

CSE 2001:
Introduction to Theory of Computation
Fall 2012

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Course page: <http://www.cs.yorku.ca/course/2001>

Halting problem - recap

- Assume that it is decidable. So there is a TM S that decides

$\text{HALT} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ halts on } w \}$

- Use S as a **subroutine** to get a TM S to decide

$A_{\text{TM}} = \{ \langle M, w \rangle \mid M \text{ is a TM that accepts } w \}$

- Therefore A_{TM} is decidable. CONTRADICTION!
- Details follow

Halting problem - 2

S = “On input $\langle M, w \rangle$

- Run TM R on input $\langle M, w \rangle$.
- If R rejects, REJECT.
- If R accepts, simulate M on w until it halts.
- If M has accepted, ACCEPT, else REJECT”

More undecidability

$$E_{TM} = \{ \langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset \}$$

We mentioned that E_{TM} is co-TM recognizable.

We will prove next that E_{TM} is undecidable.

Intuition: You cannot solve this problem UNLESS you solve the halting problem!!

But this is hard to formalize, so we use A_{TM} .
Instead.

E_{TM} is undecidable

Assume R decides E_{TM} . Use R to design TM S to decide A_{TM} .

- Given a TM M and input w , define a new TM M' :
 - If $x \neq w$, reject
 - If $x = w$, accept iff M accepts w

$S =$ “On input $\langle M, w \rangle$

- Construct M' as above.
- Run TM R on input $\langle M' \rangle$.
- If R accepts, REJECT; If R rejects, ACCEPT.”

EQ_{TM} is undecidable

If this is decidable, then we can solve E_{TM} !! (You need to check equality with TM M_1 that rejects all inputs)

Assume R decides EQ_{TM} . Use R to design TM S to decide E_{TM} .

$S =$ “On input $\langle M \rangle$

- Run TM R on input $\langle M, M_1 \rangle$.
- If R accepts, ACCEPT; If R rejects, REJECT.”

REGULAR_{TM} is undecidable

- Theorem 5.3 in the text.

The running idea

All our proofs had a common structure

- The first undecidable proof was hard – used diagonalization/self-reference.
- For the rest, we assumed decidable and used it as a subroutine to design TM's that decide known undecidable problems.
- Can we make this technique more structured?

Mapping Reducibility

Thus far, we used reductions informally:

If “knowing how to solve A” implied “knowing how to solve B”, then we had a **reduction** from B to A.

Sometimes we had to negate the answer to the “ $\in A$?” question, sometimes not. In general, it was unspecified which transformations were allowed around the “ $\in A$?”-part of the reduction.

Let us make this formal...

Computable Functions

A function $f:\Sigma^*\rightarrow\Sigma^*$ is a TM-computable function if there is a Turing machine that on every input $w\in\Sigma^*$ halts with just $f(w)$ on the tape.

All the usual computations (addition, multiplication, sorting, minimization, etc.) are all TM-computable.

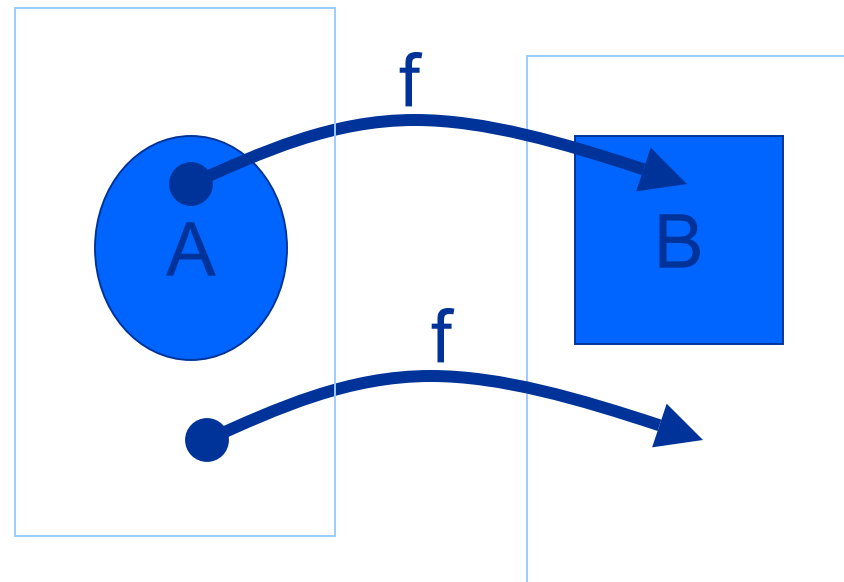
Note: alterations to TMs, like “given a TM M , we can make an M' such that...” can also be described by computable functions that satisfy $f(\langle M \rangle) = \langle M' \rangle$.

Mapping Reducible

A language A is mapping reducible to a another language B if there is a TM-computable function $f: \Sigma^* \rightarrow \Sigma^*$ such that: **$w \in A \iff f(w) \in B$** for every $w \in \Sigma^*$.

