Counting Chapter 6

With Question/Answer Animations

Chapter Summary

- The Basics of Counting
- The Pigeonhole Principle
- Permutations and Combinations
- Binomial Coefficients and Identities
- Generalized Permutations and Combinations
- Generating Permutations and Combinations (not yet included in overheads)

The Basics of Counting

Section 6.1

Section Summary

- The Product Rule
- The Sum Rule
- The Subtraction Rule
- The Division Rule
- Examples, Examples, and Examples
- Tree Diagrams

Basic Counting Principles: The Product Rule

The Product Rule: A procedure can be broken down into a sequence of two tasks. There are n_1 ways to do the first task and n_2 ways to do the second task. Then there are $n_1 \cdot n_2$ ways to do the procedure.

Example: How many bit strings of length seven are there?

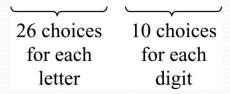
Solution: Since each of the seven bits is either a 0 or a 1, the answer is $2^7 = 128$.

The **Product Rule**

Example: How many different license plates can be made if each plate contains a sequence of three uppercase English letters followed by three digits?

Solution: By the product rule,

there are $26 \cdot 26 \cdot 26 \cdot 10 \cdot 10 \cdot 10 = 17,576,000$ different possible license plates.



Counting Functions

Counting Functions: How many functions are there from a set with *m* elements to a set with *n* elements?

Solution: Since a function represents a choice of one of the n elements of the *codomain* for each of the m elements in the domain, the product rule tells us that there are $n \cdot n \cdot \cdot \cdot \cdot n = n^m$ such functions.

Counting One-to-One Functions: How many *one-to-one* functions are there from a set with *m* elements to one with *n* elements?

Solution: Suppose the elements in the domain are a_1 , a_2 ,..., a_m . There are n ways to choose the value of a_1 and n-1 ways to choose a_2 , etc. The product rule tells us that there are n(n-1) (n-2)···(n-m+1) such functions.

Telephone Numbering Plan

Example: The *North American numbering plan (NANP)* specifies that a telephone number consists of 10 digits, consisting of a three-digit area code, a three-digit office code, and a four-digit station code. There are some restrictions on the digits.

- Let *X* denote a digit from 0 through 9.
- Let *N* denote a digit from 2 through 9.
- Let *Y* denote a digit that is 0 or 1.
- In the old plan (in use in the 1960s) the format was NYX-NNX-XXX.
- In the new plan, the format is *NXX-NXX-XXX*.

How many different telephone numbers are possible under the old plan and the new plan?

Solution: Use the Product Rule.

- There are $8 \cdot 2 \cdot 10 = 160$ area codes with the format *NYX*.
- There are 8.10.10 = 800 area codes with the format NXX.
- There are 8.8.10 = 640 office codes with the format *NNX*.
- There are $10 \cdot 10 \cdot 10 \cdot 10 = 10,000$ station codes with the format *XXXX*.

Number of old plan telephone numbers: 160.640.10,000 = 1,024,000,000.

Number of new plan telephone numbers: 800.800.10,000 = 6,400,000,000.

Counting Subsets of a Finite Set

Counting Subsets of a Finite Set: Use the product rule to show that the number of different subsets of a finite set S is $2^{|S|}$. (In Section 5.1, mathematical induction was used to prove this same result.)

Solution: When the elements of S are listed in an arbitrary order, there is a one-to-one correspondence between subsets of S and bit strings of length |S|. When the ith element is in the subset, the bit string has a 1 in the ith position and a 0 otherwise.

By the product rule, there are $2^{|S|}$ such bit strings, and therefore $2^{|S|}$ subsets.

Product Rule in Terms of Sets

- If $A_1, A_2, ..., A_m$ are finite sets, then the number of elements in the *Cartesian product* of these sets is the product of the number of elements of each set.
- The task of choosing an element in the *Cartesian* product $A_1 \times A_2 \times \cdots \times A_m$ is done by choosing an element in A_1 , an element in A_2 , ..., and an element in A_m .
- By the product rule, it follows that: $|A_1 \times A_2 \times \cdots \times A_m| = |A_1| \cdot |A_2| \cdot \cdots \cdot |A_m|$.

