

# **Prolog and the Resolution Method**

## **The Logical Basis of Prolog**

### **Chapter 10**

# Background

- ◇ Prolog is based on the **resolution proof** method developed by Robinson in 1966.
- ◇ **Complete** proof system with only one rule.
  - » **If something can be proven from a set of logical formulae, the method finds it.**
- ◇ Correct
  - » **Only theorems will be proven, nothing else.**
- ◇ Proof by contradiction
  - » **Add negation of a purported theorem to a body of axioms and previous proven theorems**
  - » **Show resulting system is contradictory**

# Propositional Logic

- ◇ Infinite list of propositional variables
  - »  **$a, b, \dots, z, p_1 \dots p_n, q_1 \dots q_r, \dots$**
- ◇ Logical connectives
  - »  **$\neg \wedge \vee \rightarrow \leftrightarrow$**
- ◇ The set of formula's of propositional logic is the smallest set, FOR, such that
  - » **Every propositional variable is in FOR**
  - » **If A and B are elements of FOR then  $\neg A, A \wedge B, A \vee B, A \rightarrow B, A \leftrightarrow B$  are elements of FOR**
- ◇ Every variable represents 0 or 1

# Propositional clauses – informal

- ◇ Have a collection of clauses in conjunctive normal form
  - » Each clause is a set of propositions connected with **or**
  - » Propositions can be negated (use **not**  $\sim$ )
  - » set of clauses implicitly **anded** together

## ◇ Example

**A or B**

**C or D or  $\sim$  E**

**F**

**$\implies$**

**( A or B ) and ( C or D or  $\sim$  E ) and F**

# Clausal Form

- ◇ A clause is an expression of the following form, called **clausal form**

$$l_0, l_1, l_2, \dots, l_k \leftarrow d_0, d_1, d_2, \dots, d_m$$

commas are  
disjunctions

commas are  
conjunctions

$$a \leftarrow b \equiv a \vee \neg b$$

As a consequence the clausal form can be written as

$$l_0 \vee l_1 \vee l_2 \vee \dots \vee l_k \vee \neg(d_0 \wedge d_1 \wedge d_2 \wedge \dots \wedge d_m)$$

Using de'Morgans law

$$l_0 \vee l_1 \vee l_2 \vee \dots \vee l_k \vee \neg d_0 \vee \neg d_1 \vee \neg d_2 \vee \dots \vee \neg d_m$$

# Conjunctive Normal Form

- ◇ If  $S = \{c_0, c_1, c_2, \dots, c_k\}$  are a set of clauses then the representation of  $S$  is the formula

$$\alpha = \alpha_{c_0} \wedge \alpha_{c_1} \wedge \alpha_{c_2} \wedge \dots \wedge \alpha_{c_k}$$

- ◇  $\alpha$  is in CNF (conjunctive normal form)
- ◇  $\alpha_{c_i}$  is a disjunction of variables and their negations
- ◇  $\alpha$  is a conjunction of these disjunctions

**Every formula can be converted to CNF**

## Contradiction in a set of clauses

- ◇ The set  $\{ p \wedge \neg p \}$  is a contradiction of clauses
- ◇ In clausal form this is

$$\begin{array}{l} p \leftarrow \\ \leftarrow p \end{array}$$

- ◇ We say that resolving upon  $p$  gives  $[\ ]$  the empty clause which is false.

## Propositional case – Resolution

◇ What happens if there is a contradiction in the set of clauses

◇ Example – only one clause

**P**

◇ Add  $\sim P$  to the set of clauses

**P**

**$\sim P$**

**$\Rightarrow$**

**P and  $\sim P$**

**$\Rightarrow$**

**[ ] -- null the empty clause is false**

◇ Think of **P** and  **$\sim P$**  canceling each other out of existence



# Resolution rule

◇ Given the clause

**Q or  $\sim$ R**

◇ and the clause

**R or P**

◇ then resolving the two clauses is the following

**( Q or  $\sim$ R ) and ( R or P )**

**$\Rightarrow$**

**P or Q -- new clause that can be added to the set**

◇ Combining two clauses with a positive proposition and its negation (called **literals**) leads to adding a new clause to the set of clauses consisting of all the literals in both parent clauses except for the literals resolved on

