

Functional Programming

also see the notes on functionals

History

- ◇ 1977 Turing¹ Lecture John Backus described functional programming

“The problem with ‘current languages’ is that they are word-at-a-time”²

- > **Notable exceptions then were Lisp and APL**
- > **Now ML**

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- **1 Turing award is the Nobel prize of computer science.**
 - **2 “Word-at-a-time” translates to “byte-at-a-time” in modern jargon. A word typically held 2 to 8 bytes depending upon the type of computer.**

Meaningful Units of Work

- ◇ Work with operations meaningful to the application, not to the underlying hardware & software
 - » **Analogy with word processing is not to work with characters and arrays or lists of characters**
 - » **But work with words, paragraphs, sections, chapters and even books at a time, as appropriate.**

Requires Abstraction

- ◇ Abstract out the control flow patterns
- ◇ Give them names to easily reuse the control pattern
 - » **For example in most languages we explicitly write a loop every time we want to process an array of data**
 - » **If we abstract out the control pattern, we can think of processing the entire array as a single operation**

Example 1

- ◇ Consider the inner product of two vectors

$$\langle a_1, a_2, \dots, a_n \rangle \oplus \langle b_1, b_2, \dots, b_n \rangle$$

$$\implies (a_1*b_1 + a_2*b_2 + \dots + a_n*b_n)$$

- ◇ In Java or C/C++, the following is an algorithm

```
result = 0;  
for (i = 1 , i <= n , i++) {  
    result = result + a[i]*b[i];  
}
```

- ◇ Note the explicit loop (or recursion) and introduction of variables **result**, **i** and **n** (have to explicitly know the length of the vectors)

Example 1 – FP form

- ◇ innerProduct ::= (/ +) ◦ (□ x) ◦ trans
- ◇ Note the following properties of functional programs
 - » **NO explicit loops (or recursion)**
 - » **NO sequencing at a low level**
 - » **NO local variables**
- ◇ In addition, functional programs have the following properties
 - » **functions as input – in the above**
 - > **+ (plus), x (times)**
 - » **functions as output – not shown in the above**
 - > **In FP frequently write functions that produce a new function using other functions as input**

Evaluating $(/+)$ \circ $(\square x)$ \circ trans

- ◇ Apply the function to a single argument consisting of a list of the actual arguments.

innerProduct : $\langle \langle a_1, \dots, a_n \rangle \langle b_1, \dots, b_n \rangle \rangle$

- ◇ Work from right to left – \circ is function composition

f \circ **g** : $x \implies f(g(x))$

- ◇ Thus we execute **trans** first – which means the transpose of a matrix – swap rows and columns

trans : $\langle \langle a_1, \dots, a_n \rangle \langle b_1, \dots, b_n \rangle \rangle$

$\implies \langle \langle a_1, b_1 \rangle \langle a_2, b_2 \rangle \dots \langle a_n, b_n \rangle \rangle$

Evaluating $(/ +) \circ (\square x) \circ \text{trans} - 2$

◇ Now execute $(\square x)$

» $(\square x)$ – read as **apply times to all** – means apply the **function x (times)** to all items in the argument list

$(\square x) : \langle \langle a1, b1 \rangle \langle a2, b2 \rangle \dots \langle an, bn \rangle \rangle$

$\implies \langle a1 \times b1, a2 \times b2, \dots, an \times bn \rangle$

◇ Now execute $(/ +)$

» $(/ +)$ – read as **reduce using +** – means put the **function + (plus)** between the arguments and apply from left to right

$(/ +) : \langle a1 \times b1, a2 \times b2, \dots, an \times bn \rangle$

$\implies \langle a1 \times b1 + a2 \times b2 + \dots + an \times bn \rangle$

◇ And we have the inner product

Backus notation (BN) and Lisp

◇ Data structures – the list

» **Lisp** – (a b c d)

BN – < a, b, c, d >

> **The list is a fundamental structure we will see it again in Prolog**

◇ Selector functions

» **Lisp** – car / first, cdr / rest

BN – tail (equivalent to rest), 1, 2, 3, ... as needed or implemented, select item from the list

◇ Constructor functions

» **Lisp** – cons

BN – [f-1 , f-2 , ... , f-n] – each f-i operates on the input to produce a list as output

Backus notation (BN) and Lisp – 2

◇ Choice – if ... then ... else ...

» **Lisp** – (**cond** (**p.1** **s.1-1** **s.1-2** ... **s.1-p**)
 (**p.2** **s.2-1** **s.2-2** ... **s.2-q**)
 ...
 (**p.n** **s.n-1** **s.n-2** ... **s.n-r**)
)

» **BN** – **predicate --> function-true ; function-else**

Backus notation (BN) and Lisp – 3

◇ Function application

» **Lisp** – (f x1 ... xn) (apply f (x1 ... xn)) (funcall f x1 ... xn)
BN – f : < x1, ... xn >

◇ Mapping functions

» **Lisp** – (map f ...) (mapcar f ...) (maplist f ...)
BN – ([f])

◇ Other functions

| | Function | | |
|---------------|------------------|--------------------|--------------------------------|
| | Reduction | Composition | Binding Constant |
| » Lisp | – (reduce f x) | (comp f g) | (bu f k) literal |
| BN | – (/ f) | f o g | (bu f k) k |

Library of functions

- ◇ Depending upon the application area other functions are created.
 - » **For example trans – transpose a matrix**
- ◇ Some are created using existing functionals
 - » **For example innerProduct**

