

## Propositional Logic Programs / Databases

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Recall our example *conjunctive normal form* (CNF) formula:

$$\begin{array}{ll} \neg a \vee b & \neg b \vee \neg f \vee h \\ \neg a \vee c & \neg c \vee \neg d \vee h \\ \neg b \vee d \vee e & \neg e \vee \neg g \vee h \\ \neg c \vee f \vee g & a \end{array}$$

This is written in the common shorthand for CNF: There are implicit  $\wedge$ 's between the clauses.

A *clause* is of the form  $l_1 \vee \dots \vee l_k$ , in which each  $l_i$  is a positive occurrence of a proposition (e.g.,  $a$ ) or a negative occurrence of a proposition (e.g.,  $\neg a$ ).

Oddly, let us call such a CNF formula as above a *program*, or even a *database*. It will become clearer as we progress why these names really are not so odd. Denote the program above as  $\mathcal{P}$ .

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There are several approaches to do this.

- **Model Theory Approach:** Show that in any situation in which  $\mathcal{P}$  is *true*,  $h$  is also *true*.
  - **Proof Theory Approach:** Show that there is a sequence of *inference* steps that lead from the premise  $\mathcal{P}$  to the conclusion  $h$ .
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## Propositional Logic Queries

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Prove  $h$  from  $\mathcal{P}$ .

In other words, we are asking the *query*, is  $h$  *true*, given that  $\mathcal{P}$  is *true*?

What does this query mean? It means for us to show that  $h$  logically follows from  $\mathcal{P}$ .

## The Example Simplified

Let us simplify our example  $\mathcal{P}$  some so we do not have to work as hard.

$$\begin{array}{ll} \neg a \vee b & \neg b \vee \neg f \vee h \\ \neg a \vee c & \neg c \vee \neg d \vee h \\ \neg b \vee d \vee e & \neg e \vee \neg g \vee h \\ \neg c \vee f \vee g & a \end{array}$$

Does  $a$  have to be *true* for  $\mathcal{P}$  to be *true*? Clearly yes. If  $a$  were *false*,  $\mathcal{P}$  is necessarily *false*. So  $a$  is *true* in all situations (in which  $\mathcal{P}$  is *true*). We also easily see then that  $b$  is *true* and that  $c$  is *true*.

So for any clause that contains a positive occurrence of  $a$  (or  $b$  or  $c$ ), we can drop that clause from  $\mathcal{P}$  since we now know that clause is *true*.

For any clause that contains  $\neg a$  (or  $\neg b$  or  $\neg c$ ), we can drop the  $\neg a$  (or  $\neg b$  or  $\neg c$ ) from it since we know  $\neg a$  (and  $\neg b$  and  $\neg c$ ) is *false*. (We have to keep the rest of the clause though, because it still could be either *true* or *false*.)

Thus our modified program,  $\mathcal{P}'$ , is

$$\begin{array}{ll} d \vee e & \neg d \vee h \\ f \vee g & \neg e \vee \neg g \vee h \\ \neg f \vee h & \end{array}$$

$\mathcal{P}$  and  $\mathcal{P}'$ —with  $a$ ,  $b$ , and  $c$  as *facts* in  $\mathcal{P}'$ —are logically equivalent.

## Truth Tables (Models)

We can guess for each proposition whether it is *true* or it is *false*, and see whether that makes  $\mathcal{P}$  overall *true* or *false*, with respect to these guesses.

Each possible truth assignment—having assigned each proposition (e.g.,  $a, \dots, h$ ) to *true* or to *false*—is called an *interpretation*.

Any interpretation that renders  $\mathcal{P}$  *true* is called a *model* of  $\mathcal{P}$ .

This is really (so far) just the same as *truth tables*, which show up many places in C.S., E.E., and math.

- $h$  logically follows from  $\mathcal{P}$  iff  $h$  is *true* in every model of  $\mathcal{P}$ .

- Likewise,  $\neg h$  logically follows from  $\mathcal{P}$  iff  $h$  is *false* in every model of  $\mathcal{P}$ .

$\mathcal{P} \models h$  is the fancy way to write that  $h$  logically follows from  $\mathcal{P}$ .

