

**CSE 2001:**  
**Introduction to Theory of Computation**  
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# Next

- **Non-CF languages**
- **CFL pumping lemma**

# Non-CF Languages

The language  $L = \{ a^n b^n c^n \mid n \geq 0 \}$  does not appear to be context-free.

Informal: The problem is that every variable can (only) act 'by itself' (*context-free*).

The problem of  $A \Rightarrow^* vAy$  :

If  $S \Rightarrow^* uAz \Rightarrow^* uvAyz \Rightarrow^* uvxyz \in L$ ,

then  $S \Rightarrow^* uAz \Rightarrow^* uvAyz \Rightarrow^* \dots \Rightarrow^* uv^iAy^iz$   
 $\Rightarrow^* uv^ixy^iz \in L$  as well, for all  $i=0,1,2,\dots$

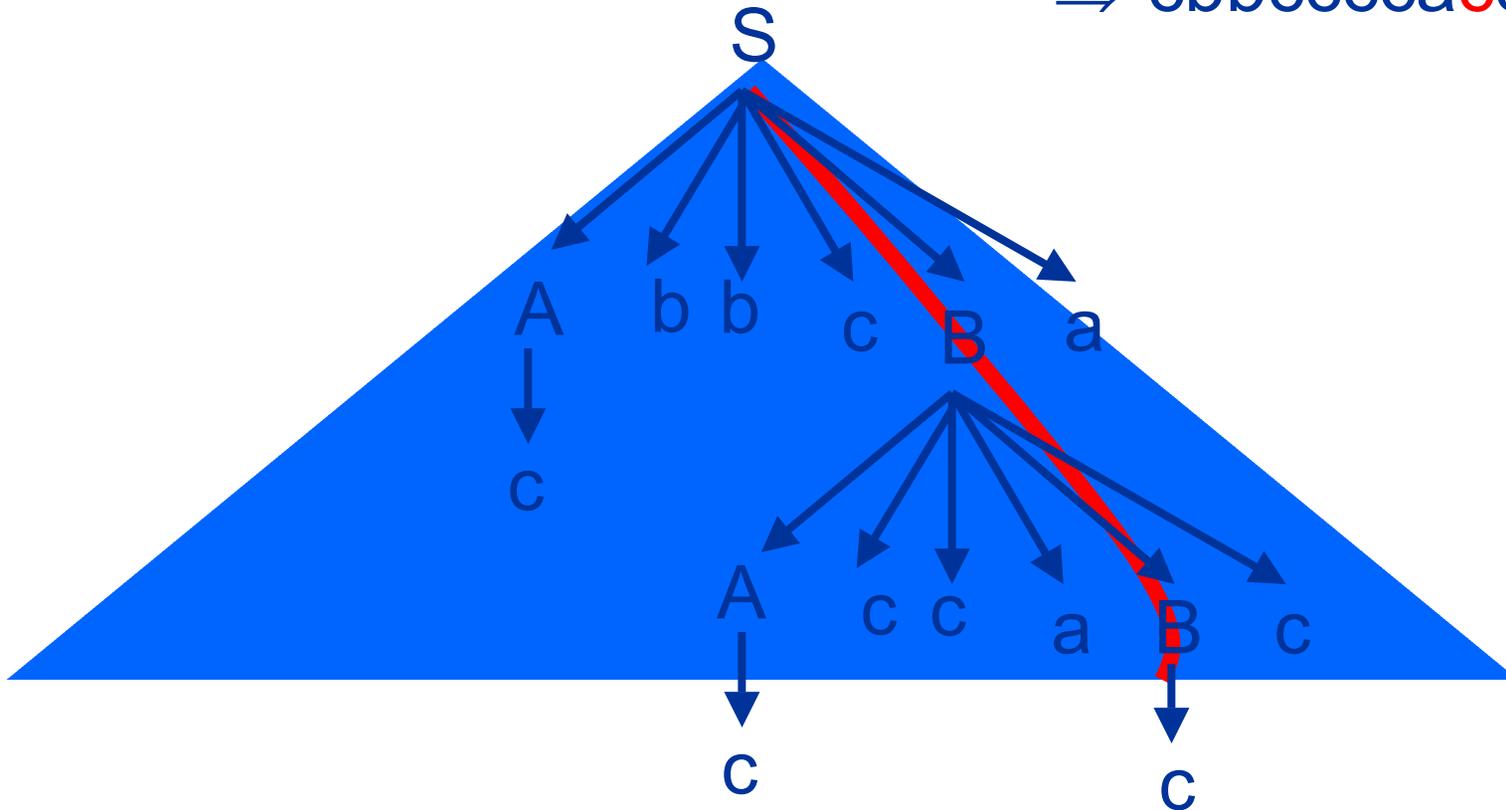
# “Pumping Lemma for CFLs”