Terminology/notation:

- $A \leq_m B$
- function f is the reduction of A to B
- also called:
“*many-one reducible*”



$$\mathbf{A \leq_m B}$$

The language B can be more difficult than A.

Intuition suggests:

Theorem 5.22: If $A \leq_m B$ and B is decidable, then A is decidable.

Corollary 5.23: If $A \leq_m B$ and A is undecidable, then B is undecidable.

Previous mappings used

$$A_{TM} \leq_m \text{HALT}_{TM}$$

F = “On input $\langle M, w \rangle$

- Construct TM M' = “on input x :
 - Run M on x
 - If M accepts, ACCEPT
 - If M rejects, enter infinite loop.”
- Output $\langle M', w \rangle$ ”

Check: f maps $\langle M, w \rangle$ to $\langle M', w' \rangle$.

$$\langle M, w \rangle \in A_{TM} \iff \langle M', w \rangle \in \text{HALT}_{TM}$$

Previous mappings used - 2

Recall: M_1 rejects all inputs. Assume R decides EQ_{TM} . Use R to design TM S to decide E_{TM} .

$S =$ “On input $\langle M \rangle$

- Run TM R on input $\langle M, M_1 \rangle$.
- If R accepts, ACCEPT; If R rejects, REJECT.”

Check: f maps $\langle M \rangle$ to $\langle M, M_1 \rangle$.

$$\langle M \rangle \in E_{TM} \iff \langle M, M_1 \rangle \in EQ_{TM}$$

Decidability obeys $\leq_m$ Ordering

Theorem 5.22: If $A \leq_m B$ and B is TM-decidable, then A is TM-decidable.

Proof: Let M be the TM that decides B and f the reducing function from A to B . Consider the TM:
On input w :

- 1) Compute $f(w)$
- 2) Run M on $f(w)$ and give the same output.

By definition of f : if $w \in A$ then $f(w) \in B$.

M “accepts” $f(w)$ if $w \in A$, and

M “rejects” $f(w)$ if $w \notin A$.

Undecidability obeys $\leq_m$

Corollary 5.23: If $A \leq_m B$ and A is undecidable, then B is undecidable as well.

Proof: Language A undecidable and B decidable contradicts the previous theorem.

Extra: If $A \leq_m B$, then also for the complements $(\Sigma^* \setminus A) \leq_m (\Sigma^* \setminus B)$

Proof: Let f be the reducing function of A to B with $w \in A \iff f(w) \in B$. This same computable function also obeys “ $v \in (\Sigma^* \setminus A) \iff f(v) \in (\Sigma^* \setminus B)$ ” for all $v \in \Sigma^*$

Recognizability and $\leq_m$

Theorem 5.28: If $A \leq_m B$ and B is TM-recognizable, then A is TM-recognizable.

Proof: Let M be the TM that recognizes B and f the reducing function from A to B . Again the TM:
On input w :

- 1) Compute $f(w)$
- 2) Simulate M on $f(w)$ and give the same result.

By definition of f : $w \in A$ equivalent with $f(w) \in B$.
 M “accepts” $f(w)$ if $w \in A$, and
 M “rejects” $f(w)$ /does not halt on $f(w)$ if $w \notin A$.

Unrecognizability and $\leq_m$

Corollary 5.29: If $A \leq_m B$ and A is not Turing-recognizable, then B is not recognizable as well.

Proof: Language A not TM-recognizable and B recognizable contradicts the previous theorem.

Extra: If $A \leq_m B$ and A is not co-TM recognizable, then B is not co-Turing-recognizable as well.

Proof: If A is not co-TM-recognizable, then the complement $(\Sigma^* \setminus A)$ is not TM recognizable.

By $A \leq_m B$ we also know that $(\Sigma^* \setminus A) \leq_m (\Sigma^* \setminus B)$.

Previous corollary: $(\Sigma^* \setminus B)$ not TM recognizable, hence B not co-Turing-recognizable .

E_{TM} Revisited

Recall: The emptiness language was defined as

$$E_{TM} = \{ \langle M \rangle \mid M \text{ is a TM with } L(M) = \emptyset \}$$

E_{TM} is not Turing recognizable.

Simple proof via $(\bar{A}_{TM} \leq_m E_{TM})$:

Let f on input $\langle M, w \rangle$ give $\langle M' \rangle$ as output with:

M' : Ignore input

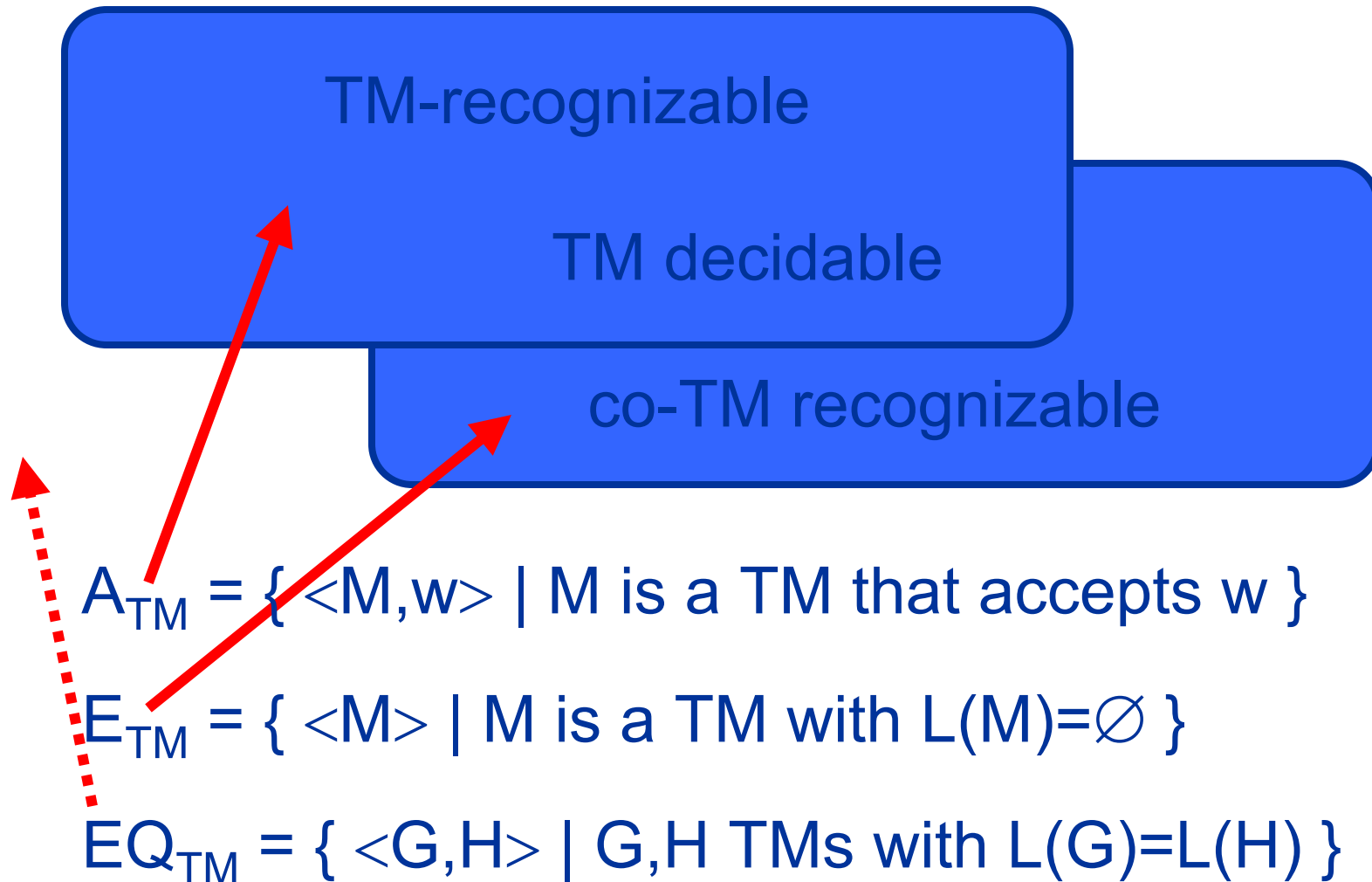
Run M on w

If M accepted w then “accept”

otherwise “reject”

$$\text{Now: } \langle M, w \rangle \in \bar{A}_{TM} \iff f(\langle M, w \rangle) = \langle M' \rangle \in E_{TM}$$

Something still unproven...



EQ_{TM} is not TM Recognizable

Proof (by showing $\bar{A}_{TM} \leq_m EQ_{TM}$):

Let f on input $\langle M, w \rangle$ give $\langle M_1, M_2 \rangle$ as output with:

M_1 : “reject” on all inputs

M_2 : Ignore input

Run M on w

“accept” if M accepted w

We see that with this TM-computable f :

$$\langle M, w \rangle \in \bar{A}_{TM} \iff f(\langle M, w \rangle) = \langle M_1, M_2 \rangle \in EQ_{TM}$$

Because $\bar{A}_{TM}$ is not recognizable, so is EQ_{TM} .

EQ_{TM} not co-TM Recognizable

Proof (by showing $A_{TM} \leq_m EQ_{TM}$):

Let f on input $\langle M, w \rangle$ give $\langle M_1, M_2 \rangle$ as output with:

M_1 : “accept” on all inputs

M_2 : Ignore input

Run M on w

“accept” if M accepted w

We see that with this TM-computable f :

$$\langle M, w \rangle \in A_{TM} \iff f(\langle M, w \rangle) = \langle M_1, M_2 \rangle \in EQ_{TM}$$

Because A_{TM} is not co-recognizable, so is EQ_{TM} .

Partial $\leq_m$ Ordering

