

**CSE 2001:**  
**Introduction to Theory of Computation**  
Summer2013

**Week 6: Context-Free Languages**

Yves Lesperance

Course page: <http://www.cse.yorku.ca/course/2001>

Slides are mostly taken from Suprakash Datta's for Winter 2013

13-06-11

CSE 2001, Summer 2013

1

**Next**

**•Chapter 2:**

- **Context-Free Languages (CFL)**
- **Context-Free Grammars (CFG)**
- **Chomsky Normal Form of CFG**
- **$RL \subset CFL$**

13-06-11

CSE 2001, Summer 2013

2

## Context-Free Languages (Ch. 2)

Context-free languages (CFLs) are a more powerful (augmented) model than FA.

CFLs allow us to describe non-regular languages like  $\{0^n 1^n \mid n \geq 0\}$

General idea: CFLs are languages that can be recognized by automata that have one single stack:

$\{0^n 1^n \mid n \geq 0\}$  is a CFL

$\{0^n 1^n 0^n \mid n \geq 0\}$  is not a CFL

13-06-11

CSE 2001, Summer 2013

3

## Context-Free Grammars

Grammars: define/specify a language

Which simple machine produces the non-regular language  $\{0^n 1^n \mid n \in \mathbb{N}\}$ ?

Start symbol S with rewrite rules:

1)  $S \rightarrow 0S1$

2)  $S \rightarrow \text{"stop"}$

S *yields*  $0^n 1^n$  according to

$S \rightarrow 0S1 \rightarrow 00S11 \rightarrow \dots \rightarrow 0^n S 1^n \rightarrow 0^n 1^n$

13-06-11

CSE 2001, Summer 2013

4

## Context-Free Grammars (Def.)

A context free grammar  $G=(V,\Sigma,R,S)$  is defined by

- $V$ : a finite set variables
- $\Sigma$ : finite set terminals (with  $V \cap \Sigma = \emptyset$ )
- $R$ : finite set of substitution rules  $V \rightarrow (V \cup \Sigma)^*$
- $S$ : start symbol  $\in V$

The language of grammar  $G$  is denoted by  $L(G)$ :

$$L(G) = \{ w \in \Sigma^* \mid S \Rightarrow^* w \}$$

13-06-11

CSE 2001, Summer 2013

5

## Derivation $\Rightarrow^*$

A single step derivation " $\Rightarrow$ " consist of the substitution of a variable by a string according to a substitution rule.

Example: with the rule " $A \rightarrow BB$ ", we can have the derivation " $01AB0 \Rightarrow 01BBB0$ ".

A sequence of several derivations (or none) is indicated by " $\Rightarrow^*$ "

Same example: " $0AA \Rightarrow^* 0BBBB$ "

13-06-11

CSE 2001, Summer 2013

6

## Some Remarks

The language  $L(G) = \{ w \in \Sigma^* \mid S \Rightarrow^* w \}$  contains only strings of terminals, not variables.

Notation: we summarize several rules, like

$A \rightarrow B$

$A \rightarrow 01$             by     $A \rightarrow B \mid 01 \mid AA$

$A \rightarrow AA$

Unless stated otherwise: topmost rule concerns the start variable

13-06-11

CSE 2001, Summer 2013

7

## Context-Free Grammars (Ex.)

Consider the CFG  $G=(V,\Sigma,R,S)$  with

$V = \{S\}$

$\Sigma = \{0,1\}$

R:  $S \rightarrow 0S1 \mid 0Z1$

$Z \rightarrow 0Z \mid \epsilon$

Then  $L(G) = \{0^i1^j \mid i \geq j\}$

$S$  yields  $0^{j+k}1^j$  according to:

$S \Rightarrow 0S1 \Rightarrow \dots \Rightarrow 0^jS1^j \Rightarrow 0^jZ1^j \Rightarrow 0^j0Z1^j \Rightarrow$

$\dots \Rightarrow 0^{j+k}Z1^j \Rightarrow 0^{j+k}\epsilon 1^j = 0^{j+k}1^j$

13-06-11

CSE 2001, Summer 2013

8

## Importance of CFL

Model for natural languages (Noam Chomsky)

