

**CSE 2001:**  
**Introduction to Theory of Computation**  
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# Turing machine variants

- Multiple tapes
- 2-way infinite tapes
- Non-deterministic TMs

# Multitape Turing Machines

A  $k$ -tape Turing machine  $M$  has  $k$  different tapes and read/write heads. It is thus defined by the 7-tuple  $(Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$ , with

- $Q$  finite set of states
- $\Sigma$  finite input alphabet (without “\_”)
- $\Gamma$  finite tape alphabet with  $\{ \_ \} \cup \Sigma \subseteq \Gamma$
- $q_0$  start state  $\in Q$
- $q_{\text{accept}}$  accept state  $\in Q$
- $q_{\text{reject}}$  reject state  $\in Q$
- $\delta$  the transition function

$$\delta: Q \setminus \{q_{\text{accept}}, q_{\text{reject}}\} \times \Gamma^k \rightarrow Q \times \Gamma^k \times \{L, R\}^k$$

# k-tape TMs versus 1-tape TMs

Theorem 3.13: For every multi-tape TM  $M$ , there is a single-tape TM  $M'$  such that  $L(M)=L(M')$ .  
Or, for every multi-tape TM  $M$ , there is an equivalent single-tape TM  $M'$ .

*Proving and understanding these kinds of robustness results, is essential for appreciating the power of the Turing machine model.*

From this theorem Corollary 3.9 follows:  
A language  $L$  is TM-recognizable if and only if some multi-tape TM recognizes  $L$ .

# Outline Proof Thm. 3.13

Let  $M=(Q,\Sigma,\Gamma,\delta,q_0,q_{\text{accept}},q_{\text{reject}})$  be a  $k$ -tape TM.  
Construct 1-tape  $M'$  with expanded  $\Gamma' = \Gamma \cup \underline{\Gamma} \cup \{\#\}$

Represent  $M$ -configuration

$u_1q_ja_1v_1, \quad u_2q_ja_2v_2, \quad \dots, \quad u_kq_ja_kv_k$   
by  $M'$  configuration,  
 $q_j \# u_1\underline{a}_1v_1 \# u_2\underline{a}_2v_2 \# \dots \# u_k\underline{a}_kv_k$

(The tapes are separated by  $\#$ , the head positions are marked by underlined letters.)

## Proof Thm. 3.13 (cont.)

On input  $w=w_1\dots w_n$ , the TM  $M'$  does the following:

- Prepare initial string:  $\# \underline{w}_1 \dots w_n \# \_ \# \dots \# \_ \# \_ \dots$
- Read the underlined input letters  $\in \Gamma^k$
- Simulate  $M$  by updating the input and the underlining of the head-positions.
- Repeat 2-3 until  $M$  has reached a halting state
- Halt accordingly.

PS: If the update requires overwriting a  $\#$  symbol, then shift the part  $\# \dots \_$  one position to the right.

# Non-deterministic TMs

A nondeterministic Turing machine  $M$  can have several options at every step. It is defined by the 7-tuple  $(Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$ , with

- $Q$  finite set of states
- $\Sigma$  finite input alphabet (without “\_”)
- $\Gamma$  finite tape alphabet with  $\{ \_ \} \cup \Sigma \subseteq \Gamma$
- $q_0$  start state  $\in Q$
- $q_{\text{accept}}$  accept state  $\in Q$
- $q_{\text{reject}}$  reject state  $\in Q$
- $\delta$  the transition function

$$\delta: Q \setminus \{q_{\text{accept}}, q_{\text{reject}}\} \times \Gamma \rightarrow \mathcal{P}(Q \times \Gamma \times \{L, R\})$$

# Robustness

Just like k-tape TMs, nondeterministic Turing machines are not more powerful than simple TMs:

Every nondeterministic TM has an equivalent 3-tape Turing machine, which –in turn– has an equivalent 1-tape Turing machine.

Hence: “A language  $L$  is recognizable if and only if some nondeterministic TM recognizes it.”

