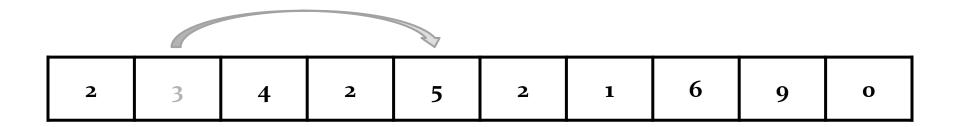


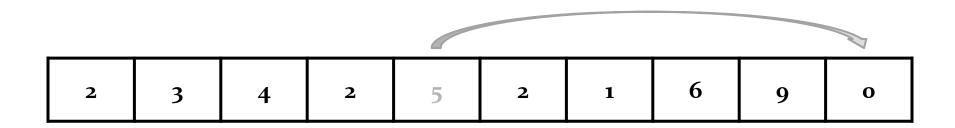


_		_	_	_	_			_		
	2	3	4	2	5	2	1	6	9	O



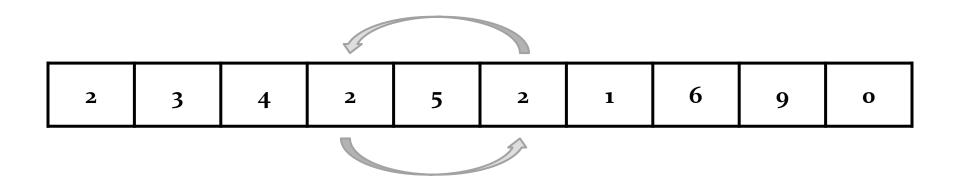






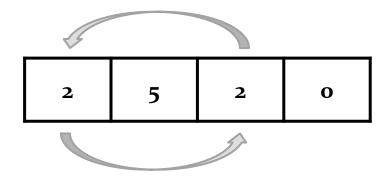
Cycles

▶ it is possible to find cycles where a move takes you back to a square that you have already visited



Cycles

using a cycle, it is easy to create a board where no solution exists



Cycles

on the board below, no matter what you do, you eventually end up on the 1 which leads to a cycle

2 1 2 2 2 6 3 0

No Solution

 even without using a cycle, it is easy to create a board where no solution exists



- unlike Jump It, the board does not get smaller in an obvious way after each move
 - but it does in fact get smaller (otherwise, a recursive solution would never terminate)
 - how does the board get smaller?
 - how do we indicate this?

Recursion

- recursive cases:
 - can we move left without falling off of the board?
 - if so, can the board be solved by moving to the left?
 - can we move right without falling off of the board?
 - if so, can the board be solved by moving to the right?

```
/**
  * Is a board is solvable when the current move is at location
   index of the board? The method does not modify the board.
   @param index
             the current location on the board
   @param board
             the board
  * @return true if the board is solvable, false otherwise
  */
public static boolean isSolvable(int index, List<Integer> board) {
```

```
public static boolean isSolvable(int index, List<Integer> board) {
    // base cases here
    int value = board.get(index);
    List<Integer> copy = new ArrayList<Integer>(board);
    copy.set(index, -1);
    boolean winLeft = false;
```

```
public static boolean isSolvable(int index, List<Integer> board) {
    // base cases here
    int value = board.get(index);
    List<Integer> copy = new ArrayList<Integer>(board);
    copy.set(index, -1);

    boolean winLeft = false;
    if ((index - value) >= 0) {
    }
}
```

```
public static boolean isSolvable(int index, List<Integer> board) {
    // base cases here
    int value = board.get(index);
    List<Integer> copy = new ArrayList<Integer>(board);
    copy.set(index, -1);

    boolean winLeft = false;
    if ((index - value) >= 0) {
        winLeft = isSolvable(index - value, copy);
    }
}
```

```
public static boolean isSolvable(int index, List<Integer> board) {
    // base cases here
    int value = board.get(index);
    List<Integer> copy = new ArrayList<Integer>(board);
    copy.set(index, -1);
    boolean winLeft = false;
    if ((index - value) >= 0) {
     winLeft = isSolvable(index - value, copy);
    copy = new ArrayList<Integer>(board);
    copy.set(index, -1);
```

```
public static boolean isSolvable(int index, List<Integer> board) {
    // base cases here
    int value = board.get(index);
    List<Integer> copy = new ArrayList<Integer>(board);
    copy.set(index, -1);
    boolean winLeft = false;
    if ((index - value) >= 0) {
      winLeft = isSolvable(index - value, copy);
    copy = new ArrayList<Integer>(board);
    copy.set(index, -1);
    boolean winRight = false;
    if ((index + value) < board.size()) {</pre>
```

```
public static boolean isSolvable(int index, List<Integer> board) {
    // base cases here
    int value = board.get(index);
    List<Integer> copy = new ArrayList<Integer>(board);
    copy.set(index, -1);
    boolean winLeft = false;
    if ((index - value) >= 0) {
      winLeft = isSolvable(index - value, copy);
    copy = new ArrayList<Integer>(board);
    copy.set(index, -1);
    boolean winRight = false;
    if ((index + value) < board.size()) {</pre>
      winRight = isSolvable(index + value, copy);
```

```
public static boolean isSolvable(int index, List<Integer> board) {
    // base cases here
    int value = board.get(index);
    List<Integer> copy = new ArrayList<Integer>(board);
    copy.set(index, -1);
    boolean winLeft = false;
    if ((index - value) >= 0) {
      winLeft = isSolvable(index - value, copy);
    }
                                                    works, but does a lot of
                                                    unnecessary computation;
    copy = new ArrayList<Integer>(board);
                                                    can you improve on this
    copy.set(index, -1);
                                                    solution?
    boolean winRight = false;
    if ((index + value) < board.size()) {</pre>
      winRight = isSolvable(index + value, copy);
    return winLeft | winRight;
```