Specification of programming languages:  
“parsing of a computer program”

Describes mathematical structures

Intermediate between regular languages and  
computable languages (Chapters 3,4,5 and 6)

13-06-11

CSE 2001, Summer 2013

9

## Example Boolean Algebra

Consider the CFG  $G=(V,\Sigma,R,S)$  with

$V = \{S,Z\}$

$\Sigma = \{0,1,(,),\neg,\vee,\wedge\}$

$R: S \rightarrow 0 \mid 1 \mid \neg(S) \mid (S)\vee(S) \mid (S)\wedge(S)$

Some elements of  $L(G)$ :

$0$

$\neg((\neg(0))\vee(1))$

$(1)\vee((0)\wedge(0))$

Note: Parentheses prevent “ $1\vee 0\wedge 0$ ” confusion.

13-06-11

CSE 2001, Summer 2013

10

# Human Languages

Number of rules:

<SENTENCE> → <NOUN-PHRASE><VERB-PHRASE>  
<NOUN-PHRASE> → <CMPLX-NOUN> | <CMPLX-NOUN><PREP-PHRASE>  
<VERB-PHRASE> → <CMPLX-VERB> | <CMPLX-VERB><PREP-PHRASE>  
<CMPLX-NOUN> → <ARTICLE><NOUN>  
<CMPLX-VERB> → <VERB> | <VERB><NOUN-PHRASE> ...  
<ARTICLE> → a | the  
<NOUN> → boy | girl | house  
<VERB> → sees | ignores

Possible element: the boy sees the girl

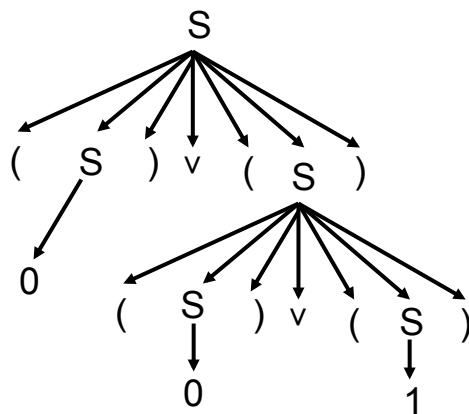
13-06-11

CSE 2001, Summer 2013

11

# Parse Trees

The parse tree of  $(0)v((0)\wedge(1))$  via rule  
 $S \rightarrow 0 \mid 1 \mid \neg(S) \mid (S)v(S) \mid (S)\wedge(S)$ :



13-06-11

CSE 2001, Summer 2013

12

# Ambiguity

A grammar is ambiguous if some strings are derived ambiguously.

A string is derived ambiguously if it has more than one leftmost derivations.

Typical example: rule  $S \rightarrow 0 \mid 1 \mid S+S \mid S \times S$

$S \Rightarrow S+S \Rightarrow S \times S+S \Rightarrow 0 \times S+S \Rightarrow 0 \times 1+S \Rightarrow 0 \times 1+1$

versus

$S \Rightarrow S \times S \Rightarrow 0 \times S \Rightarrow 0 \times S+S \Rightarrow 0 \times 1+S \Rightarrow 0 \times 1+1$

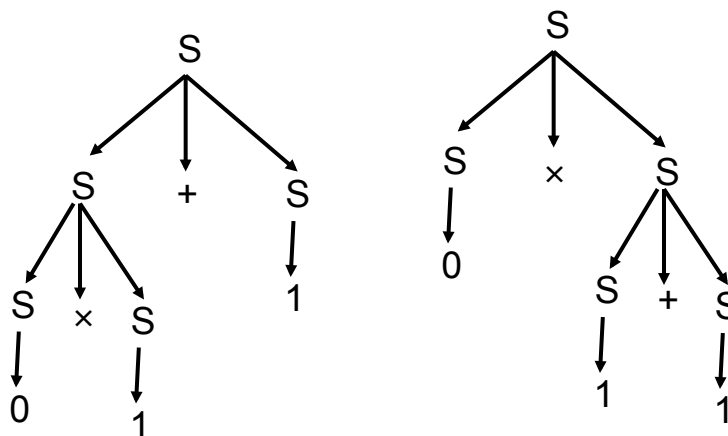
13-06-11

CSE 2001, Summer 2013

13

# Ambiguity and Parse Trees

The ambiguity of  $0 \times 1+1$  is shown by the two different parse trees:



13-06-11

CSE 2001, Summer 2013

14

## More on Ambiguity

The two different derivations:

$$S \Rightarrow S+S \Rightarrow 0+S \Rightarrow 0+1$$

and

$$S \Rightarrow S+S \Rightarrow S+1 \Rightarrow 0+1$$

do *not* constitute an ambiguous string 0+1  
(they will have the same parse tree)

Languages that can only be generated by ambiguous grammars are “inherently ambiguous”

13-06-11

CSE 2001, Summer 2013

15

## Context-Free Languages

Any language that can be generated by a context free grammar is a context-free language (CFL).

The CFL  $\{ 0^n 1^n \mid n \geq 0 \}$  shows us that certain CFLs are nonregular languages.

Q1: Are all regular languages context free?

Q2: Which languages are outside the class CFL?

13-06-11

CSE 2001, Summer 2013

16

## “Chomsky Normal Form”

A context-free grammar  $G = (V, \Sigma, R, S)$  is in Chomsky normal form if every rule is of the form

$$A \rightarrow BC$$

or  $A \rightarrow x$

with variables  $A \in V$  and  $B, C \in V \setminus \{S\}$ , and  $x \in \Sigma$

For the start variable  $S$  we also allow the rule

$$S \rightarrow \varepsilon$$

Advantage: Grammars in this form are far easier to analyze.

13-06-11

CSE 2001, Summer 2013

17

## Theorem 2.9

Every context-free language can be described by a grammar in Chomsky normal form.

Outline of Proof:

We rewrite every CFG in Chomsky normal form. We do this by replacing, one-by-one, every rule that is not ‘Chomsky’.

We have to take care of: Starting Symbol,  $\varepsilon$  symbol, all other violating rules.

13-06-11

CSE 2001, Summer 2013

18

## Proof of Theorem 2.9

Given a context-free grammar  $G = (V, \Sigma, R, S)$ ,  
rewrite it to Chomsky Normal Form by

- 1) New start symbol  $S_0$  (and add rule  $S_0 \rightarrow S$ )
- 2) Remove  $A \rightarrow \varepsilon$  rules (*from the tail*):  
before:  $B \rightarrow xAy$  and  $A \rightarrow \varepsilon$ , after:  $B \rightarrow xAy \mid xy$
- 3) Remove unit rules  $A \rightarrow B$  (*by the head*): “ $A \rightarrow B$ ”  
and “ $B \rightarrow xCy$ ”, becomes “ $A \rightarrow xCy$ ” and “ $B \rightarrow xCy$ ”
- 4) Shorten all rules to two: before: “ $A \rightarrow B_1 B_2 \dots B_k$ ”,  
after:  $A \rightarrow B_1 A_1, A_1 \rightarrow B_2 A_2, \dots, A_{k-2} \rightarrow B_{k-1} B_k$
- 5) Replace ill-placed terminals “ $a$ ” by  $T_a$  with  $T_a \rightarrow a$

13-06-11

CSE 2001, Summer 2013

19

## Proof of Theorem 2.9

Given a context-free grammar  $G = (V, \Sigma, R, S)$ ,  
rewrite it to Chomsky Normal Form by

- 1) New start symbol  $S_0$  (and add rule  $S_0 \rightarrow S$ )
- 2) Remove  $A \rightarrow \varepsilon$  rules (*from the tail*):  
before:  $B \rightarrow xAy$  and  $A \rightarrow \varepsilon$ , after:  $B \rightarrow xAy \mid xy$
- 3) Remove unit rules  $A \rightarrow B$  (*by the head*): “ $A \rightarrow B$ ”  
and “ $B \rightarrow xCy$ ”, becomes “ $A \rightarrow xCy$ ” and “ $B \rightarrow xCy$ ”
- 4) Shorten all rules to two: before: “ $A \rightarrow B_1 B_2 \dots B_k$ ”,  
after:  $A \rightarrow B_1 A_1, A_1 \rightarrow B_2 A_2, \dots, A_{k-2} \rightarrow B_{k-1} B_k$
- 5) Replace ill-placed terminals “ $a$ ” by  $T_a$  with  $T_a \rightarrow a$

13-06-11

CSE 2001, Summer 2013

20

## Careful Removing of Rules

Do not introduce new rules that you removed earlier.