In our example  $\mathcal{P}$ , there are eight propositions:  $a, \dots, h$ . Therefore, there are  $2^8$ , or 256, interpretations for  $\mathcal{P}$ .

In our  $\mathcal{P}'$ , there are just five propositions,  $d, \dots, h$ , to interpret, so just  $2^5$ , or 32, interpretations.

## Truth Table for $\mathcal{P}'$

#	d	e	f	g	h	$\mathcal{P}'$	#	d	e	f	g	h	$\mathcal{P}$
<b>1</b>	T	T	T	T	T	<b>17</b>	F	T	T	T	T	T	
2	T	T	T	F	F	18	F	T	T	F	F		
<b>3</b>	T	T	F	T	T	<b>19</b>	F	T	T	F	T	T	
4	T	T	F	F	F	20	F	T	F	F	F		
<b>5</b>	T	T	F	T	T	<b>21</b>	F	T	F	T	T	T	7. $\{e, f, g, h\}$
6	T	T	F	F	F	22	F	T	F	F	F		8. $\{e, f, h\}$
7	T	T	F	T	F	23	F	T	F	T	F		9. $\{e, g, h\}$
8	T	T	F	F	F	24	F	T	F	F	F		
<b>9</b>	T	F	T	T	T	25	F	F	T	T	T	F	
10	T	F	T	F	F	26	F	F	T	F	F		
<b>11</b>	T	F	T	F	T	27	F	F	T	F	T	F	
12	T	F	T	F	F	28	F	F	T	F	F		
<b>13</b>	T	F	F	T	T	29	F	F	F	T	T	F	
14	T	F	F	T	F	30	F	F	F	T	F		
15	T	F	F	F	T	31	F	F	F	F	T	F	
16	T	F	F	F	F	32	F	F	F	F	F		

So nine interpretations—1, 3, 5, 9, 11, 13, 17, 19, and 21—render  $\mathcal{P}'$  as *true*, and thus are models of  $\mathcal{P}'$ .

Note that  $h$  is *true* in all nine of the models. Therefore,  $h$  logically follows from  $\mathcal{P}'$ .

Since  $\mathcal{P}$  and  $\mathcal{P}'$  are logically equivalent,  $h$  logically follows from  $\mathcal{P}$ .

## Models as Sets

Traditionally, an *interpretation* is a *subset* of the propositions to be considered *true*, and a *model* is an interpretation that renders  $\mathcal{P}$  as *true*.

So the models in our example  $\mathcal{P}'$  are

- |                        |                     |                     |
|------------------------|---------------------|---------------------|
| 1. $\{d, e, f, g, h\}$ | 4. $\{d, f, g, h\}$ | 7. $\{e, f, g, h\}$ |
| 2. $\{d, e, f, h\}$    | 5. $\{d, f, h\}$    | 8. $\{e, f, h\}$    |
| 3. $\{d, e, g, h\}$    | 6. $\{d, g, h\}$    | 9. $\{e, g, h\}$    |

Any proposition that does not appear in a model then is considered to be *false with respect to* that model. For instance,  $d$  is *false* with respect to model #9.

Rewording then what it means to *logically follow*:

- $h$  logically follows from  $\mathcal{P}$  iff  $h$  is in *every* model of  $\mathcal{P}$ ,
- likewise,  $\neg h$  logically follows from  $\mathcal{P}$  iff  $h$  is not in *any* model of  $\mathcal{P}$ .

Thus to find the set of all the propositions that logically follow from  $\mathcal{P}$ , find the intersection of all  $\mathcal{P}$ 's models.

For  $\mathcal{P}'$ , that is  $\{h\}$ .

For our example  $\mathcal{P}$ , that would be  $\{a, b, c, h\}$ .

## Consistency

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Is it ever possible that  $\mathcal{P} \models a$  and that  $\mathcal{P} \not\models \neg a$ ?

This seems bizarre, but it is possible!

It works by our definitions if  $\mathcal{P}$  has no models.

Consider our example  $\mathcal{P}$ , but with the clause  $\neg h$  added. This new  $\mathcal{P}$  has no models.

A program  $\mathcal{P}$  with no models is called *inconsistent*.  $\mathcal{P}$  is called *consistent* otherwise.