Base Cases

- base cases:
 - we've reached the last square
 - board is solvable
 - ▶ we've reached a square whose value is -1
 - board is not solvable

```
public static boolean isSolvable(int index, List<Integer> board) {
   if (board.get(index) < 0) {
      return false;
   }
   if (index == board.size() - 1) {
      return true;
   }
   // recursive cases go here...</pre>
```

Recursion: Computational Complexity

Recursively Move Smallest to Front

```
public class Recursion {
```

```
public static void minToFront(List<Integer> t) {
 if (t.size() < 2) {
  return;
 Recursion.minToFront(t.subList(1, t.size()));
 int first = t.get(0);
 int second = t.get(1);
 if (second < first) {</pre>
  t.set(0, second);
  t.set(1, first);
```

size of problem, *n*, is the number of elements in the list **t**

Estimating complexity

- the basic strategy for estimating complexity:
 - for each line of code, estimate its number of elementary instructions
 - 2. for each line of code, determine how often it is executed
 - 3. determine the total number of elementary instructions

Elementary instructions

- what is an elementary instruction?
 - for our purposes, any expression that can be computed in a constant amount of time
- examples:
 - declaring a variable
 - assignment (=)
 - arithmetic (+, -, *, /, %)
 - comparison (<, >, ==, !=)
 - ▶ Boolean expressions (||, &&, !)
 - ▶ if, else
 - return statement

Estimating complexity

- count the number of elementary operations in each line of minToFront
 - assume that the following are all elementary operations:

```
> t.size()
> t.get(0)
> t.get(1)
> t.set(0, ...)
> t.set(1, ...)
> t.subList(x, y)
```

leave the line with the recursive call blank for now

Recursively Move Smallest to Front

```
public class Recursion {
                                                          number of
                                                        elementary ops
 public static void minToFront(List<Integer> t) {
  if (t.size() < 2) {
   return;
  Recursion.minToFront(t.subList(1, t.size()));
  int first = t.get(0);
  int second = t.get(1);
  if (second < first) {</pre>
   t.set(0, second);
   t.set(1, first);
```

Estimating complexity

for each line of code, determine how often it is executed

Recursively Move Smallest to Front

```
public class Recursion {
```

```
public static void minToFront(List<Integer> t) {
 if (t.size() < 2) {
                                                              1 or 0
  return;
 Recursion.minToFront(t.subList(1, t.size()));
                                                              1 or 0
                                                              1 or 0
 int first = t.get(0);
 int second = t.get(1);
                                                              1 or 0
 if (second < first) {</pre>
                                                              1 or 0
  t.set(0, second);
                                                              1 or 0
  t.set(1, first);
                                                              1 or 0
```

- before we can determine the total number of elementary operations, we need to count the number of elementary operations arising from the recursive call
- let T(n) be the total number of elementary operations required by **minToFront(t)**

```
public class Recursion {
  public static void minToFront(List<Integer> t) {
    Recursion.minToFront(t.subList(1, t.size()));
    1 elementary operation
```

} }

```
public class Recursion {
  public static void minToFront(List<Integer> t) {
    Recursion.minToFront(t.subList(1, t.size()));
    1 elementary operation
```

} }

```
public class Recursion { public static void minToFront(List<Integer> t) { Recursion.minToFront(t.subList(1, t.size())); T(n-1) \text{ elementary operations}
```

```
public class Recursion {
  public static void minToFront(List<Integer> t) {
```

Recursion.minToFront(t.subList(1, t.size()));

```
}
}
```

public class Recursion { public static void minToFront(List<Integer> t) { **if** (t.size() < 2) { these lines run if the return; base case is true Recursion.minToFront(t.subList(1, t.size())); int first = t.get(0); int second = t.get(1); if (second < first) {</pre> t.set(0, second); t.set(1, first);

public class Recursion {

```
public static void minToFront(List<Integer> t) {
                                                              3 * 1
 if (t.size() < 2) {
                                                               1 * 1
  return;
 Recursion.minToFront(t.subList(1, t.size()));
                                                             (T(n-1) + 2) * 1
 int first = t.get(0);
 int second = t.get(1);
 if (second < first) {</pre>
                                                               2 * 1
                                                               1 * 1
  t.set(0, second);
  t.set(1, first);
                                                               1 * 1
```