Idea: If we can prove the existence of derivations for elements of the CFL  $L$  that use the step  $A \Rightarrow^* vAy$ , then a new form of ‘v-y pumping’ holds:  $A \Rightarrow^* vAy \Rightarrow^* v^2Ay^2 \Rightarrow^* v^3Ay^3 \Rightarrow^* \dots$ )

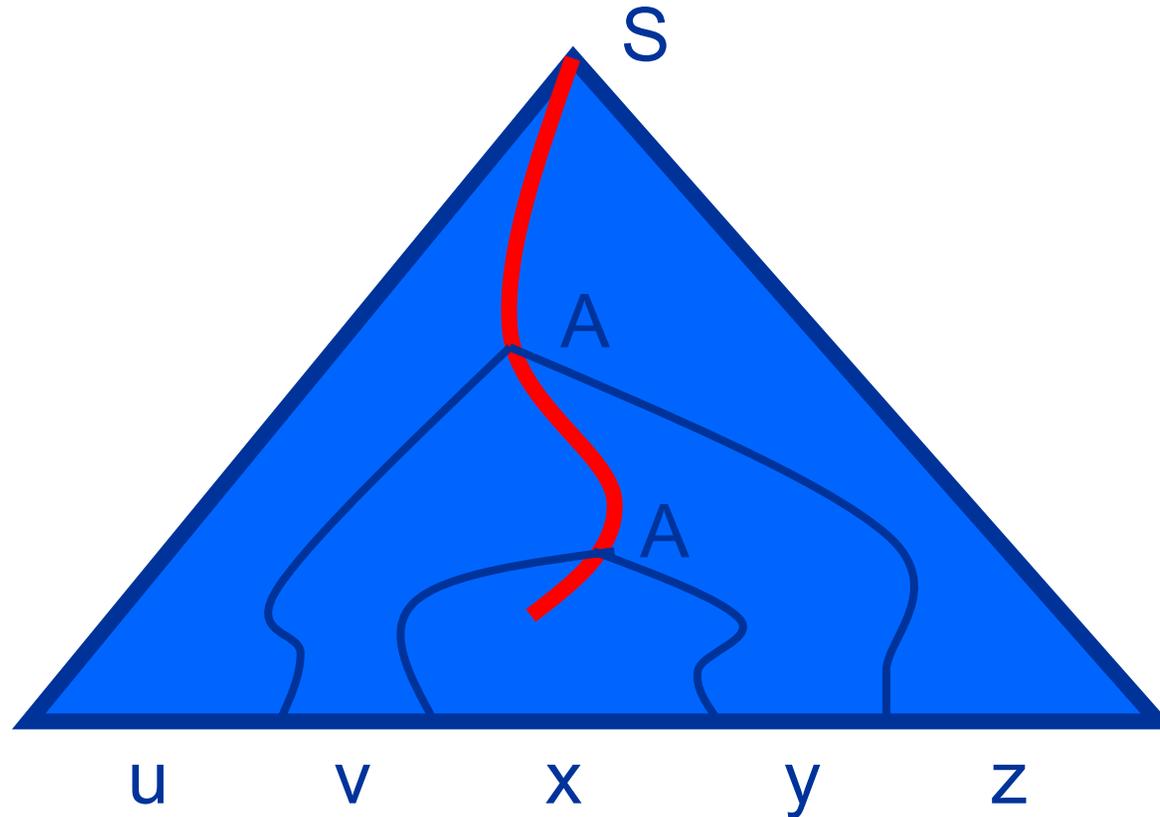
Observation: We can prove this existence if the parse-tree is tall enough.

# Remember Parse Trees

Parse tree for  $S \Rightarrow AbbcBa \Rightarrow^* cbbccccaBca$   
 $\Rightarrow cbbcccca cca$



# Pumping a Parse Tree



If  $s = uvxyz \in L$  is long, then its parse-tree is tall. Hence, there is a path on which a variable  $A$  repeats itself. We can pump this  $A$ – $A$  part.