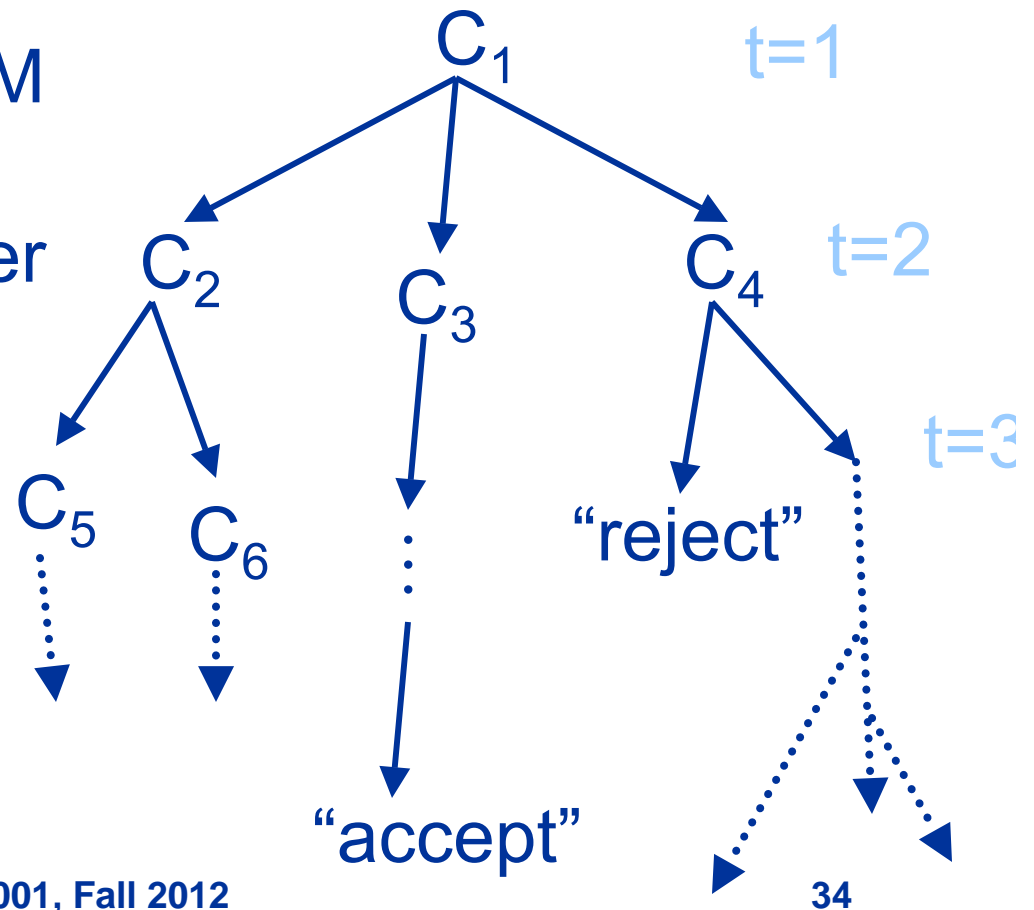
***The Turing machine model is extremely robust.***



# Computing with non-deterministic TMs

Evolution of the n.d. TM represented by a tree of configurations (rather than a single path).

If there is (at least) one accepting leave, then the TM accepts.



# Simulating Non-deterministic TMs with Deterministic Ones

We want to search every path down the tree for accepting configurations.

Bad idea: “depth first”. This approach can get lost in never-halting paths.

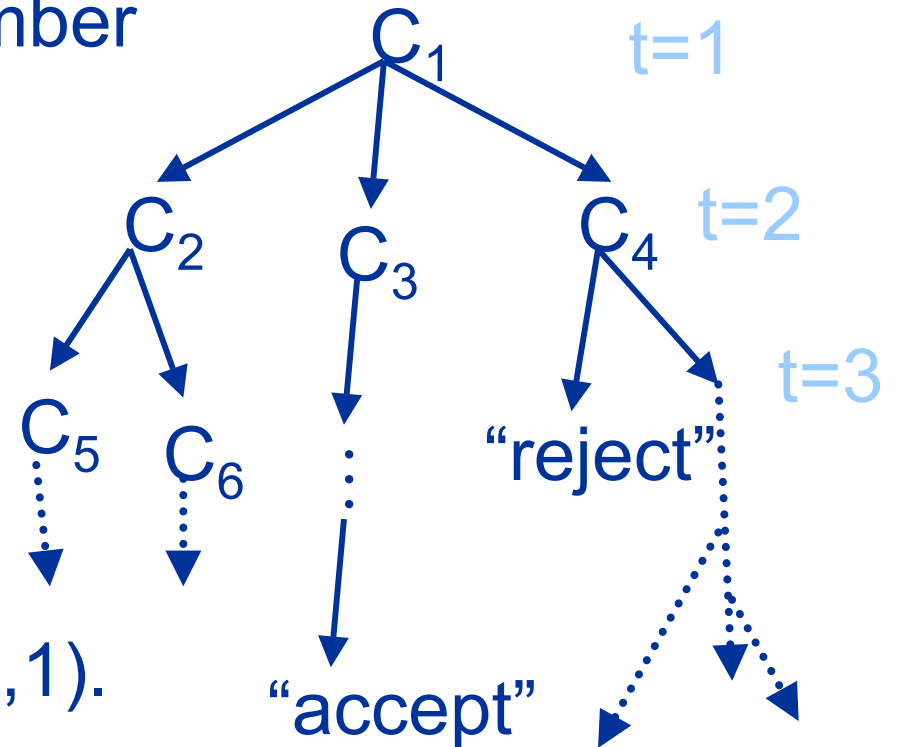
Good idea: “breadth first”. For time step  $1, 2, \dots$  we list all possible configurations of the non-deterministic TM. The simulating TM accepts when it lists an accepting configuration.