- base cases
 - T(0) = T(1) = 4

public class Recursion {

```
public static void minToFront(List<Integer> t) {
                                      this line runs if the base case is not true
 if (t.size() < 2) {
  return;
 Recursion.minToFront(t.subList(1, t.size()));
                                                         these lines run if the
 int first = t.get(0);
                                                         base case is not true
 int second = t.get(1);
 if (second < first) {</pre>
                                                  these lines might run if the
  t.set(0, second);
                                                  base case is not true
  t.set(1, first);
```

- when counting the total number of operations, we often consider the worst case scenario
 - let's assume that the lines that might run always run

public class Recursion {

```
public static void minToFront(List<Integer> t) {
 if (t.size() < 2) {
                                                              3 * 1
                                                               1 * 1
  return;
 Recursion.minToFront(t.subList(1, t.size()));
                                                             (T(n-1) + 2) * 1
 int first = t.get(0);
 int second = t.get(1);
 if (second < first) {</pre>
                                                               2 * 1
                                                               1 * 1
  t.set(0, second);
  t.set(1, first);
                                                               1 * 1
```

- base cases
 - T(0) = T(1) = 4
- recursive case
 - T(n) = T(n-1) + 15
- ▶ the two equations above are called the *recurrence* relation for minToFront

Selection Sort

```
public class Recursion {
 // minToFront not shown
                                                        number of
                                                      elementary ops?
 public static void selectionSort(List<Integer> t) {
  if (t.size() > 1) {
   Recursion.minToFront(t);
   Recursion.selectionSort(t.subList(1, t.size()));
```

- base cases
 - T(0) = T(1) = 4
- recursive case
 - T(n) = T(n-1) + 15
- ▶ the two equations above are called the *recurrence* relation for minToFront
- let's try to solve the recurrence relation

$$T(0) = 4$$

 $T(1) = 4$
 $T(n) = T(n-1) + 15$

▶ if we knew T(n-1) we could solve for T(n)

$$T(n) = T(n-1) + 15$$

= $(T(n-2) + 15) + 15$
= $T(n-2) + 2(15)$

$$T(0) = 4$$

 $T(1) = 4$
 $T(n) = T(n-1) + 15$

▶ if we knew T(n-2) we could solve for T(n)

$$T(n) = T(n-1) + 15$$
 $T(n-1) = T(n-2) + 15$
 $= (T(n-2) + 15) + 15$
 $= T(n-2) + 2(15)$ $T(n-2) = T(n-3) + 15$
 $= (T(n-3) + 15) + 2(15)$
 $= T(n-3) + 3(15)$

$$T(0) = 4$$

 $T(1) = 4$
 $T(n) = T(n-1) + 15$

▶ if we knew T(n-3) we could solve for T(n)

$$T(n) = T(n-1) + 15$$
 $T(n-1) = T(n-2) + 15$
 $= (T(n-2) + 15) + 15$
 $= T(n-2) + 2(15)$ $T(n-2) = T(n-3) + 15$
 $= (T(n-3) + 15) + 2(15)$
 $= T(n-3) + 3(15)$ $T(n-3) = T(n-4) + 15$
 $= (T(n-4) + 15) + 3(15)$
 $= T(n-4) + 4(15)$

$$T(0) = 4$$

 $T(1) = 4$
 $T(n) = T(n-1) + 15$

there is clearly a pattern

$$T(n) = T(n-k) + k(15)$$

$$T(0) = 4$$

 $T(1) = 4$
 $T(n) = T(n-1) + 15$

• substitute k = n - 1 so that we reach a base case

$$T(n) = T(n-k) + k(15)$$

$$= T(n-(n-1)) + (n-1)(15)$$

$$= T(1) + 15n - 15$$

$$= 4 + 15n - 15$$

$$= 15n - 11 \in O(n)$$

Big-O notation

▶ Proof: f(n) = 15n - 11, g(n) = n

For $n \ge 1$, f(n) > 0 and $g(n) \ge 0$; therefore, we do not need to consider the absolute values. We need to find *M* and *m* such that the following is true:

$$15n - 11 < Mn$$
 for all $n > m$

For n > 0 we have:

