

# Outline

- Introduction
- Motion field vs. optical flow
- Brightness constancy
- Gradient-based optical flow estimation
- Finite displacement and feature-based methods
- **3D Structure and motion**
- Summary

# 3D structure and motion: Approaches

## Problem statement

- Given image motion
  - Optical flow-based
  - Finite displacement-based
- Seek to recover
  - 3D motion (translation and rotation)
  - 3D structure (geometric layout of environment)

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## Finite displacement approach

- Seeks to recover
  - full 3D rotation and translation
  - 3D structure
- Typically used in conjunction with finite displacement image motion estimates.

## Infinitesimal approach

- Seeks to recover
  - 3D rotational and translation velocity (temporal derivatives of full rotation and translation)
  - 3D structure
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# 3D structure and motion: 3D recovery from 2D motion

## Where we will go

- We now will develop an approach to recovering 3D structure and motion.
- Here, we will assume
  - arbitrary 3D motion
  - orthographic model of image formation
  - as input we have extracted the position  $n$  image points, corresponding to scene points  $P_1, P_2, \dots, P_n$ , not all coplanar, and they have been tracked across  $N \geq 3$  frames, For example, the points tracks might be acquired from the feature-based, finite displacement algorithm described earlier as it operates across an entire video sequence.
- The overall approach is known as the **factorization approach**, as it relies on a clever observation about how we can factor matrices of feature correspondences into 2 matrices.
  - One matrix captures the 3D structure.
  - The other matrix captures the 3D motion.

# 3D structure and motion: 3D recovery from 2D motion

## Notation

- Let

$$\mathbf{p}_{ij} = (x_{ij}, y_{ij})^T$$

denote the  $j$ th image point ( $j=1, \dots, n$ ) at the  $i$ th frame, ( $i=1, \dots, N$ ).

- Think of the  $x_{ij}$  and  $y_{ij}$  as entries of two  $N \times n$  matrices  $\mathbf{X}$  and  $\mathbf{Y}$ .

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$$\mathbf{W} = \begin{bmatrix} \mathbf{X} \\ \mathbf{Y} \end{bmatrix}$$

- Now, subtract the mean of the entries on the same row from each  $x_{ij}$  and  $y_{ij}$

$$\bar{x}_{ij} = x_{ij} - \bar{x}_i \quad \bar{y}_{ij} = y_{ij} - \bar{y}_i$$

where

$$\bar{x}_i = \frac{1}{n} \sum_{j=1}^n x_{ij} \quad \bar{y}_i = \frac{1}{n} \sum_{j=1}^n y_{ij}$$

are the coordinates of the centroid of the image points in the  $i$ th frame.



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- Now stack these registered points analogously to our previous construction to form the **registered measurement matrix**

$$\bar{\mathbf{W}} = \begin{bmatrix} \bar{\mathbf{X}} \\ \bar{\mathbf{Y}} \end{bmatrix}$$

# 3D structure and motion: 3D recovery from 2D motion

## The rank theorem (statement)

- The factorization approach is based on the proof of a straightforward, but fundamental result: **The registered measurement matrix has at most rank 3.**

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## The rank theorem (statement)

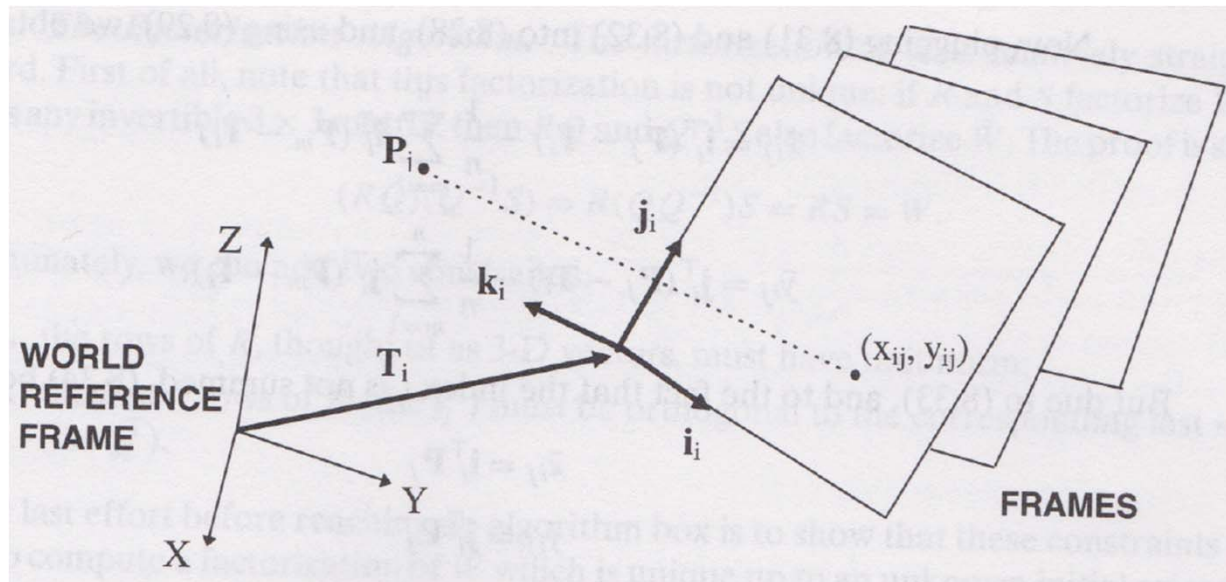
- The factorization approach is based on the proof of a straightforward, but fundamental result: **The registered measurement matrix has at most rank 3.**
- The proof is based on a decomposition (factorization) of the matrix in the product of a  $2N \times 3$  matrix,  $\mathbf{R}$ , and a  $3 \times n$  matrix  $\mathbf{S}$ .
- $\mathbf{R}$  describes the frame-to-frame motion (rotation) of the camera with respect to the points  $P_j$ .
- $\mathbf{S}$  describes the 3D structure (or shape) of the points.

# 3D structure and motion: 3D recovery from 2D motion

## The rank theorem (proof)

- Consider all quantities expressed in an object-centred reference frame with the origin at the centroid of  $P_1, \dots, P_n$ . Thus,

