# Prolog and the Resolution Method

### **The Logical Basis of Prolog**

# Background

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- **Complete** proof system with only one rule.
  - » If something can be proven from a set of logical formulae, the method finds it.

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- Complete proof system with only one rule.
  - » If something can be proven from a set of logical formulae, the method finds it.
- Orrect
  - » Only theorems will be proven, nothing else.
- Proof by contradiction
  - » Add negation of a purported theorem to a body of axioms and previous proven theorems
  - » Show resulting system is contradictory

# **Propositional Logic**

♦ Infinite list of propositional variables

» a, b, ..., z,  $p_1 \dots p_n$ ,  $q_1 \dots q_r$ , ...

# **Propositional Logic – 2**

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- Logical connectives

 $\sim$  (not)  $\wedge$  (and)  $\vee$  (or)  $\rightarrow$  (implies)  $\leftrightarrow$  (iff)

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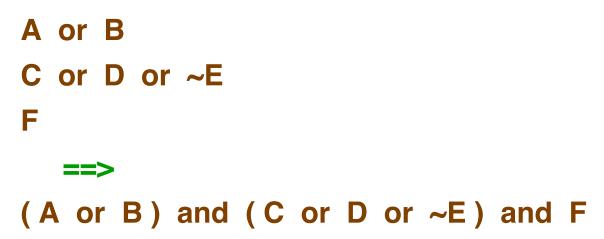
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- The set of formula's of propositional logic is the smallest set, FOR, such that
  - » Every propositional variable is in FOR
  - » If A and B are elements of FOR then  $\sim A$  A  $\wedge B$  A  $\vee B$  A  $\rightarrow B$  A  $\leftrightarrow B$ are elements of FOR

# **Propositional clauses – informal**

- Ave a collection of clauses in conjunctive normal form
  - » Each clause is a set of propositions connected with or
  - » Propositions can be negated (use not ~)
  - » set of clauses implicitly and' ed together
- ♦ Example



## **Clausal Form**

A clause is an expression of the following form, called clausal form

$$\begin{array}{c} \textbf{I}_0, \, \textbf{I}_1, \, \textbf{I}_2, \, \dots \, \textbf{I}_k \\ \text{commas are} \\ \text{disjunctions} \end{array} \xleftarrow{} \textbf{d}_0, \, \textbf{d}_1, \, \textbf{d}_2, \, \dots \, \textbf{d}_m \\ \text{commas are} \\ \text{conjunctions} \end{array}$$

### Clausal Form – 2

commas are conjunctions

The following equivalence holds

 $\mathbf{a} \leftarrow \mathbf{b} \equiv \mathbf{a} \lor \sim \mathbf{b}$ 

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Using de' Morgans law

 $\mathsf{I_0} \lor \mathsf{I_1} \lor \mathsf{I_2} \lor \ldots \lor \mathsf{I_k} \ \lor \textbf{-d_0} \lor \textbf{-d_1} \lor \textbf{-d_2} \lor \ldots \lor \textbf{-d_m}$ 

If S = { c<sub>0</sub>, c<sub>1</sub>, c<sub>2</sub>, ... c<sub>k</sub> } are a set of clauses then the representation of S is the formula

 $\alpha = (\alpha_{c0} \land \alpha_{c1} \land \alpha_{c2} \land \dots \land \alpha_{ck})$ 

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 $\diamond \alpha_{ci}$  is a disjunction of variables and their negations

 $\mathbf{I_0} \lor \mathbf{I_1} \lor \mathbf{I_2} \lor \ldots \lor \mathbf{I_k} \lor \mathbf{\neg d_0} \lor \mathbf{\neg d_1} \lor \mathbf{\neg d_2} \lor \ldots \lor \mathbf{\neg d_m}$ 

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 $\mathbf{I_0} \vee \mathbf{I_1} \vee \mathbf{I_2} \vee \ ... \ \vee \mathbf{I_k} \vee \mathbf{\sim d_0} \vee \mathbf{\sim d_1} \vee \mathbf{\sim d_2} \vee \ ... \ \vee \mathbf{\sim d_m}$ 

 $\diamond \alpha$  is a conjunction of these disjunctions

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- $\diamond \alpha$  is in CNF (conjunctive normal form)

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#### Every formula can be converted to CNF

## **Contradiction in a set of clauses**

 $\diamond$  The set {  $p \land \sim p$  } is a contradiction of clauses

## Contradiction in a set of clauses – 2

- $\diamond$  The set { p  $\land \sim$  p } is a contradiction of clauses
- In clausal form this is

### **Contradiction in a set of clauses – 3**

- $\diamond$  The set { p  $\land \sim$  p } is a contradiction of clauses
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 We say that resolving upon p gives [] the empty clause, which is false.

