# CSE 3101: Introduction to the Design and Analysis of Algorithms

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Lectures: Tues (BC 215), 7–10 PM

Office hours: Wed 4-6 pm (CSEB 3043), or by appointment.

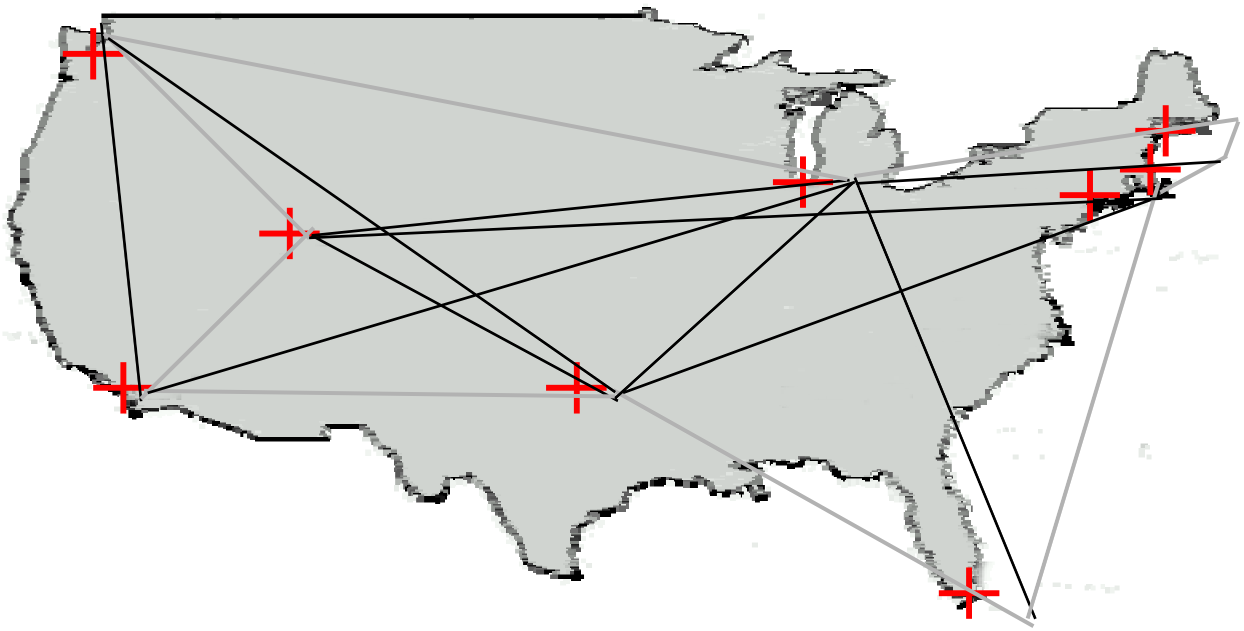
Textbook: Cormen, Leiserson, Rivest, Stein.
Introduction to Algorithms (3rd Edition)

# Intractability

- Tractable and intractable problems
  - What is a ”reasonable” running time?
  - NP problems, examples
  - NP-complete problems and polynomial reducability
- There are many practically important problems that have not yielded algorithms with sub-exponential worst case running time even with years of effort.

