

# Are NFA more powerful than DFA?

- NFA can solve every problem that DFA can (DFA are also NFA)
- Can DFA solve every problem that NFA can?

# Equivalence of NFA, DFA

- Pages 54-58 (Sipser, 2<sup>nd</sup> ed)
- We will prove that every NFA is equivalent to a DFA (with upto exponentially more states).
- Non-determinism does not help FA's to recognize more languages!

# Epsilon Closure

- Let  $N=(Q,\Sigma,\delta,q_0,F)$  be any NFA
- Consider any set  $R \subseteq Q$
- $E(R) = \{q|q \text{ can be reached from a state in } R \text{ by following 0 or more } \varepsilon\text{-transitions}\}$
- $E(R)$  is the epsilon closure of  $R$  under  $\varepsilon$ -transitions

# Proving equivalence

For all languages  $L \subseteq \Sigma^*$

$$\begin{array}{ccc} L = L(N) & \text{iff} & L = L(M) \\ \text{for some} & & \text{for some} \\ \text{NFA } N & & \text{DFA } M \end{array}$$

**One direction is easy:**

A DFA  $M$  is also a NFA  $N$ . So  $N$  does not have to be 'constructed' from  $M$

# Proving equivalence – contd.

The other direction:

Construct M from N

- $N = (Q, \Sigma, \delta, q_0, F)$
- Construct  $M = (Q', \Sigma, \delta', q'_0, F')$  such that,
  - for any string  $w \in \Sigma^*$ ,
  - $w$  is accepted by N iff  $w$  is accepted by M

# Special case

- Assume that  $\varepsilon$  is not used in the NFA  $N$ .
  - Need to keep track of each subset of  $N$
  - So  $Q' = \mathcal{P}(Q)$ ,  $q'_0 = \{q_0\}$
  - $\delta'(R, a) = \bigcup(\delta(r, a))$  over all  $r \in R$ ,  $R \in Q'$
  - $F' = \{R \in Q' \mid R \text{ contains an accept state of } N\}$
- Now let us assume that  $\varepsilon$  is used.

# Construction (general case)

1.  $Q' = \mathcal{P}(Q)$
2.  $q'_0 = E(\{q_0\})$
3. for all  $R \in Q'$  and  $a \in \Sigma$   
 $\delta'(R, a) = \{q \in Q \mid q \in E(\delta(r, a)) \text{ for some } r \in R\}$
4.  $F' = \{ R \in Q' \mid R \text{ contains an accept state of } N \}$