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Ideally, we would like to consider only consistent  $\mathcal{P}$ 's.

## Unknown

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Is it ever possible that  $\mathcal{P} \not\models a$  and that  $\mathcal{P} \not\models \neg a$ ?

This says that  $a$  does not logically follow from  $\mathcal{P}$  and that  $\neg a$  does not logically follow from  $\mathcal{P}$ .

Certainly this is possible.

This might seem bizarre initially, but really it is not so odd. It is just that a given  $\mathcal{P}$  may not provide enough information to determine whether  $a$  is *true* or it is *false*.

In this case we would say that  $a$  is *unknown* with respect to  $\mathcal{P}$ .

For example, in our example  $\mathcal{P}'$ ,  $d$  is *unknown*.

## Refutation by Truth Tables

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The notion of inconsistency gives us another method to show that something logically follows.

Add the negation of what we are trying to prove to  $\mathcal{P}$ , and show that the resulting  $\mathcal{P}$  is inconsistent (that is, has no models).

For example, if we can show that there are no *models* of  $\mathcal{P}' \cup \{\neg h\}$ , then  $\mathcal{P}' \models h$ .

#	d	e	f	g	$\mathcal{P}' \cup \{\neg h\}$
1	T	T	T	T	F
2	T	T	T	F	
3	T	T	F	F	
4	T	T	F	F	
5	T	T	F	T	
6	T	T	F	F	
7	T	T	F	F	
8	T	T	F	F	
9	T	F	T	T	F
10	T	F	T	T	F
11	T	F	T	F	
12	T	F	T	F	
13	T	F	F	T	F
14	T	F	F	T	F
15	T	F	F	F	
16	T	F	F	F	F

(You should check this truth table as an exercise.)

## Refutation (p.2) by Truth Tables

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So once again we have proven that  $h$  logically follows from  $\mathcal{P}'$ .

In our example for  $\mathcal{P}' \models h?$ , by refutation we only needed to look at 16 interpretations. We had to look at all 32 interpretations for  $\mathcal{P}'$  before.

We shall extend this idea of refutation into a proof system.

Proof-by-refutation is a type of proof by contradiction.

Note that we did not learn whether  $\mathcal{P}$  is consistent or not this way.

We happened to know already that our example  $\mathcal{P}'$  is consistent, because we know from before that it has a model (actually, nine models).

What about the case when we do not know whether  $\mathcal{P}$  is consistent?

## Proof Theory

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Another approach to find whether  $a$  logically follows from  $\mathcal{P}$  is to apply a sequence of *logically sound* inference steps starting from  $\mathcal{P}$  and ending with  $a$ .

The sequence of steps from  $\mathcal{P}$  to  $a$  is called a *proof*, and serves to prove that  $a$  can be logically derived from  $\mathcal{P}$ .

$\mathcal{P} \vdash a$  is the fancy way to write this. This states that there exists a proof of  $a$  from  $\mathcal{P}$ .

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There are a number of questions to address regarding our proof system.

First, what are the types of inference steps that are permitted? Once we have established that, we must address *soundness* and *completeness*.

- **Soundness:** For any  $\mathcal{P}$  and  $a$ , if  $\mathcal{P} \vdash a$ , then  $\mathcal{P} \models a$ .
- **Completeness:** For any  $\mathcal{P}$  and  $a$ , if  $\mathcal{P} \models a$ , then  $\mathcal{P} \vdash a$ .

$\vdash \equiv \models$   
An Aside

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First-order propositional logic is sound and complete. That is, anything provable in it is in fact *true*, and anything *true* with respect to it is provable.

It is one of the greatest mathematical results of the 20th century that first-order logic (predicate calculus) without arithmetic is sound and complete. (**Gödel's Completeness Theorem**)

It is arguably the greatest mathematical result of the 20th century that first-order logic (predicate calculus) with full arithmetic and second-order logic are necessarily incomplete. (**Gödel's Incompleteness Theorem**)

# Refutation Proofs with Resolution

For our CNF programs, remarkably there is *one* inference rule that will suffice: *resolution*.

(This is not exactly true. We would need more to be *complete* for CNF programs. However, it is all we will need for Datalog to come.)