DNA and Genomes

- A *gene* is a segment of a DNA molecule that encodes a particular protein and the entirety of genetic information of an organism is called its *genome*.
- DNA molecules consist of two strands of blocks known as nucleotides. Each nucleotide is composed of bases: adenine (A), cytosine (C), guanine (G), or thymine (T).
- The DNA of bacteria has between 10⁵ and 10⁷ links (one of the four bases). Mammals have between 10⁸ and 10¹⁰ links. So, by the product rule there are at least 4¹⁰⁵ different sequences of bases in the DNA of bacteria and 4¹⁰⁸ different sequences of bases in the DNA of mammals.
- The human genome includes approximately 23,000 genes, each with 1,000 or more links.
- Biologists, mathematicians, and computer scientists all work on determining the DNA sequence (genome) of different organisms.

Basic Counting Principles: The Sum Rule

The Sum Rule: If a task can be done either in one of n_1 ways or in one of n_2 , where none of the set of n_1 ways is the same as any of the n_2 ways, then there are $n_1 + n_2$ ways to do the task.

Example: The mathematics department must choose either a student or a faculty member as a representative for a university committee. How many choices are there for this representative if there are 37 members of the mathematics faculty and 83 mathematics majors and no one is both a faculty member and a student.

Solution: By the sum rule it follows that there are 37 + 83 = 120 possible ways to pick a representative.

The **Sum Rule** in terms of sets.

- The sum rule can be phrased in terms of sets. $|A \cup B| = |A| + |B|$ as long as A and B are disjoint sets.
- Or more generally,

$$|A_1 \cup A_2 \cup \cdots \cup A_m| = |A_1| + |A_2| + \cdots + |A_m|$$

when $A_i \cap A_j = \emptyset$ for all i, j .

• The case where the sets have elements in common will be discussed when we consider the subtraction rule and taken up fully in Chapter 8.

Combining the Sum and Product Rule

Example: Suppose statement labels in a programming language can be either a single letter or a letter followed by a digit. Find the number of possible labels.

Solution: Use the product rule.

$$26 + 26 \cdot 10 = 286$$

Counting Passwords

Combining the sum and product rule allows us to solve more complex problems.
 Example: Each user on a computer system has a password, which is six to eight characters long, where each character is an uppercase letter or a digit. Each password must contain at least one digit. How many possible passwords are there?

Solution: Let P be the total number of passwords, and let P_6 , P_7 , and P_8 be the passwords of length 6, 7, and 8.

- By the sum rule $P = P_6 + P_7 + P_8$.
- To find each of P_6 , P_7 , and P_8 , we find the number of passwords of the specified length composed of letters and digits and subtract the number composed only of letters. We find that:

$$\begin{split} P_6 &= 36^6 - 26^6 \ = 2,176,782,336 - 308,915,776 = 1,867,866,560. \\ P_7 &= 36^7 - 26^7 = \\ &\quad 78,364,164,096 - 8,031,810,176 = 70,332,353,920. \\ P_8 &= 36^8 - 26^8 = \\ &\quad 2,821,109,907,456 - 208,827,064,576 = 2,612,282,842,880. \end{split}$$

Consequently, $P = P_6 + P_7 + P_8 = 2,684,483,063,360$.

Internet Addresses

• Version 4 of the Internet Protocol (IPv4) uses 32 bits.

	Bit Number	U	1	2	3	4		8	16	24	31
ž	Class A	0	netid					hostid			
ž	Class B	1	0				netid		hostid		
ž	Class C	1	1	0				netid		hostid	
ž	Class D	1	1	1	0			Multicast Address			
ž	Class E	1	1	1	1	0		Address			2

- **Class A Addresses**: used for the largest networks, a 0,followed by a 7-bit netid and a 24-bit hostid.
- **Class B Addresses**: used for the medium-sized networks, a 10, followed by a 14-bit netid and a 16-bit hostid.
- Class C Addresses: used for the smallest networks, a 110, followed by a 21-bit netid and a 8-bit hostid.
 - Neither Class D nor Class E addresses are assigned as the address of a computer on the internet. Only Classes A, B, and C are available.
 - 1111111 is not available as the netid of a Class A network.
 - Hostids consisting of all 0s and all 1s are not available in any network.