$$\frac{15n - 11}{n} < \frac{15n}{n} = 15$$

 $\therefore 15n - 11 < 15n$ for all n > 0 and $T(n) \in O(n)$

Try to solve the recurrence relation

$$T(1) = 1$$

$$T(n) = T(n-1) + 3n$$

Try to solve the recurrence relation

$$T(1) = 7$$

$$T(n) = T\left(\frac{n}{2}\right) + 1$$

Try to solve the recurrence relation

$$T(0) = 3$$

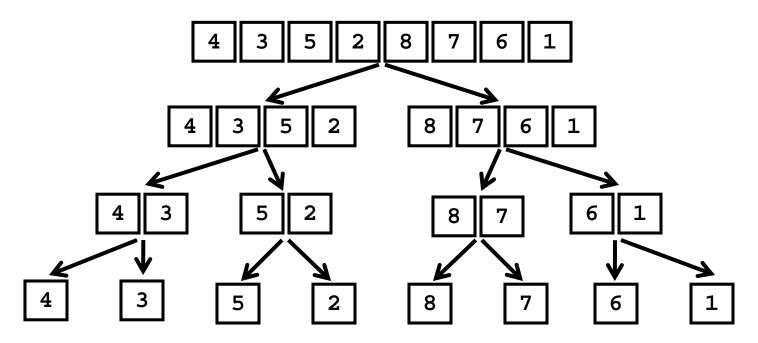
 $T(1) = 3$
 $T(n) = T(n-1) + Mn + 5$

Divide and Conquer

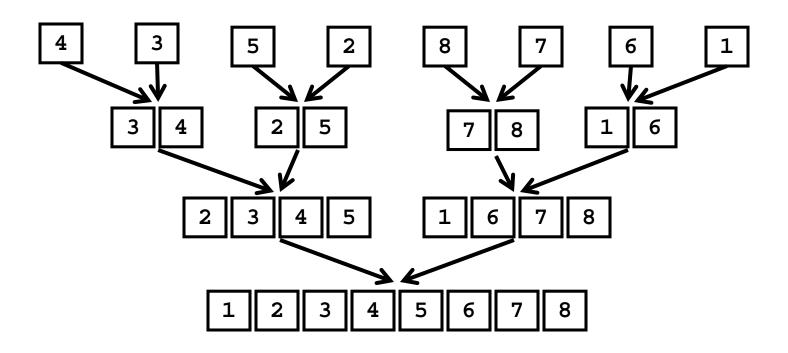
 divide and conquer algorithms typically recursively divide a problem into several smaller sub-problems until the sub-problems are small enough that they can be solved directly

Merge Sort

merge sort is a divide and conquer algorithm that sorts a list of numbers by recursively splitting the list into two halves

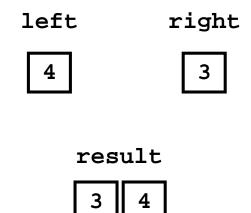


the split lists are then merged into sorted sub-lists



Merging Sorted Sub-lists

two sub-lists of length 1

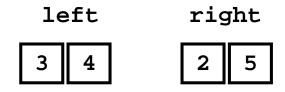


1 comparison2 copies

```
LinkedList<Integer> result = new LinkedList<Integer>();
int fL = left.getFirst();
int fR = right.getFirst();
if (fL < fR) {
  result.add(fL);
  left.removeFirst();
else {
  result.add(fR);
  right.removeFirst();
if (left.isEmpty()) {
  result.addAll(right);
else {
  result.addAll(left);
```

Merging Sorted Sub-lists

two sub-lists of length 2



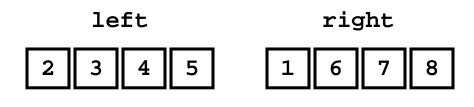
result
2 3 4 5

3 comparisons4 copies

```
LinkedList<Integer> result = new LinkedList<Integer>();
while (left.size() > 0 && right.size() > 0 ) {
  int fL = left.getFirst();
  int fR = right.getFirst();
  if (fL < fR) {
    result.add(fL);
    left.removeFirst();
  else {
    result.add(fR);
    right.removeFirst();
if (left.isEmpty()) {
  result.addAll(right);
else {
  result.addAll(left);
```

Merging Sorted Sub-lists

two sub-lists of length 4



result

1 2 3 4 5 6 7 8

5 comparisons8 copies

Simplified Complexity Analysis

• in the worst case merging a total of **n** elements requires

```
    n - 1 comparisons +
    n copies
    = 2n - 1 total operations
```

• the worst-case complexity of merging is the order of O(n)

Informal Analysis of Merge Sort

- suppose the running time (the number of operations) of merge sort is a function of the number of elements to sort
 - let the function be T(n)
- merge sort works by splitting the list into two sub-lists (each about half the size of the original list) and sorting the sub-lists
 - this takes 2T(n/2) running time
- then the sub-lists are merged
 - \blacktriangleright this takes O(n) running time
- ▶ total running time T(n) = 2T(n/2) + O(n)

Solving the Recurrence Relation

$$T(n) \rightarrow 2T(n/2) + O(n)$$
 $T(n)$ approaches...
 $\approx 2T(n/2) + n$
 $= 2[2T(n/4) + n/2] + n$
 $= 4T(n/4) + 2n$
 $= 4[2T(n/8) + n/4] + 2n$
 $= 8T(n/8) + 3n$
 $= 8[2T(n/16) + n/8] + 3n$
 $= 16T(n/16) + 4n$
 $= 2^kT(n/2^k) + kn$

