

CSE 2001:
Introduction to Theory of Computation
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Suprakash Datta

datta@cse.yorku.ca

Office: CSEB 3043

Phone: 416-736-2100 ext 77875

Course page: <http://www.cs.yorku.ca/course/2001>

Characterizing Regular Expressions

- We prove that Regular expressions (RE) and Regular Languages are the same set, i.e.,

$$RE = RL$$

Thm 1.54: $RL \sim RE$

We need to prove both ways:

- If a language is described by a regular expression, then it is regular (Lemma 1.55)

(We will show we can convert a regular expression R into an NFA M such that $L(R)=L(M)$)

- The second part:

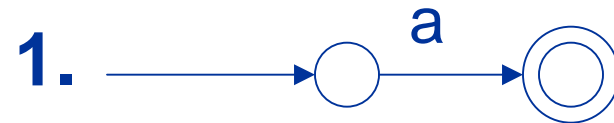
If a language is regular, then it can be described by a regular expression (Lemma 1.60)

Regular expression to NFA

Claim: If $L = L(e)$ for some RE e , then $L = L(M)$ for some NFA M

Construction: Use inductive defn

1. $R = a$, with $a \in \Sigma$
2. $R = \varepsilon$
3. $R = \emptyset$
4. $R = (R_1 \cup R_2)$, with R_1 and R_2 regular expressions
5. $R = (R_1 \bullet R_2)$, with R_1 and R_2 regular expressions
6. $R = (R_1^*)$, with R_1 a regular expression



4,5,6: similar to closure of RL under regular operations.

Examples of RE to NFA conv.

$ab \cup ba$

$L = \{ab, ba\}$

$(ab)^*$

$L = \{\epsilon, ab, abab, ababab, \dots\}$

Back to $RL \sim RE$

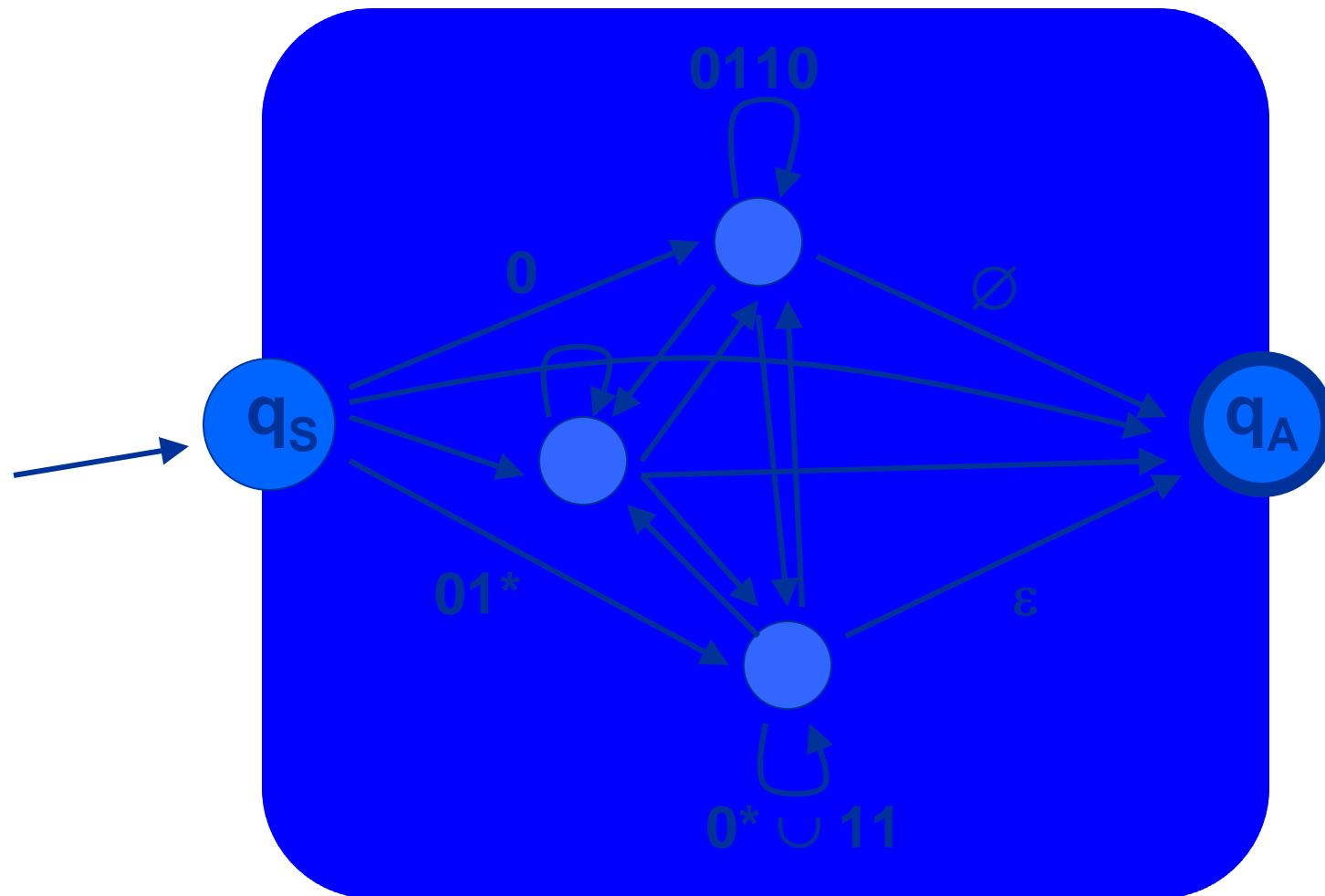
The second part (Lemma 1.60):