$$\frac{1}{n} \sum_{j=1}^n P_j = 0$$



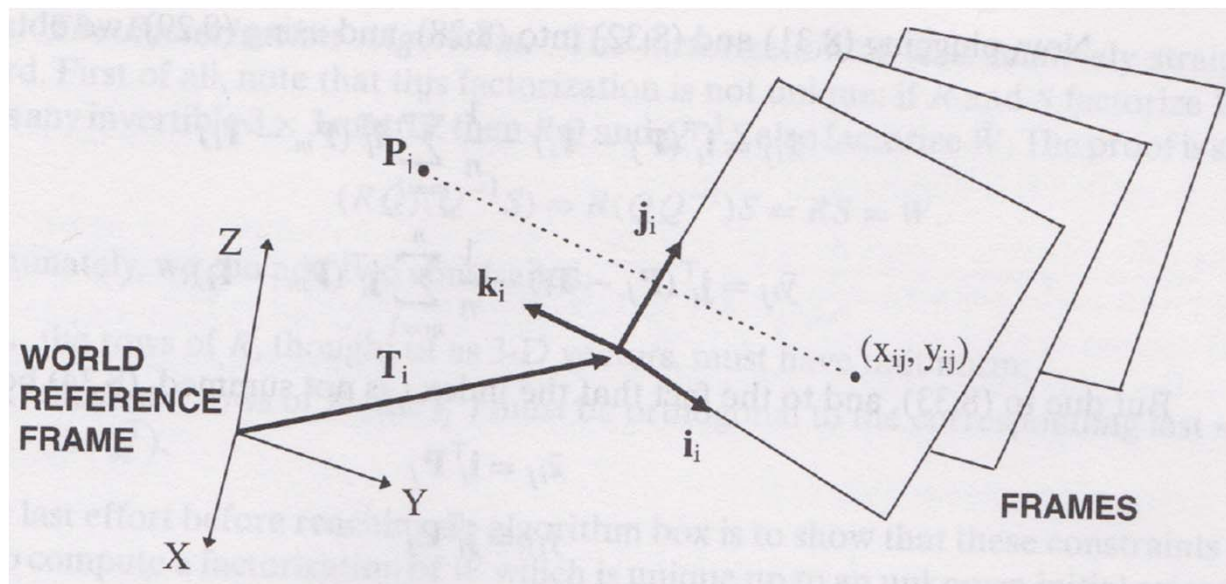
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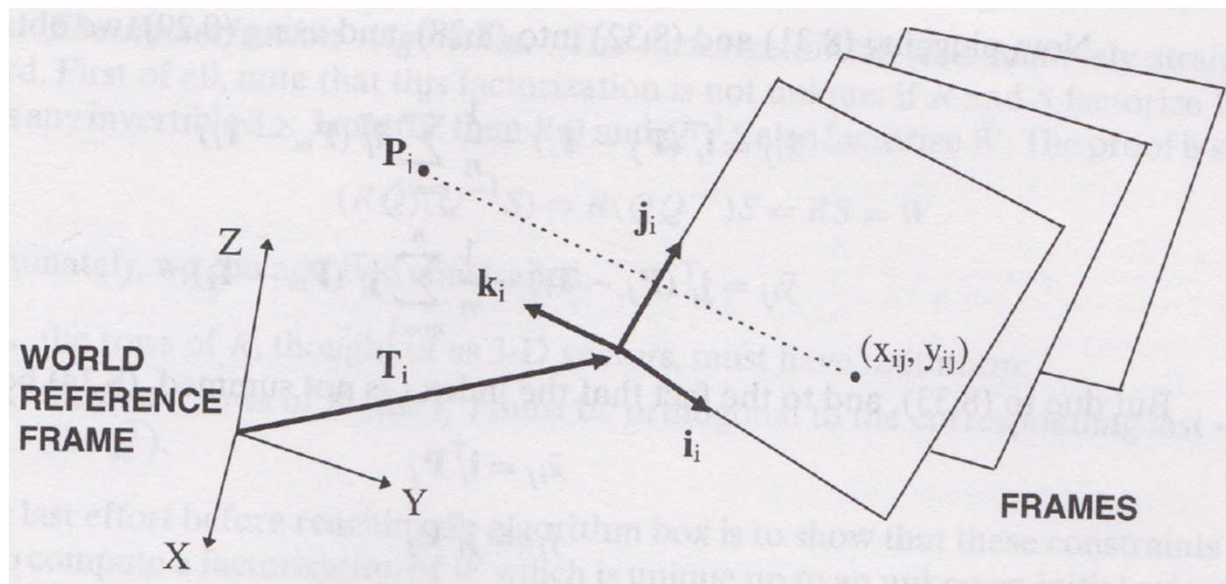
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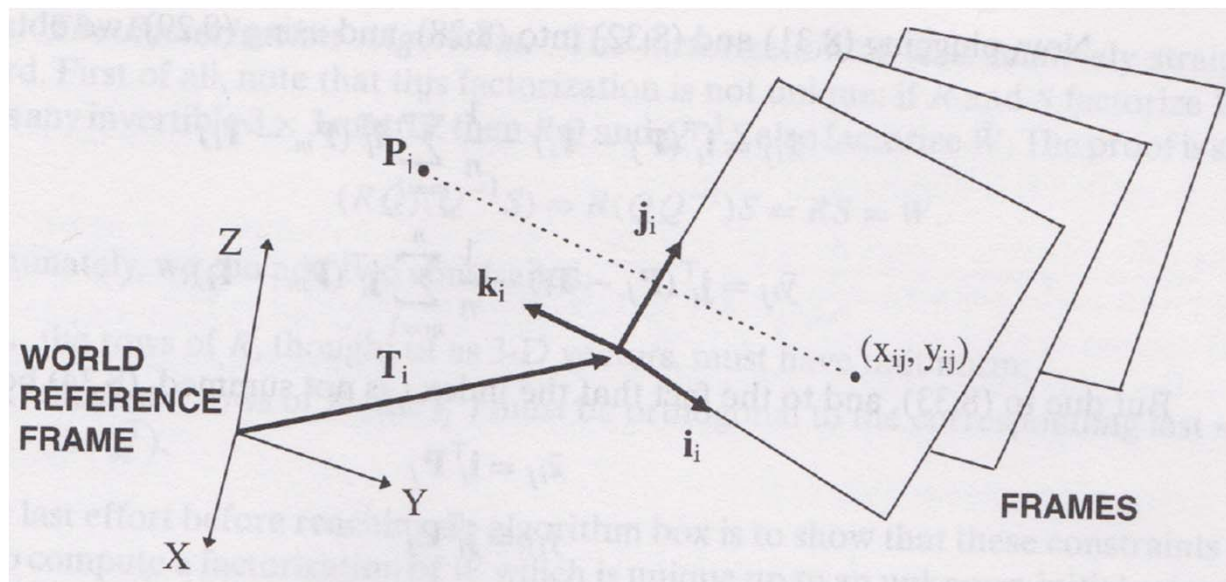
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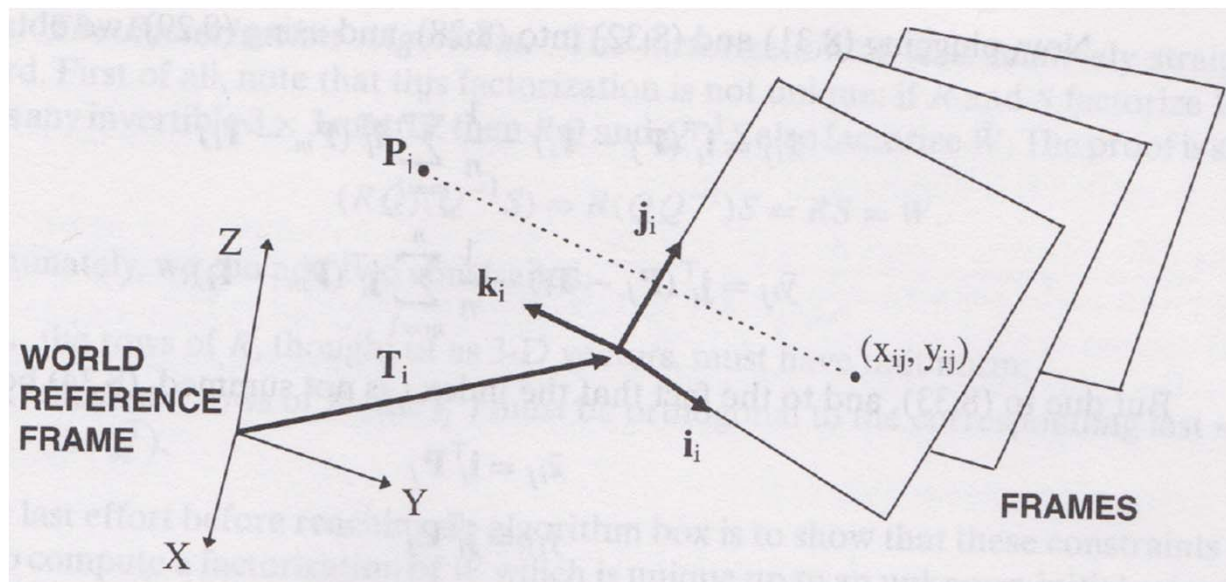
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- Let  $\mathbf{T}_i$  be the vector from the world origin to the origin of the  $i$ th image frame.
- We have  $x_{ij} = \mathbf{i}_i^T (\mathbf{P}_j - \mathbf{T}_i)$        $y_{ij} = \mathbf{j}_i^T (\mathbf{P}_j - \mathbf{T}_i)$





# 3D structure and motion: 3D recovery from 2D motion

## The rank theorem (proof)

- Now, the registered points can be written as

$$\bar{x}_{ij} = \mathbf{i}_i^T (\mathbf{P}_j - \mathbf{T}_i) - \frac{1}{n} \sum_{m=1}^n \mathbf{i}_i^T (\mathbf{P}_m - \mathbf{T}_i)$$

$$\bar{y}_{ij} = \mathbf{j}_i^T (\mathbf{P}_j - \mathbf{T}_i) - \frac{1}{n} \sum_{m=1}^n \mathbf{j}_i^T (\mathbf{P}_m - \mathbf{T}_i)$$

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and the fact that the index  $i$  is not summed, we have

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- So, we define

$$\mathbf{R} = \begin{bmatrix} \mathbf{i}_1^T \\ \vdots \\ \mathbf{i}_N^T \\ \mathbf{j}_1^T \\ \vdots \\ \mathbf{j}_N^T \end{bmatrix} \qquad \mathbf{S} = [\mathbf{P}_1 \quad \mathbf{P}_2 \quad \dots \quad \mathbf{P}_n]$$

- And write

$$\bar{\mathbf{W}} = \mathbf{R}\mathbf{S}$$

# 3D structure and motion: 3D recovery from 2D motion

## The rank theorem (proof)

- Finally, we note that given the constructions

$$\mathbf{R} = \begin{bmatrix} \mathbf{i}_1^T \\ \vdots \\ \mathbf{i}_N^T \\ \mathbf{j}_1^T \\ \vdots \\ \mathbf{j}_N^T \end{bmatrix} \quad \mathbf{S} = [\mathbf{P}_1 \quad \mathbf{P}_2 \quad \cdots \quad \mathbf{P}_n]$$

$\mathbf{R}$  is  $2N \times 3$  of rank 3, because  $N \geq 3$ ; further,  $\mathbf{S}$  is  $3 \times n$  and also is of rank 3, because the  $n$  points in 3D space are not all coplanar.

