

EECS 3481 APPLIED CRYPTOGRAPHY YORK UNIVERSITY

# CLASSICAL CRYPTO

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## CONTENT

```
graph LR; Cipher --> Substitution; Cipher --> Transposition; Substitution --> Mono; Substitution --> Poly; Mono --> MonoBS[Block/Stream]; Poly --> PolyBS[Block/Stream]; Transposition --> Block; Attack --> Exhaustive; Attack --> Cryptanalytic; Cryptanalytic --> Caesar; Cryptanalytic --> GeneralMono[General Mono]; Cryptanalytic --> Affine; Cryptanalytic --> Vigenere[Vigenère]; Cryptanalytic --> Columnar; Caesar --- GC[Group Ciphers]; GeneralMono --- CA[Cryptanalysis]; Affine --- FV[Frequency Vectors]; Vigenere --- CO[Coincidence];
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## CAESAR

*Symmetric, Stream, Substitution, Mono-Alphabetic*

The key is 3

Plaintext: **T H E K E Y O F T H I S C O D E S H I F T I S T H R E E**

Ciphertext: **W K H N H B R I W K L V F R G H V K L I W L V W K U H H**

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25

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### CAESAR ENCRYPTION

1. Read the plaintext file into an array of bytes `pt`
2. Clean `pt` keeping only letters and upper case them
3. Shift: `ct[i] = [ (pt[i] - 'A') + key ] % 26 + 'A'`
4. Write the ciphertext array `ct` to a file.

The key of this code shift is: three
THE KEY OF THIS CODE SHIFT IS THREE
THEKEYOFTHISCODESHIFTISTHREE
WKHNHBRlWKLVFRGHVKLIWLVWVWUHH

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### CAESAR DECRYPTION

1. Read the ciphertext file into an array of bytes `ct`
2. Un-Shift: `pt[i] = [ (ct[i] - 'A') - key ] mod 26 + 'A'`
3. Write the ciphertext array `pt` to a file.

**Note:**

- After subtracting 'A', all the array elements must be in [0,25]
- We should therefore work modulus 26
- Java's % gives the remainder, not the mod
- Hence, add an if statement to check for negative after % 26

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### QUESTIONS ABOUT CAESAR

- Why is Caesar symmetric?
- Is it a stream or a block cipher?
- Does it rely on substitution or transposition?
- Is it mono or poly alphabetic?
- Is it a group cipher?
- Describe its exhaustive and crypta attacks.
- Provide KPA and CPA examples.

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### CAESAR EXHAUSTIVE ATTACK

- Try every possible key in the key space.
- How big is the key space?
- But how do you recognize success?
  - Dictionary Lookup via a Trie
  - Dot Product of Frequency Vectors
- Can you enlarge the key space?
  - Yes, can make it 26! ( $\approx 10^{26} \approx 2^{88}$ )

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### MONOALPHABETIC CRYPTANALYTIC ATTACK

- Plaintext has certain patterns (regularities)
  - A Crib such as: *Date, From, GET, Dear ...*
  - Language Statistics such as N-Gram Frequencies
- Do they die hard (survive the encryption)?
  - Compute the letter frequencies in ciphertext;
  - The largest is probably the shifted 'E' (or 'T');
  - Subtract to find the key.

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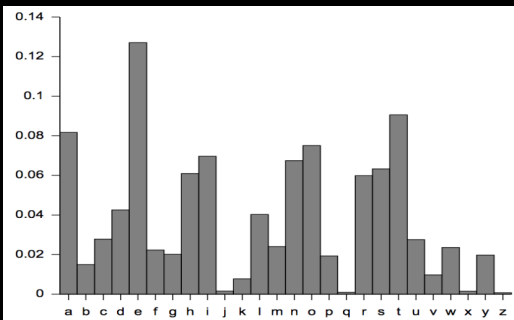
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### Stats for English



Source [https://en.wikipedia.org/wiki/Letter\\_frequency](https://en.wikipedia.org/wiki/Letter_frequency)

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### N-GRAM STATS FOR (NO-SPACE) ENGLISH

- Monogram  
E (13%), T (9%), A (8%)  
O, N, R, I, S, H — 6% ; D, L — 4%  
F, C, M, U, G, Y, P, W — 2% ; B, V, K — 1%
- Bigram  
TH, HE, IN, ER, AN, RE ...
- Same-letter Bigram  
LL, EE, SS, OO, TT, FF ...
- Trigram  
THE, AND, ING, ENT, ION, HER ...

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### FREQUENCY VECTORS

- Compute the frequencies of letters in the array
- Compare with the frequencies of English letters:
  - Think in frequency space (26 dimensions)
  - The computed frequencies form a vector
  - English frequencies form another vector
  - Are the two vectors "close"?
- For Mono, the two vectors have the same length
- Proximity measured by maximal dot product.

This technique is used for data mining to detect clusters; machine learning to detect similarity/patterns. Websites / Streaming services use it for recommendation

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### OBLITERATING PATTERNS

To defeat the cryptanalyst, we must prevent PT's patterns from appearing in CT; i.e. make CT as random as possible — maximize its entropy. How about these attempts:

- Compose two ciphers —Affine
- Different mappings for same PT letter —Vigenère
- Encrypt in blocks —Hill

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### THE AFFINE CIPHER

- A symmetric product\* cipher  
 $c \equiv \alpha p + \beta \pmod{26}$  where  $\alpha \in [1,25]$  and  $\beta \in [0,25]$