Solving the Recurrence Relation

$$T(n) = 2^k T(n/2^k) + kn$$

- for a list of length 1 we know T(1) = 1
 - if we can substitute T(1) into the right-hand side of T(n) we might be able to solve the recurrence
 - we have $T(n/2^k)$ on the right-hand side, so we need to find some value of k such that

$$n/2^k = 1 \implies 2^k = n \implies k = \log_2(n)$$

Solving the Recurrence Relation

$$T(n) = 2^{\log_2 n} T(n/2^{\log_2 n}) + n \log_2 n$$

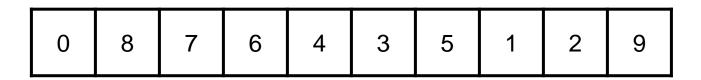
$$= n T(1) + n \log_2 n$$

$$= n + n \log_2 n$$

$$\in O(n \log_2 n)$$

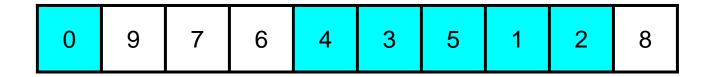
- quicksort, like mergesort, is a divide and conquer algorithm for sorting a list or array
- ▶ it can be described recursively as follows:
 - 1. choose an element, called the *pivot*, from the list
 - 2. reorder the list so that:
 - values less than the pivot are located before the pivot
 - values greater than the pivot are located after the pivot
 - 3. quicksort the sublist of elements before the pivot
 - 4. quicksort the sublist of elements after the pivot

- step 2 is called the partition step
- consider the following list of unique elements

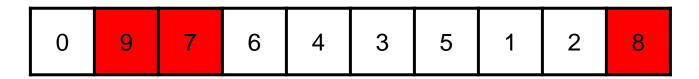


assume that the pivot is 6

- the partition step reorders the list so that:
 - values less than the pivot are located before the pivot
 - we need to move the cyan elements before the pivot



- values greater than the pivot are located after the pivot
 - we need to move the red elements after the pivot



- can you describe an algorithm to perform the partitioning step?
 - talk amongst yourselves here

• after partitioning the list looks like:



- partioning has 3 results:
 - the pivot is in its correct final sorted location
 - the left sublist contains only elements less than the pivot
 - the right sublist contains only elements greater than the pivot

- after partitioning we recursively quicksort the left sublist
- for the left sublist, let's assume that we choose 4 as the pivot
 - after partitioning the left sublist we get:



- we then recursively quicksort the left and right sublists
 - □ and so on...

• eventually, the left sublist from the first pivoting operation will be sorted; we then recursively quicksort the right sublist:



▶ if we choose 8 as the pivot and partition we get:



the left and right sublists have size 1 so there is nothing left to do

- the computational complexity of quicksort depends on:
 - the computational complexity of the partition operation
 - without proof I claim that this is O(n) for a list of size n
 - how the pivot is chosen

- let's assume that when we choose a pivot we always choose the smallest (or largest) value in the sublist
 - \blacktriangleright yields a sublist of size (n-1) which we recursively quicksort
- \blacktriangleright let T(n) be the number of operations needed to quicksort a list of size *n* when choosing a pivot as described above
 - then the recurrence relation is:

$$T(n) = T(n-1) + O(n)$$
 same as selection sort

solving the recurrence results in

$$T(n) = O(n^2)$$

- let's assume that when we choose a pivot we always choose the median value in the sublist
 - yields 2 sublists of size $(\frac{n}{2})$ which we recursively quicksort
- ▶ let T(n) be the number of operations needed to quicksort a list of size n when choosing a pivot as described above
 - then the recurrence relation is:

$$T(n) = 2T(\frac{n}{2}) + O(n)$$
 same as merge sort

solving the recurrence results in

$$T(n) = O(n \log_2 n)$$

- what is the fastest way to sort a deck of playing cards?
- what is the big-O complexity?
- ▶ talk amongst ourselves here....

Proving correctness and terminaton

Proving Correctness and Termination

- to show that a recursive method accomplishes its goal you must prove:
 - that the base case(s) and the recursive calls are correct
 - 2. that the method terminates

Proving Correctness

- to prove correctness:
 - prove that each base case is correct
 - assume that the recursive invocation is correct and then prove that each recursive case is correct

printltToo

```
public static void printItToo(String s, int n) {
  if (n == 0) {
    return;
  }
  else {
    System.out.print(s);
    printItToo(s, n - 1);
  }
}
```

Correctness of printltToo

- (prove the base case) If n == 0 nothing is printed;
 thus the base case is correct.
- Assume that printItToo(s, n-1) prints the string s exactly(n 1) times. Then the recursive case prints the string s exactly(n 1)+1 = n times; thus the recursive case is correct.