If a language is regular, then it can be described by a regular expression.

- Proof strategy:
 - regular implies equivalent DFA.
 - convert DFA to GNFA (generalized NFA).
 - convert GNFA to NFA.

GNFA: NFA that have regular expressions as transition labels

Example GNFA



Generalized NFA - definition

Generalized non-deterministic finite automaton

$M=(Q, \Sigma, \delta, q_{\text{start}}, q_{\text{accept}})$ with

- Q finite set of states
- Σ the input alphabet
- q_{start} the start state
- q_{accept} the (unique) accept state
- $\delta:(Q - \{q_{\text{accept}}\}) \times (Q - \{q_{\text{start}}\}) \rightarrow \mathcal{R}$ is the transition function

(\mathcal{R} is the set of regular expressions over Σ)

(NOTE THE NEW DEFN OF δ)

Characteristics of GNFA's δ

- $\delta: (Q \setminus \{q_{\text{accept}}\}) \times (Q \setminus \{q_{\text{start}}\}) \rightarrow \mathcal{R}$

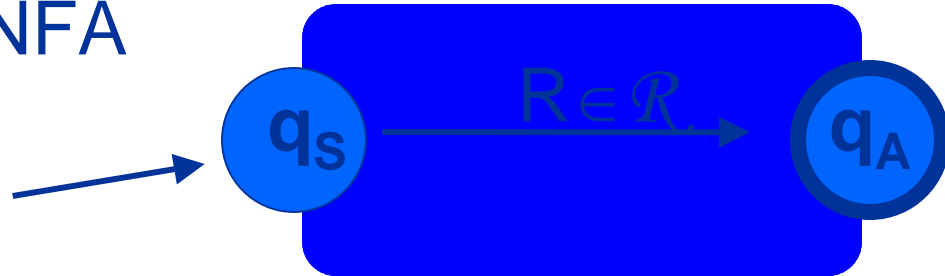
The interior $Q \setminus \{q_{\text{accept}}, q_{\text{start}}\}$ is fully connected by δ

From q_{start} only 'outgoing transitions'

To q_{accept} only 'ingoing transitions'

Impossible $q_i \rightarrow q_j$ transitions are labeled " $\delta(q_i, q_j) = \emptyset$ "

Observation: This GNFA recognizes the language $L(R)$



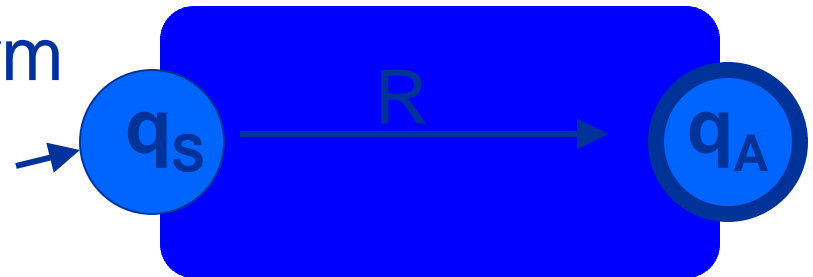
Proof Idea of Lemma 1.60

Proof idea (given a DFA M):