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## The factorization algorithm

- Factorization of the (registered) measurement matrix can be accomplished via application of the SVD (recall Unit 5 interlude), i.e.,

$$\overline{\mathbf{W}} = \mathbf{U}\mathbf{D}\mathbf{V}^T$$

- Here, for  $\overline{\mathbf{W}}$  having dimensions  $\underline{2N} \times n$ ,  $\mathbf{U}$  is  $2N \times 2N$ ,  $\mathbf{V}$  is  $n \times n$  and  $\mathbf{D}$  is  $2N \times n$ .

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- Let
  - $\mathbf{D}'$  be the  $3 \times 3$  top left submatrix of  $\mathbf{D}$  corresponding to its 3 largest singular values.
  - $\mathbf{U}'$  be the  $2N \times 3$  submatrix of  $\mathbf{U}$  formed by its columns corresponding to the 3 largest singular values
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- Then we take

$$\tilde{\mathbf{R}} = \mathbf{U}'\mathbf{D}'^{1/2}$$

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# 3D structure and motion: 3D recovery from 2D motion

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- But, we are not quite done ...

# 3D structure and motion: 3D recovery from 2D motion

## The factorization algorithm

- Recall that the matrix  $\mathbf{R}$  was constructed as

$$\mathbf{R} = \begin{bmatrix} \mathbf{i}_1^T \\ \vdots \\ \mathbf{i}_N^T \\ \mathbf{j}_1^T \\ \vdots \\ \mathbf{j}_N^T \end{bmatrix}$$

such that the vectors  $\mathbf{i}_i$  and  $\mathbf{j}_i$  define the coordinate systems in the  $i$ th image.

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- Correspondingly, two sets of constraints must be upheld
  - The rows of  $\mathbf{R}$  must have unit norm.
  - The first  $n$  rows of  $\mathbf{R}$  must be orthogonal to the corresponding last  $n$  rows of  $\mathbf{R}$ .

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- Indeed, the SVD factorization is not unique: If  $\mathbf{R}$  and  $\mathbf{S}$  factorize  $\mathbf{W}$  and  $\mathbf{Q}$  is any invertible 3 x 3 matrix, then  $\mathbf{RQ}$  and  $\mathbf{Q}^{-1}\mathbf{S}$  also factorizes  $\mathbf{W}$

$$(\mathbf{RQ})(\mathbf{Q}^{-1}\mathbf{S}) = \mathbf{R}(\mathbf{QQ}^{-1})\mathbf{S} = \mathbf{RS} = \mathbf{W}$$

# 3D structure and motion: 3D recovery from 2D motion

## The factorization algorithm

- Happily, we can take advantage of the fact that if  $\mathbf{R}$  and  $\mathbf{S}$  factorize  $\mathbf{W}$  and  $\mathbf{Q}$  is any invertible 3 x 3 matrix, then  $\mathbf{RQ}$  and  $\mathbf{Q}^{-1}\mathbf{S}$  also factorizes  $\mathbf{W}$

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- We construct a particular  $\mathbf{Q}$  that leads to the orthonormality constraints on  $\mathbf{R}$  to be observed.
- In particular, we look for a  $\mathbf{Q}$  such that

$$\tilde{\mathbf{i}}_i^T \mathbf{Q} \mathbf{Q}^T \tilde{\mathbf{i}}_i = 1$$

$$\tilde{\mathbf{j}}_i^T \mathbf{Q} \mathbf{Q}^T \tilde{\mathbf{j}}_i = 1$$

$$\tilde{\mathbf{i}}_i^T \mathbf{Q} \mathbf{Q}^T \tilde{\mathbf{j}}_i = 0$$

# 3D structure and motion: 3D recovery from 2D motion

## The factorization algorithm

- Happily, we can take advantage of the fact that if  $\mathbf{R}$  and  $\mathbf{S}$  factorize  $\mathbf{W}$  and  $\mathbf{Q}$  is any invertible 3 x 3 matrix, then  $\mathbf{RQ}$  and  $\mathbf{Q}^{-1}\mathbf{S}$  also factorizes  $\mathbf{W}$

$$(\mathbf{RQ})(\mathbf{Q}^{-1}\mathbf{S}) = \mathbf{R}(\mathbf{Q}\mathbf{Q}^{-1})\mathbf{S} = \mathbf{R}\mathbf{S} = \mathbf{W}$$

- We construct a particular  $\mathbf{Q}$  that leads to the orthonormality constraints on  $\mathbf{R}$  to be observed.
- In particular, we look for a  $\mathbf{Q}$  such that

$$\tilde{\mathbf{i}}_i^T \mathbf{Q} \mathbf{Q}^T \tilde{\mathbf{i}}_i = 1$$

$$\tilde{\mathbf{j}}_i^T \mathbf{Q} \mathbf{Q}^T \tilde{\mathbf{j}}_i = 1$$

$$\tilde{\mathbf{i}}_i^T \mathbf{Q} \mathbf{Q}^T \tilde{\mathbf{j}}_i = 0$$

and then define new matrices

$$\mathbf{R} = \tilde{\mathbf{R}}\mathbf{Q}$$

$$\mathbf{S} = \mathbf{Q}^{-1}\tilde{\mathbf{S}}$$

which will still factorize  $\mathbf{W}$ , but with the orthonormality constraints on  $\mathbf{R}$  enforced.

# 3D structure and motion: 3D recovery from 2D motion

## Factorization: A few loose ends

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$$\mathbf{p}_{ij} = \begin{pmatrix} x_{ij} \\ y_{ij} \end{pmatrix} = \mathbf{R}^{2 \times 3} \mathbf{P}_j = \begin{pmatrix} \mathbf{i}_i^T \\ \mathbf{j}_i^T \end{pmatrix} \mathbf{P}_j$$

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- What about translation?
  - The  $X, Y$  components of the translations (i.e., those parallel to the image planes) are captured by the shifts of the centroids that we remove during registration. The  $Z$  components cannot be recovered under orthographic projection.

# 3D structure and motion: Approaches

## Problem statement

- Given image motion
  - Optical flow-based
  - Finite displacement-based
- Seek to recover
  - 3D motion (translation and rotation)
  - 3D structure (geometric layout of environment)

## Finite displacement approach

- Seeks to recover
  - full 3D rotation and translation
  - 3D structure
- Typically used in conjunction with finite displacement image motion estimates.



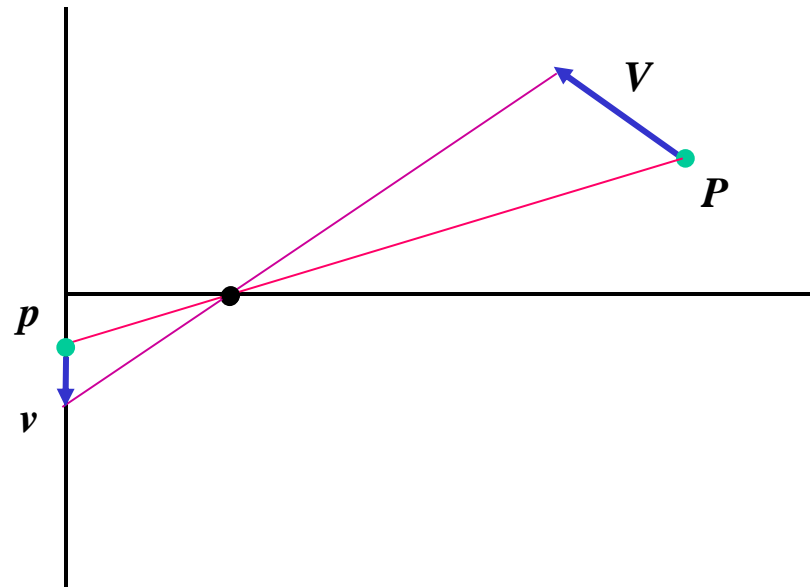
## Infinitesimal approach

- Seeks to recover
  - 3D rotational and translation velocity (temporal derivatives of full rotation and translation)
  - 3D structure
- Typically used in conjunction with optical flow image motion estimates.