- Example  
Key =  $(\alpha, \beta) = (3,5)$ . P="CS" leads to C="LH"
- Decryption function  
 $p \equiv (c - \beta) / \alpha \pmod{26}$
- Example  
For key  $(3,5)$ ,  $1/\alpha \equiv 9$ . Hence C="EM" leads to P=?

\*Product = composition

A	0
B	1
C	2
D	3
E	4
F	5
G	6
H	7
I	8
J	9
K	10
L	11
M	12
N	13
O	14
P	15
Q	16
R	17
S	18
T	19
U	20
V	21
W	22
X	23
Y	24
Z	25

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### THE EXTENDED EUCLID ALGORITHM

- Bézout [1730 AD]  
If a,b are co-prime integers, there exists integers x,y such that:  
 $ax + by = 1$ .
- Euclid [300 BC]  
His extended algorithm allows us to find x and y.
- Multiplicative Inverse  
Working with modulus a, y is nothing but  $1/b$   
Similarly, if we choose b as modulus then  $x = 1/a$

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### QUESTIONS ABOUT AFFINE

- Is it symmetric or asymmetric?
- Is it a stream or block cipher?
- Is it substitution or transposition?
- Is it mono or ploy-alphabetic?
- Is Double-Affine better?

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### VIGENÈRE CRYPTANALYSIS: KEY LENGTH

- **Crucial Observation**  
Characters that are  $|K|$  apart are shifted equally!  
→ Can easily answer: is the key of a given length?
- **The Friedman Attack** *(use this in this course)*  
Pick two letters from random locations and compute Index of Coincidence, IC = probability they are equal.  
$$IC = \sum_i [f_i \times (f_i - 1)] / [n \times (n - 1)]$$
  
→ Can attack the key length exhaustively!

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### INDEX OF COINCIDENCE

- From a random set of letters, select two randomly (i.e. from randomly chosen, not equal, locations).
- What is the probability that they are equal?
  - Direct Computation
  - Monte Carlo Sampling
- We call this the Index of Coincidence, IC
- What is IC for English?

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### QUESTIONS ABOUT VIGENÈRE

- Is it symmetric or asymmetric?
- Is it a stream or block cipher?
- Is it substitution or transposition?
- Is it mono or ploy-alphabetic?
- Is Double-Vigenère better?

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### THE HILL CIPHER

- Algorithm  
 $E: [C] \equiv [P] * [K] \pmod{26}$ , where K is an nxn matrix  
Must be able to invert the key matrix  $\rightarrow \text{GCD}(\det([K]), 26) = 1$ .
- Example with n=3  
 $K = \{\{1,2,3\}, \{4,5,6\}, \{11,9,8\}\}$ ,  $K^{-1} = \{\{22,5,1\}, \{6,17,24\}, \{15,13,1\}\}$   
If  $P = \text{ABC}$  then  $C = \text{AXW}$ . Note that  $\text{GCD} = 1 \rightarrow |\text{key space}| < 26^9$
- Key Characteristics
  - No more P-C positional correspondence (within n)
  - The K-C relationship is complex

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### EXERCISE ON HILL'S

Eve mounts a CPA with  $P = \text{"DONT"}$ , intercepts  $C = \text{"ELNI"}$ . Find the 2x2 Hill's key

$[3 \ 14] \rightarrow [4 \ 11], [13 \ 19] \rightarrow [13 \ 8]$

$\rightarrow \{10 \ 9\}, \{13, 23\}$

Repeat with  $P = \text{"DONT"}$ ,  $C = \text{"ELNK"}$ .

$\rightarrow \{10 \ 19\}, \{13, 19\}$

*One letter change in C changed a column in K.*

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### QUESTIONS ABOUT HILL'S

- Is it symmetric or asymmetric?
- Is it a stream or block cipher?
- Is it substitution or transposition?
- Is it mono or ploy-alphabetic?
- Is Double-Hill better?
- Attacks: KPA (crib dragging) or n-gram frequencies.

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### A TRANSPOSITION CIPHER

Plaintext in rows, ciphertext in columns  
The key is: CFDBE (25314)



<https://en.wikipedia.org/wiki/Scytale>

**THEKEYOFTHISCODESHIFTIsthreeZZ**

T	H	E	K	E
Y	O	F	T	H
I	S	C	O	D
E	S	H	I	F
T	I	S	T	H
R	E	E	Z	Z

Plaintext

Ciphertext

**HOSIEEHDFHZEFCHSETYIETRKTOTZ**

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### ATTACKING COLUMNAR TRANSPOSITION

- Guess the key length  
Typically divisor of |C| or a dictionary word
- Exhaustive  
Parallel searches guided by anagrams
- Known / Chosen Plaintext  
Trivial to find the key

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### MORE ON TRANSPOSITION

- Describe a ciphertext-only attack.
- Is it symmetric or asymmetric?
- Is it a stream or block cipher?
- Is it substitution or transposition?
- Is it mono or poly-alphabetic?
- Is it a group cipher?

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## REFLECTIONS

- Patterns die hard
- Confuse and Diffuse
- Provably, Computationally, and 'Hopefully' Secure
- Perfect Secrecy
  - Entropy and the One-Time Pad
  - Modern Stream Ciphers
- Imperfect Secrecy
  - Mix Substitution with Transposition (SPN)
  - Modern Block Ciphers

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