Counting Internet Addresses

Example: How many different IPv4 addresses are available for computers on the internet?

Solution: Use both the sum and the product rule. Let x be the number of available addresses, and let x_A , x_B , and x_C denote the number of addresses for the respective classes.

- To find, x_A : $2^7 1 = 127$ netids. $2^{24} 2 = 16,777,214$ hostids. $x_A = 127 \cdot 16,777,214 = 2,130,706,178$.
- To find, x_B : $2^{14} = 16,384$ netids. $2^{16} 2 = 16,534$ hostids. $x_B = 16,384 \cdot 16,534 = 1,073,709,056$.
- To find, x_C : $2^{21} = 2,097,152$ netids. $2^8 2 = 254$ hostids. $x_C = 2,097,152 \cdot 254 = 532,676,608$.
- Hence, the total number of available IPv4 addresses is

$$x = x_A + x_B + x_C$$

= 2,130,706,178 + 1,073,709,056 + 532,676,608

= 3, 737,091,842. Not Enough Today !!

The newer IPv6 protocol solves the problem of too few addresses.

Basic Counting Principles: Subtraction Rule

Subtraction Rule: If a task can be done either in one of n_1 ways or in one of n_2 ways, then the total number of ways to do the task is $n_1 + n_2$ minus the number of ways to do the task that are common to the two different ways.

• Also known as, the *principle* of inclusion-exclusion:

$$|A \cup B| = |A| + |B| - |A \cap B|$$

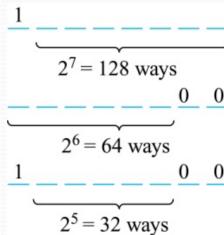
Counting Bit Strings

Example: How many bit strings of length eight either start with a 1 bit or end with the two bits 00?

Solution: Use the subtraction rule.

- Number of bit strings of length eight that start with a 1 bit: $2^7 = 128$
- Number of bit strings of length eight that end with bits 00: $2^6 = 64$
- Number of bit strings of length eight that start with a 1 bit and end with bits $00: 2^5 = 32$

Hence, the number is 128 + 64 - 32 = 160.



Basic Counting Principles: Division Rule

Division Rule: There are n/d ways to do a task if it can be done using a procedure that can be carried out in n ways, and for every way w, exactly d of the n ways correspond to way w.

- Restated in terms of sets: If the finite set A is the union of n pairwise disjoint subsets each with d elements, then n = |A|/d.
- In terms of functions: If f is a function from A to B, where both are finite sets, and for every value $y \in B$ there are exactly d values $x \in A$ such that f(x) = y, then |B| = |A|/d.

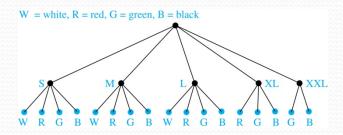
Example: How many ways are there to seat four people around a circular table, where two seatings are considered the same when each person has the same left and right neighbor?

Solution: Number the seats around the table from 1 to 4 proceeding clockwise. There are four ways to select the person for seat 1, 3 for seat 2, 2, for seat 3, and one way for seat 4. Thus there are 4! = 24 ways to order the four people. But since two seatings are the same when each person has the same left and right neighbor, for every choice for seat 1, we get the same seating.

Therefore, by the division rule, there are 24/4 = 6 different seating arrangements.

Tree Diagrams

- **Tree Diagrams**: We can solve many counting problems through the use of *tree diagrams*, where a branch represents a possible choice and the leaves represent possible outcomes.
- Example: Suppose that "I Love Discrete Math" T-shirts come in five different sizes: S,M,L,XL, and XXL. Each size comes in four colors (white, red, green, and black), except XL, which comes only in red, green, and black, and XXL, which comes only in green and black. What is the minimum number of shirts that the campus book store needs to stock to have one of each size and color available?
- **Solution**: Draw the tree diagram.



The store must stock 17 T-shirts.