## Resolution rule – 2

◇ Given the clause

$L_1 \text{ or } L_2 \text{ or } \dots \text{ or } L_p \text{ or } \sim R$

◇ and the clause

$R \text{ or } K_1 \text{ or } K_2 \text{ or } \dots \text{ or } K_q$

◇ then resolving the two clauses is the following

$(L_1 \text{ or } L_2 \text{ or } \dots \text{ or } L_p \text{ or } \sim R) \text{ and } (R \text{ or } K_1 \text{ or } K_2 \text{ or } \dots \text{ or } K_q)$

$\implies$

$(L_1 \text{ or } L_2 \text{ or } \dots \text{ or } L_p \text{ or } K_1 \text{ or } K_2 \text{ or } \dots \text{ or } K_q)$

-- new clause that can be added to the set

# Resolution method

- ◇ Combine clauses using resolution to find the empty clause
  - » **Implying one or more of the clauses in the set is false.**

- ◇ Given the clauses

1 P

2  $\sim P$  or Q

3  $\sim Q$  or  $\sim R$

4 R

- ◇ Can resolve as follows

5 P and (  $\sim P$  or Q )  $\implies$  Q      resolve 1 and 2

6 Q and (  $\sim Q$  or  $\sim R$  )  $\implies$   $\sim R$       resolve 5 and 3

7  $\sim R$  and R  $\implies$  []      resolve 6 and 4

## Resolution method – 2

◇ Using resolution to prove a theorem

> **1 Given the non contradictory clauses  
– assuming original set of clauses is true**

**P**

**$\sim P$  or Q**

**$\sim Q$  or  $\sim R$**

> **2 Add the negation of the theorem,  $\sim R$ , to be  
proven true**

**R**

– Clause set now contains a contradiction

> **3 Find  $\square$  – showing that a contradiction exists,  
(see previous slide)**

> **4 implies R is false, hence the theorem,  $\sim R$ , is  
true**

## Resolution method – 3

- ◇ In general resolution leads to longer and longer clauses
  - » **Length 2 & length 2 --> length 2 (see earlier slide) – no shorter**
  - » **Length 3 & length 2 -> length 3 (longer)**
  - » **In general length p & length q --> length p + q - 2 (see earlier slide)**
- ◇ Non trivial to find the sequence of resolution rule applications needed to find []
- ◇ But at least there is only one rule to consider, which has helped automated theorem proving

# The Big Question

**How does all this relate to Prolog ?**

## If A then B – Propositional case

◇ Example 1: In prolog we write

**A :- B.**

◇ Which in logic is

**A if B  $\implies$  if B then A**

**$\implies$  A or  $\sim$ B**

**Clausal form**

**A  $\leftarrow$  B**

◇ Example 2

**A :- B , C , D.**

**A if B and C and D**

**$\implies$  if B and C and D then A**

**$\implies$  A or  $\sim$ B or  $\sim$ C or  $\sim$ D**

**Clausal form**

**A  $\leftarrow$  B, C, D**

## If A then B – Propositional case – 2

### ◇ Example 2

**if B and C and D then P and Q and R**

**$\Rightarrow \sim B$  or  $\sim C$  or  $\sim D$  or ( P and Q and R )**

**$\Rightarrow (\sim B$  or  $\sim C$  or  $\sim D$ ) or ( P and Q and R )**

**$\Rightarrow \sim B$  or  $\sim C$  or  $\sim D$  or P  
 $\sim B$  or  $\sim C$  or  $\sim D$  or Q  
 $\sim B$  or  $\sim C$  or  $\sim D$  or R**

**> In Prolog**

**P :- B , C , D.**

**Q :- B , C , D.**

**R :- B , C , D.**

**distribution**



**Clausal form**

**P ← B, C, D**

**Q ← B, C, D**

**R ← B, C, D**



## If A then B – Propositional case – 4

### ◇ Example 3

**if B and C and D then P or Q or R**

**$\Rightarrow \sim B$  or  $\sim C$  or  $\sim D$  or P or Q or R**

> **No single statement in Prolog for such an if ... then ..., choose one or more of the following depending upon the expected queries and database**