## **Propositional case – Resolution**

♦ What if there is a contradiction in the set of clauses

## **Propositional case – Resolution – 2**

- ♦ What if there is a contradiction in the set of clauses
- ♦ Example only one clause



### **Propositional case – Resolution – 3**

- What if there is a contradiction in the set of clauses
- Example only one clause
   P
   Add ~P to the set of clauses
   P
   ~P
   ~P
   ==>
   P and ~P
   ==>
   [] -- null the empty clause is false

### **Propositional case – Resolution – 4**

- What if there is a contradiction in the set of clauses
- Example only one clause  $\Diamond$ Ρ Add ~P to the set of clauses  $\Diamond$ Ρ ~P ==> P and ~P ==> -- null the empty clause is false [] Think of **P** and **~P** canceling each other out of existence  $\Diamond$

# **Resolution rule**

- ♦ Given the clause
  - Q or ~R
- and the clause
   R or P
   then resolving the two clauses is the following
   (Q or ~R) and (R or P)
   P or Q -- new clause that can be added to the set
- Combining two clauses with a positive proposition and its negation (called literals) leads to adding a new clause to the set of clauses consisting of all the literals in both parent clauses except for the literals resolved on

# **Resolution rule – 2**

Given the clause  $\langle \rangle$  $L_1$  or  $L_2$  or ... or  $L_p$  or  $\sim R$ and the clause  $\Diamond$ **Cancel each other R** or  $K_1$  or  $K_2$  or ... or  $K_{\alpha}$ then resolving the two clauses on **R** is the following  $\Diamond$ ( $L_1$  or  $L_2$  or ... or  $L_p$  or  $\sim R$ ) and (R or  $K_1$  or  $K_2$  or ... or  $K_q$ ) ==>( $L_1$  or  $L_2$  or ... or  $L_p$  or  $K_1$  or  $K_2$  or ... or  $K_q$ ) A new clause that can be added to the set

## **Resolution method**

- Combine clauses using resolution to find the empty clause  $\Diamond$ » Implies one or more of the clauses is false.
- Given the clauses  $\Diamond$

1 Ρ  $2 \sim P \text{ or } Q$  $3 \sim Q \text{ or } R$ 4 ~R

- Can resolve as follows  $\diamond$ 
  - 5 P and  $(\sim P \text{ or } Q) ==> Q$ 6 Q and ( $\sim$ Q or R) ==> R resolve 5 and 3 7 R and ~R ==> []
    - resolve 1 and 2

    - resolve 6 and 4

Given a set of non contradictory clauses

 – assume the set of clauses is true
 P
 ~P or Q

~Q or R

- Given a set of non contradictory clauses

   assume the set of clauses is true
   P
   ~P or Q
   ~Q or R
- 2 Add the negation of the theorem, R , to be proven true ~R

- Given a set of non contradictory clauses

   assume the set of clauses is true
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   ~P or Q
   ~Q or R
- 2 Add the negation of the theorem, R , to be proven true ~R
  - If R is true, then the clause set now contains a contradiction

- 1 Given a set of non contradictory clauses – assume the set of clauses is true P ~P or Q ~Q or ~R
- 2 Add the negation of the theorem,  $\sim R$  , to be proven true R
  - Clause set now contains a contradiction
- 3 Find [] showing that a contradiction exists, (see the slide *Resolution Method*)

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- 2 Add the negation of the theorem,  $\sim R$ , to be proven true R
  - Clause set now contains a contradiction
- 3 Find [] showing that a contradiction exists, (see the slide *Resolution Method*)
- 4 Finding [] implies ~R is false, hence the theorem, R, is true

### **Resolution method problems**

- In general resolution leads to longer and longer clauses
  - » Length 2 & length 2 -> length 2 no shorter
  - » Length 3 & length 2 -> length 3 no shorter
  - » In general length p & length q -> length p + q - 2 longer

### **Resolution method problems – 2**

- In general resolution leads to longer and longer clauses
  - » Length 2 & length 2 --> length 2
  - » Length 3 & length 2 -> length 3
  - » In general length p & length q --> length p + q 2
- Non trivial to find the sequence of resolution rule applications needed to find []

