

EECS 3101: DESIGN AND ANALYSIS OF ALGORITHMS

Tutorial 6 - Solutions

1. Solve the following recurrence:

$$T(1) = 1 \text{ and for all } n \geq 2, T(n) = nT(n-1) + n.$$

Solution: It is possible to do this with repeated substitution. This was done in the tutorial. Here is an alternative solution. Define $S(n) = T(n)/n!$. Then since

$$\begin{aligned} T(n) &= nT(n-1) + n, \text{ dividing both sides by } n! \text{ we have} \\ \frac{T(n)}{n!} &= \frac{T(n-1)}{(n-1)!} + \frac{1}{(n-1)!} \\ S(n) &= S(n-1) + \frac{1}{(n-1)!} \\ &= S(n-2) + \frac{1}{(n-2)!} + \frac{1}{(n-1)!} \\ &\dots \\ &= S(1) + \frac{1}{1!} + \frac{1}{2!} + \dots + \frac{1}{(n-2)!} + \frac{1}{(n-1)!} \\ &= 1 + \frac{1}{1!} + \frac{1}{2!} + \dots + \frac{1}{(n-2)!} + \frac{1}{(n-1)!} \\ &= \sum_{i=0}^{n-1} \frac{1}{i!}, \text{ so substituting for } T(n) \text{ we have} \\ T(n) &= n! \sum_{i=0}^{n-1} \frac{1}{i!} \end{aligned}$$

Similarly if n is odd, we get $T(n) = T(1) + \lg 3^2 5^2 \dots (n-2)^2 n^2$.

We see that $2 \leq \sum_{i=0}^{n-1} \frac{1}{i!} \leq e$ for $n \geq 1$, so it follows that $T(n) = \Theta(n!)$.

2. Solve the following recurrence to get a big-O bound, where $T(1) = 1$ and for $n > 1$,

$$T(n) = 2\sqrt{n}T(\sqrt{n}) + 4n$$

You may ignore floors and ceilings.

Solution: Let us first substitute $S(n) = T(n)/n$. Then, we have $S(n) = 2S(\sqrt{n}) + 4$. We can now substitute $n = 2^m$, so that $m = \lg n$. This yields $S(2^m) = 2S(2^{m/2}) + 4$. Now define $R(m) = S(2^m)$. Then we have the following recurrence for $R(m)$:

$$R(m) = 2R(m/2) + 4$$

Case 1 of the Master Theorem holds, since $n^{\log_b a} = n^1$ and $f(n) = 4 = 4n^0 = 4n^{1-1}$ so $\epsilon = 1$ works. Using case 1 of the Master Theorem, we get $R(m) = O(m)$. Therefore $S(2^m) = O(m)$, or $S(n) = O(\lg n)$. Therefore $T(n) = O(n \lg n)$.

3. $T(n) = 4T(\sqrt[3]{n}) + n$.

Solution: We can't use the master method directly because the argument to T on the RHS is not of the form n/b . Changing the variable, we rename $n = 2^m$. So $T(2^m) = 4T(2^{m/3}) + 2^m$. Define $S(m) = T(2^m)$. Then $S(m) = 4S(m/3) + 2^m$. We can use the Master Theorem to solve this recurrence, **provided** $S(1)$ is defined. It is important to note that we need $S(1)$ as a boundary condition if we ignore floors, because for any finite k , $m/3^k > 0$. We can compute $S(1)$ using $S(1) = T(2) = 4T(1) + 2 = 6$. Here, $a = 4, b = 3$, so $n^{\log_b a} = n^{\log_3 4}$. We will show that $f(m) = 2^m = \Omega(n^{\log_3 4 + \epsilon})$. Choose ϵ such that $n^{\log_3 4 + \epsilon} = n^2$. We can prove that $2^m = \omega(n^2)$ (you can prove this using limits as in the last problem). We check the regularity condition next. This requires us to prove that $af(n/b) < cf(n)$ for some $c < 1$ and sufficiently large n . Since $4 \cdot 2^{n/3} = 2^{n/3+2} = \frac{1}{2}2^{n/3+3} < \frac{1}{2}2^n$ when $n/3 + 3 < n$ or $n \geq 5$.

Thus case 3 of the master method applies. Hence, $S(m) = \Theta(2^m)$. Changing back from $S(m)$ to $T(n)$, we obtain $T(n) = \Theta(n)$.

4. Consider the following code for BUILD-HEAP, which operates on a heap stored in an array $A[1 \dots n]$:

```
BUILD-HEAP( $A$ )
1   $heap-size[A] \leftarrow length[A]$ 
2  for  $i = \lfloor length[A]/2 \rfloor$  down to 1
3  do MAX-HEAPIFY( $A, i$ )
```

Show how this procedure can be implemented as a recursive divide-and-conquer procedure BUILD-HEAP(A, i), where $A[i]$ is the root of the sub-heap to be built. To build the entire heap, we would call BUILD-HEAP($A, 1$).

Solution: Recall that MAX-HEAPIFY(A, i) requires that the two subtrees of node i must already be heaps (the iterative version given above starts building the heap bottom-up for this reason). The recursive version makes the two subtrees of node i heaps by calling itself recursively, and then invokes MAX-HEAPIFY on node i .

```
BUILD-HEAP-R( $A, i$ )
1  if  $i \leq \lfloor length[A]/2 \rfloor$ 
2      then BUILD-HEAP-R( $A, 2i$ )
3          BUILD-HEAP-R( $A, 2i + 1$ )
4          MAX-HEAPIFY( $A, i$ )
```

5. Give a recurrence that describes the worst-case running time of the previous procedure. Solve the recurrence using the Master theorem.

Solution: Since MAX-HEAPIFY takes time $O(\lg n)$ and there are two recursive calls to BUILD-HEAP-R, the running time can be expressed using the following equations: $T(1) = O(1)$, and for $n > 1$,

$$T(n) = 2T(n/2) + O(\lg n)$$

Solution of the recurrence: First, we must show that case 1 of the Master Theorem applies. Since $a = 2, b = 2$, so $n^{\log_b a} = n^{\log_2 2} = n$, and $f(n) = \lg n$, we can use the Master Theorem using $\epsilon = 0.5$ (for example). The proof that $\lg n = O(n^{0.5})$ is omitted. One way to do this is by showing that $\lg n = o(n^{0.5})$ using the limit definition of $o()$. Then, $T(n) = \Theta(n)$ by case 1 of the Master theorem.