## Traveling Salesman Problem

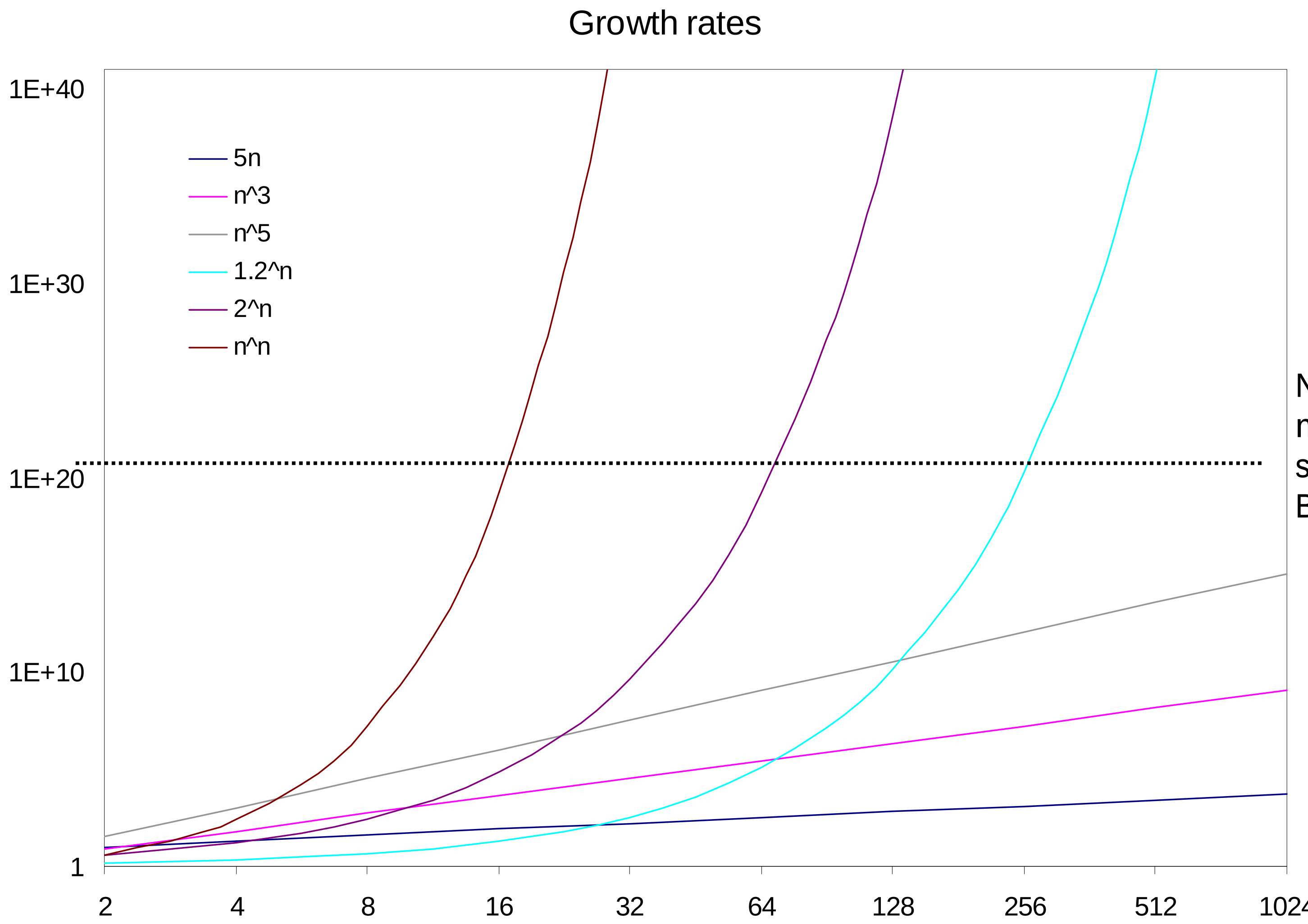
- A traveling salesperson needs to visit *n* cities
- Is there a route of at most *d* length? (decision problem)
  - Optimization-version asks to find a shortest cycle visiting all vertices once in a weighted graph



# TSP Algorithms

- Naive solutions take $n$! time in worst-case, where $n$ is the number of edges of the graph
- No polynomial-time algorithms are known
  - TSP is an NP-complete problem
- Longest Path problem between A and B in a weighted graph is also NP-complete
  - Remember the running time for the shortest path problem

# Reasonable vs. Unreasonable



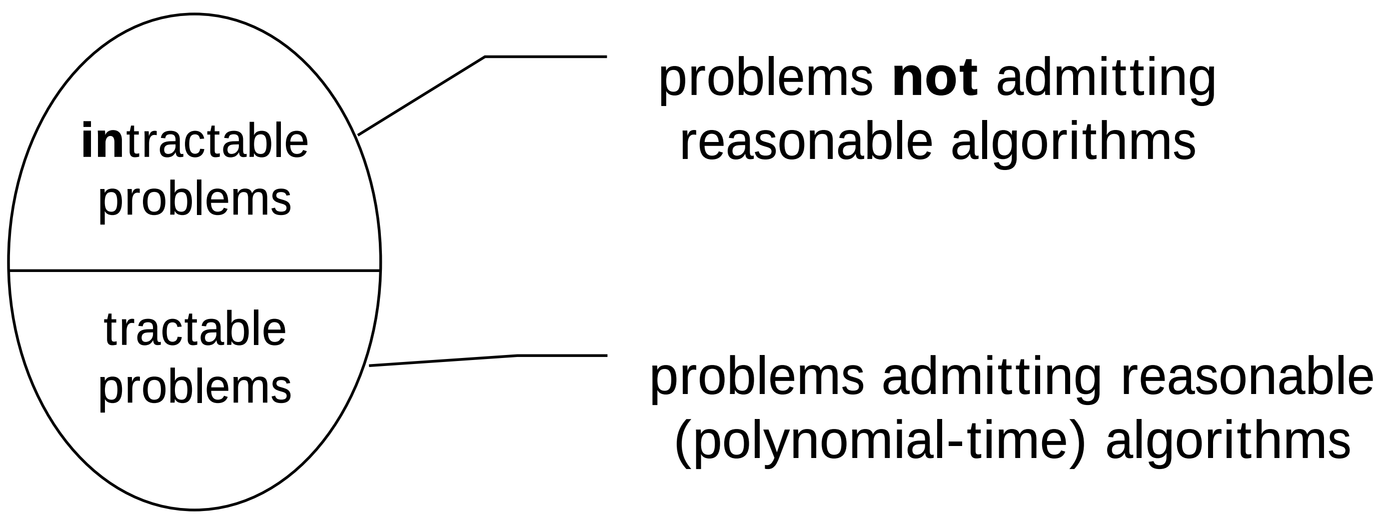

Number of microseconds since “Big-Bang”