Permutations and Combinations

Section 6.3

Section Summary

- Permutations
- Combinations
- Combinatorial Proofs

Permutations

Definition: A *permutation* of a set of distinct objects is an ordered arrangement of these objects. An ordered arrangement of r elements of a set is called an *r-permutation*.

Example: Let $S = \{1,2,3\}$.

- The ordered arrangement 3,1,2 is a permutation of *S*.
- The ordered arrangement 3,2 is a 2-permutation of *S*.
- The number of r-permuatations of a set with n elements is denoted by P(n,r).
 - The 2-permutations of $S = \{1,2,3\}$ are 1,2; 1,3; 2,1; 2,3; 3,1; and 3,2. Hence, P(3,2) = 6.

A Formula for the Number of Permutations

Theorem 1: If n is a positive integer and r is an integer with $1 \le r \le n$, then there are

$$P(n, r) = n(n - 1)(n - 2) \cdots (n - r + 1)$$

r-permutations of a set with n distinct elements.

Proof: Use the product rule. The first element can be chosen in n ways. The second in n-1 ways, and so on until there are (n-(r-1)) ways to choose the last element.

• Note that P(n,0) = 1, since there is only one way to order zero elements.

Corollary 1: If *n* and *r* are integers with $1 \le r \le n$, then

$$P(n,r) = \frac{n!}{(n-r)!}$$

Solving Counting Problems by Counting Permutations

Example: How many ways are there to select a first-prize winner, a second prize winner, and a third-prize winner from 100 different people who have entered a contest?

Solution:

$$P(100,3) = 100 \cdot 99 \cdot 98 = 970,200$$

Solving Counting Problems by Counting Permutations (continued)

Example: Suppose that a saleswoman has to visit eight different cities. She must begin her trip in a specified city, but she can visit the other seven cities in any order she wishes. How many possible orders can the saleswoman use when visiting these cities?

Solution: The first city is chosen, and the rest are ordered arbitrarily. Hence the orders are:

$$7! = 7 \cdot 6 \cdot 5 \cdot 4 \cdot 3 \cdot 2 \cdot 1 = 5040$$

If she wants to find the tour with the shortest path that visits all the cities, she must consider 5040 paths!

Solving Counting Problems by Counting Permutations (continued)

Example: How many permutations of the letters *ABCDEFGH* contain the string *ABC* ?

Solution: We solve this problem by counting the permutations of six objects, *ABC*, *D*, *E*, *F*, *G*, and *H*.

$$6! = 6 \cdot 5 \cdot 4 \cdot 3 \cdot 2 \cdot 1 = 720$$

Definition: An *r-combination* of elements of a set is an unordered selection of *r* elements from the set. Thus, an *r*-combination is simply a subset of the set with *r* elements.

- The number of r-combinations of a set with n distinct elements is denoted by C(n, r). The notation $\frac{r}{r}$ is also used and is called a binomial coefficient. (We will see the notation again in the binomial theorem in Section 6.4.)
 - **Example**: Let S be the set $\{a, b, c, d\}$. Then $\{a, c, d\}$ is a 3-combination from S. It is the same as $\{d, c, a\}$ since the order listed does not matter.
- C(4,2) = 6 because the 2-combinations of $\{a, b, c, d\}$ are the six subsets $\{a, b\}$, $\{a, c\}$, $\{a, d\}$, $\{b, c\}$, $\{b, d\}$, and $\{c, d\}$.

Theorem 2: The number of r-combinations of a set with n elements, where $n \ge r \ge 0$, equals

$$C(n,r) = \frac{n!}{(n-r)!r!}.$$

Proof: By the product rule $P(n, r) = C(n,r) \cdot P(r,r)$. Therefore,

$$C(n,r) = \frac{P(n,r)}{P(r,r)} = \frac{n!/(n-r)!}{r!/(r-r)!} = \frac{n!}{(n-r)!r!}$$
.

Example: How many poker hands of five cards can be dealt from a standard deck of 52 cards? Also, how many ways are there to select 47 cards from a deck of 52 cards?