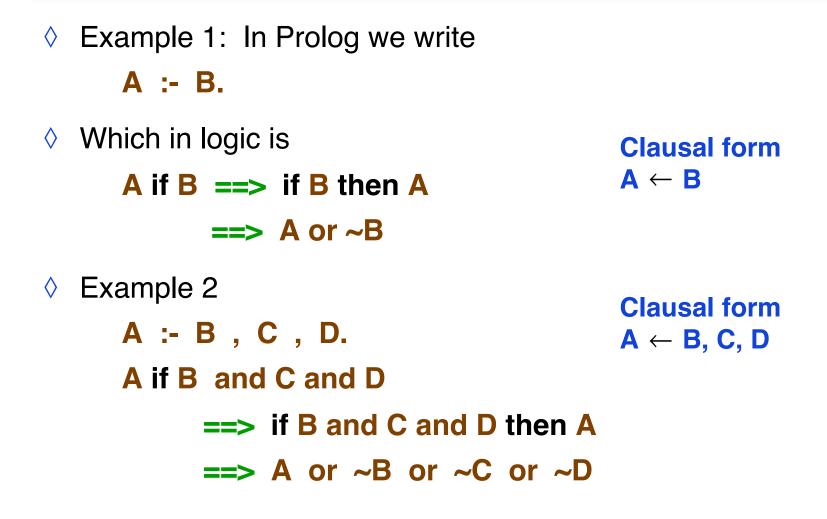
# **Resolution method problems – 3**

- In general resolution leads to longer and longer clauses
  - » Length 2 & length 2 --> length 2 (see earlier slide) no shorter
  - » Length 3 & length 2 -> length 3 (longer)
  - » In general length p & length q --> length p + q 2 (see earlier slide)
- Non trivial to find the sequence of resolution rule applications needed to find []
- But at least there is only one rule to consider, which has helped automated theorem proving

# The Big Question

# How does all this relate to Prolog?

#### If A then B – Propositional case



#### If A then B – Propositional case – 2

#### ♦ Example 3

#### If A then B – Propositional case – 4

♦ Example 4

if B and C and D then P or Q or R ==>  $\sim$ B or  $\sim$ C or  $\sim$ D or P or Q or R Clausal form P, Q, R  $\leftarrow$  B, C, D

No single statement in Prolog for such an if ... then ... Choose one or more of the following depending upon the expected queries and database

# If A then B – Propositional case – 5

#### Example 5

if the\_moon\_is\_made\_of\_green\_cheese then pigs\_can\_fly

#### ==>

~ the\_moon\_is\_made\_of\_green\_cheese or pigs\_can\_fly

> In Prolog
pigs\_can\_fly : the\_moon\_is\_made\_of\_green\_cheese

# **Prolog facts – propositional case**

Prolog facts are just themselves.

```
a.
b.
the_moon_is_made_of_green_cheese.
pigs_can_fly.
```

Comes from

if true then pigs\_can\_fly
==> pigs\_can\_fly or ~true
==> pigs\_can\_fly or false
==> pigs\_can\_fly

In Prolog

```
pigs_can_fly :- true is implied,
so it is not written
```

# Query

- ♦ A query "A and B and C", when negated is equivalent to
  - if A and B and C then false
    - > insert the negation into the database, expecting to find a contradiction
- ♦ Translates to

false or ~A or ~B or ~C

==> ~A or ~B or ~C

# Is it true pigs\_fly?

Add the negated query to the database
If pigs\_fly then false
=> ~pigs\_fly or false ==> ~pigs\_fly

- If the database contains
   pigs\_fly
- Then resolution obtains [], the contradiction, so the negated query is false, so the query is true.

# Fact or Query?

Prolog distinguishes between facts and queries depending upon the mode in which it is being used. In (re)consult mode we are entering facts. Otherwise we are entering queries.

# A longer example

1 pigs\_fly :- pigs\_exist , animals\_can\_fly.

```
==> pigs_fly v ~pigs_exist v ~animals_can_fly
```

2 pigs\_are\_pink.

==> pigs\_are\_pink

3 pigs\_exist.