# Reasonable vs. Unreasonable

| | function/ $n$ | 10 | 20 | 50 | 100 | 300 |
|---|---|---|---|---|---|---|
| Polynomial | $n^2$ | 1/10,000 second | 1/2,500 second | 1/400 second | 1/100 second | 9/100 second |
| | $n^5$ | 1/10 second | 3.2 seconds | 5.2 minutes | 2.8 hours | 28.1 days |
| Exponential | $2^n$ | 1/1000 second | 1 second | 35.7 years | 400 trillion centuries | a 75 digit-number of centuries |
| | $n^n$ | 2.8 hours | 3.3 trillion years | a 70 digit-number of centuries | a 185 digit-number of centuries | a 728 digit-number of centuries |

## Reasonable vs. Unreasonable

- ”Good”, reasonable algorithms
  - algorithms bound by a polynomial function $n^k$
  - **Tractable problems**
- ”Bad”, unreasonable algorithms
  - algorithms whose running time is above $n^k$
  - **Intractable problems**



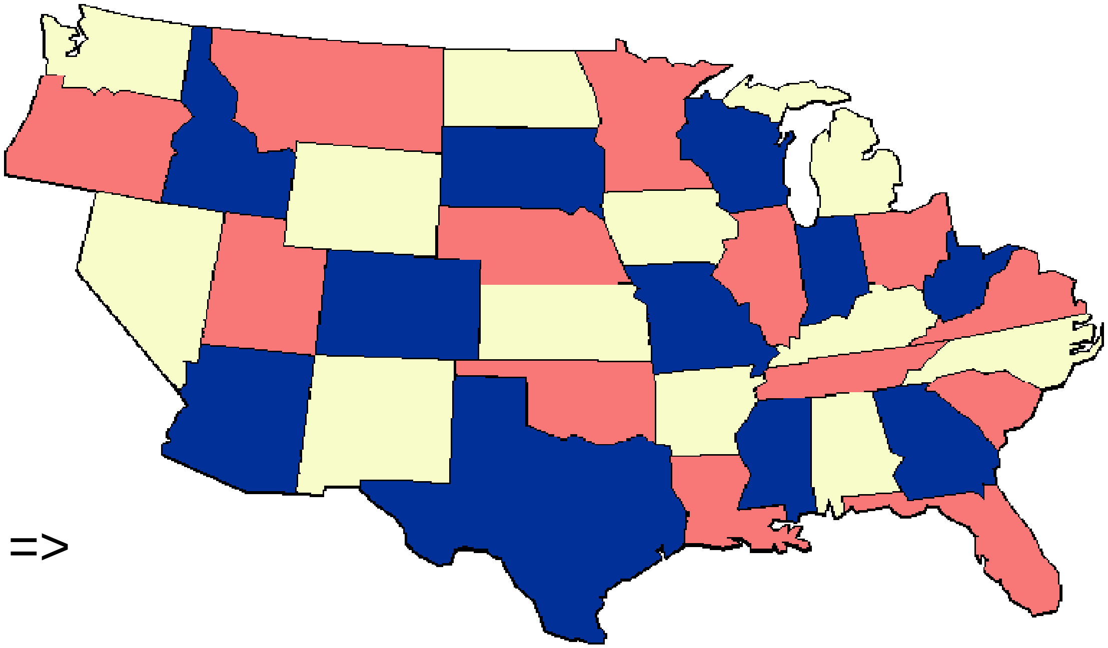
# Counterpoints?

- Computers become faster every day
  - insignificant (a constant) compared to exp. running time
- Maybe the TSP is just one specific problem, we could simply ignore?
  - the TSP falls into a category of problems called NPC (NP complete) problems (~1000 problems)
  - all admit **unreasonable** solutions
  - **not known** to admit **reasonable** ones…