# Breadth First

Let  $b$  be the maximum number of children of a node.

Any node in the tree can be uniquely identified by a string  $\in \{1, \dots, b\}^*$ .

Example: location of the rejecting configuration is  $(3, 1)$ .



With the lexicographical listing  $\varepsilon, (1), (2), \dots, (b), (1, 1), (1, 2), \dots, (1, b), (2, 1), \dots$  et cetera, we cover all nodes.

# Proof of Theorem 3.10

Let  $M$  be the non-deterministic TM on input  $w$ .

The simulating TM uses three tapes:

T1 contains the input  $w$

T2 the tape content of  $M$  on  $w$  at a node

T3 describes a node in the tree of  $M$  on  $w$ .

- 1) T1 contains  $w$ , T2 and T3 are empty
- 2) Simulate  $M$  on  $w$  via the deterministic path to the node of tape 3. If the node accepts, “accept”, otherwise go to 3)
- 3) Increase the node value on T3; go to 2)

# Robustness

Just like k-tape TMs, nondeterministic Turing machines are not more powerful than simple TMs:

*Every nondeterministic TM has an equivalent 3-tape Turing machine, which –in turn– has an equivalent 1-tape Turing machine.*

Hence: “A language  $L$  is recognizable if and only if some nondeterministic TM recognizes it.”

*Let's consider other ways of computing a language...*

# Enumerating Languages

Thus far, the Turing machines were ‘recognizers’.

When a TM  $E$  generates the words of a language,  $E$  is an enumerator (cf. “recursively enumerable”).

A Turing machine  $E$ , enumerates the language  $L$  if it prints an (infinite) list of strings on the tape such that all elements of  $L$  will appear on the tape, and all strings on the tape are elements of  $L$ .  
( $E$  starts on an empty input tape. The strings can appear in any order; repetition is allowed.)

# Enumerating = Recognizing

Theorem 3.13: A language  $L$  is TM-recognizable if and only if  $L$  is enumerable.

Proof: (“if”) Take the enumerator  $E$  and input  $w$ . Run  $E$  and check the strings it generates. If  $w$  is produced, then “accept” and stop, otherwise let  $E$  continue.

(“only if”) Take the recognizer  $M$ . Let  $s_1, s_2, \dots$  be a listing of all strings  $\in \Sigma^* \supseteq L$ .

For  $j=1, 2, \dots$  run  $M$  on  $s_1, \dots, s_j$  for  $j$  time-steps. If  $M$  accepts an  $s$ , print  $s$ . Keep increasing  $j$ .

# Other Computational Models

We can consider many other ‘reasonable’ models of computation: DNA computing, neural networks, quantum computing...

Experience teaches us that every such model can be simulated by a Turing machine.

Church-Turing Thesis:

*The intuitive notion of computing and algorithms is captured by the Turing machine model.*



# Importance of the Church-Turing Thesis

The Church-Turing thesis marks the end of a long sequence of developments that concern the notions of “way-of-calculating”, “procedure”, “solving”, “algorithm”.

Goes back to Euclid’s GCD algorithm (300 BC).

For a long time, this was an implicit notion that defied proper analysis.

# “Algorithm”

After Abū ‘Abd Allāh Muhammed  
ibn Mūsā al-Khwārizmī (770 – 840)



His “Al-Khwarizmi on the Hindu Art of Reckoning” describes the decimal system (with zero), and gives methods for calculating square roots and other expressions.

“Algebra” is named after an earlier book.



# Hilbert's 10th Problem

In 1900, David Hilbert (1862–1943) proposed his *Mathematical Problems* (23 of them).

The Hilbert's 10th problem is: **Determination of the solvability of a Diophantine equation.**

Given a Diophantine equation with any number of unknown quantities and with rational integral numerical coefficients: *To devise a process according to which it can be determined by a finite number of operations whether the equation is solvable in rational integers.*

# Diophantine Equations

Let  $P(x_1, \dots, x_k)$  be a polynomial in  $k$  variables with integral coefficients. Does  $P$  have an integral root  $(x_1, \dots, x_k) \in \mathbb{Z}^k$  ?

Example:  $P(x, y, z) = 6x^3yz + 3xy^2 - x^3 - 10$   
has integral root  $(x, y, z) = (5, 3, 0)$ .

Other example:  $P(x, y) = 21x^2 - 81xy + 1$   
does not have an integral root.

# (Un)solving Hilbert's 10th

Hilbert's “...a process according to which it can be determined by a finite number of operations...” needed to be defined in a proper way.

This was done in 1936 by Church and Turing.

The impossibility of such a process for exponential equations was shown by Davis, Putnam and Robinson.

Matijasevič proved that Hilbert's 10th problem is unsolvable in 1970.