Solution: Since the order in which the cards are dealt does not matter, the number of five card hands is:

$$C(52,5) = \frac{52!}{5!47!}$$

$$= \frac{52 \cdot 51 \cdot 50 \cdot 49 \cdot 48}{5 \cdot 4 \cdot 3 \cdot 2 \cdot 1} = 26 \cdot 17 \cdot 10 \cdot 49 \cdot 12 = 2,598,960$$

• The different ways to select 47 cards from 52 is

$$C(52,47) = \frac{52!}{47!5!} = C(52,5) = 2,598,960.$$

This is a special case of a general result. \rightarrow

Corollary 2: Let n and r be nonnegative integers with $r \le n$. Then C(n, r) = C(n, n - r).

Proof: From Theorem 2, it follows that

$$C(n,r) = \frac{n!}{(n-r)!r!}$$

and

$$C(n, n-r) = \frac{n!}{(n-r)![n-(n-r)]!} = \frac{n!}{(n-r)!r!}$$
.

Hence, C(n, r) = C(n, n - r).

This result can be proved without using algebraic manipulation. \rightarrow

Combinatorial Proofs

- **Definition 1**: A *combinatorial proof* of an *identity* is a proof that uses one of the following methods.
 - A *double counting proof* uses counting arguments to prove that both sides of an identity count the same objects, but in different ways.
 - A *bijective proof* shows that there is a bijection between the sets of objects counted by the two sides of the identity.

Combinatorial Proofs

Here are two combinatorial proofs that

$$C(n, r) = C(n, n - r)$$

when r and n are nonnegative integers with r < n:

- *Bijective Proof*: Suppose that S is a set with n elements. The function that maps a subset A of S to \overline{A} is a bijection between the subsets of S with r elements and the subsets with n-r elements. Since there is a bijection between the two sets, they must have the same number of elements. \blacktriangleleft
- Double Counting Proof: By definition the number of subsets of S with r elements is C(n, r). Each subset A of S can also be described by specifying which elements are not in A, i.e., those which are in A. Since the complement of a subset of S with r elements has n-r elements, there are also C(n, n-r) subsets of S with r elements.

Example: How many ways are there to select five players from a 10-member tennis team to make a trip to a match at another school.

Solution: By Theorem 2, the number of combinations is

$$C(10,5) = \frac{10!}{5!5!} = 252.$$

Example: A group of 30 people have been trained as astronauts to go on the first mission to Mars. How many ways are there to select a crew of six people to go on this mission?

Solution: By Theorem 2, the number of possible crews is

$$C(30,6) = \frac{30!}{6!24!} = \frac{30 \cdot 29 \cdot 28 \cdot 27 \cdot 26 \cdot 25}{6 \cdot 5 \cdot 4 \cdot 3 \cdot 2 \cdot 1} = 593,775$$
.

Binomial Coefficients and Identities

Section 6.4

Section Summary

- The Binomial Theorem
- Pascal's Identity and Triangle
- Other Identities Involving Binomial Coefficients (not currently included in overheads)

Powers of **Binomial** Expressions

Definition: A *binomial* expression is the sum of two terms, such as x + y. (More generally, these terms can be products of constants and variables.)

- We can use counting principles to find the coefficients in the expansion of $(x + y)^n$ where n is a positive integer.
- To illustrate this idea, we first look at the process of expanding $(x + y)^3$.
- (x + y)(x + y)(x + y) expands into a sum of terms that are the product of a term from each of the three sums.
- Terms of the form x^3 , x^2y , x^3y^2 , y^3 arise. The question is what are the coefficients?
 - To obtain x^3 , an x must be chosen from each of the sums. There is only one way to do this. So, the coefficient of x^3 is 1.
 - To obtain x^2y , an x must be chosen from two of the sums and a y from the other. There are $\begin{pmatrix} 3 \\ 2 \end{pmatrix}$ ways to do this and so the coefficient of x^2y is 3.
 - To obtain xy^2 , an x must be chosen from of the sums and a y from the other two . There are $\binom{3}{1}$ ways to do this and so the coefficient of xy^2 is 3.
 - To obtain y^3 , a y must be chosen from each of the sums. There is only one way to do this. So, the coefficient of y^3 is 1.
- We have used a counting argument to show that $(x + y)^3 = x^3 + 3x^2y + 3xy^2 + y^3$.
- Next we present the binomial theorem gives the coefficients of the terms in the expansion of $(x + y)^n$.