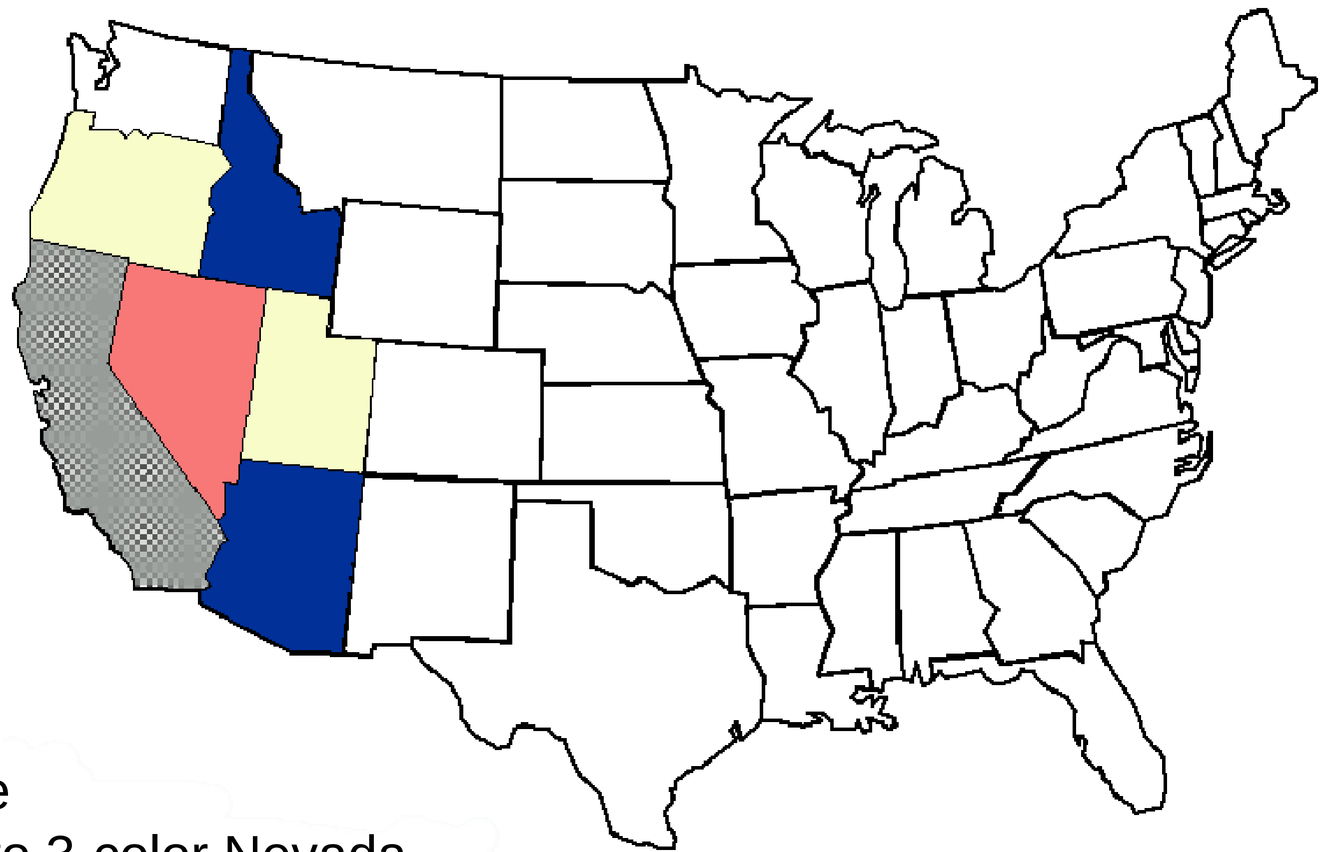
# Coloring Problem (COLOR)

- 3-color
  - – given a planar map, can it be colored using 3 colors so that no adjacent regions have the same color

Find an error! =>



# Coloring Problem (2)



NO instance
Impossible to 3-color Nevada and bordering states!

## Coloring Problem (3)

- Any map can be **4-colored**
- Maps that contain no points that are the junctions of an odd number of states can be **2-colored**
- No polynomial algorithms are known to determine whether a map can be **3-colored** – it's an NP-complete problem

# Determining Truth (SAT)

- Determine the truth or falsity of logical sentences in a simple logical formalism called **propositional calculus**
- Using the logical connectives (&-and, $\vee$-or, ~-not, $\rightarrow$-implies) we compose expressions such as the following