The resolution step is as follows:

$$(a \vee l_1 \vee \dots \vee l_k) \wedge (\neg a \vee l_{k+1} \vee \dots \vee l_n)$$

$$l_1 \vee \dots \vee l_n$$

in which each  $l_i$  is a positive or a negative occurrence of a proposition

## Refutation proof by resolution:

- Add the negation of what you are trying to prove. E.g.,  
 $\mathcal{P}' \cup \{\neg h\}$ .
  - Apply resolution steps until you reach the *empty clause*.  
↳ empty clause (an or-clause with nothing in it) is a contradiction.

The empty clause (an *or*-clause with nothing in it) is equivalent to *false*.  
(Think about it.)

' $\square$ ' is a fancy symbol used to denote the empty clause

Thus, we have arrived at ‘ $\square$ ’, or *false*. This is a contradiction. Therefore,  $h$  cannot be *false*, (equivalently,  $\neg h$  cannot be *true*), so  $h$  must be *true* (with respect to  $\mathcal{B}$ )

## Logic as a Database? Problems?

### Horn Programs

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What are the problems with using CNF propositional logic for our knowledge-bases / databases / programs?

**1. Looks really unnatural.**

**2. Allows for *meaningless* databases / programs (that is, that have *no* models).**

**3. Where's the data?**

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To address both points 1 and 2, we shall restrict the types of clauses permitted.

*Horn clause:* At most one positive proposition appears.

Horn clauses are more natural, and have a correspondence to database concepts.

$$\begin{array}{rcl} \text{Rule / View: } & a \leftarrow b, c. & (a \vee \neg b \vee \neg c) \\ & \text{Fact: } b. & (b) \\ & \text{Query: } \leftarrow a. & (\neg a) \end{array}$$


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- Easy to read when rewritten as implications.
- Every database / program is meaningful; that is, consistent! (*Really?!* ...)

## Logic as a Database!

### Datalog

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We call a program that consists of just *rules* and *facts*—Horn clauses each with exactly one positive proposition—a *Datalog* database.

We write queries as Horn clauses that contain no positive proposition.

A query can be *evaluated* against a Datalog database as a resolution refutation proof.

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### query evaluation    $\equiv$    proof

So how do we know an answer to a query (with respect to the database) is *correct*?

Its evaluation is *equivalent* to a proof that it is correct (that it is a logical consequence)!

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- We still need to address point 3, “Where’s the data?!”
- We shall need to use (first-order) *predicate calculus* logic instead of just (first-order) propositional logic.

## Datalog with Resolution Example

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$$\begin{array}{c} \leftarrow a, d. \\ a \leftarrow b, c. \\ \hline \leftarrow b, c, d. \end{array}$$

This is just resolution in disguise.

$$\begin{array}{c} \neg a \vee \neg d \\ a \vee \neg b \vee \neg c \\ \hline \neg b \vee \neg c \vee \neg d \end{array}$$

How do we know?

Consider the interpretation in which we assign *true* to every proposition. Next, consider each clause: There is exactly one positive proposition per clause in a Datalog database, *by definition*. Thus every clause is *true* with respect to the all-true interpretation, and thus the all-true interpretation is a model.

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## Datalog Models Always Consistent!

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A Datalog database is always consistent. That is, any datalog database is guaranteed to have at least one model.

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Of course the all-true model is not so interesting...but it does guarantee that any datalog database is consistent.

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## The Minimum Model Datalog

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Our example  $\mathcal{P}'$  is not a datalog database because it has non-Horn clauses.

$\mathcal{P}'$  also has nine models.

Which of the nine models captures the “meaning” of  $\mathcal{P}'$ ? No one of them, per se, but rather all of them collectively...The fact there are nine of them is confusing and headache-causing.

It may make sense to consider only the *minimal models*. That is, throw away any model that is a super-set of another.

When we do that for  $\mathcal{P}'$ , we are left with just four minimal models.

1.  $\{d, f, h\}$
2.  $\{d, g, h\}$
3.  $\{e, f, h\}$
4.  $\{e, g, h\}$

Better, but we still have multiple models...

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Any Datalog database has exactly *one* minimal (hence, *minimum*) model.