# 3D structure and motion: Motivation

## Where we are

- Earlier, we suggested how the motion of a point in the world yields corresponding motion in the image.
  - Subject to differences between the motion field and the optical flow.
- Since then, we have developed methods to recover the image motion in terms of optical flow.
  - Gradient-based
  - Finite displacement-based.
- We also have an approach to recovering structure and motion using finite displacements.



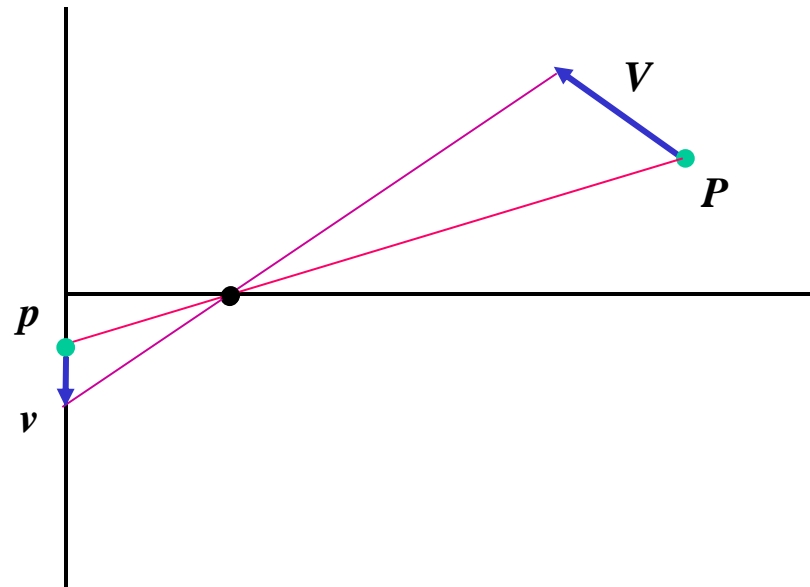
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## Where we shall go

- Given
  - Our ability to recover motion in the image in terms of infinitesimals
  - And the fact that the image motion arose from 3D structure and motion in the scene
- We now ask how we can “invert” the process to recover the 3D scene parameters from the image motion, all in terms of infinitesimals..



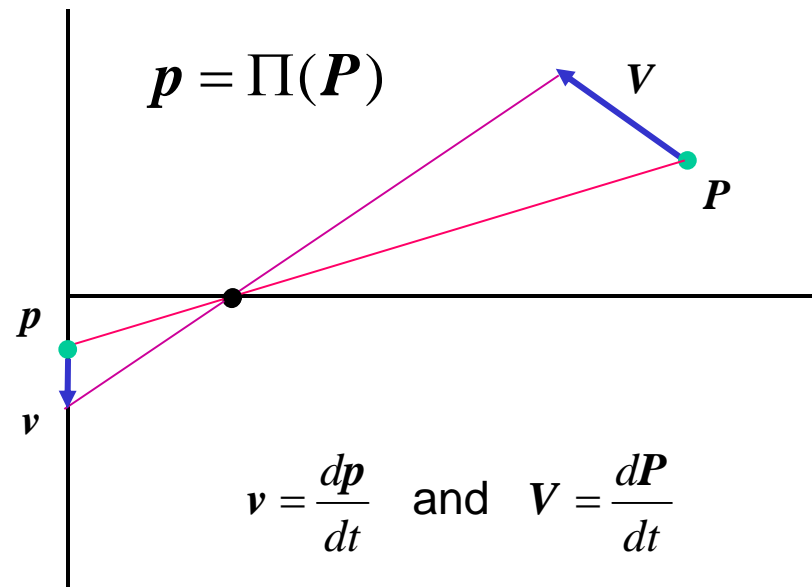
# 3D structure and motion: Basics

## Plan of attack

- We seek to recover estimates of
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  - 3D structure (distance, Z)from image motion
  - Optical flow
- We must derive an explicit relationship that relates the variables of interest.
- Then we can exploit this relationship in a recovery process.
- As a point of departure, we have the diagram at the right.
- Now we must be explicit about

$$\frac{d\mathbf{p}}{dt} = \frac{d\Pi(\mathbf{P})}{dt}$$

- This requires
  1. Being precise about 3D structure and motion
  2. Deriving their image correlates



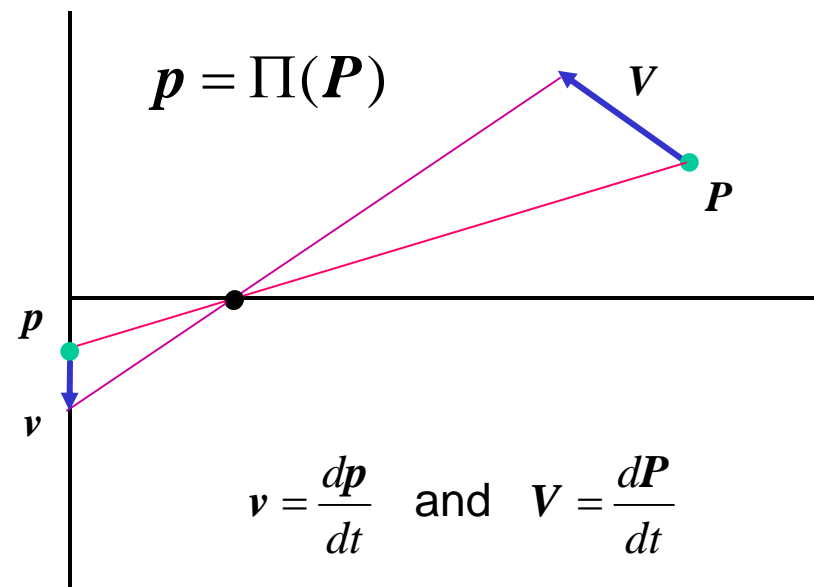
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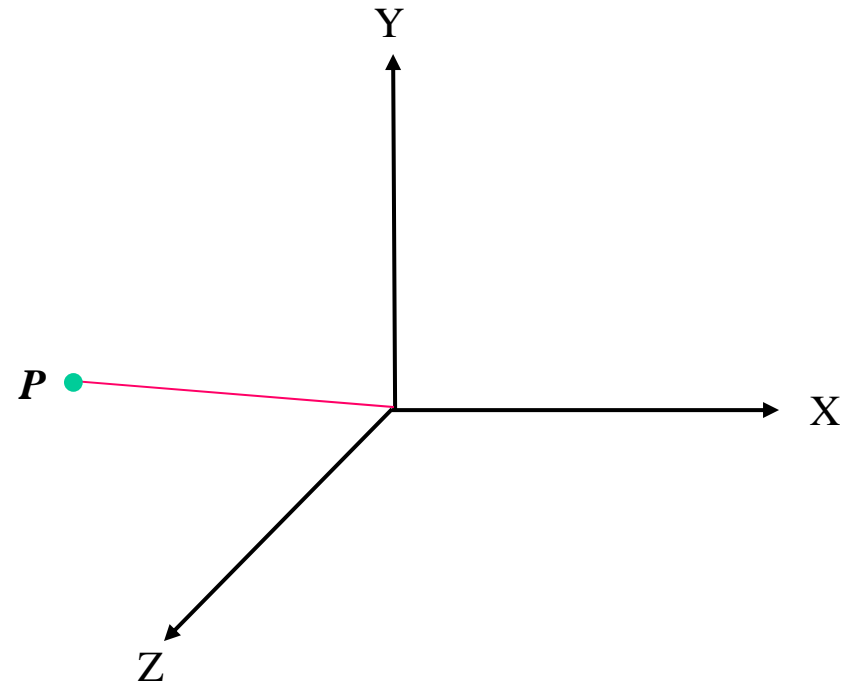