  $\sim(E \rightarrow F) \ \& \ (F \vee (D \rightarrow \sim E))$

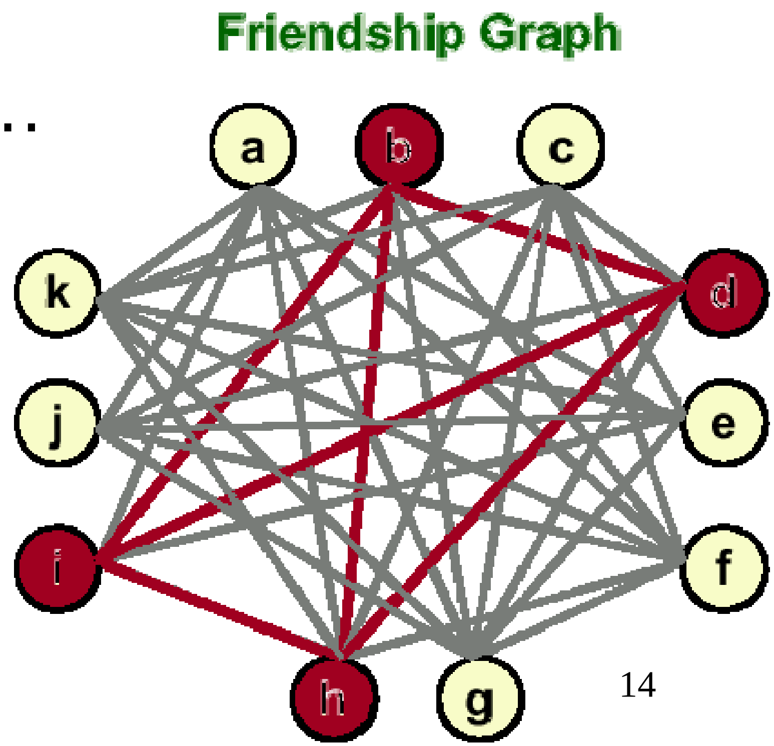
- The algorithmic problem calls for determining the **satisfiability** of such sentences
  - e.g., E = true, D and F = false

## Determining Truth (SAT)

- Exponential time algorithm on $n$ = the number of distinct elementary assertions ($\Theta(2^n)$)
- Best known solution, problem is in NP-complete class!

# CLIQUE

- Given n people and their pairwise relationships, is there a group of s people such that every pair in the group knows each other
  - people: a, b, c, …, k
  - friendships: (a,e), (a,f),…
  - clique size: s = 4?
  - YES, {b, d, i, h} is a certificate!



# P

- Definition of P:
  - Set of all decision problems solvable in polynomial time on a deterministic Turing machine
- Examples:
  - SHORTEST PATH: Is the shortest path between *u* and *v* in a graph shorter than *k*?
  - RELPRIME: Are the integers x and y relatively prime?
    - YES: (x, y) = (34, 39).
  - MEDIAN: Given integers $x_1$ , …, $x_n$ , is the median value < M?
    - YES: (M, $x_1$ , $x_2$ , $x_3$ , $x_4$ , $x_5$ ) = (17, 2, 5, 17, 22, 104)

# P(2)

- P is the set of all decision problems solvable in polynomial time on **REAL** computers.

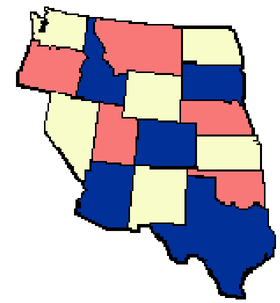
## Short Certificates

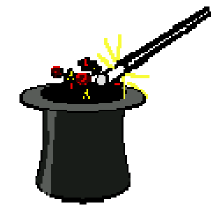
- To find a solution for an NPC problem, we seem to be required to try out exponential amounts of partial solutions
- Failing in extending a partial solution requires **backtracking**
- However, once we found a solution, convincing someone of it is easy, if we keep a proof, i.e., a **certificate**
- The problem is finding an answer (exponential), but not verifying a potential solution (polynomial)

# Short Certificates (2)

**Input x:**

**Certificate c:**





**Verifier:**

1. Check that x and c describe same map.
2. Count number of distinct colors in c.
3. Check all pairs of adjacent states.

YES

NO

x is a YES instance

no conclusion

3-COLOR is in NP.

# On Magic Coins and Oracles

- Assume we use a magic coin in the backtracking algorithm
  - whenever it is possible to extend a partial solutions in > 1 ways, we toss a magic coin (next city, next truth assignment, etc.)
  - the outcome of this ”act” determines further actions – we use magical insight, supernatural powers!
- Such algorithms are termed ”**non-deterministic**”
  - they **guess** which option is better, **rather** than employing some **deterministic procedure** to go through the alternatives

# NP

- Definition of NP:
  - Set of all decision problems solvable in polynomial time on a NONDETERMINISTIC Turing machine
  - Definition important because it links many fundamental problems
- Useful alternative definition
  - Set of all decision problems with efficient verification algorithms
    - efficient = polynomial number of steps on deterministic TM
  - Verifier: algorithm for decision problem with extra input