Library of functions – 2

- ◇ Others are created “outside” of the system for efficiency reasons
 - » **For example `trans` may be more efficient to implement outside of Lisp**
 - Although as compiler knowledge grows compilers produce more efficient code than “coding by hand”
 - Machine speeds increase so many functions execute fast enough
- ◇ The file `prism:/cs/course/functionals.lisp` contains additional library functions

Binding function – bu – 1

- ◇ Given a binary function it is often useful to bind the first parameter to a constant – creating a unary function
 - > **Also called currying after the mathematician Curry who developed the idea**
 - » **(bu '+ 3) – creates a unary “add 3” from the binary function “+”**
(mapcar (bu '+ 3) '(1 2 3)) ==> (4 5 6)
 - » **Cons x before every item in a list**
(mapcar (bu 'cons 'x) '(1 2 3)) ==> ((x.1) (x.2) (x.3))
 - » **Note that mapcar expects a function definition as the second argument, so we use bu to help construct the function**

Binding function – bu – 2

- ◇ We could define the function 3+

```
( define 3+ ( x ) ( + 3 x ) )
```

» **and use**

```
(mapcar '3+ '(1 2 3)) ==> (4 5 6)
```

» **but this adds to our name space**

- ◇ For use-once functions we can use lambda expressions

```
(mapcar #'(lambda (x) (+ 3 x)) '(1 2 3)) ==> (4 5 6)
```

```
(mapcar (function
```

```
  ( lambda (x) (+ 3 x) ) ) '(1 2 3)) ==> (4 5 6)
```

Binding function – bu – 3

- ◇ The previous slide solutions are seen as being clumsy and more difficult to read compared to the following – bu has a clear meaning – with the above you have to reverse engineer to understand

```
(mapcar (bu '+ 3) '(1 2 3)) ==> (4 5 6)
```

- ◇ Can define functions using bu

```
(defun 3+ (y) (funcall (bu '+ 3) y))
```

In such cases we would write

```
(defun 3+ (y) (+ 3 y))
```

We do not normally use bu to define named functions

Binding function – bu – 4

- ◇ BU is defined as follows

```
(defun bu (f x)
  #'(lambda (y) (funcall f x y))
)
```

> **The long form**

```
(defun bu (f x)
  (function (lambda (y) (funcall f x y))))
)
```

- ◇ BU uses a function as input and produces a function as output

Binding function – bu – 5

- ◇ How does Lisp represent the output of bu?
- ◇ In gcl you can see what takes place

» (bu '+ 3)

```
(LAMBDA-CLOSURE ((X 3) (F +)) ()  
  ( (BU BLOCK #<@001E8D10> )  
    (Y)  
    (FUNCALL F X Y)  
  )
```

- ◇ We see the **parameter and body** from the definition of bu together with the bindings **((X 3) (F +))**
- ◇ The closure adds the bindings to the environment so the body uses those bindings when it executes.

The Functional **rev**

- ◇ **rev** – reverse the order of the arguments of a binary function

```
(defun rev (f)
  #'(lambda (x y) (funcall f y x))
)
```

- ◇ Earlier we wrote

```
(mapcar (bu 'cons 'x) '(1 2 3)) ==> ((x.1) (x.2) (x.3))
```

- ◇ Suppose we want **((1.x) (2.x) (3.x))** then we write

```
(mapcar (bu (rev 'cons) 'x) '(1 2 3))
==> ((1.x) (2.x) (3.x))
```

Other Functionals in the notes – 1

- ◇ In `prism:/cs/course/3401/functionals.lsp` and the notes on functionals the following functionals are described
- ◇ **(comp unaryFunction1 unaryFunction2)**
 - > **Compose two unary functions**
- ◇ **(compl unaryFunction1 unaryFunction2 ... unaryFunctionN)**
 - > **Compose a list of unary functions**
- ◇ **(trans matrix)**
 - > **See slides on developing functional programs**

Other Functionals in the notes – 2

◇ (distl anItem theList)

> **Distribute anItem to the left of items in theList**

(distl 'a '(1 2 3)) ==> ((a 1) (a 2) (a 3))

◇ (distr anItem theList)

> **Distribute anItem to the right of items in theList**

(distr 'a '(1 2 3)) ==> ((1 a) (2 a) (3 a))

Inner Product – 1 argument versions

◇ Lisp recursive version

```
(defun innerProduct ( a-b-pair )  
  ( cond ( ( null ( car a-b-pair ) ) 0 )  
        ( t ( + ( * ( caar a-b-pair ) ( caadr a-b-pair ) )  
                ( innerProduct ( list ( cdar a-b-pair)  
                                     ( cdadr a-b-pair) ) ) ) ) ) )  
  ) )
```

Inner Product – 1 argument versions – 2

- ◇ Lisp functional version

```
( defun innerProduct ( a-b-pair )  
  ( reduce '+ ( mapcar '* ( first a-b-pair )  
                           ( second a-b-pair ) ) ) )
```

Due to simplicity of the structure mapcar does transpose as well

- ◇ Backus notation

innerProduct ::= (/ +) o ([x] o trans

Matrix multiplication

- ◇ Lisp 2-argument version

```
( defun matProd ( a b )  
  ( mapcar ( bu 'prodRow ( trans b ) ) a ) )
```

```
( defun prodRow ( bt r ) ( mapcar ( bu 'ip r ) bt ) )  
> ip is the inner product (see previous slide)
```

- ◇ Backus notation version

```
matProd ::= (□ □ ip) o (□ distl) o distr o [ 1 , trans o 2 ]
```