# 3D structure and motion: Basics

## 3D motion of a point in the scene

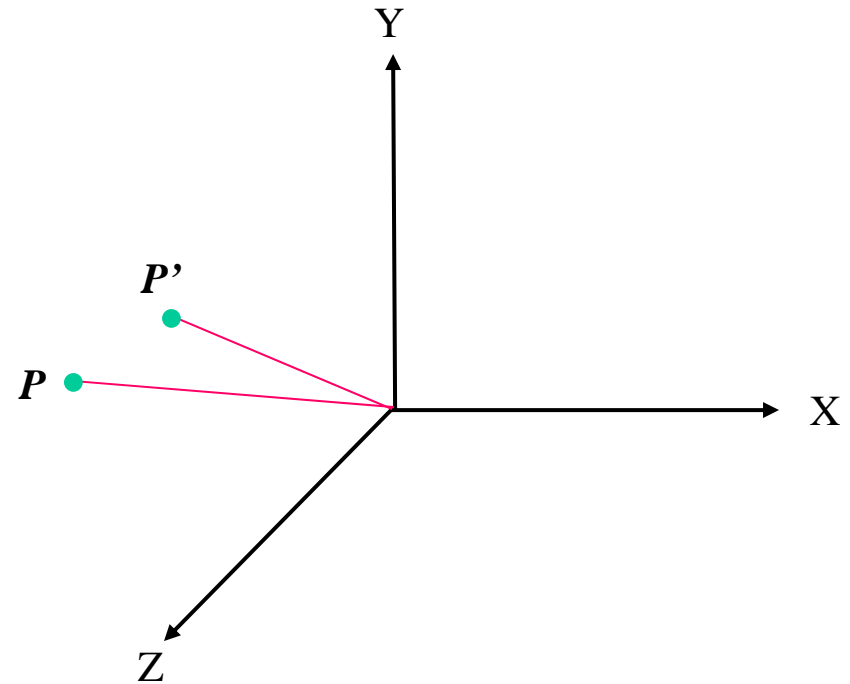
- Given a point  $P = (X, Y, Z)^T$  in space



# 3D structure and motion: Basics

## 3D motion of a point in the scene

- Given a point  $\mathbf{P} = (X, Y, Z)^T$  in space
- Its motion to  $\mathbf{P}' = (X', Y', Z')^T$  can be decomposed into 2 parts

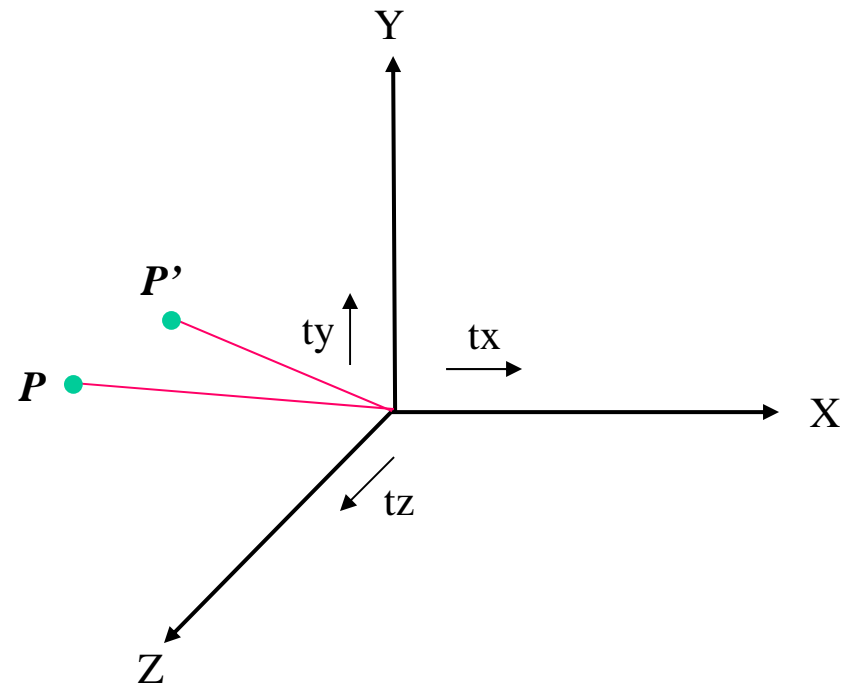


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1. Translation about the three coordinate axes

$$\mathbf{T} = (t_x, t_y, t_z)^T$$



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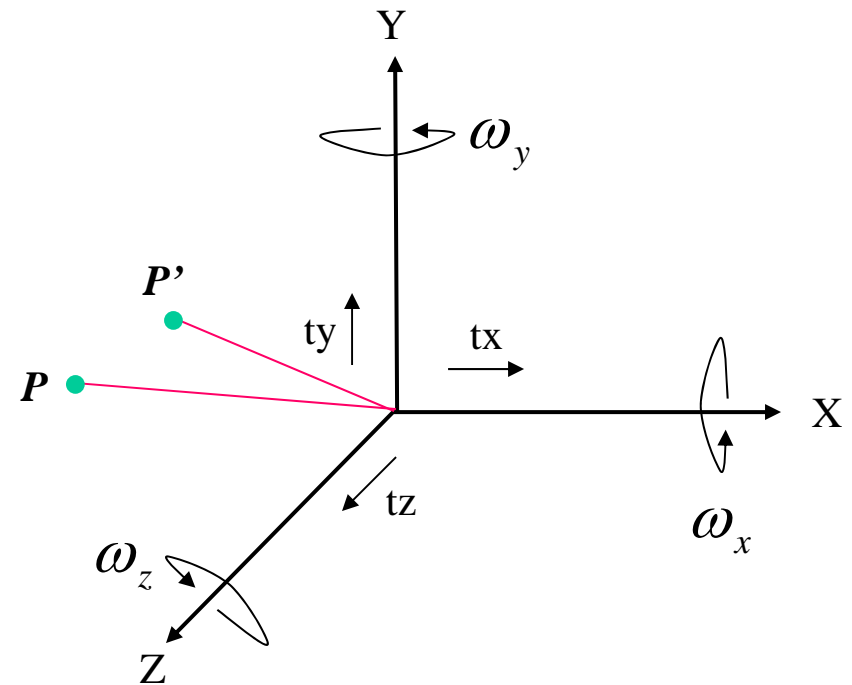
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$$\mathbf{T} = (t_x, t_y, t_z)^T$$

2. Rotation about the three coordinates axes

$$\mathbf{\Omega} = (\omega_x, \omega_y, \omega_z)^T$$



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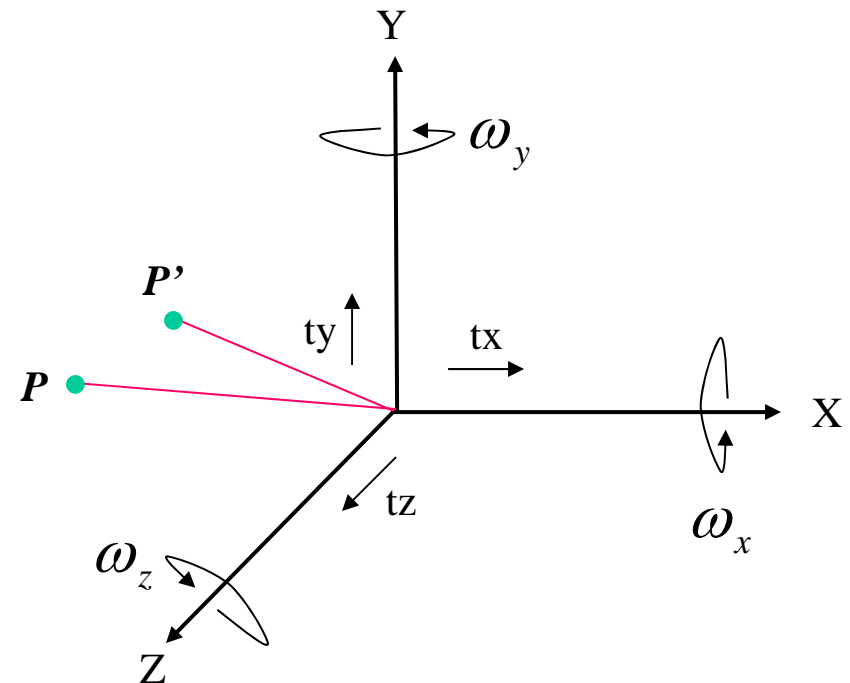
$$\mathbf{\Omega} = (\omega_x, \omega_y, \omega_z)^T$$

- We have

$$P' = \mathbf{R}(\mathbf{\Omega})P + \mathbf{T}$$

with

- $\mathbf{R}(\mathbf{\Omega})$  the rotation matrix corresponding to  $\mathbf{\Omega}$



## 3D structure and motion: Basics

### Rotation

- An arbitrary rotation is given as

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \omega_x & -\sin \omega_x \\ 0 & \sin \omega_x & \cos \omega_x \end{pmatrix} \begin{pmatrix} \cos \omega_y & 0 & \sin \omega_y \\ 0 & 1 & 0 \\ -\sin \omega_y & 0 & \cos \omega_y \end{pmatrix} \begin{pmatrix} \cos \omega_z & -\sin \omega_z & 0 \\ \sin \omega_z & \cos \omega_z & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$= \begin{pmatrix} \cos \omega_y \cos \omega_z & -\cos \omega_y \sin \omega_z & \sin \omega_y \\ \sin \omega_x \sin \omega_y \cos \omega_z + \cos \omega_x \sin \omega_z & -\sin \omega_x \sin \omega_y \sin \omega_z + \cos \omega_x \cos \omega_z & -\sin \omega_x \cos \omega_y \\ -\cos \omega_x \sin \omega_y \cos \omega_z + \sin \omega_x \sin \omega_z & \cos \omega_x \sin \omega_y \sin \omega_z + \sin \omega_x \cos \omega_z & \cos \omega_x \cos \omega_z \end{pmatrix}$$