# A Tree Tall Enough

Let  $L$  be a context-free language, and let  $G$  be its grammar with maximal  $b$  symbols on the right side of the rules:  $A \rightarrow X_1 \dots X_b$

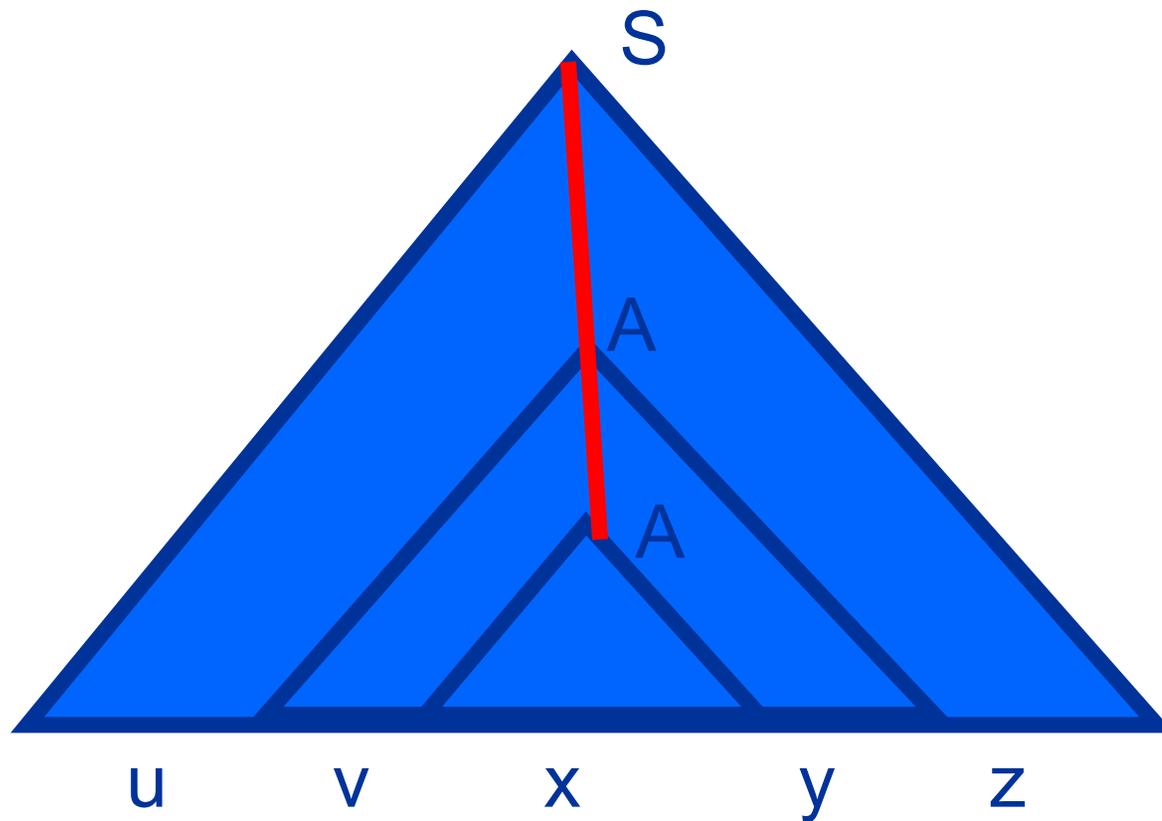
A parse tree of depth  $h$  produces a string with maximum length of  $b^h$ .

Long strings implies tall trees.

Let  $|V|$  be the number of variables of  $G$ .

If  $h = |V| + 2$  or bigger, then there is a variable on a 'top-down path' that occurs more than once.

