

Chapter 14

## **Overview**

- Common experience
  - Use functional testing
  - Looking for correct behaviour, not looking for faults
- Intuitively familiar
  - Too informal
- Little test time due to delivery deadlines
  - Too informal
- Need a good understanding and theory
  - Use threads
  - Atomic system functions

# **Possible thread definitions**

- Difficult to define
  - A scenario of normal usage
  - A system-level test case
  - A stimulus-response pair
  - Behaviour that results from a sequence of system-level inputs
  - An interleaved sequence of port input and output events
  - A sequence of transitions in a state machine description of the system
  - An interleaved sequence of object messages and executions
  - A sequence of
    - Machine instructions
    - MM-paths

- Program statements
- Atomic system functions

# **Thread levels**

- Unit level
  - An execution-time path of program text statements / fragments
  - A sequence of DD-paths
  - Tests individual functions
- Integration level
  - An MM-path
  - Tests interactions among units
- System level
  - A sequence of atomic system functions
    - Results in an interleaved sequence of port input and output events
  - Tests interactions among atomic system functions

# **Basic questions**

- What is a thread?
  - How big is it?
  - Where do we find them?
  - How do we test them?

## **Definition – atomic system function**

- Is an action that is observable at the system level in terms of port input and output events
- Separated by points of event quiescence
  - Analogous to message quiescence at the integration level
  - Natural end point
- Begins at a port input event
- Terminates with a port output event
- At system level no interest in finer resolution
- Seam between integration and system testing
  - Largest item for integration testing
  - Smallest for system testing

# **Thread-related definitions**

- Atomic system function graph ASF graph
  - A directed graph where
    - Nodes are ASFs
    - Edges represent sequential flow
- Source / Sink atomic system function
  - A source / sink node in an ASF
- System thread
  - A path from a source ASF to a sink ASF
- Thread graph
  - A directed graph where
  - Nodes are system threads
  - Edges represent sequential execution of threads

# **Basis for requirements specifications**

- All requirements specifications are composed of the following basis set of constructs
  - Data
    Events
    Threads
  - ActionsDevices
- All systems can be described in terms of the basis set of constructs

# Basis concepts E/R model



#### Data

- Focus on information used and created by the system
- Data is described using
  - Variables, data structures, fields, records, data stores and files
  - Entity-relationship models describe highest level
  - Regular expressions used at more detailed level
    - Jackson diagrams (from Jackson System Development)
- Data view
  - Good for transaction view of systems
  - Poor for user interface

## Data and thread relationships

- Threads can sometimes be identified from the data model
  - 1-1, N-1, 1-N and N-N relationships have thread implications
    - Need additional data to identify which of many entities is being used – e.g. account numbers
- Read-only data is an indicator of source atomic system functions

# Actions

- Action-centered modeling is a common form for requirements specification
- Actions have input and output
  - Either data or port events
- Synonyms
  - Transform, data transform, control transform, process, activity, task, method and service
- Used in functional testing
- They can be refined (decomposed)
  - Basis of structural testing

#### **Devices**

- Port input and output handled by devices
- A port is a point at with an I/O device is attached to a system
- Physical actions occur on devices and enter / leave system through ports
  - Physical to logical translation on input
  - Logical to physical translation on output
- System testing can be moved to the logical level ports
  - No need for devices
- Thinking about ports helps testers define input space and output space for functional testing

#### **Events**

- A system-level input / output that occurs on a port device
- Data-like characteristic
  - Input / output of actions
  - Discrete
- Action-like characteristic
  - The physical logical translation done at ports
- From the tester's viewpoint think of it as a physical event
  - Logical event is a part of integration testing

## On continuous events

- No such thing
- Events have the following properties
  - Occur instantaneously No duration
    - A person can start eating and stop eating
    - No corresponding event eating
  - Take place in the real world, external to the system
  - Are atomic, indivisible, no substructure
  - Events can be common among entities
- If you want or need to handle duration, then you need start and end events and time-grain markers to measure the duration
- Events are detected at the system boundary by the arrival of a message

### On the temperature event

- Temperature is not an a continuous event
  - To be continuous a continuous message would have to arrive at the system boundary
    - A continuous message is not a meaningful concept
    - Messages are discrete
- In practice, thermometers do not send messages to a system, instead a system reads a thermometer
  - Reading is at the discretion of the receiver not the sender
    - Called a statevector read
  - The other option is message sending which is at the option of the sender, receiver can only read after the message is sent
    - Called a data read

# **Threads**

- Almost never occur in requirements specifications
  - Testers have to search for them in the interactions among data, actions and events
  - Can occur in rapid prototyping with a scenario recorder
- Behaviour models of systems make it easy to find threads
  - Problem is they are models not the system

## Modeling with basis concepts



## **Behaviour model**

- Need appropriate model
  - Not too weak to express important behaviours
  - Not too strong to obscure interesting behaviours
- Decision tables
  - Computational systems
- Finite state machines
  - Menu driven systems
- Petri nets
  - Concurrent systems
  - Good for analyzing thread interactions

# Finding threads in finite state machines

- Construct a machine such that
  - Transitions are caused by port input events
  - Actions on transitions are port output events
    - Definition of the machine may be hierarchical, where lower levels are sub-machines – may be used in multiple contexts
- Test cases follow a path of transitions
  - Take note of the port input and output events along the path
  - Problem is path explosion
    - Have to choose which paths to test