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- Since we are dealing with velocity, we take the infinitesimal approximations

$$\cos \theta = 1 - \frac{\theta^2}{2!} + \frac{\theta^4}{4!} - \frac{\theta^6}{6!} + \dots \approx 1$$
$$\sin \theta = \theta - \frac{\theta^3}{3!} + \frac{\theta^5}{5!} - \frac{\theta^7}{7!} + \dots \approx \theta$$

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- Since we are dealing with velocity, we take the infinitesimal approximations

$$\cos \theta \approx 1$$

$$\sin \theta \approx \theta$$

- So that we have

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & -\omega_x \\ 0 & \omega_x & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & \omega_y \\ 0 & 1 & 0 \\ -\omega_y & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & -\omega_z & 0 \\ \omega_z & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \approx \begin{pmatrix} 1 & -\omega_z & \omega_y \\ \omega_z & 1 & -\omega_x \\ -\omega_y & \omega_x & 1 \end{pmatrix}$$



## 3D structure and motion: Basics

Now we can explicitly calculate a change in 3D position

- The new position  $P'$  following infinitesimal rotation and translation of  $P$  is

$$\mathbf{R}P + \mathbf{T} = \begin{pmatrix} 1 & -\omega_z & \omega_y \\ \omega_z & 1 & -\omega_x \\ -\omega_y & \omega_x & 1 \end{pmatrix} \begin{pmatrix} X \\ Y \\ Z \end{pmatrix} + \begin{pmatrix} t_x \\ t_y \\ t_z \end{pmatrix} = \begin{pmatrix} X - \omega_z Y + \omega_y Z + t_x \\ \omega_z X + Y - \omega_x Z + t_y \\ -\omega_y X + \omega_x Y + Z + t_z \end{pmatrix} = P'$$

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### 3D velocity also is at hand

- Since velocity is the infinitesimal change in position with time we have

$$\mathbf{P} - \mathbf{P}' = \begin{pmatrix} X \\ Y \\ Z \end{pmatrix} - \begin{pmatrix} X - \omega_z Y + \omega_y Z + t_x \\ \omega_z X + Y - \omega_x Z + t_y \\ -\omega_y X + \omega_x Y + Z + t_z \end{pmatrix} = \begin{pmatrix} \omega_z Y - \omega_y Z - t_x \\ -\omega_z X + \omega_x Z - t_y \\ \omega_y X - \omega_x Y - t_z \end{pmatrix} = \mathbf{V}$$

with  $\mathbf{V}=(U, V, W)$  3D velocity.

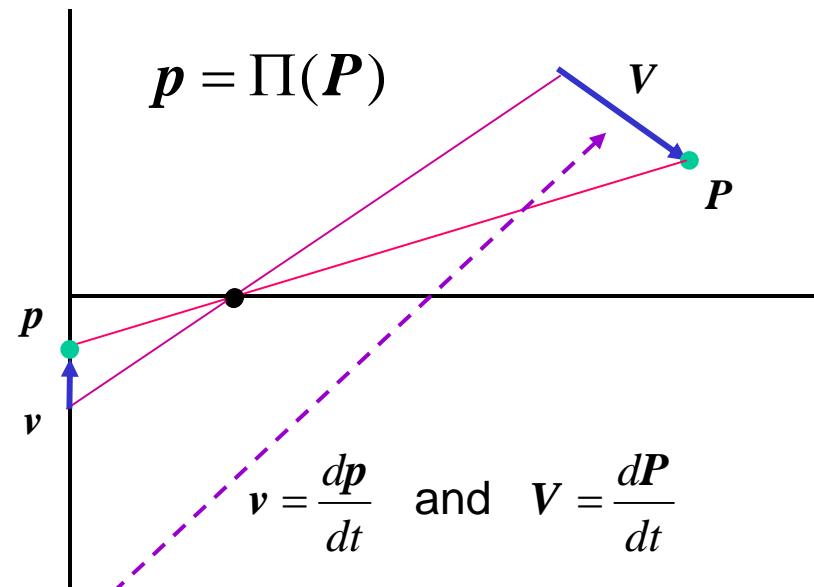
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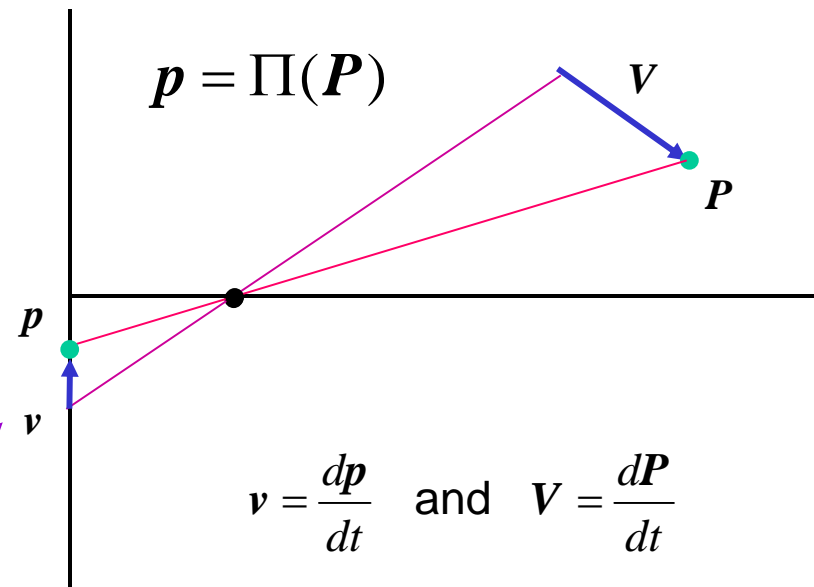
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## 3D structure and motion: Basics

### Image correlate of 3D structure and motion

- We seek an expression for

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where we follow Newton's "dot" convention for a temporal derivative.

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- So, we have

$$\dot{x} = u = \frac{\dot{X}}{Z} - \frac{X\dot{Z}}{Z^2} \quad \dot{y} = v = \frac{\dot{Y}}{Z} - \frac{Y\dot{Z}}{Z^2}$$

## 3D structure and motion: Basics

### Image correlate of 3D structure and motion

- We have

$$\dot{x} = u = \frac{\dot{X}}{Z} - \frac{X\dot{Z}}{Z^2} \quad \dot{y} = v = \frac{\dot{Y}}{Z} - \frac{Y\dot{Z}}{Z^2}$$

- As well as

$$\mathbf{V} = \begin{pmatrix} \dot{X} \\ \dot{Y} \\ \dot{Z} \end{pmatrix} = \begin{pmatrix} \omega_z Y - \omega_y Z - t_x \\ -\omega_z X + \omega_x Z - t_y \\ \omega_y X - \omega_x Y - t_z \end{pmatrix}$$



## 3D structure and motion: Basics

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- Upon **substitution** we find that

$$u = \frac{\dot{X}}{Z} - \frac{X\dot{Z}}{Z^2} = \frac{1}{Z} (\omega_z Y - \omega_y Z - t_x) - \frac{X}{Z^2} (\omega_y X - \omega_x Y - t_z)$$

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- Upon substitution we find that (dividing through by  $Z$  and simplifying  $X/Z$ ,  $Y/Z$ ,  $Z/Z$ )

$$\begin{aligned} u &= \frac{\dot{X}}{Z} - \frac{X\dot{Z}}{Z^2} = \frac{1}{Z} (\omega_z Y - \omega_y Z - t_x) - \frac{X}{Z^2} (\omega_y X - \omega_x Y - t_z) \\ &= (\omega_z y - \omega_y - \frac{t_x}{Z}) - \frac{X}{Z} (\omega_y x - \omega_x y - \frac{t_z}{Z}) \end{aligned}$$

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- Upon substitution we find that (simplifying  $X/Z$ )

$$\begin{aligned} u &= \frac{\dot{X}}{Z} - \frac{X\dot{Z}}{Z^2} = \left( \omega_z y - \omega_y - \frac{t_x}{Z} \right) - \frac{X}{Z} \left( \omega_y x - \omega_x y - \frac{t_z}{Z} \right) \\ &= \left( \omega_z y - \omega_y - \frac{t_x}{Z} \right) - x \left( \omega_y x - \omega_x y - \frac{t_z}{Z} \right) \end{aligned}$$