Proving Termination

- ▶ to prove that a recursive method terminates:
 - define the size of a method invocation; the size must be a non-negative integer number
 - 2. prove that each recursive invocation has a smaller size than the original invocation

Termination of printltToo

- printItToo(s, n) prints n copies of the string s;
 define the size of printItToo(s, n) to be n
- The size of the recursive invocation printItToo(s, n-1) is n-1 (by definition) which is smaller than the original size n.

countZeros

```
public static int countZeros(long n) {
  if(n == 0L) { // base case 1
   return 1;
  }
  else if(n < 10L) { // base case 2
   return 0;
  boolean lastDigitIsZero = (n % 10L == 0);
  final long m = n / 10L;
  if(lastDigitIsZero) {
   return 1 + countZeros(m);
 else {
   return countZeros(m);
```

Correctness of countZeros

- (base cases) If the number has only one digit then the method returns 1 if the digit is zero and 0 if the digit is not zero; therefore, the base case is correct.
- (recursive cases) Assume that
 countZeros(n/10L) is correct (it returns the number of zeros in the first (d 1) digits of n).

There are two recursive cases:

Correctness of countZeros

- If the last digit in the number is zero, then the recursive case returns 1 + the number of zeros in the first (d 1) digits of n, which is correct.
- If the last digit in the number is one, then the recursive case returns the number of zeros in the first (d 1) digits of n, which is correct.

Termination of countZeros

- Let the size of **countZeros(n)** be **d** the number of digits in the number **n**.
- The size of the recursive invocation countZeros(n/10L) is d-1, which is smaller than the size of the original invocation.

Selection Sort

```
public class Recursion {
 // minToFront not shown
 public static void selectionSort(List<Integer> t) {
  if (t.size() > 1) {
   Recursion.minToFront(t);
   Recursion.selectionSort(t.subList(1, t.size()));
                        Prove that selection sort is correct and terminates.
```

Proving Termination

prove that the algorithm on the next slide terminates

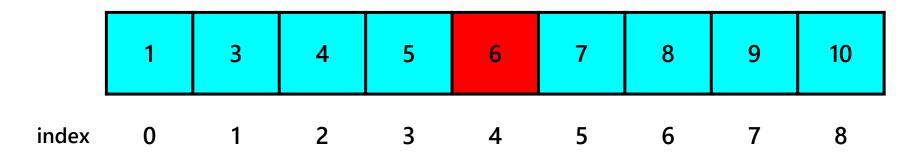
```
public class Print {
 public static void done(int n) {
  if (n == 1) {
   System.out.println("done");
  else if (n \% 2 == 0) {
   System.out.println("not done");
   Print.done(n / 2);
  else {
   System.out.println("not done");
   Print.done(3 * n + 1);
```

- one reason that we care about sorting is that it is much faster to search a sorted list compared to sorting an unsorted list
- the classic algorithm for searching a sorted list is called binary search
- ▶ to search a list of size *n* for a value *v*:
 - ▶ look at the element e at index $(\frac{n}{2})$
 - if e > v recursively search the sublist to the left
 - if e < v recursively search the sublist to the right
 - if e == v then done

 \blacktriangleright consider the sorted list of size n=9

	1	3	4	5	6	7	8	9	10
sublist index	0	1	2	3	4	5	6	7	8

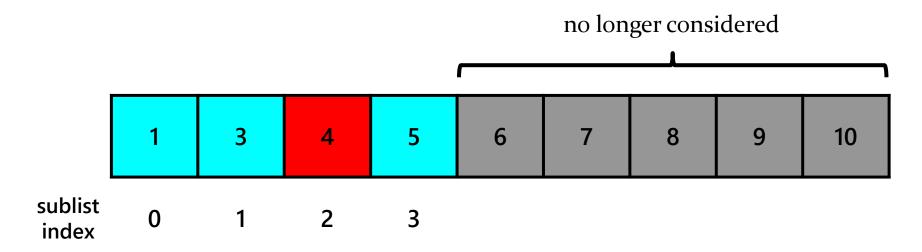
• search for v = 3



$$mid = \frac{9}{2} = 4$$
$$e = 6$$

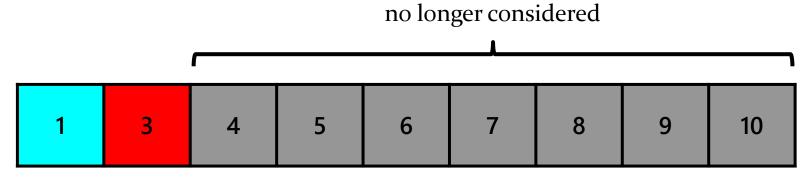
v < e, recursively search the left sublist