**P :- B , C , D ,  $\sim Q$  ,  $\sim R$**

**Q :- B , C , D ,  $\sim P$  ,  $\sim R$**

**R :- B , C , D ,  $\sim P$  ,  $\sim Q$**

**Clausal form**  
**P, Q, R  $\leftarrow$  B, C, D**

## If A then B – Propositional case – 5

◇ Example 4

**if the\_moon\_is\_made\_of\_green\_cheese  
then pigs\_can\_fly**

**==>**

**~ the\_moon\_is\_made\_of\_green\_cheese or  
pigs\_can\_fly**

**> In Prolog**

**pigs\_can\_fly :-  
    the\_moon\_is\_made\_of\_green\_cheese**

## Prolog facts – propositional case

- ◇ Prolog facts are just themselves.

**A**

**P**

**the\_moon\_is\_made\_of\_green\_cheese**  
**pigs\_can\_fly**

- ◇ Comes from

**if true then pigs\_can\_fly**

**==> pigs\_can\_fly or ~true**

**==> pigs\_can\_fly or false**

**==> pigs\_can\_fly**

- ◇ In Prolog

**pigs\_can\_fly :- true**    **:- true is implied,  
so it is not written**

# Query

- ◇ A query "**A and B and C**", when negated is equivalent to  
**if A and B and C then false**
  - > **insert the negation into the database, expecting to find a contradiction**
- ◇ Translates to  
**false or  $\sim A$  or  $\sim B$  or  $\sim C$**   
 **$\implies \sim A$  or  $\sim B$  or  $\sim C$**

## Is it true pigs\_fly?

- ◇ Add the negated question to the database

If **pigs\_fly** then false

$\Rightarrow \sim\text{pigs\_fly}$  or false  $\Rightarrow \sim\text{pigs\_fly}$

- ◇ If the database contains

**pigs\_fly**

- ◇ Then resolution obtains [], the contradiction, so the negated query is false, so the query is true.
- ◇ Prolog distinguishes between facts and queries depending upon the mode in which it is being used. In **(re)consult** mode we are entering facts. Otherwise we are entering queries.

# Predicate Calculus

- ◇ Step up to predicate calculus as resolution is not interesting at the propositional level.
- ◇ We add
  - » **the universal quantifier – for all  $x$  –  $\forall x$**
  - » **the existential quantifier – there exists an  $x$  –  $\exists x$**
- ◇ But in Prolog there are no quantifiers?
  - » **They are represented in a different way**

## Forall x – $\forall x$

- ◇ The universal quantifier is used in expressions such as the following

$\forall x \cdot P(x)$

> For all x it is the case that P(x) is true

$\forall x \cdot \text{lovesBarney}(x)$

> For all x it is the case that lovesBarney(x) is true

- ◇ The use of variables in Prolog takes the place of universal quantification – a variable implies universal quantification

$P(X)$

> For all X it is the case that P(X) is true

$\text{lovesBarney}(X)$

> For all x it is the case that lovesBarney(X) is true

## Exists x – $\exists x$

- ◇ The existential quantifier is used in expressions such as the following

$\exists x \cdot P(x)$

> There exists an x such that P(x) is true

$\exists x \cdot \text{lovesBarney}(x)$

> There exists an x such that lovesBarney(x) is true

- ◇ Constants in Prolog take the place of existential quantification
  - a constant implies existential quantification
    - The constant is a value of x that satisfies existence

$P(a)$

a is an instance such that P(a) is true

$\text{lovesBarney}(\text{elliott})$

elliott is an instance such that lovesBarney(elliott) is true



# Nested quantification

◇  $\exists x \exists y \cdot \text{sisterOf} ( x , y )$

> There exists an x such that there exists a y such that x is the sister of y

> In Prolog introduce two constants

**sister (mary , eliza )**

◇  $\exists x \forall y \cdot \text{sisterOf} ( x , y )$

> There exists an x such that for all y it is the case that x is the sister of y

**sister ( leila , Y )**

> One constant for all values of Y

## Nested quantification – 2

◇  $\forall x \exists y \cdot \text{sisterOf} ( x , y )$

> For all  $x$  there exists a  $y$  such that  $x$  is the sister of  $y$

> The value of  $y$  depends upon which  $X$  is chosen, so  $Y$  becomes a function of  $X$