## 3D structure and motion: Basics

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- As well as

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- Upon substitution we find that (rearranging)

$$\begin{aligned} u &= \frac{\dot{X}}{Z} - \frac{X\dot{Z}}{Z^2} = \left( \omega_z y - \omega_y - \frac{t_x}{Z} \right) - x \left( \omega_y x - \omega_x y - \frac{t_z}{Z} \right) \\ &= \frac{1}{Z} (xt_z - t_x) + \omega_x (xy) - \omega_y (x^2 + 1) + \omega_z (y) \end{aligned}$$

## 3D structure and motion: Basics

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- Upon substitution we find that (and similarly for  $v$ )

$$u = \frac{\dot{X}}{Z} - \frac{X\dot{Z}}{Z^2} = \frac{1}{Z} (xt_z - t_x) + \omega_x(xy) - \omega_y(x^2 + 1) + \omega_z(y)$$

$$v = \frac{\dot{Y}}{Z} - \frac{Y\dot{Z}}{Z^2} = \frac{1}{Z} (yt_z - t_y) + \omega_x(y^2 + 1) - \omega_y(xy) - \omega_z(x)$$

## 3D structure and motion: Basics

### We have achieved our (interim) goal

- We have an explicit relationship that relates
  - image velocity  $(u(x,y), v(x,y))$
  - 3D parameters of structure,  $Z(x,y)$
  - 3D motion,  $(\omega_x, \omega_y, \omega_z), (t_x, t_y, t_z)$

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} Equations of the Motion field

### Observations

- The rotation and translation components do not directly interact.
- The structure component interacts directly only with the translation component.
- If we know the 3D motion parameters and have recovered the flow  $(u,v)$ , then it is trivial to recover  $Z$  (modulo noise)
- However, we often have little (or no) knowledge of the 3D motion.



# 3D structure and motion: 3D recovery from 2D motion

## Where we stand

- We have developed methods to recover image motion (optical flow) from a time varying image sequence.
- We have derived an explicit relationship to relate the image motion to the corresponding 3D structure and motion.

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## Where we will go

- We seek an approach to the recovery of 3D structure and motion from the estimated image motion.
- In general, this is a very difficult problem.
  - Although approaches have been developed
  - With varying success
- We will consider 2 special cases.
  1. Recovery of 3D structure,  $Z(x,y)$ , given motion parameters
  2. Recovery of 3D rotation, assuming no translation.


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## 3D structure and motion: 3D structure

We assume that the 3D motion parameters are known

- We have two equations in one unknown!

$$u = \frac{\dot{X}}{Z} - \frac{X\dot{Z}}{Z^2} = \frac{1}{Z} (xt_z - t_x) + \omega_x(xy) - \omega_y(x^2 + 1) + \omega_z(y)$$

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- We “derotate” the optical flow

$$u - \omega_x(xy) + \omega_y(x^2 + 1) - \omega_z(y) = \frac{1}{Z}(xt_z - t_x)$$

$$v - \omega_x(y^2 + 1) + \omega_y(xy) + \omega_z(x) = \frac{1}{Z}(yt_z - t_y)$$

## 3D structure and motion: 3D structure

We assume that the 3D motion parameters are known

- From the derotated flow we can calculate Z, perhaps averaging the two recovered values

$$u - \omega_x(xy) + \omega_y(x^2 + 1) - \omega_z(y) = \frac{1}{Z}(xt_z - t_x)$$

$$v - \omega_x(y^2 + 1) + \omega_y(xy) + \omega_z(x) = \frac{1}{Z}(yt_z - t_y)$$

$\Rightarrow$

$$Z(x, y) = \frac{xt_z - t_x}{u - \omega_x(xy) + \omega_y(x^2 + 1) - \omega_z(y)}$$

$$Z(x, y) = \frac{yt_z - t_y}{v - \omega_x(y^2 + 1) + \omega_y(xy) + \omega_z(x)}$$

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- We will consider 2 special cases.
  1. Recovery of 3D structure,  $Z(x,y)$ , given motion parameters
  - ➔ 2. Recovery of 3D rotation, assuming no translation.

## 3D structure and motion: 3D rotation

### We assume purely 3D rotation

- We specialize the general case

$$u = \frac{1}{Z} (xt_z - t_x) + \omega_x(xy) - \omega_y(x^2 + 1) + \omega_z(y)$$

$$v = \frac{1}{Z} (yt_z - t_y) + \omega_x(y^2 + 1) - \omega_y(xy) - \omega_z(x)$$

to

$$u = \omega_x(xy) - \omega_y(x^2 + 1) + \omega_z(y)$$

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### Remarks

- We recall that flow due to purely 3D rotation is independent of 3D scene structure.
- We see that given 3 measurements alone, we could recover the rotational velocity
- But this would be naively prone to noise sensitivity
  - So we follow another path.

# 3D structure and motion: 3D rotation

## Error formulation

- We seek rotational parameters that minimize the squared error between
  - The observed flow  $(u, v)$
  - And the supposed parametric model of rotational flow

$$u_{rot} = \omega_x(xy) - \omega_y(x^2 + 1) + \omega_z(y)$$

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- We accumulate this error over the entire image domain,  $I$ , so that we consider

$$\min_{\omega_x, \omega_y, \omega_z} \iint_I [(u - u_{rot})^2 + (v - v_{rot})^2] dx dy$$

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$$\iint_I [-2(u - u_{rot})xy - 2(v - v_{rot})(y^2 + 1)] dx dy$$

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$$\min_{\omega_x, \omega_y, \omega_z} \iint_I [(u - u_{rot})^2 + (v - v_{rot})^2] dx dy$$

- Differentiation WRT  $\omega_x$  and setting to zero yields

$$\iint_I [-2(u - u_{rot})xy - 2(v - v_{rot})(y^2 + 1)] dx dy = 0$$

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$$\min_{\omega_x, \omega_y, \omega_z} \iint_I [(u - u_{rot})^2 + (v - v_{rot})^2] dx dy$$

- Differentiation WRT  $\omega_x$  (and setting to zero) yields

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## 3D structure and motion: 3D rotation

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- We seek rotational parameters that minimize the squared error between
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- We accumulate this error over the entire image domain,  $I$ , so that we consider

$$\min_{\omega_x, \omega_y, \omega_z} \iint_I [(u - u_{rot})^2 + (v - v_{rot})^2] dx dy$$

- Differentiation WRT  $\omega_y$  (and setting to zero) yields

$$\iint_I [(u - u_{rot})(x^2 + 1) + (v - v_{rot})xy] dx dy = 0$$



## 3D structure and motion: 3D rotation

### Error formulation

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$$u_{rot} = \omega_x (xy) - \omega_y (x^2 + 1) + \omega_z (y)$$

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- We accumulate this error over the entire image domain,  $I$ , so that we consider

$$\min_{\omega_x, \omega_y, \omega_z} \iint_I [(u - u_{rot})^2 + (v - v_{rot})^2] dx dy$$

- Differentiation WRT  $\omega_z$  (and setting to zero) yields

$$\iint_I [(u - u_{rot}) y - (v - v_{rot}) x] dx dy = 0$$

## 3D structure and motion: 3D rotation

### Equation counting

- We have 3 equations in 3 unknowns

$$\iint_I [(u - u_{rot})xy + (v - v_{rot})(y^2 + 1)]dxdy = 0$$

$$\iint_I [(u - u_{rot})(x^2 + 1) + (v - v_{rot})xy]dxdy = 0$$

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- So, we proceed to isolate the rotational parameters of interest

$$\iint_I [uxy + v(y^2 + 1)]dxdy = \iint_I [u_{rot}xy + v_{rot}(y^2 + 1)]dxdy$$

$$\iint_I [u(x^2 + 1) + vxy]dxdy = \iint_I [u_{rot}(x^2 + 1) + v_{rot}xy]dxdy$$

$$\iint_I [uy - vx]dxdy = \iint_I [u_{rot}y - v_{rot}x]dxdy$$

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Let's introduce  
notation for the  
LHS

$$\iint_I [u(x^2 + 1) + vxy]dxdy = \iint_I [u_{rot}(x^2 + 1) + v_{rot}xy]dxdy$$

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- So, we proceed to isolate the rotational parameters of interest

$$a = \iint_I [u_{rot}xy + v_{rot}(y^2 + 1)]dxdy$$

$$b = \iint_I [u_{rot}(x^2 + 1) + v_{rot}xy]dxdy$$

$$c = \iint_I [u_{rot}y - v_{rot}x]dxdy$$

## 3D structure and motion: 3D rotation

### Isolating the rotational parameters

- Let us expand the first equation

$$a = \iint_I [u_{rot} xy + v_{rot} (y^2 + 1)] dx dy$$

in terms of

$$u_{rot} = \omega_x(xy) - \omega_y(x^2 + 1) + \omega_z(y)$$

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- We find

$$a = \iint_I [\omega_x(xy) - \omega_y(x^2 + 1) + \omega_z(y)] xy + [\omega_x(y^2 + 1) - \omega_y(xy) - \omega_z(x)] (y^2 + 1) dx dy$$