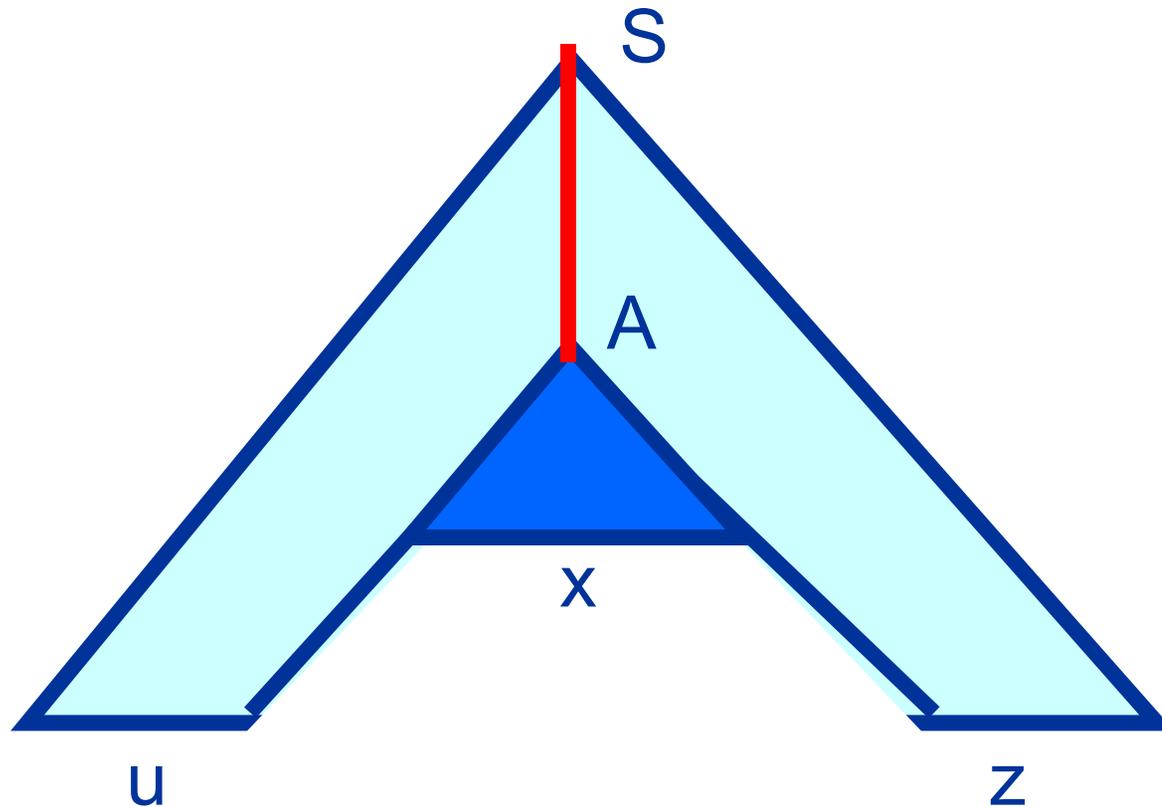
$uvwxyz \in L$



By repeating the  $A$ – $A$  part we get...



# Pumping down: $uxz \in L$



In general  $uv^i xy^i z \in L$  for all  $i=0,1,2,\dots$

# Pumping Lemma for CFL

For every context-free language  $L$ , there is a pumping length  $p$ , such that for every string  $s \in L$  and  $|s| \geq p$ , we can write  $s = uvxyz$  with

- 1)  $uv^i xy^i z \in L$  for every  $i \in \{0, 1, 2, \dots\}$
- 2)  $|vy| \geq 1$
- 3)  $|vxy| \leq p$

Note that 1) implies that  $uxz \in L$

2) says that  $vy$  cannot be the empty string  $\varepsilon$

Condition 3) is not always used

# Formal Proof of Pumping Lemma

Let  $G=(V,\Sigma,R,S)$  be the grammar of a CFL.

Maximum size of rules is  $b \geq 2$ :  $A \rightarrow X_1 \dots X_b$

A string  $s$  requires a minimum tree-depth  $\geq \log_b |s|$ .

If  $|s| \geq p = b^{|V|+2}$ , then tree-depth  $\geq |V|+2$ , hence there is a path and variable  $A$  where  $A$  repeats

itself:  $S \Rightarrow^* uAz \Rightarrow^* uvAyz \Rightarrow^* uvxyz$

It follows that  $uv^i xy^i z \in L$  for all  $i=0,1,2,\dots$

Furthermore:

$|vy| \geq 1$  because tree is minimal

$|vxy| \geq p$  because bottom tree with  $\geq p$  leaves  
has a 'repeating path'

# Pumping $a^n b^n c^n$ (Ex. 2.20)

Assume that  $B = \{a^n b^n c^n \mid n \geq 0\}$  is CFL

Let  $p$  be the pumping length, and  $s = a^p b^p c^p \in B$

P.L.:  $s = uvxyz = a^p b^p c^p$ , with  $uv^i xy^i z \in B$  for all  $i \geq 0$

Options for  $|vxy|$ :

1) The strings  $v$  and  $y$  are uniform

( $v = a \dots a$  and  $y = c \dots c$ , for example).

Then  $uv^2 xy^2 z$  will not contain the same number of  $a$ 's,  $b$ 's and  $c$ 's, hence  $uv^2 xy^2 z \notin B$

2)  $v$  and  $y$  are not uniform.