Binomial Theorem

Binomial Theorem: Let *x* and *y* be variables, and *n* a nonnegative integer. Then:

$$(x+y)^n = \sum_{j=0}^n \left(\begin{array}{c} n \\ j \end{array}\right) x^{n-j} y^j = \left(\begin{array}{c} n \\ 0 \end{array}\right) x^n + \left(\begin{array}{c} n \\ 1 \end{array}\right) x^{n-1} y + \dots + \left(\begin{array}{c} n \\ n-1 \end{array}\right) x y^{n-1} + \left(\begin{array}{c} n \\ n \end{array}\right) y^n.$$

Proof: We use combinatorial reasoning . The terms in the expansion of $(x + y)^n$ are of the form $x^{n-j}y^j$ for j = 0,1,2,...,n. To form the term $x^{n-j}y^j$, it is necessary to choose n-j xs from the n sums. Therefore, the coefficient of $x^{n-j}y^j$ is $\binom{n}{n-j}$ which equals $\binom{n}{j}$.

Using the Binomial Theorem

Example: What is the coefficient of $x^{12}y^{13}$ in the expansion of $(2x - 3y)^{25}$?

Solution: We view the expression as $(2x + (-3y))^{25}$. By the binomial theorem

$$(2x + (-3y))^{25} = \sum_{j=0}^{25} {25 \choose j} (2x)^{25-j} (-3y)^j.$$

Consequently, the coefficient of $x^{12}y^{13}$ in the expansion is obtained when j = 13.

$$\begin{pmatrix} 25\\13 \end{pmatrix} 2^{12} (-3)^{13} = -\frac{25!}{13!12!} 2^{12} 3^{13}.$$

A Useful Identity

Corollary 1: With $n \ge 0$, $\sum_{k=0}^{n} {n \choose k} = 2^n$.

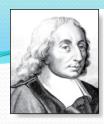
Proof (using binomial theorem): With x = 1 and y = 1, from the binomial theorem we see that:

$$2^{n} = (1+1)^{n} = \sum_{k=0}^{n} \binom{n}{k} 1^{k} 1^{(n-k)} = \sum_{k=0}^{n} \binom{n}{k}.$$

Proof (*combinatorial*): Consider the subsets of a set with n elements. There are $\binom{n}{0}$ subsets with zero elements, $\binom{n}{1}$ with one element, with two elements, ..., and with n elements. Therefore the total is $\sum_{k=0}^{n} \binom{n}{k}$.

Since, we know that a set with n elements has 2^n subsets, we conclude:

$$\sum_{k=0}^{n} \binom{n}{k} = 2^{n}.$$



Pascal's Identity

Pascal's Identity: If *n* and *k* are integers with $n \ge k \ge 0$, then

$$\left(\begin{array}{c} n+1 \\ k \end{array}\right) = \left(\begin{array}{c} n \\ k-1 \end{array}\right) + \left(\begin{array}{c} n \\ k \end{array}\right).$$

Proof (*combinatorial*): Let T be a set where |T| = n + 1, $a \in T$, and $S = T - \{a\}$. There are $\binom{n+1}{k}$ subsets of T containing k elements. Each of these subsets either:

- contains a with k-1 other elements, or
- contains k elements of S and not a.

There are

- $\binom{n}{k-1}$ subsets of k elements that contain a, since there are $\binom{n}{k-1}$ subsets of k-1 elements of S,
- $\binom{n}{k}$ subsets of k elements of T that do not contain a, because there are $\binom{n}{k}$ subsets of k elements of k.

Hence,
$$\binom{n+1}{k} = \binom{n}{k-1} + \binom{n}{k}$$
. \blacktriangleleft See Exercise 19 for an algebraic proof.

Pascal's Triangle

The *n*th row in the triangle consists of the binomial coefficients $\binom{n}{k}$, k = 0,1,...,n.

By Pascal's identity, adding two adjacent bionomial coefficients results is the binomial coefficient in the next row between these two coefficients.