Example:  $A \rightarrow A$  simply disappears

When removing  $A \rightarrow \varepsilon$  rules, insert *all* new replacements:

$B \rightarrow AaA$  becomes  $B \rightarrow AaA \mid aA \mid Aa \mid a$

13-06-11

CSE 2001, Summer 2013

21

## Example of Chomsky NF

Initial grammar:  $S \rightarrow aSb \mid \varepsilon$

In Chomsky normal form:

$$S_0 \rightarrow \varepsilon \mid T_a T_b \mid T_a X$$

$$X \rightarrow S T_b$$

$$S \rightarrow T_a T_b \mid T_a X$$

$$T_a \rightarrow a$$

$$T_b \rightarrow b$$

13-06-11

CSE 2001, Summer 2013

22

## RL $\subseteq$ CFL

Every regular language can be expressed by a context-free grammar.

Proof Idea:

Given a DFA  $M = (Q, \Sigma, \delta, q_0, F)$ , we construct a corresponding CF grammar  $G_M = (V, \Sigma, R, S)$  with  $V = Q$  and  $S = q_0$

Rules of  $G_M$ :

$q_i \rightarrow x \delta(q_i, x)$  for all  $q_i \in V$  and all  $x \in \Sigma$   
 $q_i \rightarrow \varepsilon$  for all  $q_i \in F$

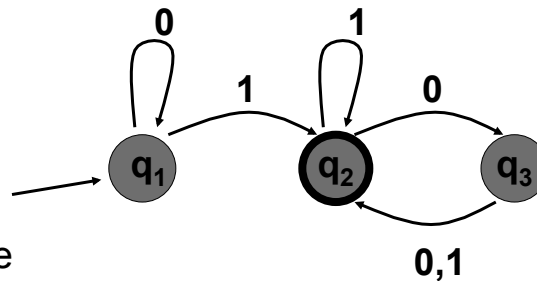
13-06-11

CSE 2001, Summer 2013

23

## Example RL $\subseteq$ CFL

The DFA



leads to the context-free grammar

$G_M = (Q, \Sigma, R, q_1)$  with the rules

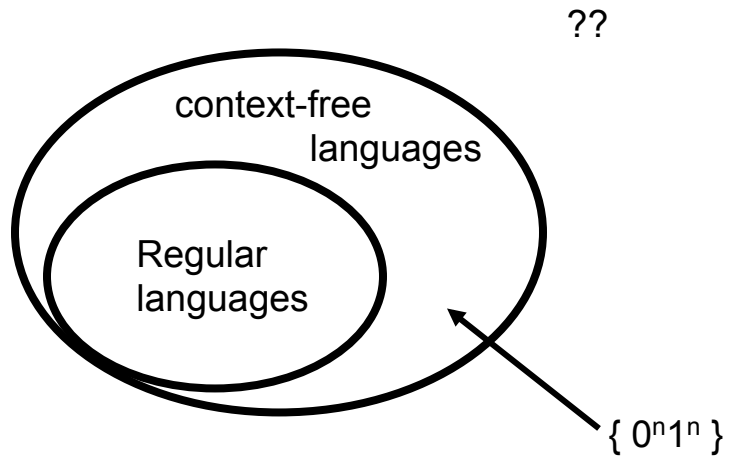
$q_1 \rightarrow 0q_1 \mid 1q_2$   
 $q_2 \rightarrow 0q_3 \mid 1q_2 \mid \varepsilon$   
 $q_3 \rightarrow 0q_2 \mid 1q_2$

13-06-11

CSE 2001, Summer 2013

24

# Picture Thus Far



13-06-11

CSE 2001, Summer 2013

25