# NP (2)

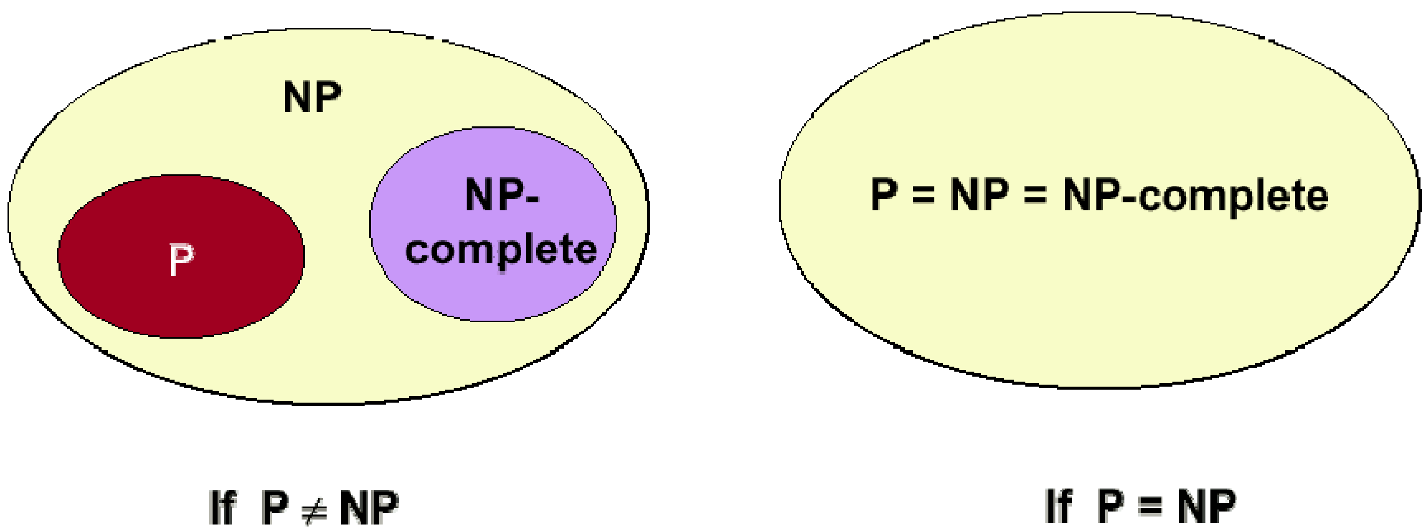
- NP = set of decision problems with efficient verification algorithms
- Why doesn't this imply that all problems in NP can be solved efficiently?
  - BIG PROBLEM: need to know certificate ahead of time
    - real computers can simulate by guessing all possible certificates and verifying
    - naïve simulation takes exponential time unless you get "lucky"

## NP-Completeness

- Informal definition of NP-hard:
  - A problem with the property that if it can be solved efficiently, then it can be used as a subroutine to solve any other problem in NP efficiently
- NP-complete problems are NP problems that are NP-hard
  - ”Hardest computational problems” in NP

# The Main Question

- Does P = NP?
  - Is the original DECISION problem as easy as VERIFICATION?
- Most important open problem in theoretical computer science. Clay institute of mathematics offers one-million dolar prize!



# The Main Question (2)

- If P=NP, then:
  - Efficient algorithms for 3- COLOR, TSP, and factoring.
  - Cryptography is impossible on conventional machines
  - Modern banking system will collapse
- If no, then:
  - Can’t hope to write efficient algorithm for TSP
    - see NP- completeness
  - But maybe efficient algorithm still exists for testing the primality of a number – i.e., there are some problems that are NP, but not NP-complete

## The Main Question (3)

- Probably no, since:
  - Thousands of researchers have spent four decades in search of polynomial algorithms for many fundamental NP-complete problems without success
  - Consensus opinion: $P \neq NP$
- But maybe yes, since:
  - No success in proving $P \neq NP$ either

## Dealing with NP-Completeness

- Hope that a worst case doesn't occur
  - Complexity theory deals with worst case behavior. The instance(s) you want to solve may be "easy"
    - TSP where all points are on a line or circle
    - 13,509 US city TSP problem solved (Cook et. al., 1998)
- Change the problem
  - Develop a heuristic, and hope it produces a good solution.
  - Design an approximation algorithm: algorithm that is guaranteed to find a high- quality solution in polynomial time
    - active area of research, but not always possible
- Keep trying to prove P = NP.

