

## Proving the correctness of Context-Free Grammars.

How to show that the constructed grammar does indeed generate some required language ?  
 If  $L$  is some language, and  $G$  is a CFG, then to prove that  $L = L(G)$  you have to prove two things:

- Every string  $w$  generated by  $G$  is in  $L$ . Typically, this is a proof by induction on the number of steps in the derivation of  $w$ .
- Every string  $w$  in  $L$  can be generated by  $G$ . Typically, this is a proof by induction on the length, or some other parameter, of  $w$ .

**Example:** Let  $L = \{0^i1^j \mid 2i \leq j \leq 3j\}$ . In the class I suggested that the following context-free grammar  $G$  generates  $L$ :

$$S \rightarrow 0S11 \mid 0S111 \mid \varepsilon$$

Now, let's prove that  $G$  is indeed the grammar that generates  $L$ , i.e.  $L = L(G)$ .

**Claim 1** If a string  $w$  is generated by  $G$ , then  $w \in L$ .

**Proof:** induction on the number of steps in the derivation of  $w$ .

- *Base case:* derivation with 1 step. The only derivation with 1 step is  $S \Rightarrow \varepsilon$ , thus  $w = \varepsilon$ , and so  $w \in L$ .
- *Inductive hypothesis:* assume that for every derivation  $S \xRightarrow{*} w$  with  $n \geq 1$  steps,  $w$  is in  $L$ .
- *Inductive step:* prove that every derivation with  $n + 1$  steps generates a string in  $L$ .

Let  $S \xRightarrow{*} w$  be a derivation with  $n + 1$  steps. Since  $n + 1 > 1$ , the first step in this derivation cannot be  $S \Rightarrow \varepsilon$ . Thus, the derivation starts with either  $S \Rightarrow 0S11$ , or  $S \Rightarrow 0S111$ .

In the first case,  $w$  must be of the form  $0w_111$ , where  $w_1$  is a string derived from the nonterminal  $S$  in the remaining  $n$  steps. By the inductive hypothesis,  $w_1 \in L$ , i.e.  $w_1 = 0^i1^j$ , with  $2i \leq j \leq 3i$ . Therefore,  $w = 00^i1^j11 = 0^{i+1}1^{j+2} \in L$ , because if  $2i \leq j \leq 3i$ , then  $2i + 2 \leq j + 2 \leq 3i + 2$ , and so  $2(i + 1) \leq j + 2 < 3(i + 1)$ .

Similarly, in the second case,  $w$  must be of the form  $0w_1111$ , where  $w_1$  is a string derived from the nonterminal  $S$  in the remaining  $n$  steps. By the inductive hypothesis,  $w_1 \in L$ , i.e.  $w_1 = 0^i1^j$ , with  $2i \leq j \leq 3i$ . Therefore,  $w = 00^i1^j111 = 0^{i+1}1^{j+3} \in L$ , because if  $2i \leq j \leq 3i$ , then  $2i + 3 \leq j + 3 \leq 3i + 3$ , i.e.  $2(i + 1) < j + 3 \leq 3(i + 1)$ .

This completes the proof of the claim. □

**Claim 2** Every string  $w \in L$  can be generated by  $G$ .

**Proof:** we want to do induction, but we cannot do induction on the length of  $w$ . Lets list the first few strings in  $L$ :  $\varepsilon, 011, 0111, 001111, 0011111, 00111111, \dots$ . You can see already that  $L$  does not have strings of of certain length (e.g. 1,2,5), so we cannot quite do induction on the length of  $w$ . Instead we can do induction on the number of 0's in  $w$ .

Note, that for any given number of 0's,  $n \geq 0$ ,  $L$  contains exactly the strings  $0^n 1^{2^n}, 0^n 1^{2^{n+1}}, \dots, 0^n 1^{2^{n+n}}$ , and so the claim that we will prove by induction will cover all of these strings at once.

So, we're proving the following claim: for any given  $n > 0$  (let's skip  $\varepsilon$ , its clear that it can be generated by  $G$ ), and any given  $k$ ,  $0 \leq k \leq n$ , the string  $w = 0^n 1^{2^{n+k}}$  can be generated by  $G$ . Proof by induction on  $n$ .

- *Base case:*  $n = 1$ . Then,  $k$  is either 0 or 1. In the first case,  $w = 011$ , and is generated as follows:  $S \Rightarrow 0S11 \Rightarrow 011$ . In the second case,  $w = 0111$ , and is generated as follows:  $S \Rightarrow 0S111 \Rightarrow 0111$ .
- *Inductive hypothesis:* assume that the claim is true for  $n = i$ , that is for any  $k$ ,  $0 \leq k \leq i$ , the string  $w = 0^i 1^{2^{i+k}}$  can be generated by  $G$ .
- *Inductive step:* prove the claim for  $n = i + 1$ . Let  $w = 0^{i+1} 1^{2^{(i+1)+k}}$ , with  $0 \leq k \leq i + 1$ . We want to show that  $w$  can be generated by  $G$ . We have two cases:
  - $0 \leq k \leq i$ . Then  $w = 0^{i+1} 1^{2^{(i+1)+k}} = 00^i 1^{2^{i+2+k}} = 00^i 1^{2^{i+k}} 11$ . By the inductive hypothesis, the string  $0^i 1^{2^{i+k}}$  for  $0 \leq k \leq i$  can be generated by  $G$ , that is there is a derivation  $S \xRightarrow{*} 0^i 1^{2^{i+k}}$ , and so  $S \Rightarrow 0S11 \xRightarrow{*} 00^i 1^{2^{i+k}} 11 = w$  is a derivation for  $w$ .
  - $k = i + 1$ . Then  $w = 0^{i+1} 1^{2^{(i+1)+i+1}} = 00^i 1^{3i+3} = 00^i 1^{3i} 111$ . By the inductive hypothesis, the string  $0^i 1^{3i}$  can be generated by  $G$ , that is there is a derivation  $S \xRightarrow{*} 0^i 1^{3i}$ , and so  $S \Rightarrow 0S111 \xRightarrow{*} 00^i 1^{3i} 111 = w$  is a derivation for  $w$ .

This completes the proof of Claim 2. □