That minimum model is equivalent to exactly the set of propositions that logically follow.

We consider this minimum model to be the *meaning* of the Datalog database.

## The Minimum Model Example

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$a \leftarrow b, c.$

$b.$

This Datalog database has eight interpretations.

5.  $\underline{\{a, b\}}$
6.  $\underline{\{a, c\}}$
7.  $\underline{\{b, c\}}$
8.  $\underline{\{a, b, c\}}$
1.  $\{\}$
2.  $\underline{\{a\}}$
3.  $\underline{\{\{b\}\}}$
4.  $\underline{\{c\}}$

The underlined interpretations are models.

The boxed interpretation is the minimum model.

## Reasoning about Queries & Databases

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Datalog and its logical foundations—model and proof theories—provide us with tools to address other general questions about queries and databases.

- Given two Datalog queries, are they equivalent with respect to the database?

That is, must they evaluate to the same answers?

- Does there *exist* a query of a given question we have in mind?

That is, is the question even *askable* in Datalog? With this database?

- Given two Datalog databases, do they represent the same data?

Is any question possible to state for one of them also possible to state for the other one?

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*Query equivalence*—and more generally, *query containment*—is important many places.

For instance, the rewrite query optimizer must guarantee that the rewritten query is equivalent to the original query.

## Containment Example

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$\mathcal{P}$ :

$$\begin{array}{ll} a \leftarrow b, c. & e \leftarrow b, c, f. \\ a \leftarrow d. & \end{array}$$

Does  $\mathcal{P} \models e \rightarrow a$ ? Why or why not?

If not, how should we restrict the semantics for  $\mathcal{P}$  so that we could infer  $e \rightarrow a$ ?

## The Move from Propositional Logic to Predicate Calculus

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- Add arguments to propositions.
  - Now call them *predicates*.
  - Add logical variables.
  - (For use in rules and in queries.)
  - Add quantifiers for the variables:  $\forall$  and  $\exists$ .
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E.g.,

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$grandmother(GM, X) \leftarrow mother(GM, P), parent(P, X).$

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By convention, we shall write *variables* beginning with a capital letter, and *constants*—that is, data values—beginning with a lower-case letter or in single quotes.

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Datalog permits only universal-quantified clauses. Thus no explicit existential-quantification is allowed.

## Predicate Calculus Horn Clauses

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$grandmother(GM, X) \leftarrow mother(GM, P),$   
 $parent(P, X).$

is just a clause, as before.

Each variable is understood to be within the scope of a *forall*-quantifier.

So the clause above is shorthand for

$$\begin{aligned} \forall GM, X, P & (grandmother(GM, X) \vee \neg mother(GM, P) \\ & \vee \neg parent(P, X)) \end{aligned}$$

which is equivalent to

$$\begin{aligned} \forall GM, X & (grandmother(GM, X) \leftarrow \\ & \exists P (mother(GM, P) \wedge parent(P, X))) \end{aligned}$$


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## Datalog Database versus “Prolog” Program

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We generally call a Horn-clause predicate calculus “theory” that we have written down a *logic program*.

The Prolog programming language’s syntax looks just like this,

So when do we call it a *Datalog database* instead?

If it uses *logical function symbols*, it is considered a *program*. If it does not, it is considered a *database*.

This is the logical distinction between them.

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### *Logical function symbols??*

This is essentially a data-structure, such as a list or record, that we could use as an argument to a predicate instead of just a simple value.

- *grandmothers* `[lallage, ruby, sally]`, `parke`)
  - *product* (#13, `widget(a, b)`, \$23.50)
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Function symbols are needed for arithmetic. (We usually add a limited form of arithmetic to a fuller Datalog.)

## Safeneness

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We only permit *safe* clauses in Datalog.

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A clause is *safe* iff every variable that appears in the positive atom (that is, on the left-hand side of the ' $\leftarrow$ ') also appears in a negative atom (that is, on the right-hand side of the ' $\leftarrow$ '). Thus,

$$h(X_1, \dots, X_k) \leftarrow b_1(Y_1, \dots, Y_{j_1}), \dots, b_n(Y_{j_{n-1}+1}, \dots, Y_{j_n}).$$

is safe if

$$\{X_1, \dots, X_k\} \subseteq \{Y_1, \dots, Y_{j_n}\}$$


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E.g.,

$$h(X, Y) \leftarrow b(X).$$

is not safe.