• search for v = 3



$$mid = \frac{4}{2} = 2$$
 $e = 4$
 $v < e$, recursively search the left sublist

• search for v = 3



sublist index

0

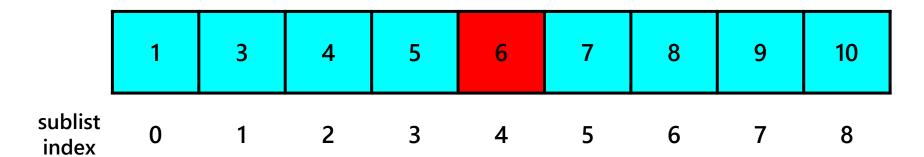
1

$$mid = \frac{2}{2} = 1$$

$$e = 3$$

$$v == e, \text{ done}$$

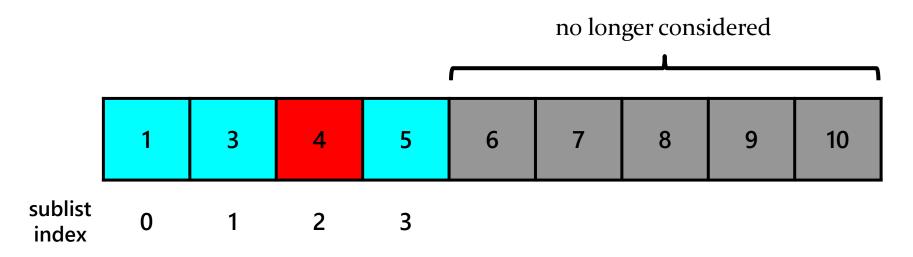
• search for v = 2



$$mid = \frac{9}{2} = 4$$
$$e = 6$$

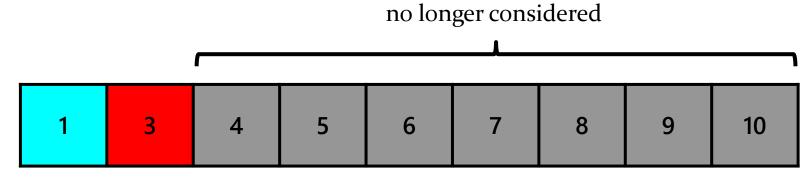
v < e, recursively search the left sublist

• search for v = 2



$$mid = \frac{4}{2} = 2$$
 $e = 4$
 $v < e$, recursively search the left sublist

• search for v = 2



sublist index

0

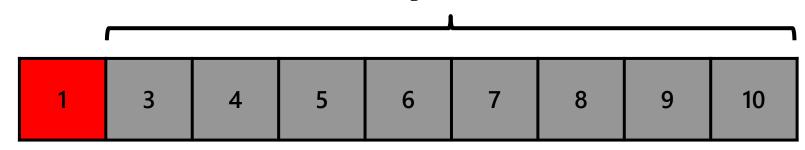
1

$$mid = \frac{2}{2} = 1$$
$$e = 3$$

v < e, recursively search the left sublist

• search for v = 2

no longer considered



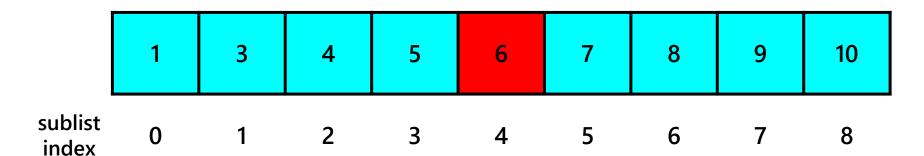
sublist index

$$mid = \frac{1}{2} = 0$$

$$e = 1$$

v > e, recursively search the right sublist; right sublist is empty, done

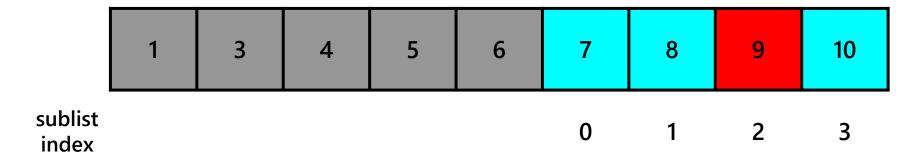
• search for v = 9



$$mid = \frac{9}{2} = 4$$
$$e = 6$$

v > e, recursively search the right sublist

• search for v = 9



$$mid = \frac{4}{2} = 2$$

$$e = 9$$

$$v == e, \text{ done}$$

```
/**
* Searches a sorted list of integers for a given value using binary search.
* @param v the value to search for
* @param t the list to search
* @return true if v is in t, false otherwise
*/
public static boolean contains(int v, List<Integer> t) {
if (t.isEmpty()) {
  return false;
 int mid = t.size() / 2;
 int e = t.get(mid);
if (e == v) {
  return true;
 else if (v < e) {
  return Recursion.contains(v, t.subList(0, mid));
 else {
  return Recursion.contains(v, t.subList(mid + 1, t.size()));
```

- what is the recurrence relation?
- what is the big-O complexity?