# **Structural strategies for thread testing**

- Bottom-up
  - The only one

## **Structural coverage metrics**

- Use same coverage metrics as for paths in unit testing
  - Finite state machine is a graph
- Node coverage is analogous to statement coverage
  - The bare minimum
- Edge coverage is the better minimum standard
  - If transitions are in terms of port events, then edge coverage implies port coverage

# **Functional strategies for thread testing**

- Event-based
- Port-based
- Data-based

# **Event-based thread testing**

- Five port input thread coverage metrics are useful
  - PI1: Each port input event occurs
    - Inadequate bare minimum
  - PI2: Common sequences of port input events occur
    - Most common
    - Corresponds to intuitive view of testing
    - Problem: What is a common / uncommon sequence?
  - PI3: Each port input event occurs in every relevant data context
    - Physical input where logical meaning is determined by the context in which they occur
    - Example is a button that has different actions depending upon where in a sequence of buttons it is pressed

# **Event-based thread testing – 2**

- PI4: For a given context, all inappropriate input events occur
  - Start with a context and try different events
  - Often used on an informal basis to try to break the system
  - Partially a specification problem
    - Difference between prescribed and proscribed behaviour
    - Proscribed behaviour is difficult to enumerate
- PI5: For a given context, all possible input events occur
  - Start with a context and try all different events

# **Event-based thread testing – 3**

- PI4 & PI5 are effective
  - How does one know what the expected output is?
  - Good feedback for requirements specification
  - Good for rapid prototyping

# **Event-based thread testing – 4**

- Two output port coverage metrics
  - PO1: Each port output event occurs
    - An acceptable minimum
    - Effective when there are many error conditions with different messages
  - PO2: Each port output event occurs for each cause
    - Most difficult faults are those where an output occurs for an unsuspected cause
    - Example: Message that daily withdrawal limit reached when cash in ATM is low

# **Port-based thread testing**

- For each port
  - Try threads that exercise ports with respect to the events in which they can engage
  - Useful when port devices come from outside suppliers
  - The many-to-many relationship between ports and events should be exercised in each direction
    - See E/R diagram
- Complements event-based testing

## **Event driven systems**

- Event and port based testing is good for event driven systems
- Reactive systems react to input events, often with output events
  - Are long running
  - Maintain a relationship with the environment
  - E/R model is simple and not particularly useful

Note: payroll example when properly designed is a long running program. It is a sequence of payroll runs, where each run is in the context of previous runs.

# **Data-based thread testing**

- Good for systems where data is of primary importance
  - Static
  - Transformational
    - Support transactions on a database
  - E/R model is dominant

# Data-based thread testing – 2

- Data-based coverage metrics based on E/R model
  - DM1: Exercise the cardinality of every relationship
    - 1-1, 1-N, N-1, N-N
  - DM2: Exercise the participation of every relationship
    - Does every specified entity participate
    - Can have numerical limits
  - DM3: Exercise the functional dependencies among relationships
    - Functional dependencies are explicit logical connections
      - Cannot repair a machine that one does not have

# **Thread explosion – Pseudo-structural testing**

- Use the graph-based metrics as a cross-check on the functional coverage metrics
  - Analogous to using DD-paths to identify gaps and redundancies of functional testing at the unit level
- Pseudo occurs because graph is on the control model, which is not the system itself
- Weak method if model is poor
  - used the incorrect model for type of system; transformational, interactive, concurrent
  - Did not design a good model

# Thread explosion – Pseudo-structural testing – 2

- Decision tables and finite state machines good for atomic system function testing
- Thread-based testing is best done with Petri nets
  - Devise tests to cover every place, every transition, every sequence of transitions

# **Thread explosion – Operational profiles**

- Make use of Zipf's law
  - 80% of activities occur in 20% of the activity space
- Make use of the idea
  - Testing is to find cases that when a failure occurs the presence of a fault is revealed
- Make use of the fact
  - Distribution of faults is indirectly related to the reliability of a system
- Make use of the definitions
  - System reliability is the probability that no failure occurs within a given time-period
  - Faults are on low use threads the system is reliable
  - Faults are on high use threads system is unreliable

# Thread explosion – Operational profiles – 2

- When test time is limited maximize probability of finding faults by finding failures in the most frequently used threads
- Use a decision tree
  - Works well with hierarchy of finite state machines
  - Estimate the probability of each outgoing transition (sum to 1)
    - Can get statistics from customer monitoring / feedback
  - Probabilities in sub-states split the probability of the parent state
  - The probability of a thread is the product of the transitions comprising the thread
  - Test from high to low probability

# **Thread explosion – Progressive & regressive testing**

- Use of builds makes a need for regression testing
  - 20% of changes to a system create new faults
  - Regression testing takes a significant amount of time
  - Reduce by looking at difference between progression and regression testing
- Most common regression testing is to run all the tests
- Progressive testing needs to be diagnostic to isolate faults more easily
  - Use short threads
- Regressive testing not as concerned with fault isolation
  - Use long threads

# **Thread explosion – Progressive & regressive – 2**

- Together have good coverage
  - State & transition coverage sparse for progressive tests, dense for regressive tests
- Different from operational profiles
  - Good regressive tests have low operational probability
  - Good progressive tests have high operational probability