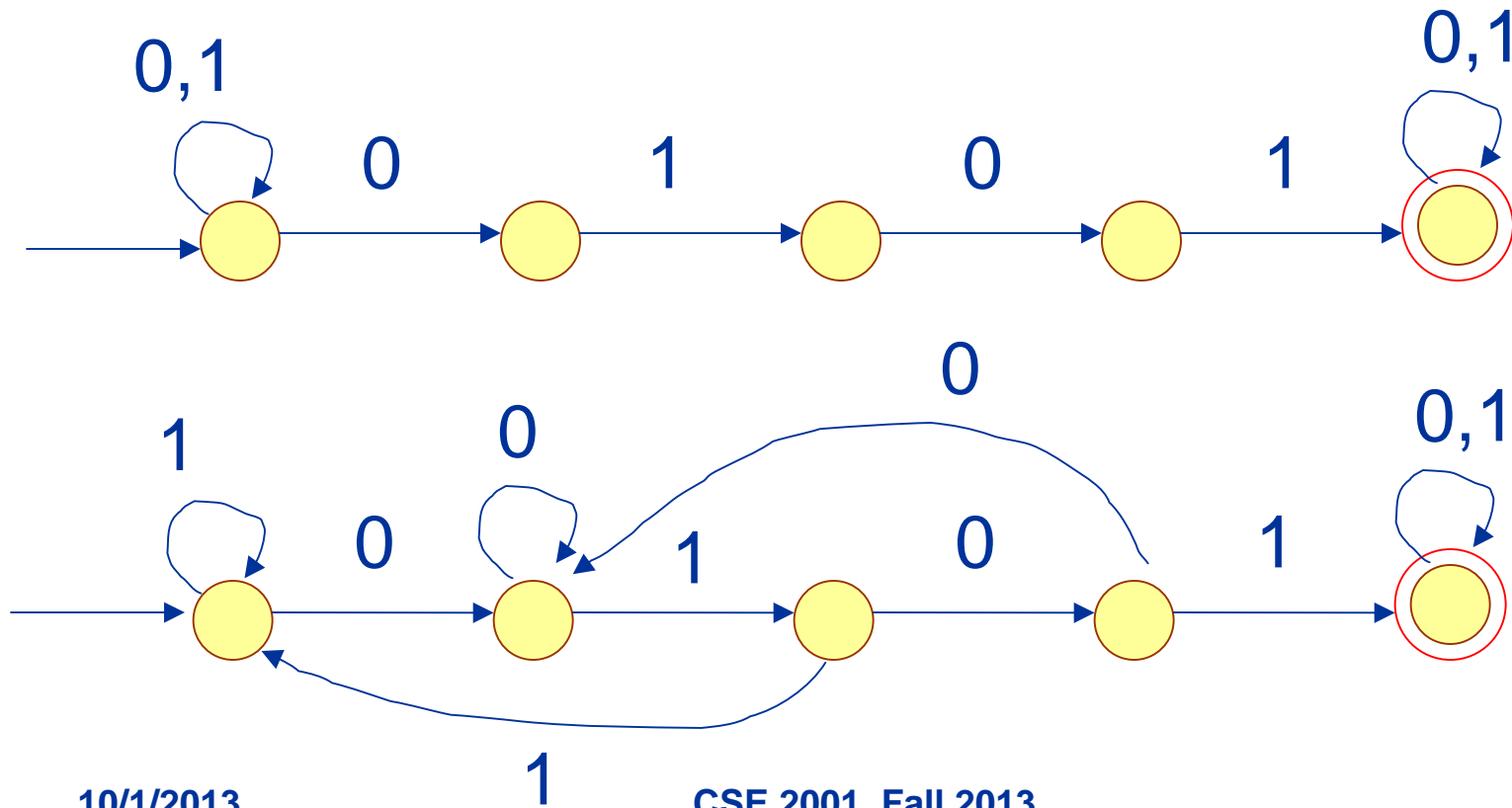
# Why the construction works

- for any string  $w \in \Sigma^*$ ,
- $w$  is accepted by  $N$  iff  $w$  is accepted by  $M$
- Can prove using induction on the number of steps of computation...



# State minimization

It may be possible to design DFA's without the exponential blowup in the number of states. Consider the NFA and DFA below.



# NFA to DFA conversion

- Completely mechanical (can be programmed easily)
- Can design a NFA for a given problem and convert it using a programme.

# Characterizing FA languages

- Regular expressions

# Regular Expressions (Def. 1.52)

Given an alphabet  $\Sigma$ ,  $R$  is a regular expression if:  
(INDUCTIVE DEFINITION)

- $R = a$ , with  $a \in \Sigma$
- $R = \varepsilon$
- $R = \emptyset$
- $R = (R_1 \cup R_2)$ , with  $R_1$  and  $R_2$  regular expressions
- $R = (R_1 \bullet R_2)$ , with  $R_1$  and  $R_2$  regular expressions
- $R = (R_1^*)$ , with  $R_1$  a regular expression

Precedence order:  $^*$ ,  $\bullet$ ,  $\cup$

# Regular Expressions

- Unix 'grep' command: Global Regular Expression and Print
- Lexical Analyzer Generators (part of compilers)
- Both use regular expression to DFA conversion

# Examples

- $e_1 = a \cup b, \quad L(e_1) = \{a,b\}$
- $e_2 = ab \cup ba, \quad L(e_2) = \{ab,ba\}$
- $e_3 = a^*, \quad L(e_3) = \{a\}^*$
- $e_4 = (a \cup b)^*, \quad L(e_4) = \{a,b\}^*$
- $e_5 = (e_m \cdot e_n), \quad L(e_5) = L(e_m) \cdot L(e_n)$
- $e_6 = a^*b \cup a^*bb,$   
 $L(e_6) = \{w \mid w \in \{a,b\}^* \text{ and } w \text{ has 0 or more } a\text{'s followed by 1 or 2 } b\text{'s}\}$

# Characterizing Regular Expressions

- We prove that Regular expressions (RE) and Regular Languages are the same set, i.e.,

$$RE = RL$$

# Thm 1.54: $RL \sim RE$

We need to prove both ways:

- If a language is described by a regular expression, then it is regular (Lemma 1.55)

(We will show we can convert a regular expression  $R$  into an NFA  $M$  such that  $L(R)=L(M)$ )

- The second part:

If a language is regular, then it can be described by a regular expression (Lemma 1.60)

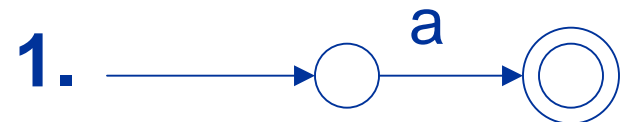


# Regular expression to NFA

Claim: If  $L = L(e)$  for some RE  $e$ , then  $L = L(M)$  for some NFA  $M$

Construction: Use inductive definition

1.  $R = a$ , with  $a \in \Sigma$
2.  $R = \varepsilon$
3.  $R = \emptyset$
4.  $R = (R_1 \cup R_2)$ , with  $R_1$  and  $R_2$  regular expressions
5.  $R = (R_1 \bullet R_2)$ , with  $R_1$  and  $R_2$  regular expressions
6.  $R = (R_1^*)$ , with  $R_1$  a regular expression



**4,5,6: similar to closure of RL under regular operations.**

# Examples of RE to NFA conv.

$L = \{ab, ba\}$

$L = \{ab, abab, ababab, \dots\}$

$L = \{w \mid w = a^m b^n, m < 10, n > 10\}$