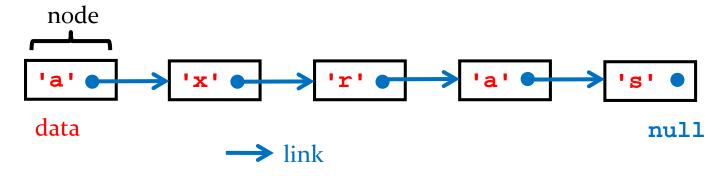
Revisiting Linked List

Recursive Objects

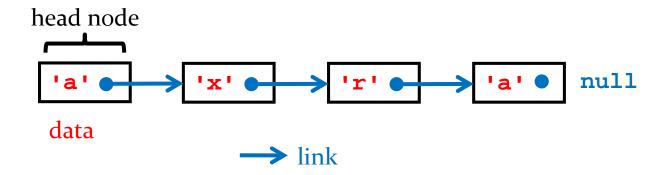
- an object that holds a reference to its own type is a recursive object
 - Inked lists and trees are classic examples in computer science of objects that can be implemented recursively

Singly Linked List

- a data structure made up of a sequence of nodes
- each node has
 - some data
 - ▶ a field that contains a reference (a link) to the next node in the sequence
- suppose we have a linked list that holds characters; a picture of our linked list would be:

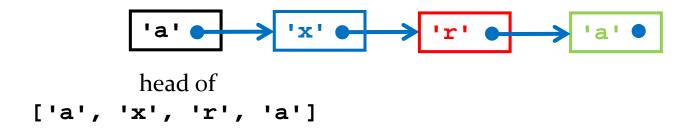


Singly Linked List

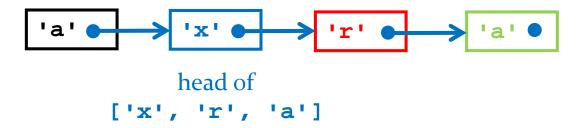


• the first node of the list is called the *head* node

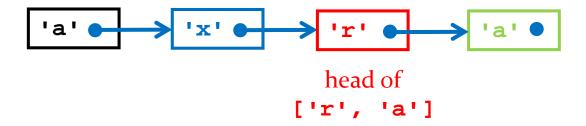
• each node can be thought of as the head of a smaller list



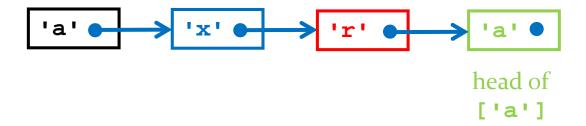
each node can be thought of as the head of a smaller list



each node can be thought of as the head of a smaller list



each node can be thought of as the head of a smaller list



- the recursive structure of the linked list suggests that algorithms that operate on a linked list can be implemented recursively
 - e.g., getNode(int index) from Lab 5

```
/**
* Returns the node at the given index.
* 
* NOTE: This method is extremely useful for implementing many of the methods
* of this class, but students should try to use this method only once in each
* method.
* 
* NOTE: This method causes a privacy leak and would not normally be
* part of the public API; however, it is useful for testing purposes.
  @param index
             the index of the node
* @return the node at the given index
  @throws IndexOutOfBoundsException
               if index is less than 0 or greater than or equal the size of this
              list
*/
public Node getNode(int index) {
   this.checkIndex(index);
   return LinkedIntList.getNodeImpl(this.head, index); // private static method
}
```

```
/**
 * Returns the node located at the specified index in the
 * list with specified head node.
 *
 * @param head the head node of a linked list
 * @param index the index of the element
 * @return the node located index elements from the specified node
 */
private static Node getNodeImpl(Node head, int index) {
   • base case(s)?
   • recursive case?
   • precondition(s)?
```

```
/**
 * Returns the node located at the specified index in the
 * list with specified head node.
 *
 * @param head the head node of a linked list
 * @param index the index of the element
 * @return the node located index elements from the specified node
 */
private static Node getNodeImpl(Node head, int index) {
  if (index == 0) {
    return head;
  return LinkedIntList.getNodeImpl(head.getNext(), index - 1);
```

recursive version of contains

```
/**
 * Returns true if this list contains the specified element,
 * and false otherwise.
 * @param elem the element to search for
 * @return true if this list contains the specified element,
 * and false otherwise
 */
public boolean contains(int elem) {
  if (this.size == 0) {
    return false;
  return LinkedIntList.contains(this.head, elem);
```

```
/**
 * Returns true if the linked list with the specified head node contains
 * the specified element, and false otherwise.
 *
 * @param head the head node
 * @param elem the element to search for
 * @return true if the linked list with the specified head node contains
 * the specified element, and false otherwise
 */
private static boolean contains(Node head, int elem) {
```

- base case(s)?
- recursive case?
- precondition(s)?

```
/**
 * Returns true if the linked list with the specified head node contains
  the specified element, and false otherwise.
 * # @param head the head node
 * @param elem the element to search for
 * @return true if the linked list with the specified head node contains
 * the specified element, and false otherwise
 */
private static boolean contains(Node head, int elem) {
  if (head.getData() == elem) {
   return true;
  if (head.getNext() == null) {
   return false;
  }
  return LinkedIntList.contains(head.getNext(), elem);
```