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Note that *facts* in Datalog cannot have variables. A fact with variables is not safe, by definition.

## Predicate Calculus Models

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The model semantics remains essentially the same as for the propositional case, but now is much more complex to think about.

In particular, now models can be *infinite*!

For Datalog databases (DDBs), there are ways to limit our focus to a finite set of interpretations / models (e.g., the Herbrand interpretations / models). However, there can be *many* of them.

## Predicate Calculus Proof Theory

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The proof theory remains essentially the same as for the propositional case, but now is much more complex to think about.

Resolution remains a sound and complete inference rule.

We have to add *unification*: a variable can become bound to a constant (a value).

## Grandparent Database Datalog

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A simple Datalog database:

*grandmother (GM, X) ← mother (GM, P),  
parent (P, X).*

*grandfather (GF, X) ← father (GF, P),  
parent (P, X).*

*parent (M, X) ← mother (M, X).*

*parent (F, X) ← father (F, X).*

*mother (judith, parke).                    father (blan, parke).*

*mother (ruby, judith).                    father (alvin, judith).*

*mother (lallage, blan).                    father (albert, blan).*

Two queries for the database:

$\leftarrow \text{grandmother } (G, \text{parke}). \quad \leftarrow \text{grandmother } (\text{lallage}, X).$

G = ruby;

G = lallage,

no

## Siblings?

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How would we write a rule for siblings?

*sibling (X, Y) ← parent (P, X),  
parent (P, Y),  
X ≠ Y.*

Brother? I.e., B is the brother of X.

*brother (B, X) ← parent (P, B),  
parent (P, X),  
male (B),  
B ≠ X.*

Sister? I.e., S is the sister of X.

*sister (B, X) ← parent (P, B),  
parent (P, X),  
female (B),  
B ≠ X.*

## Ancientor?

Recursion!

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```
ancestor(A, X) ← parent(A, X).  

ancestor(A, X) ← parent(A, B),  

ancestor(B, X).
```

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We have *recursion* in Datalog? Of course.

Nothing in our definitions forbids it.

And recursion is a very useful tool in defining rules and queries.

## Cousin?

Negation...

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cousin(X, Y) ← parent(A, X),  

parent(B, Y),  

sibling(A, B).
```

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Wait! Siblings are *not* cousins.

```
cousin(X, Y) ← parent(A, X),  

parent(B, Y),  

sibling(A, B),  

not sibling(X, Y).
```

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However, this is not Datalog. What is that “*not*”?

Adding negation to Datalog is going to be a challenge...

## Inferring the Negative Datalog

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Is there ever a Datalog program  $\mathcal{P}$  and an atom  $a$  such that  $\mathcal{P} \models \neg a$ ?

No! Recall the all-true interpretation is always a model of any Datalog database (DDB).

We pulled that trick so that *every* DDB is guaranteed to be consistent (that is, to have a model).

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So how can we ask negative questions in Datalog?

E.g., Is parke not a student?

Can we?

## The Closed World Assumption (CWA) Datalog

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**Model-theoretic**

Only accept the minimum model,  $\mathcal{M}$ . If  $a \notin \mathcal{M}$ , then say that  $\neg a$  is *true* (or equivalently, that  $a$  is *false*).

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**Proof-theoretic**

*Negation-as-Finite-Failure* (NAFF).

If  $\mathcal{P} \not\models a$ , then say that  $\neg a$  is *true*.

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NAFF is sound with respect to safe Prolog / Datalog, but it is not *complete*.

Full first-order predicate calculus is undecidable.

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Okay. This allows negation in queries.

How about *within* programs themselves, like with *cousin*?

## What does Datalog have that SQL doesn't?

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- a clear semantics
  - recursion (until recently!)
  - is easier to write and think about (?)
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Also SQL is a real language and Datalog is a play language, so they are hard to compare in this sense.

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## What does SQL have that Datalog doesn't?

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- aggregation
  - negation! (`except`)
  - NULLs
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