# The Big Picture

- It is not known whether NP problems are tractable or intractable
- But, there exist provably intractable problems
  - Even worse – there exist problems with running times far worse than exponential!
- More bad news: there are **provably noncomputable (undecidable)** problems
  - There are no (and there will not ever be!!!) algorithms to solve these problems

## Proving NP-completeness: the start…

- The World's first NP-complete problem
- SAT is NP-complete (Cook-Levin, 196x)

## Proving NP-Completeness (2)

- Each NPC problem’s faith is tightly coupled to all the others (complete set of problems)
- Finding a **polynomial time algorithm for one NPC problem** would **automatically** yield a polynomial time algorithm **for all NP problems**
- Proving that one NP-complete problem has an **exponential lower bound** woud **automatically** proove that **all other NP-complete** problems have exponential lower bounds

## NP-Completeness (3)

- *How can we prove such a statement?*
- Polynomial time reduction!
  - given two problems
  - it is an algorithm running in polynomial time that reduces one problem to the other such that
    - given input X to the first and asking for a yes/no answer
    - we transform X into input Y to the second problem such that its answer matches the answer of the first problem

# Reduction Example

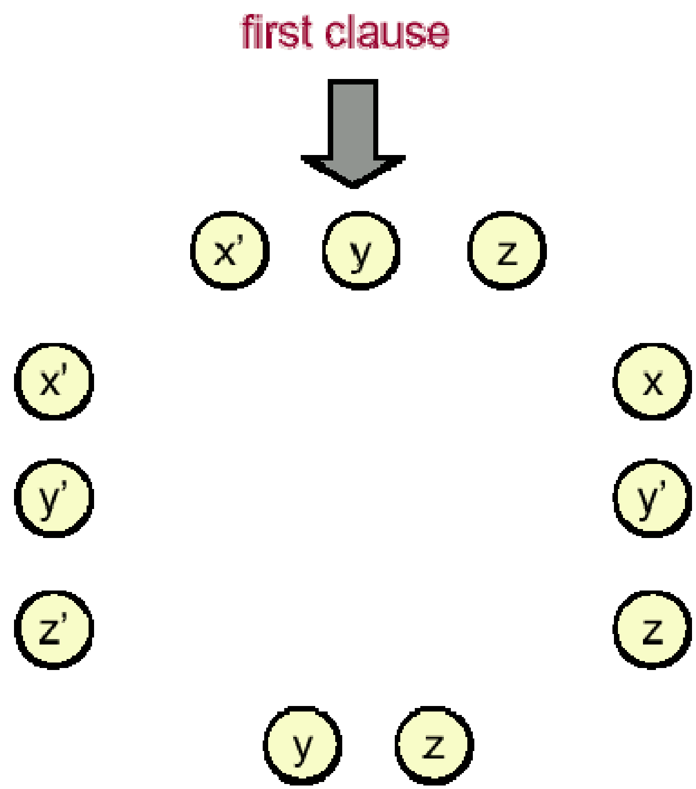
- Reduction is a general technique for showing that one problem is harder (easier) than another
  - For problems A and B, we can often show: if A can be solved efficiently, then so can B
  - In this case, we say B reduces to A (B is "easier" than A, or, B cannot be ”worse” than A)

# Reduction Example (2)

- SAT reduces to CLIQUE
  - Given any input to SAT, we create a corresponding input to CLIQUE that will help us solve the original SAT problem
  - Specifically, for a SAT formula with K clauses, we construct a CLIQUE input that has a clique of size K if and only if the original Boolean formula is satisfiable
  - If we had an efficient algorithm for CLIQUE, we could apply our transformation, solve the associated CLIQUE problem, and obtain the yes/no answer for the original SAT problem

## Reduction Example (3)

- SAT reduces to CLIQUE
  - Associate a person to each variable occurrence in each clause




Boolean formula:

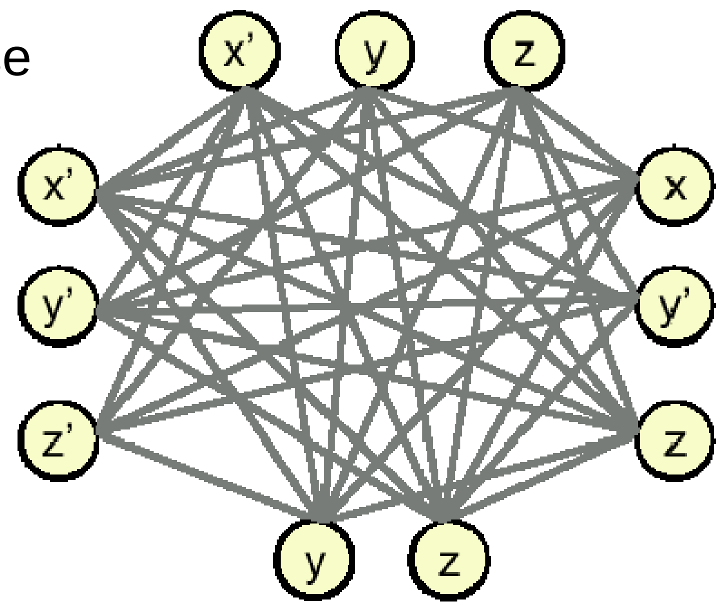
(x' + y + z) (x + y' + z) (y + z) (x' + y' + z')