==> pigs\_exist

- 4 birds\_can\_fly. ==> birds\_can\_fly
- 5 animals\_can\_fly. ==> animals\_can\_fly

Hypothesize that pigs can fly

6 :- pigs\_fly. ==> ~pigs\_fly

# A longer example – 2

```
Resolve 6 & 1 ==>
7 ~pigs_exist ∨ ~animals_can_fly
Resolve 7 & 3 ==>
8 ~animals_can_fly
Resolve 8 & 5 ==>
9 []
We have the empty clause – a refutation
As a consequence, the negated statement is false,
```

the original statement, pigs\_fly, is true.

#### **Predicate Calculus**

Step up to predicate calculus as resolution is not interesting at the propositional level.

# Predicate Calculus – 2

- Step up to predicate calculus as resolution is not interesting at the propositional level.
- ♦ We add
  - » the universal quantifier for all  $\mathbf{x} \forall \mathbf{x}$
  - » the existential quantifier there exists an  $x \exists x$

# Predicate Calculus – 3

- Step up to predicate calculus as resolution is not interesting at the propositional level.
- ♦ We add
  - » the universal quantifier for all  $x \forall x$
  - » the existential quantifier there exists an  $x \exists x$
- **but in Prolog there are no quantifiers?** 
  - » They are represented in a different way

# Forall x − ∀ x

The universal quantifier is used in expressions such as the following

 $\forall \mathbf{x} \cdot \mathbf{P}(\mathbf{x})$ 

> For all x it is the case that P ( x ) is true

∀x • lovesBarney (x)
> For all x it is the case that lovesBarney (x) is true

# Forall $x - \forall x - 2$

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- > For all x it is the case that lovesBarney ( x ) is true
- The use of variables in Prolog takes the place of universal quantification – a variable implies universal quantification

**P(X)** 

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# Exists x – ∃x

 The existential quantifier is used in expressions such as the following

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# Exists $x - \exists x - 2$

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#### Constants in Prolog take the place of existential quantification

The constant is a value of x that satisfies existence P(a) a is an instance such that P(a) is true lovesBarney (elliot) elliot is an instance such that lovesBarney (elliot) is true

#### **Nested quantification**

 $\Diamond \exists x \exists y \cdot sisterOf(x, y)$ 

> There exists an x such that there exists a y such that x is the sister of y

> In Prolog introduce two constants

sisterOf (mary, eliza)

 $\diamond \exists \mathbf{x} \forall \mathbf{y} \cdot \mathbf{sisterOf} (\mathbf{x}, \mathbf{y})$ 

> There exists an x such that forall y it is the case that x is the sister of y

sisterOf (leila, Y)

> One constant for all values of Y

# Nested quantification – 2

```
\Diamond \forall \mathbf{x} \exists \mathbf{y} \cdot \mathbf{sisterOf}(\mathbf{x}, \mathbf{y})
          > For all x there exists a y such that x is the sister
            of y
          > The value of y depends upon which X is chosen,
            so Y becomes a function of X
        sisterOf(X,f(X))
\Diamond \forall \mathbf{x} \forall \mathbf{y} \cdot \mathbf{sisterOf}(\mathbf{x}, \mathbf{y})
          > For all x and for all y it is the case that x is the
            sister of y
        sisterOf (X,Y)
          > Two independent variables
```

# Nested quantification – 3

 $\Diamond \forall x \forall y \exists z \cdot P(z)$ 

> For all x and for all y there exists a z such that P(z) is true

> The value of z depends upon both x and y, and so becomes a function of X and Y

**P(g(X,Y))** 

$$\Diamond \forall x \exists y \forall z \exists w \cdot P(x, y, z, w)$$

> For all x there exists a y such that for all z there exists a w such that P(x, y, z, w) is true

> The value of y depends upon x, while the value of w depends upon both x and z

**P(X,h(X),Z,g(X,Z))** 

# Skolemization

- Removing quantifiers by introducing variables and constants is called skolemization
  - » Named after the Norwegian mathematician Thoralf Skolem

# Skolemization – 2

- Removing quantifiers by introducing variables and constants is called skolemization
- ♦ Removal of ∃ gives us functions, and constants, which are functions with no arguments.
  - **»** Functions in Prolog are the compound terms

# Skolemization – 3

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  - » Functions in Prolog are the compound terms
- $\diamond$  Removal of  $\forall$  gives us variables

# Skolemization – 4

- Removing quantifiers by introducing variables and constants is called skolemization
- ♦ Removal of ∃ gives us functions and constants functions with no arguments.
  - » Functions in Prolog are called structures or compound terms
- $\diamond$  Removal of  $\forall$  gives us variables
- **Each predicate is called a literal**