$\text{sisterOf} ( X , f ( X ) )$

◇  $\forall x \forall y \cdot \text{sisterOf} ( x , y )$

> For all  $x$  and for all  $y$  it is the case that  $x$  is the sister of  $y$

$\text{sisterOf} ( X , Y )$

> Two independent variables

## Nested quantification – 3

◇  $\forall x \forall y \exists z \cdot P(z)$

- > For all  $x$  and for all  $y$  there exists a  $z$  such that  $P(z)$  is true
- > The value of  $z$  depends upon both  $x$  and  $y$ , and so becomes a function of  $X$  and  $Y$

$$P(g(X, Y))$$

◇  $\forall x \exists y \forall z \exists w \cdot P(x, y, z, w)$

- > For all  $x$  there exists a  $y$  such that for all  $z$  there exists a  $w$  such that  $P(x, y, z, w)$  is true
- > The value of  $y$  depends upon  $x$ , while the value of  $w$  depends upon both  $x$  and  $z$

$$P(X, h(X), Z, g(X, Z))$$

# Skolemization

- ◇ Removing quantifiers by introducing variables and constants is called **skolemization**
- ◇ Removal of  $\exists$  gives us functions and constants – functions with no arguments.
  - » **Functions in Prolog are called structures or compound terms**
- ◇ Removal of  $\forall$  gives us variables
- ◇ Each predicate is called a **literal**

# Herbrand universe

- ◇ The transitive closure of the constants and functions is called the **Herbrand universe** – in general it is infinite
- ◇ A Prolog database defines predicates over the Herbrand universe determined by the database

# Herbrand universe – Determination

- ◇ It is the union of all constants and the recursive application of functions to constants
  - » **Level 0 – Base level – is the set of constants**
  - » **Level 1 constants are obtained by the substitution of level 0 constants for all the variables in the functions in all possible patterns**
  - » **Level 2 constants are obtained by the substitution of level 0 and level 1 constants for all the variables in the functions in all possible patterns**
  - » **Level n constants are obtained by the substitution of all level 0..n-1 constants for all variables in the functions in all possible patterns**

# Back to Resolution

- ◇ Predicate calculus case is similar to the propositional case in that resolution combines two clauses where two literals cancel each other
- ◇ With variables and constants we use pattern matching to find the **most general unifier** (binding list for variables) between two literals
- ◇ The unifier is applied to all the literals in the two clauses being resolved
- ◇ All the literals, except for the two which were unified, in both clauses are combined with “or”
- ◇ The new clause is added to the set of clauses
- ◇ When [] is found, the bindings in the path back to the query give the answer to the query

## Example

- ◇ Given the following clauses in the database
  - person ( bob ).**
  - $\sim$ person ( X ) or mortal ( X ).**
  - forall X • if person ( X ) then mortal ( X )**
- ◇ Lets make a query asking if bob is a person
- ◇ The query adds the following to the database
  - $\sim$ person ( bob ).**
- ◇ Resolution with the first clause is immediate with no unification required
- ◇ The empty clause is obtained  
So  $\sim$ person(bob) is false, therefore person(bob) is true



## Example – 2

- ◇ Given the following clauses in the database  
**person ( bob ).**  
 **$\sim$ person ( X ) or mortal ( X ).**  
**forall X • if person ( X ) then mortal ( X )**
- ◇ Lets make a query asking if bob is mortal
- ◇ The query adds the following to the database  
 **$\sim$ mortal ( bob ).**
- ◇ Resolution with the second clause gives with **X\_1 = bob**  
(renaming is required!)  
 **$\sim$ person ( bob ).**
- ◇ Resolution with the first clause gives []  
So  $\sim$ mortal(bob) is false, therefore mortal(bob) is true

## Example – 3

- ◇ Given the following clauses in the database

**person ( bob ).**

**$\sim$ person ( X ) or mortal ( X ).**

- ◇ Lets make a query asking does a mortal exist  
The query adds the following to the database

**$\sim$ mortal ( X ).     $\sim ( \exists x \cdot \text{mortal} ( x ) )$  -- negated query**

- ◇ Resolution with the second clause gives with  **$X_1 = X$**   
(renaming is required!)