## Reduction Example (4)

- SAT reduces to CLIQUE
  - Associate a person to each variable occurrence in each clause
  - ”Two people” know each other except if:
    - they come from the same clause
    - they represent t and t’ for some variable t

Boolean formula:

(x' + y + z) (x + y' + z) (y + z) (x' + y' + z')

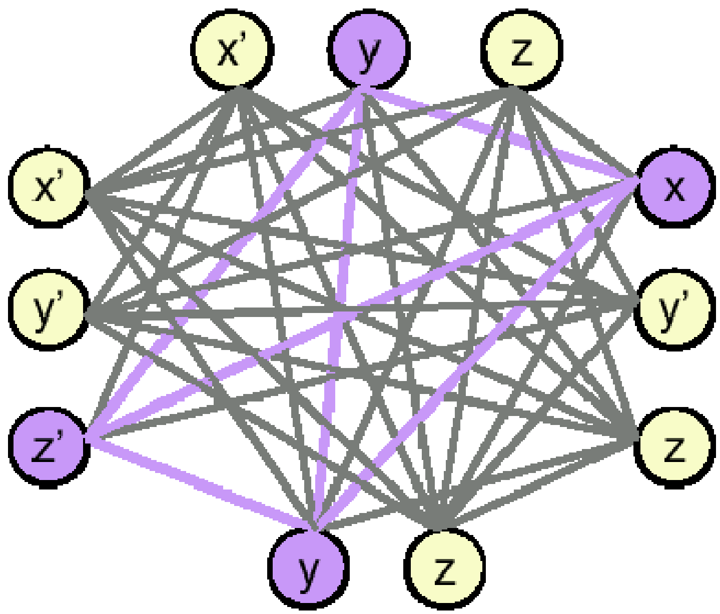


# Reduction Example (5)

- SAT reduces to CLIQUE
  - Two people know each other except if:
    - they come from the same clause
    - they represent t and t' for some variable t
  - Clique of size 4 $\Rightarrow$ satisfiable assignment
    - set variable in clique to "true"
    - (x, y, z) = (true, true, false)

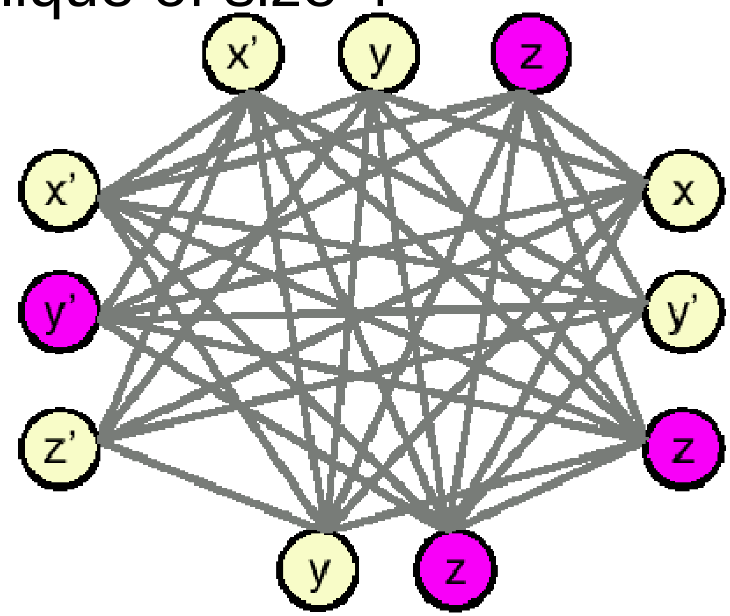
Boolean formula:

(x' + y + z) (x + y' + z) (y + z) (x' + y' + z')



# Reduction Example (6)

- SAT reduces to CLIQUE
  - Two people know each other except if:
    - they come from the same clause
    - they represent t and t' for some variable t
  - Clique of size 4 $\Rightarrow$ satisfiable assignment
  - Satisfiable assignment $\Rightarrow$ clique of size 4
    - (x, y, z) = (false, false, true)
    - choose one true literal from each clause




Boolean formula:

(x' + y + z) (x + y' + z) (y + z) (x' + y' + z')

# CLIQUE is NP-complete

- CLIQUE is NP-complete
  - CLIQUE is in NP
  - SAT is in NP-complete
  - SAT reduces to CLIQUE
- Hundreds of problems can be shown to be NP-complete that way…

## Summary

- Thousands of problems have been proved to be NP-complete
  - ”at least as hard as any other problem in NP”
  - If you find a polynomial time solution to any NP-complete problem, P=NP
- They are believed to be intractable (i.e., no polynomial time algorithms exist)
- Since this has not been proved, it is possible that P=NP.
- In real life one looks for an approximation algorithm or a different problem formulation...