#### Herbrand universe

- The transitive closure of the constants and functions is called the Herbrand universe
  - > In general it is infinite

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- A Prolog database defines predicates over the Herbrand universe defined by the database

> The compound terms in the database determine the Herbrand universe

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  - » Level 2 constants are obtained by the substitution of level 0 and level 1 constants for all the variables in the functions in all possible ways
  - » Level n constants are obtained by the substitution of all level 0 .. n-1 constants for all variables in the functions in all possible ways

# **Back to Resolution**

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- When [] is found, the bindings in the path back to the query give the answer to the query

# Example

 Given the following clauses in the database person ( bob ).
 ~person ( X ) or mortal ( X ). forall X · if person ( X ) then mortal ( X )

Lets make a query asking if bob is a person

- The query adds the following to the database
   ~person ( bob ).
- Resolution with the first clause is immediate with no unification required
- The empty clause is obtained
   So ~person(bob) is false, therefore person(bob) is true

## Example – 2

- Given the following clauses in the database person ( bob ).
   ~person ( X ) or mortal ( X ). forall X · if person ( X ) then mortal ( X )
- Lets make a query asking if bob is mortal
- The query adds the following to the database
   ~mortal ( bob ).
- Resolution with the second clause gives with X\_1 = bob (renaming is required!)
   ~person ( bob ).
- Resolution with the first clause gives []
   So ~mortal(bob) is false, therefore mortal(bob) is true

## Example – 3

- Given the following clauses in the database
   person ( bob ).
   ~person ( X ) or mortal ( X ).
- Lets make a query asking does a mortal exist
   The query adds the following to the database

~mortal (X). ~  $(\forall x \cdot mortal(x))$  -- negated query

Resolution with the second clause gives with X\_1 = X (renaming is required!)

~person ( X\_1 ).

Resolution with the first clause gives [] with X\_1 = bob So ~mortal(X) is false, therefore mortal(X) is true with bob = X\_1 = X

## Example – 4

- Given the following clauses in the database
   person ( bob ).
   ~person ( X ) or mortal ( X ).
- Lets make a query asking if alice is mortal
   ~mortal ( alice ).
- Resolution fails with the first clause but succeeds with the second clause gives with X\_1 = alice
   ~person ( alice ).
- Resolution with the first clause and second clause fails, searching the database is exhausted without finding []
- So **~mortal(alice)** is true, therefore **mortal(alice)** is false

### Example – 4 cont'd

 Actually all that the previous query determined is that ~mortal(alice) is consistent with the database and resolution was unable to obtain a contradiction

Prolog searches are based on a **closed universe** 

Truth is relative to the database

# Unification

- In order to use the resolution method with predicate calculus we need to be able to find the most general unifier (mgu) between two literals.
- ◊ p(a, b, c) and p(X, Y, Z)

  » mgu = { X / a , Y / b , Z / c }
- p(a, f(b, a), c) and p(X, f(X, Y), Z)
  mgu does not exist
- p(X, a, b) and p(Y, Y, b)
   » mgu = { X / Y , Y / a}

# Factoring

 General resolution permits unifying several literals at once by factoring

> > unifying two literals within the same clause, if they are of the same "sign", both positive, P(...) or P(...), or both negative, ~P(...) or ~P(...)

Why factor?

> Gives shorter clauses, making it easier to find the empty clause

# Factoring – 2

- For example given the following clause
   loves (X, bob) or loves (mary, Y)
- We can factor (obtain the common instances) by unifying the two loves literals

**loves (mary, bob)** X = mary and Y = bob

The factored clause is implied by the un-factored clause as it represents the subset of the cases that make the unfactored clause true

#### > Can be added to the database without contradiction

#### **Creating a database**

- A large part of the work in creating a database is to convert general predicate calculus statements into conjunctive normal form.
- Much of Chapter 10 of Clocksin & Mellish describes how this can be done.

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  - » General resolution can lead to exponential growth in both
    - > clause length
    - > size of the set of clauses

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> Every clause has at most one positive literal (un-negated) and zero or more negative literals person ( bob ). mortal ( X ) ~person ( X ) binTree ( t ( D , L , R ) ) ~treeData ( D ) ~binTree ( L ) ~binTree ( R ).

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- ♦ Horn clauses can represent anything we can compute
  - » Any database and theorem that can be proven within first order predicate calculus can be translated into Horn clauses