Then  $uv^2 xy^2 z$  will not be  $a \dots ab \dots bc \dots c$

Hence  $uv^2 xy^2 z \notin B$

# Pumping $a^n b^n c^n$ (cont.)

Assume that  $B = \{a^n b^n c^n \mid n \geq 0\}$  is CFL

Let  $p$  be the pumping length, and  $s = a^p b^p c^p \in B$

P.L.:  $s = uvxyz = a^p b^p c^p$ , with  $uv^i xy^i z \in B$  for all  $i \geq 0$

We showed: No options for  $|vxy|$  such that  
 $uv^i xy^i z \in B$  for all  $i$ . Contradiction.

$B$  is not a context-free language.

## Example 2.21 (Pumping down)

Proof that  $C = \{a^i b^j c^k \mid 0 \leq i \leq j \leq k\}$  is not context-free.

Let  $p$  be the pumping length, and  $s = a^p b^p c^p \in C$

P.L.:  $s = uvxyz$ , such that  $uv^i xy^i z \in C$  for every  $i \geq 0$

Two options for  $1 \leq |vxy| \leq p$ :

1)  $vxy = a^* b^*$ , then the string  $uv^2 xy^2 z$  has not enough  $c$ 's, hence  $uv^2 xy^2 z \notin C$

2)  $vxy = b^* c^*$ , then the string  $uv^0 xy^0 z = uxz$  has too many  $a$ 's, hence  $uv^0 xy^0 z \notin C$

Contradiction:  $C$  is not a context-free language.

$$D = \{ ww \mid w \in \{0,1\}^* \} \text{ (Ex. 2.22)}$$

Carefully take the strings  $s \in D$ .

Let  $p$  be the pumping length, take  $s = 0^p 1^p 0^p 1^p$ .

Three options for  $s = uvxyz$  with  $1 \leq |vxy| \leq p$ :

- 1) If a part of  $y$  is to the left of  $|$  in  $0^p 1^p | 0^p 1^p$ , then second half of  $uv^2xy^2z$  starts with "1"
- 2) Same reasoning if a part of  $v$  is to the right of middle of  $0^p 1^p | 0^p 1^p$ , hence  $uv^2xy^2z \notin D$
- 3) If  $x$  is in the middle of  $0^p 1^p | 0^p 1^p$ , then  $uxz$  equals  $0^p 1^i 0^j 1^p \notin D$  (because  $i$  or  $j < p$ )

Contradiction:  $D$  is not context-free.

# Pumping Problems

Using the CFL pumping lemma is more difficult than the pumping lemma for regular languages.

You have to choose the string  $s$  carefully, and divide the options efficiently.

Additional CFL properties would be helpful (like we had for regular languages).

What about closure under standard operations?

# Next

- **Closure properties of CFL**

# Union Closure Properties

Lemma: Let  $A_1$  and  $A_2$  be two CF languages, then the *union*  $A_1 \cup A_2$  is context free as well.

Proof: Assume that the two grammars are  $G_1=(V_1,\Sigma,R_1,S_1)$  and  $G_2=(V_2,\Sigma,R_2,S_2)$ .  
Construct a third grammar  $G_3=(V_3,\Sigma,R_3,S_3)$  by:  
 $V_3 = V_1 \cup V_2 \cup \{ S_3 \}$  (new start variable) with  
 $R_3 = R_1 \cup R_2 \cup \{ S_3 \rightarrow S_1 \mid S_2 \}$ .

It follows that  $L(G_3) = L(G_1) \cup L(G_2)$ .

# Intersection & Complement?

Let again  $A_1$  and  $A_2$  be two CF languages.

One can prove that, *in general*,  
the intersection  $A_1 \cap A_2$  ,  
and  
the complement  $\bar{A}_1 = \Sigma^* \setminus A_1$   
are not context free languages.

One proves this with specific counter examples of languages.

# What do we really know?

Can we always decide if a language  $L$  is regular/  
context-free or not?

We know:

$\{ 1^x \mid x = 0 \pmod{7} \}$  is regular

$\{ 1^x \mid x \text{ is prime} \}$  is not regular

But what about

$\{ 1^x \mid x \text{ and } x+2 \text{ are prime} \}$ ?

This is (yet) unknown.

# Describing a Language

The problem lies in the informal notion of a description.

Consider:

$$\{ n \mid \exists a,b,c: a^n + b^n = c^n \}$$

$\{ x \mid \text{in year } x \text{ the first female US president} \}$

$\{ x \mid x \text{ is "an easy to remember number"} \}$

*We have to define what we mean by “description” and “method of deciding”.*