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- We find

$$a = \iint_I [\omega_x(xy) - \omega_y(x^2 + 1) + \omega_z(y)]xy + [\omega_x(y^2 + 1) - \omega_y(xy) - \omega_z(x)](y^2 + 1)dxdy$$

- Grouping by the rotational parameters yields

$$a = \iint_I \omega_x[x^2y^2 + (y^2 + 1)^2] - \omega_y[xy(x^2 + y^2 + 2)] - \omega_z[x]dxdy$$



## 3D structure and motion: 3D rotation

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- Grouping by the rotational parameters yields

$$a = \iint_I \omega_x[x^2y^2 + (y^2 + 1)^2] - \omega_y[xy(x^2 + y^2 + 2)] - \omega_z[x]dxdy$$

- Since the rotation is constant over the image domain, we pull  $\omega_x, \omega_y, \omega_z$  outside the integrals

$$a = \omega_x \iint_I x^2y^2 + (y^2 + 1)^2 dxdy - \omega_y \iint_I xy(x^2 + y^2 + 2)dxdy - \omega_z \iint_I xdxdy$$

## 3D structure and motion: 3D rotation

### 3 equations in 3 unknowns ready for solution

- For compactness of notation let us write

$$a = \omega_x \iint x^2 y^2 + (y^2 + 1)^2 dx dy - \omega_y \iint xy(x^2 + y^2 + 2) dx dy - \omega_z \iint x dx dy$$

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$$a = \omega_x (j) + \omega_y (k) + \omega_z (l)$$

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as

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- Similarly, we can derive expressions for the other two constraint equations along the lines of

$$b = \omega_x (m) + \omega_y (n) + \omega_z (o)$$

$$c = \omega_x (p) + \omega_y (q) + \omega_z (r)$$

## 3D structure and motion: 3D rotation

### Matrix formulation

- From

$$a = \omega_x(j) + \omega_y(k) + \omega_z(l)$$

$$b = \omega_x(m) + \omega_y(n) + \omega_z(o)$$

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- We derive the matrix form

$$\begin{pmatrix} j & k & l \\ m & n & o \\ p & q & r \end{pmatrix} \begin{pmatrix} \omega_x \\ \omega_y \\ \omega_z \end{pmatrix} = \begin{pmatrix} a \\ b \\ c \end{pmatrix}$$

## 3D structure and motion: 3D rotation

### Solution

- From the matrix form

$$\begin{pmatrix} j & k & l \\ m & n & o \\ p & q & r \end{pmatrix} \begin{pmatrix} \omega_x \\ \omega_y \\ \omega_z \end{pmatrix} = \begin{pmatrix} a \\ b \\ c \end{pmatrix}$$

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- We have solution via the inverse operation

$$\begin{pmatrix} \omega_x \\ \omega_y \\ \omega_z \end{pmatrix} = \begin{pmatrix} j & k & l \\ m & n & o \\ p & q & r \end{pmatrix}^{-1} \begin{pmatrix} a \\ b \\ c \end{pmatrix}$$



## 3D structure and motion: 3D rotation

### By the way

- Straightforward calculation yields the coefficient expansions we skimmed over

$$m = -k$$

$$n = -\iint (x^2 + 1)^2 + x^2 y^2 dx dy$$

$$o = \iint y dx dy$$

$$p = l$$

$$q = -o$$

$$r = \iint x^2 + y^2 dx dy$$

# 3D structure and motion: 3D recovery from 2D motion

## Where we stand

- We have developed methods to recover image motion (optical flow) from a time varying image sequence.
- We have derived an explicit relationship to relate the image motion to the corresponding 3D structure and motion.

## Where we will go

- We seek an approach to the recovery of 3D structure and motion from the estimated image motion.
- In general, this is a very difficult problem.
  - Although approach have been developed
  - With varying success
- We will consider 2 special cases.
  1. Recovery of 3D structure,  $Z(x,y)$ , given motion parameters
  2. Recovery of 3D rotation, assuming no translation.

## Remark

- In a similar spirit we could attack
  - The pure translation case
  - The general case (unknown structure, rotation and translation)

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## 3D structure and motion: 3D translation

### We assume purely 3D translation

- We specialize the general case

$$u = \frac{1}{Z} (xt_z - t_x) + \omega_x(xy) - \omega_y(x^2 + 1) + \omega_z(y)$$

$$v = \frac{1}{Z} (yt_z - t_y) + \omega_x(y^2 + 1) - \omega_y(xy) - \omega_z(x)$$

to

$$u = \frac{1}{Z} (xt_z - t_x)$$

$$v = \frac{1}{Z} (yt_z - t_y)$$

### Remarks

- We recall that flow due to purely 3D translation is intertwined with 3D scene structure. is independent of 3D scene structure.
- Z varies (potentially) with each image location.
- Translation parameters are constant across the image.
- Notice the scale ambiguity between scene structure, Z, and translation,  $(t_x, t_y, t_z)$

# 3D structure and motion: 3D translation

## Error formulation

- We seek scene structure and translation parameters that minimize the squared error between
  - The observed flow  $(u, v)$
  - And the supposed parametric model of translational flow

$$u_{trans} = \frac{1}{Z} (xt_z - t_x)$$

$$v_{trans} = \frac{1}{Z} (yt_z - t_y)$$

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$$v_{trans} = \frac{1}{Z} (yt_z - t_y)$$

- We accumulate this error over the entire image domain,  $I$ , so that we consider

$$\min_{t_x, t_y, t_z, Z} \iint_I [(u - u_{trans})^2 + (v - v_{trans})^2] dx dy$$

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- A solution can be had by pursuing a path analogous to that followed for recovery of rotational parameters.
- A new wrinkle is in the apparent need to decouple the translation and scene structure; various approaches have been developed, including
  - Iterating between recovering translation and structure, while holding the other constant.
  - Initially eliminating  $Z$  and solving for  $(t_x, t_y, t_z)$  and subsequently recovering  $Z$ .

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## 3D structure and motion: General case

### We assume no more than instantaneous motion

- We have our general case

$$u = \frac{1}{Z} (xt_z - t_x) + \omega_x(xy) - \omega_y(x^2 + 1) + \omega_z(y)$$

$$v = \frac{1}{Z} (yt_z - t_y) + \omega_x(y^2 + 1) - \omega_y(xy) - \omega_z(x)$$

and make no specializations.

## 3D structure and motion: General case

### Error formulation

- We seek scene structure, translational and rotational parameters that minimize the squared error between
  - The observed flow  $(u, v)$
  - And the supposed parametric model of the general visual motion field

$$u_{gen} = \frac{1}{Z} (xt_z - t_x) + \omega_x(xy) - \omega_y(x^2 + 1) + \omega_z(y)$$

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$$\min_{t_x, t_y, t_z, \omega_x, \omega_y, \omega_z, Z} \iint_I [(u - u_{gen})^2 + (v - v_{rot})^2] dx dy$$

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$$\min_{t_x, t_y, t_z, \omega_x, \omega_y, \omega_z, Z} \iint_I [(u - u_{gen})^2 + (v - v_{gen})^2] dx dy$$

- Again, analogous methods to those used so far can be brought to bear to yield a solution.

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But, instead of developing the details we look at some empirical results derived from a general solution.

# **3D structure and motion: 3D recovery from 2D motion**

**Empirical examples presented in lecture.**

# 3D structure and motion: Final remarks

## Flow-based

- Works with minimal number of frames ( $n=2$ ).
- Assumes infinitesimal 3D motion and full perspective projection.
- Provides dense 3D distance estimates.
- Can be numerically sensitive

## Factorization-based

- Works with larger temporal streams of frames ( $n>2$ ).
- Assumes arbitrary 3D motion and orthographic projection (but extensions to more general projection models have been developed).
- Provides 3D shape estimates only at tracked feature points.
- Reasonably numerically stable; at least for orthographic cases...



# Summary

- **Motion field vs. optical flow**
- **Brightness constancy**
- **Gradient-based optical flow estimation**
- **Finite displacement and feature-based methods**
- **3D Structure and motion**