Construct an equivalent GNFA M' with $k \geq 2$ states

Reduce one-by-one the internal states until $k=2$

This GNFA will be of the form



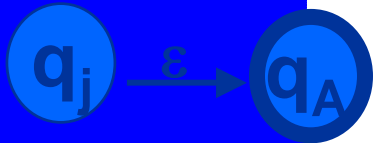
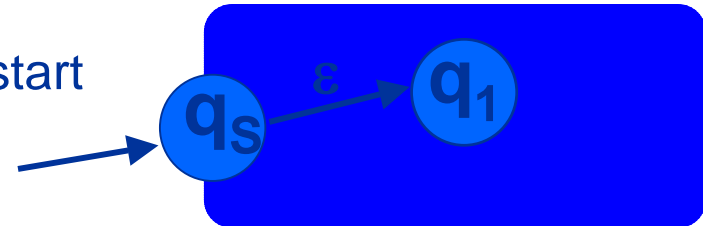
This regular expression R
will be such that $L(R) = L(M)$

DFA $M \rightarrow$ Equivalent GNFA M'

Let M have k states $Q = \{q_1, \dots, q_k\}$

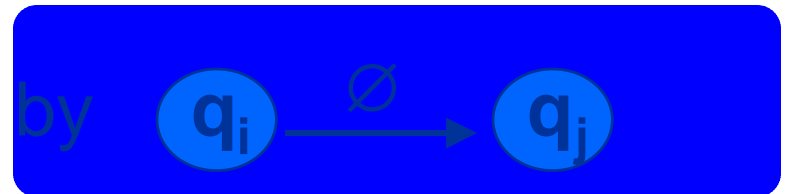
- Add two states q_{accept} and q_{start}

- Connect q_{start} to earlier q_1 :

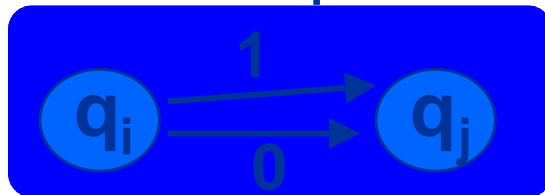


- Connect old accepting states to q_{accept}

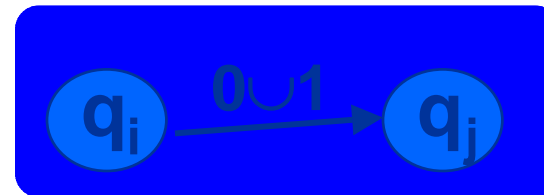
- Complete missing transitions by



- Join multiple transitions:



becomes



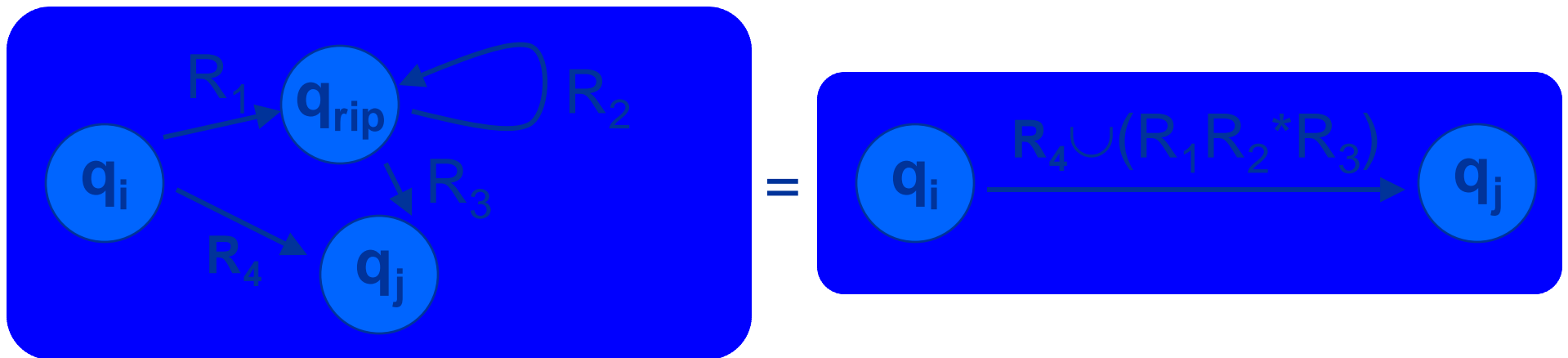
Remove Internal state of GNFA

If the GNFA M has more than 2 states, 'rip'
internal q_{rip} to get equivalent GNFA M' by:

- Removing state q_{rip} : $Q' = Q \setminus \{q_{rip}\}$
- Changing the transition function δ by

$$\delta'(q_i, q_j) = \delta(q_i, q_j) \cup (\delta(q_i, q_{rip})(\delta(q_{rip}, q_{rip}))^* \delta(q_{rip}, q_j))$$

for every $q_i \in Q' \setminus \{q_{accept}\}$ and $q_j \in Q' \setminus \{q_{start}\}$



Proof Lemma 1.60

Let M be DFA with k states

Create equivalent GNFA M' with $k+2$ states

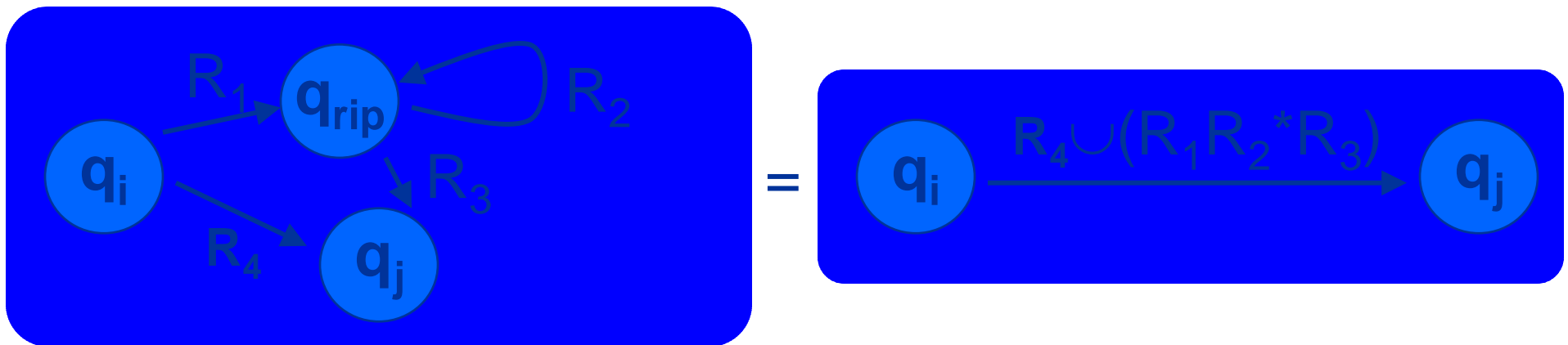
Reduce in k steps M' to M'' with 2 states

The resulting GNFA describes a single regular expressions R

The regular language $L(M)$ equals the language $L(R)$ of the regular expression R

Proof Lemma 1.60 - continued

- Use induction (on number of states of GNFA) to prove correctness of the conversion procedure.
- Base case: $k=2$.
- Inductive step: 2 cases – q_{rip} is/is not on accepting path.



Recap $RL = RE$

Let R be a regular expression, then there exists an NFA M such that $L(R) = L(M)$

The language $L(M)$ of a DFA M is equivalent to a language $L(M')$ of a GNFA M' , which can be converted to a two-state M''

The transition $q_{\text{start}} \xrightarrow{R} q_{\text{accept}}$ of M'' obeys $L(R) = L(M'')$

Hence: $RE \subseteq NFA = DFA \subseteq GNFA \subseteq RE$

Example

$L = \{w \mid \text{the sum of the bits of } w \text{ is odd}\}$