**$\sim$ person ( X<sub>1</sub> ).**

- ◇ Resolution with the first clause gives [] with  **$X_1 = \text{bob}$**   
So  $\sim$ mortal(X) is false, therefore mortal(X) is true with  
**X = bob**

## Example – 4

- ◇ Given the following clauses in the database
  - person ( bob ).**
  - $\sim$ person ( X ) or mortal ( X ).**
- ◇ Lets make a query asking is alice mortal
  - $\sim$ mortal ( alice ).**
- ◇ Resolution fails with the first clause but succeeds with the second clause gives with **X\_1 = alice**
  - $\sim$ person ( alice ).**
- ◇ Resolution with the first clause and second clause fails, searching the database is exhausted without finding []
- ◇ So  $\sim$ mortal(alice) is true, therefore mortal(alice) is false

## Example – 4 cont'd

- ◇ Actually all that the previous query determined is that  $\sim\text{mortal}(\text{alice})$  is consistent with the database and resolution was unable to obtain a contradiction

Prolog searches are based on a  
**closed universe**

Truth is relative to the database

# Unification

- ◇ In order to use the resolution method with predicate calculus we need to be able to find the most general unifier (mgu) between two literals.
- ◇  $p(a, b, c)$  and  $p(X, Y, Z)$   
»  $\text{mgu} = \{ X / a, Y / b, Z / c \}$
- ◇  $f(g(a, b), a, g(a, b))$  and  $f(g(X, Y, X, g(X, y)))$   
»  $\text{mgu} = \{ X / a, Y / b, Z / a \}$
- ◇  $p(a, f(b, a), c)$  and  $p(X, f(X, Y), Z)$   
» **mgu does not exist**
- ◇  $p(X, a, b)$  and  $p(Y, Y, b)$   
»  $\text{mgu} = \{ X / Y, Y / a \}$

# Factoring

- ◇ General resolution permits unifying several literals at once by **factoring**
  - > **unifying two literals within the same clause - if they are of the same "sign", both positive,  $P(\dots)$  or  $\neg P(\dots)$ , or both negative,  $\neg P(\dots)$  or  $\neg P(\dots)$**
- ◇ Why factor?
  - > **Gives shorter clauses, making it easier to find the empty clause**

## Factoring – 2

- ◇ For example given the following clause

**loves ( X , bob ) or loves ( mary , Y )**

- ◇ We can factor (obtain the common instances) by unifying the two loves literals

**loves ( mary , bob )    X = mary   and   Y = bob**

- ◇ The factored clause is implied by the unfactored clause as it represents a subset of the cases that make the unfactored clause true

> **Can be added to the database without contradiction**

# Creating a database

- ◇ A large part of the work in creating a database is to convert general predicate calculus statements into conjunctive normal form.
- ◇ Much of Chapter 10 of Clocksin & Mellish describes how this can be done.



# Horn clauses

- ◇ Clauses where the consequent is a single literal.
  - > **For example, X is the consequent in**  
**If A and B and C then X**
- ◇ Horn clauses are important because, while resolution is complete, it usually leads to getting longer and longer clauses while finding contradiction means getting the empty clause
  - » **Need to get shorter clauses or at least contain the growth in clauses**
  - » **General resolution can lead to exponential growth in both**
    - > **clause size**
    - > **size of the set of clauses**

## Horn clauses – 2

- ◇ Horn clauses have the property
  - > **Every clause has at most one positive literal (un-negated) and zero or more negative literals**
- person ( bob ).**
- mortal ( X ) ~person ( X )**
- binTree ( t ( D , L , R ) )**
  - ~treeData ( D ) ~binTree ( L ) ~binTree ( R ).**
- ◇ Facts are clauses with one positive literal and no negated literals, resolving with facts reduces the length of clauses
- ◇ Horn clauses can represent anything we can compute
  - » **Any database and theorem that can be proven within first order predicate calculus can be translated into Horn clauses**